

Hartogs 域上延拓算子的性质*

崔艳艳¹ 刘 浩²

提要 主要研究 Roper-Suffridge 延拓算子在推广的 Hartogs 域上的性质. 借助双全纯映照的偏差定理, 得到延拓算子在 Ω_N 上保持强 α 次殆 β 型螺形映照、 α 次殆 β 型螺形映照和 α 次 β 型螺形映照的性质, 进而得到 B^n 上相应的结论. 所得结论包含已有的结果并为研究 \mathbb{C}^n 中的双全纯映照提供了新的途径.

关键词 星形映照, 螺形映照, 延拓算子, Hartogs 域

MR (2000) 主题分类 32A30, 30C45

中图法分类 O174.56

文献标志码 A

文章编号 1000-8314(2019)02-0139-18

1 引 言

多复变几何函数论源于单复变理论, 然而其本质却不同. Roper-Suffridge 算子

$$\phi_n(f)(z) = (f(z_1), \sqrt{f'(z_1)z_0})'$$

(其中 $z = (z_1, z_0) \in B^n$, $z_1 \in D$, $z_0 = (z_2, \dots, z_n) \in \mathbb{C}^{n-1}$, $f(z_1) \in H(D)$, $\sqrt{f'(0)} = 1$) 是单复变与多复变之间的桥梁. 该算子由 Roper 和 Suffridge 在文 [1] 中引入, 在文 [1–2] 中被证明在 B^n 上保持凸性、星形性和 Bloch 映照的性质. 因此, 通过 Roper-Suffridge 算子我们可以由单复变中有特殊几何性质的双全纯函数来构造多复变中相应的映照. 因此许多学者开始研究该算子的性质. 随着不同的域和星形映照及凸映照子族的涌现, 对于 Roper-Suffridge 算子的研究已有了许多优美的结论^[3–5].

2005 年, Muir^[6]引进 Roper-Suffridge 延拓算子:

$$F(z) = (f(z_1) + f'(z_1)P(z_0), \sqrt{f'(z_1)z_0})'$$

其中 f 是单位圆盘 D 上正规化双全纯函数, $z = (z_1, z_0)' \in B^n$, $z_1 \in D$, $z_0 = (z_2, \dots, z_n)' \in \mathbb{C}^{n-1}$. 幂函数取分支, 使得 $\sqrt{f'(0)} = 1$. $P: \mathbb{C}^{n-1} \rightarrow \mathbb{C}$ 是 2 阶齐次多项式. Muir 和 Suffridge 证明了该算子在 $\|P\| \leq \frac{1}{4}$ 和 $\|P\| \leq \frac{1}{2}$ 时分别保持星形性和凸性. Kohr 在文 [7] 中借助 Loewner 链讨论了推广的算子. 王建飞和刘太顺^[8]得到了算子

$$F(z) = (f(z_1) + f'(z_1)P(z_0), [f'(z_1)]^{\frac{1}{m}} z_0)'$$

保持 α 次殆星形性和 α 次星形性, 其中 $[f'(0)]^{\frac{1}{m}} = 1$, $P: \mathbb{C}^{n-1} \rightarrow \mathbb{C}$ 是 $m(m \geq 2)$ 阶齐次多项式. 2008 年, Muir^[9]在复 Banach 空间单位球上引进了延拓算子

$$[\Phi_{G,\gamma}(f)](z) = (f(z_1) + G([f'(z_1)]^\gamma z_0), [f'(z_1)]^\gamma z_0)', \quad (1.1)$$

本文 2017 年 5 月 8 日收到, 2018 年 8 月 19 日收到修改稿.

¹周口师范学院数学与统计学院, 河南 周口 466001. E-mail: cui9907081@163.com

²通信作者. 河南大学数学系, 河南 开封 475001. E-mail: haoliu@henu.edu.cn

*本文受到国家自然科学基金 (No. 11271359, No. 11471098), 河南省教育厅科学技术研究重点项目 (No. 17A110041) 和周口师范学院科研创新基金项目 (No. ZKNUA201805) 的资助.

其中 G 是 \mathbb{C}^{n-1} 中的全纯函数, $G(0) = 0$, $DG(0) = 0$, $\gamma \geq 0$, $[f'(z_1)]^\gamma|_{z_1=0} = 1$. $G(z)$ 的齐次展开式是 $\sum_{j=2}^{\infty} Q_j(z)$, $Q_j(z)$ 是 j 阶齐次多项式. Muir 证明了 $[\Phi_{G,\gamma}(f)](z)$ 是一个

Loewner 链并保持一些几何性质不变. 2016 年唐言言^[10]在 Bergman-Hartogs 域:

$$\Omega_{p,q}^{B^n} = \{(w, z) \in \mathbb{C}^m \times B^n : \|w\|^{2p} < K_{B^n}(z, z)^{-q}\}, \quad w = (w_{(1)}, \dots, w_{(m)}), \quad z = (z_1, \dots, z_0)$$

上推广了 Roper-Suffridge 算子, 潘利双和王安在文 [11] 中对域进行了讨论. 唐言言证明了推广的算子在 $\Omega_{p,q}^{B^n}$ 上保持 α 次殆 β 型螺形性、 α 次 β 型螺形性和强 β 型螺形性.

由文 [12–13] 中的 Hartogs 域, 这里将延拓算子推广为

$$F(\xi, z, w) = ((f'(z_1))^{\delta_1} (f'(w_1))^{\gamma_1} \xi_{(1)}, \dots, (f'(z_1))^{\delta_r} (f'(w_1))^{\gamma_r} \xi_{(r)}, f(z_1) + G_1[(f'(z_1))^{\sigma_1} z_0], \\ (f'(z_1))^{\sigma_1} z_0, f(w_1) + G_2[(f'(w_1))^{\sigma_2} w_0], (f'(w_1))^{\sigma_2} w_0)', \quad (\xi, z, w) \in \Omega_N, \quad (1.2)$$

其中幂函数选取主值支,

$$G_1[(f'(z_1))^{\sigma_1} z_0] = \sum_{j=2}^{\infty} (f'(z_1))^{\sigma_1 j} P_j(z_0), \quad G_2[(f'(w_1))^{\sigma_2} w_0] = \sum_{j=2}^{\infty} (f'(w_1))^{\sigma_2 j} Q_j(w_0),$$

P_j 和 Q_j 分别是 z_0 和 w_0 的 j ($j \geq 2$) 次齐次多项式, 且

$$\Omega_N = \left\{ (\xi_{(1)}, \dots, \xi_{(r)}, z, w) \in \mathbb{C}^{m_1} \times \dots \times \mathbb{C}^{m_r} \times B^{N_1}(0, 1) \times B^{N_2}(0, 1) : \right. \\ \left. \sum_{i=1}^r \|\xi_{(i)}\|^{2s_i} < (1 - \|z\|^2)^l (1 - \|w\|^2)^t, \quad s_i > 0 (i = 1, \dots, r), \quad l \geq 0, \quad t \geq 0 \right\}.$$

在 (1.2) 中若不考虑含有 ξ 及 w 的项, 则有以下算子

$$F(z) = (f(z_1) + G_1[(f'(z_1))^{\sigma_1} z_0], (f'(z_1))^{\sigma_1} z_0)'. \quad (1.3)$$

本文主要研究算子 (1.2) 所保持的几何性质. 第 3–5 节讨论 (1.2) 在 Ω_N 上在不同的条件下分别保持强 α 次殆 β 型螺形性、 α 次殆 β 型螺形性和 α 次 β 型螺形性. 由此得到 (1.2) 在 Ω_N 上保持强 α 次殆星形性、强 β 型螺形性、 α 次殆星形性和 β 型螺形性及 (1.1) 在 B^n 上的相应性质.

2 定义及引理

以下 D 表示 \mathbb{C} 中的单位圆盘, B^n 表示 \mathbb{C}^n 中的单位球. $DF(z)$ 表示 F 在 z 的 Fréchet 导数. $I[\frac{a}{b}]$ 表示 $\frac{a}{b}$ 的整数部分. 为得到主要结论, 我们需要以下定义及引理.

定义 2.1^[14] 设 Ω 是 \mathbb{C}^n 中的有界星形圆型域, 其 Minkowski 泛函 $\rho(z)$ 在除去一个低微流行外是 C^1 的. 设 $f(z)$ 是 Ω 上正规化的局部双全纯映照. 若

$$\left| \frac{-\alpha + i \tan \beta}{1 - \alpha} + \frac{1 - i \tan \beta}{1 - \alpha} \frac{2}{\rho(z)} \frac{\partial \rho(z)}{\partial z} (Df(z))^{-1} f(z) - \frac{1 + c^2}{1 - c^2} \right| < \frac{2c}{1 - c^2},$$

则称 $f(z)$ 是 Ω 上的强 α 次殆 β 型螺形映照 ($\alpha \in [0, 1)$, $\beta \in (-\frac{\pi}{2}, \frac{\pi}{2})$).

在定义 2.1 中分别令 $\alpha = 0$, $\beta = 0$, $\alpha = \beta = 0$, 则得到强 α 次殆星形映照、强 β 型螺形映照和强星形映照的定义.

定义 2.2^[15] 设 Ω 和 $f(z)$ 同定义 2.1, 若

$$\Re \left[e^{-i\beta} \frac{2}{\rho(z)} \frac{\partial \rho(z)}{\partial z} (Df(z))^{-1} f(z) \right] \geq \alpha \cos \beta, \quad z \in \Omega \setminus \{0\},$$

其中 $\alpha \in [0, 1)$, $\beta \in (-\frac{\pi}{2}, \frac{\pi}{2})$, 则称 $f(z)$ 是 Ω 上的 α 次殆 β 型螺形映照.

在定义 2.2 中分别令 $\alpha = 0$, $\beta = 0$, 则得到 β 型螺形映照和 α 次殆星形映照的定义.

定义 2.3 [16] 设 Ω 和 $f(z)$ 同定义 2.1, 若

$$\left| (1 - i \tan \beta) \frac{2}{\rho(z)} \frac{\partial \rho(z)}{\partial z} [Df(z)]^{-1} f(z) - \frac{1}{2\alpha} + i \tan \beta \right| < \frac{1}{2\alpha}, \quad z \in \Omega \setminus \{0\},$$

其中 $\alpha \in (0, 1)$, $\beta \in (-\frac{\pi}{2}, \frac{\pi}{2})$, 则称 f 是 Ω 上的 α 次 β 型螺形映照.

在定义 2.3 中令 $\beta = 0$ 和 $\Omega = B^n$, 则得到 B^n 上 α 次星形映照 [17] 的定义.

引理 2.1 [18] 设 Ω 同定义 2.1, 则

$$2 \frac{\partial \rho(z)}{\partial z} z = \rho(z), \quad \frac{\partial \rho}{\partial z}(\lambda z) = \frac{\partial \rho(z)}{\partial z}, \quad \lambda \geq 0, \quad \frac{\partial \rho}{\partial z}(e^{i\theta} z) = e^{-i\theta} \frac{\partial \rho(z)}{\partial z}, \quad \theta \in R.$$

引理 2.2 设 $\rho(\xi, z, w) = \rho$ 是 Ω_N 的 Minkowski 泛函, 则

$$\begin{aligned} \frac{\partial \rho}{\partial \xi_{ij}} &= \frac{s_i}{2} \|\xi_{(i)}\|^{2(s_i-1)} \bar{\xi}_{ij} \rho^{3-2s_i} \left\{ \sum_{k=1}^r \|\xi_{(k)}\|^{2s_k} s_k \rho^{2-2s_k} \right. \\ &\quad + \left(1 - \left\| \frac{z}{\rho} \right\|^2\right)^{l-1} \left(1 - \left\| \frac{w}{\rho} \right\|^2\right)^{t-1} \left[l \|z\|^2 \left(1 - \left\| \frac{w}{\rho} \right\|^2\right) \right. \\ &\quad \left. \left. + t \|w\|^2 \left(1 - \left\| \frac{z}{\rho} \right\|^2\right) \right] \right\}^{-1}, \end{aligned} \quad (2.1)$$

$$\begin{aligned} \frac{\partial \rho}{\partial z_{j_1}} &= \frac{\rho l}{2} \left(1 - \left\| \frac{z}{\rho} \right\|^2\right)^{l-1} \left(1 - \left\| \frac{w}{\rho} \right\|^2\right)^t \bar{z}_{j_1} \left\{ \sum_{k=1}^r \|\xi_{(k)}\|^{2s_k} s_k \rho^{2-2s_k} \right. \\ &\quad + \left(1 - \left\| \frac{z}{\rho} \right\|^2\right)^{l-1} \left(1 - \left\| \frac{w}{\rho} \right\|^2\right)^{t-1} \left[l \|z\|^2 \left(1 - \left\| \frac{w}{\rho} \right\|^2\right) \right. \\ &\quad \left. \left. + t \|w\|^2 \left(1 - \left\| \frac{z}{\rho} \right\|^2\right) \right] \right\}^{-1}, \end{aligned} \quad (2.2)$$

$$\begin{aligned} \frac{\partial \rho}{\partial w_{j_2}} &= \frac{\rho t}{2} \left(1 - \left\| \frac{w}{\rho} \right\|^2\right)^{t-1} \left(1 - \left\| \frac{z}{\rho} \right\|^2\right)^l \bar{w}_{j_2} \left\{ \sum_{k=1}^r \|\xi_{(k)}\|^{2s_k} s_k \rho^{2-2s_k} \right. \\ &\quad + \left(1 - \left\| \frac{z}{\rho} \right\|^2\right)^{l-1} \left(1 - \left\| \frac{w}{\rho} \right\|^2\right)^{t-1} \left[l \|z\|^2 \left(1 - \left\| \frac{w}{\rho} \right\|^2\right) \right. \\ &\quad \left. \left. + t \|w\|^2 \left(1 - \left\| \frac{z}{\rho} \right\|^2\right) \right] \right\}^{-1}. \end{aligned} \quad (2.3)$$

若 $(\xi, z, w) \in \partial\Omega_N$, 则有 $\rho = 1$,

$$\begin{cases} \frac{\partial \rho}{\partial \xi_{ij}} = \frac{s_i \|\xi_{(i)}\|^{2(s_i-1)} \bar{\xi}_{ij}}{2(\Delta_1 + \|z\|^2 \Delta_2 + \|w\|^2 \Delta_3)}, \\ \frac{\partial \rho}{\partial z_{j_1}} = \frac{\rho \Delta_2 \bar{z}_{j_1}}{2(\Delta_1 + \|z\|^2 \Delta_2 + \|w\|^2 \Delta_3)}, \\ \frac{\partial \rho}{\partial w_{j_2}} = \frac{\rho \Delta_3 \bar{w}_{j_2}}{2(\Delta_1 + \|z\|^2 \Delta_2 + \|w\|^2 \Delta_3)}, \end{cases} \quad (2.4)$$

其中 $i = 1, \dots, r$, $j = 1, \dots, m_i$, $j_1 = 1, \dots, N_1$, $j_2 = 1, \dots, N_2$,

$$\Delta_1 = \sum_{i=1}^r \|\xi_{(i)}\|^{2s_i} s_i, \quad \Delta_2 = l(1 - \|z\|^2)^{l-1} (1 - \|w\|^2)^t, \quad \Delta_3 = t(1 - \|w\|^2)^{t-1} (1 - \|z\|^2)^l.$$

证 由于 $\rho(\xi, z, w)$ 是 Ω_N 的 Minkowski 泛函, 则 $\frac{(\xi, z, w)}{\rho} \in \partial\Omega_N$, 于是

$$\left\| \frac{\xi_{(1)}}{\rho} \right\|^{2s_1} + \cdots + \left\| \frac{\xi_{(r)}}{\rho} \right\|^{2s_r} = \left(1 - \left\| \frac{z}{\rho} \right\|^2\right)^l \left(1 - \left\| \frac{w}{\rho} \right\|^2\right)^t. \quad (2.5)$$

(2.5) 式两端关于 ξ_{ij} 求偏导并由 (2.1) 式, 得

$$\begin{aligned} & s_i \|\xi_{(i)}\|^{2(s_i-1)} \bar{\xi}_{ij} \rho^{-2s_i} + \sum_{k=1}^r \|\xi_{(k)}\|^{2s_k} (-2s_k) \rho^{-2s_k-1} \frac{\partial \rho}{\partial \xi_{ij}} \\ &= \left(1 - \left\| \frac{z}{\rho} \right\|^2\right)^{l-1} \left(1 - \left\| \frac{w}{\rho} \right\|^2\right)^{t-1} 2\rho^{-3} \frac{\partial \rho}{\partial \xi_{ij}} \left[l \|z\|^2 \left(1 - \left\| \frac{w}{\rho} \right\|^2\right) + t \|w\|^2 \left(1 - \left\| \frac{z}{\rho} \right\|^2\right) \right]. \end{aligned}$$

(2.5) 式两端关于 z_{j_1} 求偏导并由 (2.2) 式, 得

$$\begin{aligned} & \sum_{k=1}^r \|\xi_{(k)}\|^{2s_k} (-2s_k) \rho^{-2s_k-1} \frac{\partial \rho}{\partial z_{j_1}} \\ &= -l \left(1 - \left\| \frac{z}{\rho} \right\|^2\right)^{l-1} \bar{z}_{j_1} \rho^{-2} \left(1 - \left\| \frac{w}{\rho} \right\|^2\right)^t \\ & \quad + \left(1 - \left\| \frac{z}{\rho} \right\|^2\right)^{l-1} \left(1 - \left\| \frac{w}{\rho} \right\|^2\right)^{t-1} 2\rho^{-3} \frac{\partial \rho}{\partial z_{j_1}} \left[l \|z\|^2 \left(1 - \left\| \frac{w}{\rho} \right\|^2\right) + t \|w\|^2 \left(1 - \left\| \frac{z}{\rho} \right\|^2\right) \right]. \end{aligned}$$

类似地有 (2.3) 式. 当 $(\xi, z, w) \in \partial\Omega_N$ 时, 有 $\rho = 1$ 和 (2.4) 式成立, 这里

$$\Delta_1 = \sum_{i=1}^r \|\xi_{(i)}\|^{2s_i} s_i, \quad \Delta_2 = l(1 - \|z\|^2)^{l-1} (1 - \|w\|^2)^t, \quad \Delta_3 = t(1 - \|w\|^2)^{t-1} (1 - \|z\|^2)^l.$$

引理 2.3 设 $F(\xi, z, w)$ 是由 (1.2) 式所定义的函数, $(\xi, z, w) \in \partial\Omega_N$, 则

$$\frac{2\partial\rho(\xi, z, w)}{\partial(\xi, z, w)} (DF(\xi, z, w))^{-1} F(\xi, z, w) = \frac{H}{\Delta_1 + \|z\|^2\Delta_2 + \|w\|^2\Delta_3},$$

其中

$$\begin{aligned} H &= \Delta_1 \left\{ 1 - \delta_i \frac{f''(z_1)}{f'(z_1)} \left[\frac{f(z_1)}{f'(z_1)} + \sum_{j=2}^{\infty} (f'(z_1))^{\sigma_1 j - 1} (1-j) P_j(z_0) \right] \right. \\ & \quad \left. - \gamma_i \frac{f''(w_1)}{f'(w_1)} \left[\frac{f(w_1)}{f'(w_1)} + \sum_{j=2}^{\infty} (f'(w_1))^{\sigma_2 j - 1} (1-j) Q_j(w_0) \right] \right\} \\ & \quad + \Delta_2 \left\{ |z_1|^2 \left[\frac{f(z_1)}{z_1 f'(z_1)} + \frac{1}{z_1} \sum_{j=2}^{\infty} (f'(z_1))^{\sigma_1 j - 1} (1-j) P_j(z_0) \right] \right. \\ & \quad \left. + \|z_0\|^2 \left[1 - \sigma_1 \frac{f''(z_1)}{f'(z_1)} \left(\frac{f(z_1)}{f'(z_1)} + \sum_{j=2}^{\infty} (f'(z_1))^{\sigma_1 j - 1} (1-j) P_j(z_0) \right) \right] \right\} \\ & \quad + \Delta_3 \left\{ |w_1|^2 \left[\frac{f(w_1)}{w_1 f'(w_1)} + \frac{1}{w_1} \sum_{j=2}^{\infty} (f'(w_1))^{\sigma_2 j - 1} (1-j) Q_j(w_0) \right] \right. \\ & \quad \left. + \|w_0\|^2 \left[1 - \sigma_2 \frac{f''(w_1)}{f'(w_1)} \left(\frac{f(w_1)}{f'(w_1)} + \sum_{j=2}^{\infty} (f'(w_1))^{\sigma_2 j - 1} (1-j) Q_j(w_0) \right) \right] \right\}. \end{aligned}$$

证 由 (1.2) 式得

$$DF = \begin{pmatrix} \lambda_1 & \cdots & 0_{m_1 \times m_r} & v_1 & 0_{m_1 \times (N_1-1)} & \sigma_1 \\ \cdots & \cdots & \cdots & \cdots & \cdots & \cdots \\ 0_{m_r \times m_1} & \cdots & \lambda_r & v_r & 0_{m_r \times (N_1-1)} & \sigma_r \\ 0_{1 \times m_1} & \cdots & 0_{1 \times m_r} & u_1 & \sum_{j=2}^{\infty} (f'(z_1))^{\sigma_1 j} DP_j(z_0) & 0_{1 \times N_2} \\ 0_{(N_1-1) \times m_1} & \cdots & 0_{(N_1-1) \times m_r} & u_2 & (f'(z_1))^{\sigma_1} I_{N_1-1} & 0_{(N_1-1) \times N_2} \\ 0_{N_2 \times m_1} & \cdots & 0_{N_2 \times m_r} & 0_{N_2 \times 1} & 0_{N_2 \times (N_1-1)} & J \end{pmatrix},$$

其中

$$\begin{aligned} \lambda_i &= (f'(z_1))^{\delta_i} (f'(w_1))^{\gamma_i} I_{m_i}, \quad v_i = \delta_i (f'(z_1))^{\delta_i-1} f''(z_1) (f'(w_1))^{\gamma_i} \xi'_{(i)}, \quad i = 1, \cdots, r, \\ \sigma_i &= \left(\gamma_i (f'(w_1))^{\gamma_i-1} f''(w_1) (f'(z_1))^{\delta_i} \xi'_{(i)}, 0_{m_i \times (N_2-1)} \right), \quad i = 1, \cdots, r, \\ u_1 &= f'(z_1) + \sum_{j=2}^{\infty} \sigma_1 j (f'(z_1))^{\sigma_1 j-1} f''(z_1) P_j(z_0), \quad u_2 = \sigma_1 (f'(z_1))^{\sigma_1-1} f''(z_1) z'_0, \\ J &= \begin{pmatrix} f'(w_1) + \sum_{j=2}^{\infty} \sigma_2 j (f'(w_1))^{\sigma_2 j-1} f''(w_1) Q_j(w_0) & \sum_{j=2}^{\infty} (f'(w_1))^{\sigma_2 j} DQ_j(w_0) \\ \sigma_2 (f'(w_1))^{\sigma_2-1} f''(w_1) w'_0 & (f'(w_1))^{\sigma_2} I_{N_2-1} \end{pmatrix}. \end{aligned}$$

经简单计算得 $(DF(\xi, z, w))^{-1} F(\xi, z, w) = (h_1, \cdots, h_r, h_{(2)}, h_{(3)}, h_{(4)}, h_{(5)})'$, 其中

$$\begin{cases} h_i = \xi_{(i)} \left\{ 1 - \delta_i \frac{f''(z_1)}{f'(z_1)} \left[\frac{f(z_1)}{f'(z_1)} + \sum_{j=2}^{\infty} (f'(z_1))^{\sigma_1 j-1} (1-j) P_j(z_0) \right] \right. \\ \quad \left. - \gamma_i \frac{f''(w_1)}{f'(w_1)} \left[\frac{f(w_1)}{f'(w_1)} + \sum_{j=2}^{\infty} (f'(w_1))^{\sigma_2 j-1} (1-j) Q_j(w_0) \right] \right\}, \quad i = 1, \cdots, r, \\ h_{(2)} = \frac{f(z_1)}{f'(z_1)} + \sum_{j=2}^{\infty} (f'(z_1))^{\sigma_1 j-1} (1-j) P_j(z_0), \\ h_{(3)} = z_0 \left\{ 1 - \sigma_1 \frac{f''(z_1)}{f'(z_1)} \left[\frac{f(z_1)}{f'(z_1)} + \sum_{j=2}^{\infty} (f'(z_1))^{\sigma_1 j-1} (1-j) P_j(z_0) \right] \right\}, \\ h_{(4)} = \frac{f(w_1)}{f'(w_1)} + \sum_{j=2}^{\infty} (f'(w_1))^{\sigma_2 j-1} (1-j) Q_j(w_0), \\ h_{(5)} = w_0 \left\{ 1 - \sigma_2 \frac{f''(w_1)}{f'(w_1)} \left[\frac{f(w_1)}{f'(w_1)} + \sum_{j=2}^{\infty} (f'(w_1))^{\sigma_2 j-1} (1-j) Q_j(w_0) \right] \right\}. \end{cases}$$

于是由引理 2.2 知结论成立.

引理 2.4^[19] 设 $f(z)$ 是 D 上的全纯函数, $|f(z)| < 1$, 则 $|f'(z)| \leq \frac{1-|f(z)|^2}{1-|z|^2}$, $z \in D$.

引理 2.5^[10] 设 $f(z_1)$ 是 D 上正规化的双全纯函数, $a, b \in \mathbb{R}^+$, $a+b \leq \frac{1}{2}$, $z = (z_1, z_0) \in B^n$, 则

$$\left| \frac{f''(z_1)}{f'(z_1)} [a(1-|z_1|^2) + b\|z_0\|^2] - \bar{z}_1 \right| < 2(a+b) + 1.$$

引理 2.6 设 $z = (z_1, \dots, z_n) \in B^n$, $d, \sigma_1 \in [0, \frac{1}{2}]$, 则

$$M = |z_1|^2 + [d(1 - \|z\|^2) + \sigma_1 \|z_0\|^2] \frac{1 - 2|z_1| - |z_1|^2}{1 - |z_1|^2} \geq 0.$$

证 当 $|z_1| \in [0, \sqrt{2} - 1)$ 时, $1 - 2|z_1| - |z_1|^2 \geq 0$, 从而 $M \geq 0$; 当 $|z_1| \in (\sqrt{2} - 1, 1]$ 时, 有

$$\begin{aligned} M &= |z_1|^2 + [d(1 - |z_1|^2) + (\sigma_1 - d)\|z_0\|^2] \frac{1 - 2|z_1| - |z_1|^2}{1 - |z_1|^2} \\ &= |z_1|^2 + d(1 - 2|z_1| - |z_1|^2) + (\sigma_1 - d)\|z_0\|^2 \frac{1 - 2|z_1| - |z_1|^2}{1 - |z_1|^2}. \end{aligned}$$

(i) 若 $\sigma_1 \leq d$, 则 $(\sigma_1 - d)\|z_0\|^2 \frac{1 - 2|z_1| - |z_1|^2}{1 - |z_1|^2} \geq 0$. 另外, 当 $d \in [0, \frac{1}{2}]$ 时,

$$|z_1|^2 + d(1 - 2|z_1| - |z_1|^2) = (1 - d) \left(|z_1| - \frac{d}{1 - d} \right)^2 + \frac{d(1 - 2d)}{1 - d} \geq 0,$$

于是 $M \geq 0$.

(ii) 若 $\sigma_1 > d$, 由于 $\sigma_1 \in [0, \frac{1}{2}]$, 则

$$\begin{aligned} M &= |z_1|^2 + [d(1 - |z_1|^2) + (\sigma_1 - d)\|z_0\|^2] \frac{1 - 2|z_1| - |z_1|^2}{1 - |z_1|^2} \\ &\geq |z_1|^2 + \sigma_1(1 - 2|z_1| - |z_1|^2) = (1 - \sigma_1) \left(|z_1| - \frac{\sigma_1}{1 - \sigma_1} \right)^2 + \frac{\sigma_1(1 - 2\sigma_1)}{1 - \sigma_1} \geq 0. \end{aligned}$$

引理 2.7^[20] 设 $f(z)$ 是 D 上正规化的双全纯函数, 则 $\frac{1 - |z|}{(1 + |z|)^3} \leq |f'(z)| \leq \frac{1 + |z|}{(1 - |z|)^3}$.

引理 2.8^[21] 设 $p(z)$ 是 D 上的全纯函数, $p(0) = 1$, $\Re p(z) > 0$, 则 $|p'(z)| \leq \frac{2\Re p(z)}{1 - |z|^2}$.

引理 2.9^[22] 设 $f: D^n \rightarrow \mathbb{C}^n$ 是 α 次殆 β 型螺形映照, 则对于 $\forall z \in D^n \setminus \{0\}$, 存在单位向量 $\xi(z)$, 使得

$$\|Df(z)\xi(z)\| \leq \frac{1 + \|z\|}{\cos \beta [1 - (1 - 2\alpha)\|z\|] \frac{2(\alpha-1)}{2\alpha-1} + 1}, \quad \|z\| = \max |z_j|, \quad 1 \leq j \leq n.$$

引理 2.10^[23] 设 $f(z)$ 是 D 上的 α 次 β 型螺形函数, $\alpha \in (0, 1)$, $\beta \in (-\frac{\pi}{2}, \frac{\pi}{2})$. 则

$$|f'(z)| \leq \frac{1 + (1 - 2\alpha)|z|}{\cos \beta (1 - |z|)^{1+2(1-\alpha)}}, \quad z \in D.$$

3 强 α 次殆 β 型螺形映照的不变性

定理 3.1 设 $f(z_1)$ 是 D 上的强 α 次殆 β 型螺形函数, $\alpha \in [0, 1)$, $\beta \in (-\frac{\pi}{2}, \frac{\pi}{2})$, $c \in (0, 1)$. 令 $F(\xi, z, w)$ 是由 (1.2) 式所定义的函数, $\delta_i, \gamma_i, \delta_i + \gamma_i \in [0, 1]$, $\frac{s_i \delta_i}{t}, \frac{s_i \gamma_i}{t} \in [0, \frac{1}{2}]$ ($i = 1, \dots, r$), $\sigma_1, \sigma_2 \in [0, \frac{1}{2}]$. 令 $P_j = 0$ ($j > \frac{4}{6\sigma_1 - 1}$), $Q_j = 0$ ($j > \frac{4}{6\sigma_2 - 1}$), $\sigma_1, \sigma_2 \in (\frac{1}{6}, \frac{1}{2}]$. 若

$$\begin{cases} \sum_{j=2}^{I[\frac{4}{2\sigma_1+1}]} (j-1)\|P_j\| + \sum_{j=I[\frac{4}{2\sigma_1+1}]+1}^{\infty} (j-1)\|P_j\| 2^{\frac{2\sigma_1+1}{2}j-2} \leq \frac{c(1-\sigma_1)(1-\alpha)\cos \beta}{1+c}, \\ \sum_{j=2}^{I[\frac{4}{2\sigma_2+1}]} (j-1)\|Q_j\| + \sum_{j=I[\frac{4}{2\sigma_2+1}]+1}^{\infty} (j-1)\|Q_j\| 2^{\frac{2\sigma_2+1}{2}j-2} \leq \frac{c(1-\sigma_2)(1-\alpha)\cos \beta}{1+c}, \end{cases}$$

则 $F(\xi, z, w)$ 是 Ω_N 上的强 α 次殆 β 型螺形映照.

证 由定义 2.1, 需证

$$\left| \frac{1-c^2}{2c} \frac{1-i \tan \beta}{1-\alpha} \frac{2}{\rho} \frac{\partial \rho(\xi, z, w)}{\partial(\xi, z, w)} (DF)^{-1} F + \frac{1-c^2-\alpha+i \tan \beta}{2c} \frac{1+c^2}{1-\alpha} - \frac{1+c^2}{2c} \right| < 1. \quad (3.1)$$

令 $(\xi, z, w) = \zeta(\eta, \lambda, \mu) = |\zeta|e^{i\theta}(\eta, \lambda, \mu)$, 其中 $(\eta, \lambda, \mu) \in \partial\Omega$, $\zeta \in \overline{D} \setminus \{0\}$, 则由引理 2.1, 有

$$\begin{aligned} & \frac{2}{\rho(\xi, z, w)} \frac{\partial \rho(\xi, z, w)}{\partial(\xi, z, w)} (DF(\xi, z, w))^{-1} F(\xi, z, w) \\ &= \frac{2}{\rho(|\zeta|e^{i\theta}(\eta, \lambda, \mu))} \frac{\partial \rho}{\partial(\xi, z, w)} (|\zeta|e^{i\theta}(\eta, \lambda, \mu)) (DF(\zeta\eta, \zeta\lambda, \zeta\mu))^{-1} F(\zeta\eta, \zeta\lambda, \zeta\mu) \\ &= \frac{2\partial\rho(\eta, \lambda, \mu)}{\partial(\xi, z, w)} \frac{(DF(\zeta\eta, \zeta\lambda, \zeta\mu))^{-1} F(\zeta\eta, \zeta\lambda, \zeta\mu)}{\zeta}. \end{aligned}$$

显然 $\frac{2\partial\rho(\eta, \lambda, \mu)}{\partial(\xi, z, w)} \frac{(DF(\zeta\eta, \zeta\lambda, \zeta\mu))^{-1} F(\zeta\eta, \zeta\lambda, \zeta\mu)}{\zeta}$ 关于 ζ 全纯. 由全纯函数的最大模原理, 只需证 $(\xi, z, w) \in \partial\Omega_N$ 时 (3.1) 式成立, 此时 $\rho(\xi, z, w) = 1$. 令

$$q(z_1) = \frac{1-c^2}{2c} \frac{1-i \tan \beta}{1-\alpha} \frac{f(z_1)}{z_1 f'(z_1)} + \frac{1-c^2-\alpha+i \tan \beta}{2c} \frac{1+c^2}{1-\alpha} - \frac{1+c^2}{2c}. \quad (3.2)$$

则 $|q(z_1)| < 1$,

$$\frac{1-c^2}{2c} \frac{1-i \tan \beta}{1-\alpha} \frac{f(z_1) f''(z_1)}{(f'(z_1))^2} = -c - q(z_1) - z_1 q'(z_1). \quad (3.3)$$

又由 $(\xi, z, w) \in \partial\Omega$ 得 $\sum_{i=1}^r \|\xi_{(i)}\|^{2s_i} = (1-\|z\|^2)^l (1-\|w\|^2)^t$, 则

$$\Delta_2 = \frac{l}{1-\|z\|^2} \sum_{i=1}^r \|\xi_{(i)}\|^{2s_i}, \quad \Delta_3 = \frac{t}{1-\|w\|^2} \sum_{i=1}^r \|\xi_{(i)}\|^{2s_i}. \quad (3.4)$$

由引理 2.3, (3.2)–(3.4) 式, 得

$$\begin{aligned} & \frac{1-c^2}{2c} \frac{1-i \tan \beta}{1-\alpha} \frac{2\partial\rho(\xi, z, w)}{\partial(\xi, z, w)} (DF(\xi, z, w))^{-1} F(\xi, z, w) + \frac{1-c^2-\alpha+i \tan \beta}{2c} \frac{1+c^2}{1-\alpha} - \frac{1+c^2}{2c} \\ &= \frac{E}{\Delta_1 + \|z\|^2 \Delta_2 + \|w\|^2 \Delta_3}, \end{aligned}$$

其中

$$\begin{aligned} E &= \frac{1-c^2}{2c} \frac{1-i \tan \beta}{1-\alpha} H + \left(\frac{1-c^2-\alpha+i \tan \beta}{2c} \frac{1+c^2}{1-\alpha} - \frac{1+c^2}{2c} \right) (\Delta_1 + \|z\|^2 \Delta_2 + \|w\|^2 \Delta_3) \\ &= \Delta_1 \left\{ c(\delta_i + \gamma_i - 1) + \delta_i [z_1 q'(z_1) + q(z_1)] + \gamma_i [w_1 q'(w_1) + q(w_1)] + \frac{1-c^2}{2c} \frac{1-i \tan \beta}{1-\alpha} \right. \\ &\quad \times \left[\delta_i \frac{f''(z_1)}{f'(z_1)} \sum_{j=2}^{\infty} (f'(z_1))^{\sigma_1 j - 1} (j-1) P_j(z_0) + \gamma_i \frac{f''(w_1)}{f'(w_1)} \sum_{j=2}^{\infty} (f'(w_1))^{\sigma_2 j - 1} (j-1) Q_j(w_0) \right] \left. \right\} \\ &\quad + \Delta_2 \left\{ |z_1|^2 \left[q(z_1) + \frac{1-c^2}{2c} \frac{1-i \tan \beta}{1-\alpha} \frac{1}{z_1} \sum_{j=2}^{\infty} (f'(z_1))^{\sigma_1 j - 1} (1-j) P_j(z_0) \right] + \|z_0\|^2 [c(\sigma_1 - 1) \right. \\ &\quad \left. + \frac{1-c^2}{2c} \frac{1-i \tan \beta}{1-\alpha} \sigma_1 \frac{f''(z_1)}{f'(z_1)} \sum_{j=2}^{\infty} (f'(z_1))^{\sigma_1 j - 1} (j-1) P_j(z_0) + \sigma_1 (z_1 q'(z_1) + q(z_1))] \right\} \\ &\quad + \Delta_3 \left\{ |w_1|^2 \left[q(w_1) + \frac{1-c^2}{2c} \frac{1-i \tan \beta}{(1-\alpha)w_1} \sum_{j=2}^{\infty} (f'(w_1))^{\sigma_2 j - 1} (1-j) Q_j(w_0) \right] + \|w_0\|^2 [c(\sigma_2 - 1) \right. \end{aligned}$$

$$\begin{aligned}
& + \frac{1-c^2}{2c} \frac{1-i \tan \beta}{1-\alpha} \sigma_2 \frac{f''(w_1)}{f'(w_1)} \sum_{j=2}^{\infty} (f'(w_1))^{\sigma_2 j-1} (j-1) Q_j(w_0) + \sigma_2 (w_1 q'(w_1) + q(w_1)) \Big] \Big\} \\
= & \sum_{i=1}^r \|\xi_{(i)}\|^{2s_i} \left\{ c \left[s_i (\delta_i + \gamma_i - 1) + \frac{l \|z_0\|^2}{1-\|z\|^2} (\sigma_1 - 1) + \frac{t \|w_0\|^2}{1-\|w\|^2} (\sigma_2 - 1) \right] \right. \\
& + \frac{l}{1-\|z\|^2} \left[|z_1|^2 q(z_1) + \frac{1-c^2}{2c} \frac{1-i \tan \beta}{1-\alpha} \left(\frac{f''(z_1)}{f'(z_1)} \left(\frac{s_i \delta_i}{l} (1-\|z\|^2) + \sigma_1 \|z_0\|^2 \right) - \bar{z}_1 \right) \right. \\
& \times \sum_{j=2}^{\infty} (f'(z_1))^{\sigma_1 j-1} (j-1) P_j(z_0) + \left. \left. \left(\frac{s_i \delta_i}{l} (1-\|z\|^2) + \sigma_1 \|z_0\|^2 \right) (z_1 q'(z_1) + q(z_1)) \right] \right. \\
& + \frac{t}{1-\|w\|^2} \left[|w_1|^2 q(w_1) + \frac{1-c^2}{2c} \frac{1-i \tan \beta}{1-\alpha} \left(\frac{f''(w_1)}{f'(w_1)} \left(\frac{s_i \gamma_i}{t} (1-\|w\|^2) + \sigma_2 \|w_0\|^2 \right) - \bar{w}_1 \right) \right. \\
& \times \left. \left. \sum_{j=2}^{\infty} (f'(w_1))^{\sigma_2 j-1} (j-1) Q_j(w_0) + \left(\frac{s_i \gamma_i}{t} (1-\|w\|^2) + \sigma_2 \|w_0\|^2 \right) (w_1 q'(w_1) + q(w_1)) \right] \Big\}.
\end{aligned}$$

由于 $\delta_i + \gamma_i \in [0, 1]$, $\frac{s_i \delta_i}{l}, \frac{s_i \gamma_i}{t} \in [0, \frac{1}{2}]$, $\sigma_1, \sigma_2 \in [0, \frac{1}{2}]$, 由引理 2.4-2.7, 得

$$|E| - (\Delta_1 + \|z\|^2 \Delta_2 + \|w\|^2 \Delta_3) < \sum_{i=1}^r \|\xi_{(i)}\|^{2s_i} A,$$

其中

$$\begin{aligned}
A = & c \left[s_i (1 - \delta_i - \gamma_i) + \frac{l \|z_0\|^2}{1-\|z\|^2} (1 - \sigma_1) + \frac{t \|w_0\|^2}{1-\|w\|^2} (1 - \sigma_2) \right] \\
& + \frac{l}{1-\|z\|^2} \left\{ |z_1|^2 |q(z_1)| + \frac{1-c^2}{c(1-\alpha) \cos \beta} \sum_{j=2}^{\infty} |f'(z_1)|^{\sigma_1 j-1} (j-1) |P_j(z_0)| \right. \\
& + \left. \left[\frac{s_i \delta_i}{l} (1-\|z\|^2) + \sigma_1 \|z_0\|^2 \right] \left[\frac{2|z_1|(1-|q(z_1)|)}{1-|z_1|^2} + |q(z_1)| \right] \right\} \\
& + \frac{t}{1-\|w\|^2} \left\{ |w_1|^2 |q(w_1)| + \frac{1-c^2}{c(1-\alpha) \cos \beta} \sum_{j=2}^{\infty} |f'(w_1)|^{\sigma_2 j-1} (j-1) |Q_j(w_0)| \right. \\
& + \left. \left[\frac{s_i \gamma_i}{t} (1-\|w\|^2) + \sigma_2 \|w_0\|^2 \right] \left[\frac{2|w_1|(1-|q(w_1)|)}{1-|w_1|^2} + |q(w_1)| \right] \right\} \\
& - \left(s_i + \frac{l \|z\|^2}{1-\|z\|^2} + \frac{t \|w\|^2}{1-\|w\|^2} \right) \\
= & c \left[s_i (1 - \delta_i - \gamma_i) + \frac{l \|z_0\|^2}{1-\|z\|^2} (1 - \sigma_1) + \frac{t \|w_0\|^2}{1-\|w\|^2} (1 - \sigma_2) \right] - s_i \\
& + \frac{l}{1-\|z\|^2} \left\{ (|q(z_1)| - 1) \left[|z_1|^2 + \frac{1-2|z_1|-|z_1|^2}{1-|z_1|^2} \left(\frac{s_i \delta_i}{l} (1-\|z\|^2) + \sigma_1 \|z_0\|^2 \right) \right] \right. \\
& + \frac{1-c^2}{c(1-\alpha) \cos \beta} \sum_{j=2}^{\infty} |f'(z_1)|^{\sigma_1 j-1} (j-1) |P_j(z_0)| + \left. \frac{s_i \delta_i}{l} (1-\|z\|^2) + (\sigma_1 - 1) \|z_0\|^2 \right\} \\
& + \frac{t}{1-\|w\|^2} \left\{ (|q(w_1)| - 1) \left[|w_1|^2 + \frac{1-2|w_1|-|w_1|^2}{1-|w_1|^2} \left(\frac{s_i \gamma_i}{t} (1-\|w\|^2) + \sigma_2 \|w_0\|^2 \right) \right] \right. \\
& + \frac{1-c^2}{c(1-\alpha) \cos \beta} \sum_{j=2}^{\infty} |f'(w_1)|^{\sigma_2 j-1} (j-1) |Q_j(w_0)| + \left. \frac{s_i \gamma_i}{t} (1-\|w\|^2) + (\sigma_2 - 1) \|w_0\|^2 \right\}
\end{aligned}$$

$$\begin{aligned}
 &= (c-1)s_i(1-\delta_i-\gamma_i) \\
 &+ \frac{l}{1-\|z\|^2} \left\{ (|q(z_1)|-1) \left[|z_1|^2 + \frac{1-2|z_1|-|z_1|^2}{1-|z_1|^2} \left(\frac{s_i\delta_i}{l}(1-\|z\|^2) + \sigma_1\|z_0\|^2 \right) \right] \right. \\
 &+ (c-1)\|z_0\|^2 \left[(1-\sigma_1) - \frac{1+c}{c(1-\alpha)\cos\beta} \sum_{j=2}^{\infty} |f'(z_1)|^{\sigma_1 j-1} (j-1) \|P_j\| \|z_0\|^{j-2} \right] \left. \right\} \\
 &+ \frac{t}{1-\|w\|^2} \left\{ (|q(w_1)|-1) \left[|w_1|^2 + \frac{1-2|w_1|-|w_1|^2}{1-|w_1|^2} \left(\frac{s_i\gamma_i}{t}(1-\|w\|^2) + \sigma_2\|w_0\|^2 \right) \right] \right. \\
 &+ (c-1)\|w_0\|^2 \left[(1-\sigma_2) - \frac{1+c}{c(1-\alpha)\cos\beta} \sum_{j=2}^{\infty} |f'(w_1)|^{\sigma_2 j-1} (j-1) \|Q_j\| \|w_0\|^{j-2} \right] \left. \right\} \\
 &< \frac{l(c-1)\|z_0\|^2}{1-\|z\|^2} \left[(1-\sigma_1) - \frac{1+c}{c(1-\alpha)\cos\beta} \sum_{j=2}^{\infty} (j-1) \|P_j\| (1+|z_1|)^{\frac{2\sigma_1+1}{2}j-2} \right. \\
 &\times (1-|z_1|)^{\frac{1-6\sigma_1}{2}j+2} \left. \right] + \frac{t(c-1)\|w_0\|^2}{1-\|w\|^2} \left[(1-\sigma_2) - \frac{1+c}{c(1-\alpha)\cos\beta} \sum_{j=2}^{\infty} (j-1) \|Q_j\| \right. \\
 &\times (1+|w_1|)^{\frac{2\sigma_2+1}{2}j-2} (1-|w_1|)^{\frac{1-6\sigma_2}{2}j+2} \left. \right].
 \end{aligned}$$

当 $\sigma_1 \in [0, \frac{1}{6}]$ 时, $\frac{1-6\sigma_1}{2}j+2 \geq 0$. 当 $\sigma_1 \in (\frac{1}{6}, \frac{1}{2}]$ 时, 若 $j \leq \frac{4}{6\sigma_1-1}$, 则 $\frac{1-6\sigma_1}{2}j+2 \geq 0$. 因此当 $P_j = 0 (j > \frac{4}{6\sigma_1-1})$ 时, $(1-|z_1|)^{\frac{1-6\sigma_1}{2}j+2}$ 关于 $|z_1|$ (对于所有的 $\sigma_1 \in [0, \frac{1}{2}]$) 单调递减. 另外, 对于 $\sigma_1 \in [0, \frac{1}{2}]$, $(1+|z_1|)^{\frac{2\sigma_1+1}{2}j-2}$ 在 $j \geq \frac{4}{2\sigma_1+1}$ 时关于 $|z_1|$ 单调递增, 反之递减, 则

$$\begin{aligned}
 &\sum_{j=2}^{\infty} (j-1) \|P_j\| (1+|z_1|)^{\frac{2\sigma_1+1}{2}j-2} (1-|z_1|)^{\frac{1-6\sigma_1}{2}j+2} \\
 &\leq \sum_{j=2}^{I[\frac{4}{2\sigma_1+1}]} (j-1) \|P_j\| + \sum_{j=I[\frac{4}{2\sigma_1+1}]+1}^{\infty} (j-1) \|P_j\| 2^{\frac{2\sigma_1+1}{2}j-2}.
 \end{aligned}$$

于是, 如果 $P_j = 0 (j > \frac{4}{6\sigma_1-1})$, $Q_j = 0 (j > \frac{4}{6\sigma_2-1})$ ($\sigma_1, \sigma_2 \in (\frac{1}{6}, \frac{1}{2}]$) 且

$$\begin{cases} \sum_{j=2}^{I[\frac{4}{2\sigma_1+1}]} (j-1) \|P_j\| + \sum_{j=I[\frac{4}{2\sigma_1+1}]+1}^{\infty} (j-1) \|P_j\| 2^{\frac{2\sigma_1+1}{2}j-2} \leq \frac{c(1-\sigma_1)(1-\alpha)\cos\beta}{1+c}, \\ \sum_{j=2}^{I[\frac{4}{2\sigma_2+1}]} (j-1) \|Q_j\| + \sum_{j=I[\frac{4}{2\sigma_2+1}]+1}^{\infty} (j-1) \|Q_j\| 2^{\frac{2\sigma_2+1}{2}j-2} \leq \frac{c(1-\sigma_2)(1-\alpha)\cos\beta}{1+c}, \end{cases}$$

则有 $A < 0$. 所以 $|E| < (\Delta_1 + \|z\|^2\Delta_2 + \|w\|^2\Delta_3)$, 从而 (3.1) 式成立, 则定理得证.

由定理 3.1 可得以下 B^n 上的结论.

推论 3.1 设 $f(z_1)$ 是 D 上的强 α 次殆 β 型螺形函数, $\alpha \in [0, 1)$, $\beta \in (-\frac{\pi}{2}, \frac{\pi}{2})$, $c \in (0, 1)$. $F(z)$ 是 (1.3) 式所定义的函数, $\sigma_1 \in [0, \frac{1}{2}]$. 令 $P_j = 0 (j > \frac{4}{6\sigma_1-1}, \sigma_1 \in (\frac{1}{6}, \frac{1}{2}])$. 若

$$\sum_{j=2}^{I[\frac{4}{2\sigma_1+1}]} (j-1) \|P_j\| + \sum_{j=I[\frac{4}{2\sigma_1+1}]+1}^{\infty} (j-1) \|P_j\| 2^{\frac{2\sigma_1+1}{2}j-2} \leq \frac{c(1-\sigma_1)(1-\alpha)\cos\beta}{1+c},$$

则 $F(z)$ 是 B^n 上的强 α 次殆 β 型螺形映照.

注 3.1 在定理 3.1 及推论 3.1 中分别令 $\alpha = 0$ 和 $\beta = 0$, 则得到相应的关于强 β 型螺形映照及强 α 次殆星形映照的结论.

4 α 次殆 β 型螺形映照的不变性

定理 4.1 设 $f(z_1)$ 是 D 上的 α 次殆 β 型螺形函数, $\alpha \in [0, 1) \setminus \{\frac{1}{2}\}$, $\beta \in (-\frac{\pi}{2}, \frac{\pi}{2})$. $F(\xi, z, w)$ 是 (1.2) 式所定义的函数, $\delta_i, \gamma_i, \delta_i + \gamma_i \in [0, 1]$, $\frac{s_i \delta_i}{t}, \frac{s_i \gamma_i}{t} \in [0, \frac{1}{2}] (i = 1, \dots, r)$, $\sigma_1, \sigma_2 \in [0, \frac{1}{2}]$. 若

(i) 当 $\alpha \in ([0, \frac{1}{2}) \cup (\frac{1}{2}, \frac{3}{4}])$ 时,

$$\begin{cases} \sum_{j=2}^{I[\frac{1}{\sigma_1}]} (j-1) \|P_j\| (\cos \beta)^{1-\sigma_1 j} + \sum_{j=I[\frac{1}{\sigma_1}]+1}^{\infty} (j-1) \|P_j\| (\frac{2}{\cos \beta})^{\sigma_1 j-1} (2\alpha)^{\frac{4\alpha-3}{2\alpha-1}(1-\sigma_1 j)} \leq c_1, \\ \sum_{j=2}^{I[\frac{1}{\sigma_2}]} (j-1) \|Q_j\| (\cos \beta)^{1-\sigma_2 j} + \sum_{j=I[\frac{1}{\sigma_2}]+1}^{\infty} (j-1) \|Q_j\| (\frac{2}{\cos \beta})^{\sigma_2 j-1} (2\alpha)^{\frac{4\alpha-3}{2\alpha-1}(1-\sigma_2 j)} \leq c_2; \end{cases}$$

(ii) 当 $\alpha \in (\frac{3}{4}, 1)$ 时,

$$\begin{cases} \sum_{j=2}^{I[\frac{1}{\sigma_1}]} (j-1) \|P_j\| (\cos \beta)^{1-\sigma_1 j} (2\alpha)^{\frac{4\alpha-3}{2\alpha-1}(1-\sigma_1 j)} + \sum_{j=I[\frac{1}{\sigma_1}]+1}^{\infty} (j-1) \|P_j\| (\frac{2}{\cos \beta})^{\sigma_1 j-1} \leq c_1, \\ \sum_{j=2}^{I[\frac{1}{\sigma_2}]} (j-1) \|Q_j\| (\cos \beta)^{1-\sigma_2 j} (2\alpha)^{\frac{4\alpha-3}{2\alpha-1}(1-\sigma_2 j)} + \sum_{j=I[\frac{1}{\sigma_2}]+1}^{\infty} (j-1) \|Q_j\| (\frac{2}{\cos \beta})^{\sigma_2 j-1} \leq c_2, \end{cases}$$

则 $F(\xi, z, w)$ 是 Ω_N 上的 α 次殆 β 型螺形映照, 这里 $c_k = \frac{(1-\alpha) \cos \beta (1-\sigma_k)}{2}, k = 1, 2$.

证 由定义 2.2 及调和函数的最小值原理, 类似于定理 3.1, 需证当 $(\xi, z, w) \in \partial\Omega_N$ 时,

$$\Re \left[e^{-i\beta} \frac{2}{\rho(\xi, z, w)} \frac{\partial \rho(\xi, z, w)}{\partial(\xi, z, w)} (DF(\xi, z, w))^{-1} F(\xi, z, w) - \alpha \cos \beta \right] \geq 0, \tag{4.1}$$

此时 $\rho(\xi, z, w) = 1$. 令

$$q(z_1) = \frac{e^{-i\beta} \frac{f}{z_1 f'} - \alpha \cos \beta + i \sin \beta}{(1-\alpha) \cos \beta}. \tag{4.2}$$

由于 $f(z_1)$ 是 D 上的 α 次殆 β 型螺形函数, 则 $\Re q(z_1) \geq 0, q(0) = 1$. 于是 $|q'(z_1)| \leq \frac{2\Re q(z_1)}{1-|z_1|^2}$,

$$e^{-i\beta} \frac{f(z_1) f''(z_1)}{(f'(z_1))^2} = (1-\alpha) \cos \beta (1 - q(z_1) - z_1 q'(z_1)). \tag{4.3}$$

由引理 2.3, (4.2) 式, (4.3) 式得

$$\begin{aligned} & (\Delta_1 + \|z\|^2 \Delta_2 + \|w\|^2 \Delta_3) \left[e^{-i\beta} \frac{2}{\rho(\xi, z, w)} \frac{\partial \rho(\xi, z, w)}{\partial(\xi, z, w)} (DF(\xi, z, w))^{-1} F(\xi, z, w) - \alpha \cos \beta \right] \\ &= e^{-i\beta} H - \alpha \cos \beta (\Delta_1 + \|z\|^2 \Delta_2 + \|w\|^2 \Delta_3) \\ &= \Delta_1 \left\{ (1-\alpha) \cos \beta [\delta_i (z_1 q'(z_1) + q(z_1) - 1) + \gamma_i (w_1 q'(w_1) + q(w_1) - 1)] \right. \\ & \quad \left. + e^{-i\beta} \left[1 + \delta_i \frac{f''(z_1)}{f'(z_1)} \sum_{j=2}^{\infty} (f'(z_1))^{\sigma_1 j-1} (j-1) P_j(z_0) \right] \right\} \end{aligned}$$

$$\begin{aligned}
& + \gamma_i \frac{f''(w_1)}{f'(w_1)} \sum_{j=2}^{\infty} (f'(w_1))^{\sigma_2 j-1} (j-1) Q_j(w_0) \Big] \Big\} \\
& + \Delta_2 \left\{ |z_1|^2 \left[(1-\alpha) \cos \beta q(z_1) + \alpha \cos \beta - i \sin \beta + \frac{e^{-i\beta}}{z_1} \sum_{j=2}^{\infty} (f'(z_1))^{\sigma_1 j-1} (1-j) P_j(z_0) \right] \right. \\
& + \|z_0\|^2 \left[e^{-i\beta} \left(1 + \sigma_1 \frac{f''(z_1)}{f'(z_1)} \sum_{j=2}^{\infty} (f'(z_1))^{\sigma_1 j-1} (j-1) P_j(z_0) \right) \right. \\
& \left. \left. + \sigma_1 (1-\alpha) \cos \beta (z_1 q'(z_1) + q(z_1) - 1) \right] \right\} \\
& + \Delta_3 \left\{ |w_1|^2 \left[(1-\alpha) \cos \beta q(w_1) + \alpha \cos \beta - i \sin \beta + \frac{e^{-i\beta}}{w_1} \sum_{j=2}^{\infty} (f'(w_1))^{\sigma_2 j-1} (1-j) Q_j(w_0) \right] \right. \\
& + \|w_0\|^2 \left[e^{-i\beta} \left(1 + \sigma_2 \frac{f''(w_1)}{f'(w_1)} \sum_{j=2}^{\infty} (f'(w_1))^{\sigma_2 j-1} (j-1) Q_j(w_0) \right) \right. \\
& \left. \left. + \sigma_2 (1-\alpha) \cos \beta (w_1 q'(w_1) + q(w_1) - 1) \right] \right\} - \alpha \cos \beta (\Delta_1 + \|z\|^2 \Delta_2 + \|w\|^2 \Delta_3) \\
= & \Delta_1 \left\{ (1-\alpha) \cos \beta [\delta_i (z_1 q'(z_1) + q(z_1) - 1) + \gamma_i (w_1 q'(w_1) + q(w_1) - 1)] + (e^{-i\beta} - \alpha \cos \beta) \right. \\
& \left. + e^{-i\beta} \left[\delta_i \frac{f''(z_1)}{f'(z_1)} \sum_{j=2}^{\infty} (f'(z_1))^{\sigma_1 j-1} (j-1) P_j(z_0) + \gamma_i \frac{f''(w_1)}{f'(w_1)} \sum_{j=2}^{\infty} (f'(w_1))^{\sigma_2 j-1} (j-1) Q_j(w_0) \right] \right\} \\
& + \Delta_2 \left\{ |z_1|^2 \left[(1-\alpha) \cos \beta q(z_1) - i \sin \beta + \frac{e^{-i\beta}}{z_1} \sum_{j=2}^{\infty} (f'(z_1))^{\sigma_1 j-1} (1-j) P_j(z_0) \right] \right. \\
& + \|z_0\|^2 \left[(e^{-i\beta} - \alpha \cos \beta) + e^{-i\beta} \sigma_1 \frac{f''(z_1)}{f'(z_1)} \sum_{j=2}^{\infty} (f'(z_1))^{\sigma_1 j-1} (j-1) P_j(z_0) \right. \\
& \left. \left. + \sigma_1 (1-\alpha) \cos \beta (z_1 q'(z_1) + q(z_1) - 1) \right] \right\} \\
& + \Delta_3 \left\{ |w_1|^2 \left[(1-\alpha) \cos \beta q(w_1) - i \sin \beta + \frac{e^{-i\beta}}{w_1} \sum_{j=2}^{\infty} (f'(w_1))^{\sigma_2 j-1} (1-j) Q_j(w_0) \right] \right. \\
& + \|w_0\|^2 \left[(e^{-i\beta} - \alpha \cos \beta) + e^{-i\beta} \sigma_2 \frac{f''(w_1)}{f'(w_1)} \sum_{j=2}^{\infty} (f'(w_1))^{\sigma_2 j-1} (j-1) Q_j(w_0) \right. \\
& \left. \left. + \sigma_2 (1-\alpha) \cos \beta (w_1 q'(w_1) + q(w_1) - 1) \right] \right\}.
\end{aligned}$$

由于 $\delta_i + \gamma_i \in [0, 1]$, 由 (3.4) 式和引理 2.5-2.6、引理 2.8-2.9, 得

$$\begin{aligned}
& (\Delta_1 + \|z\|^2 \Delta_2 + \|w\|^2 \Delta_3) \Re \left[e^{-i\beta} \frac{2}{\rho(\xi, z, w)} \frac{\partial \rho(\xi, z, w)}{\partial (\xi, z, w)} (DF(\xi, z, w))^{-1} F(\xi, z, w) - \alpha \cos \beta \right] \\
= & \sum_{i=1}^r \|\xi_{(i)}\|^{2s_i} M,
\end{aligned}$$

其中

$$M = (1-\alpha) \cos \beta \left(s_i + \frac{l \|z_0\|^2}{1 - \|z\|^2} + \frac{t \|w_0\|^2}{1 - \|w\|^2} \right) + \frac{l}{1 - \|z\|^2} \left\{ |z_1|^2 (1-\alpha) \cos \beta \Re q(z_1) \right.$$

$$\begin{aligned}
& + \Re \left[e^{-i\beta} \sum_{j=2}^{\infty} (f'(z_1))^{\sigma_1 j-1} (j-1) P_j(z_0) \left(\frac{f''(z_1)}{f'(z_1)} \left(\frac{s_i \delta_i}{l} (1 - \|z\|^2) + \sigma_1 \|z_0\|^2 \right) - \bar{z}_1 \right) \right] \\
& + \left[\frac{s_i \delta_i}{l} (1 - \|z\|^2) + \sigma_1 \|z_0\|^2 \right] (1 - \alpha) \cos \beta \Re [z_1 q'(z_1) + q(z_1) - 1] \Big\} \\
& + \frac{t}{1 - \|w\|^2} \left\{ |w_1|^2 (1 - \alpha) \cos \beta \Re q(w_1) \right. \\
& + \Re \left[e^{-i\beta} \sum_{j=2}^{\infty} (f'(w_1))^{\sigma_2 j-1} (j-1) Q_j(w_0) \left(\frac{f''(w_1)}{f'(w_1)} \left(\frac{s_i \gamma_i}{t} (1 - \|w\|^2) + \sigma_2 \|w_0\|^2 \right) - \bar{w}_1 \right) \right] \\
& + \left. \left[\frac{s_i \gamma_i}{t} (1 - \|w\|^2) + \sigma_2 \|w_0\|^2 \right] (1 - \alpha) \cos \beta \Re [w_1 q'(w_1) + q(w_1) - 1] \right\} \\
\geq & (1 - \alpha) \cos \beta \left(s_i + \frac{l \|z_0\|^2}{1 - \|z\|^2} + \frac{t \|w_0\|^2}{1 - \|w\|^2} \right) \\
& + \frac{l}{1 - \|z\|^2} \left\{ |z_1|^2 (1 - \alpha) \cos \beta \Re q(z_1) - 2 \sum_{j=2}^{\infty} |f'(z_1)|^{\sigma_1 j-1} (j-1) |P_j(z_0)| \right. \\
& + \left. \left[\frac{s_i \delta_i}{l} (1 - \|z\|^2) + \sigma_1 \|z_0\|^2 \right] (1 - \alpha) \cos \beta \left[\Re q(z_1) - \frac{2|z_1|}{1 - |z_1|^2} \Re q(z_1) - 1 \right] \right\} \\
& + \frac{t}{1 - \|w\|^2} \left\{ |w_1|^2 (1 - \alpha) \cos \beta \Re q(w_1) - 2 \sum_{j=2}^{\infty} |f'(w_1)|^{\sigma_2 j-1} (j-1) |Q_j(w_0)| \right. \\
& + \left. \left[\frac{s_i \gamma_i}{t} (1 - \|w\|^2) + \sigma_2 \|w_0\|^2 \right] (1 - \alpha) \cos \beta \left[\Re q(w_1) - \frac{2|w_1|}{1 - |w_1|^2} \Re q(w_1) - 1 \right] \right\} \\
= & (1 - \alpha) \cos \beta s_i (1 - \delta_i - \gamma_i) + \frac{l}{1 - \|z\|^2} \left\{ (1 - \alpha) \cos \beta \Re q(z_1) \left[|z_1|^2 + \left(\frac{s_i \delta_i}{l} (1 - \|z\|^2) \right. \right. \right. \\
& + \left. \left. \left. \sigma_1 \|z_0\|^2 \right) \frac{1 - 2|z_1| - |z_1|^2}{1 - |z_1|^2} \right] + (1 - \alpha) \cos \beta (1 - \sigma_1) \|z_0\|^2 - 2 \sum_{j=2}^{\infty} |f'(z_1)|^{\sigma_1 j-1} (j-1) |P_j(z_0)| \right\} \\
& + \frac{t}{1 - \|w\|^2} \left\{ (1 - \alpha) \cos \beta \Re q(w_1) \left[|w_1|^2 + \left(\frac{s_i \gamma_i}{t} (1 - \|w\|^2) + \sigma_2 \|w_0\|^2 \right) \frac{1 - 2|w_1| - |w_1|^2}{1 - |w_1|^2} \right] \right. \\
& + \left. (1 - \alpha) \cos \beta (1 - \sigma_2) \|w_0\|^2 - 2 \sum_{j=2}^{\infty} |f'(w_1)|^{\sigma_2 j-1} (j-1) |Q_j(w_0)| \right\} \\
\geq & \frac{l \|z_0\|^2}{1 - \|z\|^2} \left\{ (1 - \alpha) \cos \beta (1 - \sigma_1) - 2 \sum_{j=2}^{\infty} |f'(z_1)|^{\sigma_1 j-1} (j-1) \|P_j\| \|z_0\|^{j-2} \right\} \\
& + \frac{t \|w_0\|^2}{1 - \|w\|^2} \left\{ (1 - \alpha) \cos \beta (1 - \sigma_2) - 2 \sum_{j=2}^{\infty} |f'(w_1)|^{\sigma_2 j-1} (j-1) \|Q_j\| \|w_0\|^{j-2} \right\} \\
\geq & \frac{l \|z_0\|^2}{1 - \|z\|^2} \left\{ (1 - \alpha) \cos \beta (1 - \sigma_1) - 2 \sum_{j=2}^{\infty} \left[\frac{1 + |z_1|}{\cos \beta (1 - (1 - 2\alpha) |z_1|)^{\frac{2(\alpha-1)}{2\alpha-1} + 1}} \right]^{\sigma_1 j-1} (j-1) \|P_j\| \right. \\
& \times \left. \|z_0\|^{j-2} \right\} + \frac{t \|w_0\|^2}{1 - \|w\|^2} \left\{ (1 - \alpha) \cos \beta (1 - \sigma_2) - 2 \sum_{j=2}^{\infty} \left[\frac{1 + |z_1|}{\cos \beta (1 - (1 - 2\alpha) |z_1|)^{\frac{2(\alpha-1)}{2\alpha-1} + 1}} \right]^{\sigma_2 j-1} \right. \\
& \times \left. (j-1) \|Q_j\| \|w_0\|^{j-2} \right\}.
\end{aligned}$$

令 $c_i = \frac{(1-\alpha)\cos\beta(1-\sigma_i)}{2}$, $i = 1, 2$ 及

$$A = (1-\alpha)\cos\beta(1-\sigma_1) - 2 \sum_{j=2}^{\infty} \left[\frac{1+|z_1|}{\cos\beta(1-(1-2\alpha)|z_1|)^{\frac{2(\alpha-1)}{2\alpha-1}+1}} \right]^{\sigma_1 j-1} (j-1) \|P_j\| \|z_0\|^{j-2}.$$

(i) 当 $\alpha \in ([0, \frac{1}{2}) \cup (\frac{1}{2}, \frac{3}{4}])$ 时, 显然有 $(1+|z_1|)^{\sigma_1 j-1}$ 及 $[1-(1-2\alpha)|z_1|]^{(1+\frac{2(\alpha-1)}{2\alpha-1})(1-\sigma_1 j)}$ 关于 $|z_1|$ 在 $\sigma_1 j \geq 1$ 时单调递增, 在 $\sigma_1 j < 1$ 时单调递减, 于是

$$\begin{aligned} A \geq & (1-\alpha)\cos\beta(1-\sigma_1) - 2 \left[\sum_{j=2}^{I[\frac{1}{\sigma_1}]} (j-1) \|P_j\| (\cos\beta)^{1-\sigma_1 j} \right. \\ & \left. + \sum_{j=I[\frac{1}{\sigma_1}]+1}^{\infty} (j-1) \|P_j\| \left(\frac{2}{\cos\beta}\right)^{\sigma_1 j-1} (2\alpha)^{\frac{4\alpha-3}{2\alpha-1}(1-\sigma_1 j)} \right] \geq 0. \end{aligned}$$

故 $M \geq 0$, 这里

$$\begin{cases} \sum_{j=2}^{I[\frac{1}{\sigma_1}]} (j-1) \|P_j\| (\cos\beta)^{1-\sigma_1 j} + \sum_{j=I[\frac{1}{\sigma_1}]+1}^{\infty} (j-1) \|P_j\| \left(\frac{2}{\cos\beta}\right)^{\sigma_1 j-1} (2\alpha)^{\frac{4\alpha-3}{2\alpha-1}(1-\sigma_1 j)} \leq c_1, \\ \sum_{j=2}^{I[\frac{1}{\sigma_2}]} (j-1) \|Q_j\| (\cos\beta)^{1-\sigma_2 j} + \sum_{j=I[\frac{1}{\sigma_2}]+1}^{\infty} (j-1) \|Q_j\| \left(\frac{2}{\cos\beta}\right)^{\sigma_2 j-1} (2\alpha)^{\frac{4\alpha-3}{2\alpha-1}(1-\sigma_2 j)} \leq c_2. \end{cases}$$

(ii) 当 $\alpha \in (\frac{3}{4}, 1)$ 时, 显然 $[1-(1-2\alpha)|z_1|]^{(1+\frac{2(\alpha-1)}{2\alpha-1})(1-\sigma_1 j)}$ 关于 $|z_1|$ 在 $\sigma_1 j \geq 1$ 时单调递减, 在 $\sigma_1 j < 1$ 时单调递增, 而 $(1+|z_1|)^{\sigma_1 j-1}$ 则反之, 于是

$$\begin{aligned} A \geq & (1-\alpha)\cos\beta(1-\sigma_1) - 2 \left[\sum_{j=2}^{I[\frac{1}{\sigma_1}]} (j-1) \|P_j\| (\cos\beta)^{1-\sigma_1 j} (2\alpha)^{\frac{4\alpha-3}{2\alpha-1}(1-\sigma_1 j)} \right. \\ & \left. + \sum_{j=I[\frac{1}{\sigma_1}]+1}^{\infty} (j-1) \|P_j\| \left(\frac{2}{\cos\beta}\right)^{\sigma_1 j-1} \right] \geq 0, \end{aligned}$$

故 $M \geq 0$, 这里

$$\begin{cases} \sum_{j=2}^{I[\frac{1}{\sigma_1}]} (j-1) \|P_j\| (\cos\beta)^{1-\sigma_1 j} (2\alpha)^{\frac{4\alpha-3}{2\alpha-1}(1-\sigma_1 j)} + \sum_{j=I[\frac{1}{\sigma_1}]+1}^{\infty} (j-1) \|P_j\| \left(\frac{2}{\cos\beta}\right)^{\sigma_1 j-1} \leq c_1, \\ \sum_{j=2}^{I[\frac{1}{\sigma_2}]} (j-1) \|Q_j\| (\cos\beta)^{1-\sigma_2 j} (2\alpha)^{\frac{4\alpha-3}{2\alpha-1}(1-\sigma_2 j)} + \sum_{j=I[\frac{1}{\sigma_2}]+1}^{\infty} (j-1) \|Q_j\| \left(\frac{2}{\cos\beta}\right)^{\sigma_2 j-1} \leq c_2. \end{cases}$$

于是 (4.1) 式成立, 则定理得证.

在定理 4.1 中令 $\xi_{(i)} = 0, w_j = 0$, 则有以下结论.

推论 4.1 设 $f(z_1)$ 是 D 上的 α 次殆 β 型螺形函数, $\alpha \in [0, 1) \setminus \{\frac{1}{2}\}$, $\beta \in (-\frac{\pi}{2}, \frac{\pi}{2})$. $F(z)$ 是 (1.3) 式所定义的函数, $\sigma_1 \in [0, \frac{1}{2}]$. 令 $c = \frac{(1-\alpha)\cos\beta(1-\sigma_1)}{2}$. 若

(i) 当 $\alpha \in [0, \frac{1}{2}) \cup (\frac{1}{2}, \frac{3}{4}]$ 时,

$$\sum_{j=2}^{I[\frac{1}{\sigma_1}]} (j-1) \|P_j\| (\cos\beta)^{1-\sigma_1 j} + \sum_{j=I[\frac{1}{\sigma_1}]+1}^{\infty} (j-1) \|P_j\| \left(\frac{2}{\cos\beta}\right)^{\sigma_1 j-1} (2\alpha)^{\frac{4\alpha-3}{2\alpha-1}(1-\sigma_1 j)} \leq c;$$

(ii) 当 $\alpha \in (\frac{3}{4}, 1)$ 时,

$$\sum_{j=2}^{I[\frac{1}{\sigma_1}]} (j-1) \|P_j\| (\cos \beta)^{1-\sigma_1 j} (2\alpha)^{\frac{4\alpha-3}{2\alpha-1}(1-\sigma_1 j)} + \sum_{j=I[\frac{1}{\sigma_1}]+1}^{\infty} (j-1) \|P_j\| \left(\frac{2}{\cos \beta}\right)^{\sigma_1 j-1} \leq c,$$

则 $F(z)$ 是 B^n 上的 α 次殆 β 型螺形映照.

注 4.1 在定理 4.1 及推论 4.1 中, 分别令 $\alpha = 0$ 和 $\beta = 0$, 则得到相应的关于 β 型螺形映照及 α 次殆星形映照的结论.

5 α 次 β 型螺形映照的不变性

定理 5.1 设 $f(z_1)$ 是 D 上的 α 次 β 型螺形函数, $\alpha \in (0, 1)$, $\beta \in (-\frac{\pi}{2}, \frac{\pi}{2})$. $F(\xi, z, w)$ 是 (1.2) 式所定义的函数, $\delta_i, \gamma_i, \delta_i + \gamma_i \in [0, 1]$, $\frac{s_i \delta_i}{t}, \frac{s_i \gamma_i}{t} \in [0, \frac{1}{2}]$ ($i = 1, \dots, r$), $\sigma_1, \sigma_2 \in [0, \frac{1}{2}]$. 令 $P_j = 0$ ($j > \frac{1}{\sigma_1}$), $Q_j = 0$ ($j > \frac{1}{\sigma_2}$). 若

(i) 当 $\alpha \in (0, \frac{1}{2}]$ 时,

$$\sum_{j=2}^{\infty} (j-1) \|P_j\| (\cos \beta)^{-\sigma_1 j} \leq \frac{1-\sigma_1}{2}, \quad \sum_{j=2}^{\infty} (j-1) \|Q_j\| (\cos \beta)^{-\sigma_2 j} \leq \frac{1-\sigma_2}{2};$$

(ii) 当 $\alpha \in (\frac{1}{2}, 1)$ 时,

$$\sum_{j=2}^{\infty} (j-1) \|P_j\| (2-2\alpha)^{\sigma_1 j-2} (\cos \beta)^{-\sigma_1 j} \leq \frac{1-\sigma_1}{4\alpha},$$

$$\sum_{j=2}^{\infty} (j-1) \|Q_j\| (2-2\alpha)^{\sigma_2 j-2} (\cos \beta)^{-\sigma_2 j} \leq \frac{1-\sigma_2}{4\alpha},$$

则 $F(\xi, z, w)$ 是 Ω_N 上的 α 次 β 型螺形映照.

证 由定义 2.3, 与定理 3.1 同理只需证 $(\xi, z, w) \in \partial\Omega$ 时,

$$\left| 2\alpha(1-i \tan \beta) \frac{2}{\rho(\xi, z, w)} \frac{\partial \rho(\xi, z, w)}{\partial(\xi, z, w)} (DF(\xi, z, w))^{-1} F(\xi, z, w) - 1 + i2\alpha \tan \beta \right| < 1, \quad (5.1)$$

此时 $\rho(\xi, z, w) = 1$. 令

$$q(z_1) = 2\alpha(1-i \tan \beta) \frac{f(z_1)}{z_1 f'(z_1)} - 1 + i2\alpha \tan \beta, \quad (5.2)$$

则 $|q(z_1)| < 1$ 且

$$2\alpha(1-i \tan \beta) \frac{f(z_1) f''(z_1)}{(f'(z_1))^2} = 2\alpha - 1 - q(z_1) - z_1 q'(z_1). \quad (5.3)$$

由引理 2.3、(5.2)–(3.4) 式, 得

$$\begin{aligned} & (\Delta_1 + \|z\|^2 \Delta_2 + \|w\|^2 \Delta_3) \left[2\alpha(1-i \tan \beta) \frac{2\partial \rho(\xi, z, w)}{\partial(\xi, z, w)} (DF)^{-1} F(\xi, z, w) - 1 + i2\alpha \tan \beta \right] \\ &= 2\alpha(1-i \tan \beta) H + (i2\alpha \tan \beta - 1)(\Delta_1 + \|z\|^2 \Delta_2 + \|w\|^2 \Delta_3) \\ &= \Delta_1 \left\{ (2\alpha - 1)(1 - \delta_i - \gamma_i) + \delta_i [z_1 q'(z_1) + q(z_1)] + \gamma_i [w_1 q'(w_1) + q(w_1)] \right\} \end{aligned}$$

$$\begin{aligned}
& + 2\alpha(1 - i \tan \beta) \left[\delta_i \frac{f''(z_1)}{f'(z_1)} \sum_{j=2}^{\infty} (f'(z_1))^{\sigma_1 j - 1} (j - 1) P_j(z_0) + \gamma_i \frac{f''(w_1)}{f'(w_1)} \sum_{j=2}^{\infty} (f'(w_1))^{\sigma_2 j - 1} \right. \\
& \times (j - 1) Q_j(w_0) \left. \right] + \Delta_2 \left\{ |z_1|^2 \left[q(z_1) + \frac{2\alpha(1 - i \tan \beta)}{z_1} \sum_{j=2}^{\infty} (f'(z_1))^{\sigma_1 j - 1} (1 - j) P_j(z_0) \right] \right. \\
& + \|z_0\|^2 \left[(2\alpha - 1)(1 - \sigma_1) + 2\alpha(1 - i \tan \beta) \sigma_1 \frac{f''(z_1)}{f'(z_1)} \sum_{j=2}^{\infty} (f'(z_1))^{\sigma_1 j - 1} (j - 1) P_j(z_0) \right. \\
& + \left. \sigma_1 (z_1 q'(z_1) + q(z_1)) \right] \left. \right\} + \Delta_3 \left\{ |w_1|^2 \left[q(w_1) + \frac{2\alpha(1 - i \tan \beta)}{w_1} \sum_{j=2}^{\infty} (f'(w_1))^{\sigma_2 j - 1} (1 - j) Q_j(w_0) \right] \right. \\
& + \|w_0\|^2 \left[(2\alpha - 1)(1 - \sigma_2) + 2\alpha(1 - i \tan \beta) \sigma_2 \frac{f''(w_1)}{f'(w_1)} \sum_{j=2}^{\infty} (f'(w_1))^{\sigma_2 j - 1} (j - 1) Q_j(w_0) \right. \\
& + \left. \sigma_2 (w_1 q'(w_1) + q(w_1)) \right] \left. \right\} \\
= & \sum_{i=1}^r \|\xi_{(i)}\|^{2s_i} \left\{ (2\alpha - 1) \left[s_i(1 - \delta_i - \gamma_i) + \frac{l\|z_0\|^2}{1 - \|z\|^2} (1 - \sigma_1) + \frac{t\|w_0\|^2}{1 - \|w\|^2} (1 - \sigma_2) \right] \right. \\
& + \frac{l}{1 - \|z\|^2} \left[|z_1|^2 q(z_1) + 2\alpha(1 - i \tan \beta) \sum_{j=2}^{\infty} (f'(z_1))^{\sigma_1 j - 1} (j - 1) P_j(z_0) \right. \\
& \times \left. \left(\frac{f''(z_1)}{f'(z_1)} \left(\frac{s_i \delta_i}{l} (1 - \|z\|^2) + \sigma_1 \|z_0\|^2 \right) - \bar{z}_1 \right) + \left. \left(\frac{s_i \delta_i}{l} (1 - \|z\|^2) + \sigma_1 \|z_0\|^2 \right) (z_1 q'(z_1) + q(z_1)) \right] \right. \\
& + \frac{t}{1 - \|w\|^2} \left[|w_1|^2 q(w_1) + 2\alpha(1 - i \tan \beta) \sum_{j=2}^{\infty} (f'(w_1))^{\sigma_2 j - 1} (j - 1) Q_j(w_0) \left(\frac{f''(w_1)}{f'(w_1)} \right) \right. \\
& \times \left. \left(\frac{s_i \gamma_i}{t} (1 - \|w\|^2) + \sigma_2 \|w_0\|^2 \right) - \bar{w}_1 \right] + \left. \left(\frac{s_i \gamma_i}{t} (1 - \|w\|^2) + \sigma_2 \|w_0\|^2 \right) (w_1 q'(w_1) + q(w_1)) \right] \left. \right\}.
\end{aligned}$$

由于 $\delta_i + \gamma_i \in [0, 1]$, 由引理 2.4-2.6 及引理 2.10, 得

$$\begin{aligned}
& (\Delta_1 + \|z\|^2 \Delta_2 + \|w\|^2 \Delta_3) \left[\left| 2\alpha(1 - i \tan \beta) \frac{2\partial \rho(\xi, z, w)}{\partial(\xi, z, w)} (DF)^{-1} F(\xi, z, w) - 1 + i2\alpha \tan \beta \right| - 1 \right] \\
< & \sum_{i=1}^r \|\xi_{(i)}\|^{2s_i} \left\{ |2\alpha - 1| \left[s_i(1 - \delta_i - \gamma_i) + \frac{l\|z_0\|^2}{1 - \|z\|^2} (1 - \sigma_1) + \frac{t\|w_0\|^2}{1 - \|w\|^2} (1 - \sigma_2) \right] \right. \\
& + \frac{l}{1 - \|z\|^2} \left[|z_1|^2 |q(z_1)| + \frac{4\alpha}{\cos \beta} \sum_{j=2}^{\infty} |f'(z_1)|^{\sigma_1 j - 1} (j - 1) |P_j(z_0)| \right. \\
& + \left. \left(\frac{s_i \delta_i}{l} (1 - \|z\|^2) + \sigma_1 \|z_0\|^2 \right) \left(\frac{2|z_1|}{1 - |z_1|^2} (1 - |q(z_1)|) + |q(z_1)| \right) \right] \\
& + \frac{t}{1 - \|w\|^2} \left[|w_1|^2 |q(w_1)| + \frac{4\alpha}{\cos \beta} \sum_{j=2}^{\infty} |f'(w_1)|^{\sigma_2 j - 1} (j - 1) |Q_j(w_0)| \right. \\
& + \left. \left(\frac{s_i \gamma_i}{t} (1 - \|w\|^2) + \sigma_2 \|w_0\|^2 \right) \left(\frac{2|w_1|}{1 - |w_1|^2} (1 - |q(w_1)|) + |q(w_1)| \right) \right] \\
& \left. - \left(s_i + \frac{l\|z\|^2}{1 - \|z\|^2} + \frac{t\|w\|^2}{1 - \|w\|^2} \right) \right\}
\end{aligned}$$

$$\begin{aligned}
&= \sum_{i=1}^r \|\xi_{(i)}\|^{2s_i} \left\{ |2\alpha - 1| \left[s_i(1 - \delta_i - \gamma_i) + (1 - \sigma_1) \frac{l\|z_0\|^2}{1 - \|z\|^2} + (1 - \sigma_2) \frac{t\|w_0\|^2}{1 - \|w\|^2} \right] - s_i \right. \\
&\quad + \frac{l}{1 - \|z\|^2} \left[(|q(z_1)| - 1) (|z_1|^2 + \left(\frac{s_i \delta_i}{l} (1 - \|z\|^2) + \sigma_1 \|z_0\|^2 \right) \frac{1 - 2|z_1| - |z_1|^2}{1 - |z_1|^2}) \right. \\
&\quad + \left. \frac{s_i \delta_i}{l} (1 - \|z\|^2) + (\sigma_1 - 1) \|z_0\|^2 + \frac{4\alpha}{\cos \beta} \sum_{j=2}^{\infty} |f'(z_1)|^{\sigma_1 j - 1} (j - 1) |P_j(z_0)| \right] \\
&\quad + \frac{t}{1 - \|w\|^2} \left[(|q(w_1)| - 1) (|w_1|^2 + \left(\frac{s_i \gamma_i}{t} (1 - \|w\|^2) + \sigma_2 \|w_0\|^2 \right) \frac{1 - 2|w_1| - |w_1|^2}{1 - |w_1|^2}) \right. \\
&\quad + \left. \frac{s_i \gamma_i}{t} (1 - \|w\|^2) + (\sigma_2 - 1) \|w_0\|^2 + \frac{4\alpha}{\cos \beta} \sum_{j=2}^{\infty} |f'(w_1)|^{\sigma_2 j - 1} (j - 1) |Q_j(w_0)| \right] \left. \right\} \\
&\leq \sum_{i=1}^r \|\xi_{(i)}\|^{2s_i} \left\{ \frac{l\|z_0\|^2}{1 - \|z\|^2} \left[(|2\alpha - 1| - 1)(1 - \sigma_1) \right. \right. \\
&\quad + \left. \frac{4\alpha}{\cos \beta} \sum_{j=2}^{\infty} \left(\frac{1 + (1 - 2\alpha)|z_1|}{\cos \beta(1 - |z_1|)^{1+2(1-\alpha)}} \right)^{\sigma_1 j - 1} (j - 1) \|P_j\| \|z_0\|^{j-2} \right] \\
&\quad + \left. \frac{t\|w_0\|^2}{1 - \|w\|^2} \left[(|2\alpha - 1| - 1)(1 - \sigma_2) + \frac{4\alpha}{\cos \beta} \sum_{j=2}^{\infty} \left(\frac{1 + (1 - 2\alpha)|w_1|}{\cos \beta(1 - |w_1|)^{1+2(1-\alpha)}} \right)^{\sigma_2 j - 1} \right. \right. \\
&\quad \left. \left. \times (j - 1) \|Q_j\| \|w_0\|^{j-2} \right] \right\} = \sum_{i=1}^r \|\xi_{(i)}\|^{2s_i} A.
\end{aligned}$$

(i) 当 $\alpha \in (0, \frac{1}{2}]$ 时, 显然有 $[1 + (1 - 2\alpha)|z_1]|^{\sigma_1 j - 1}$ 关于 $|z_1|$ 在 $\sigma_1 j \leq 1$ 时单调递减, $[1 + (1 - 2\alpha)|w_1]|^{\sigma_2 j - 1}$ 关于 $|w_1|$ 在 $\sigma_2 j \leq 1$ 时单调递减. 由于 $P_j = 0 (j > \frac{1}{\sigma_1})$, $Q_j = 0 (j > \frac{1}{\sigma_2})$, 若 $\sum_{j=2}^{\infty} (j - 1) \|P_j\| (\cos \beta)^{-\sigma_1 j} \leq \frac{1 - \sigma_1}{2}$, $\sum_{j=2}^{\infty} (j - 1) \|Q_j\| (\cos \beta)^{-\sigma_2 j} \leq \frac{1 - \sigma_2}{2}$, 则有

$$\begin{aligned}
A &\leq \frac{l\|z_0\|^2}{1 - \|z\|^2} \left[(|2\alpha - 1| - 1)(1 - \sigma_1) + \frac{4\alpha}{\cos \beta} \sum_{j=2}^{\infty} (\cos \beta)^{1 - \sigma_1 j} (j - 1) \|P_j\| \right] \\
&\quad + \frac{t\|w_0\|^2}{1 - \|w\|^2} \left[(|2\alpha - 1| - 1)(1 - \sigma_2) + \frac{4\alpha}{\cos \beta} \sum_{j=2}^{\infty} (\cos \beta)^{1 - \sigma_2 j} (j - 1) \|Q_j\| \right] \leq 0.
\end{aligned}$$

(ii) 当 $\alpha \in (\frac{1}{2}, 1)$ 时, $[1 + (1 - 2\alpha)|z_1]|^{\sigma_1 j - 1}$ 关于 $|z_1|$ 在 $\sigma_1 j \leq 1$ 时单调递增, $[1 + (1 - 2\alpha)|w_1]|^{\sigma_2 j - 1}$ 关于 $|w_1|$ 在 $\sigma_2 j \leq 1$ 时单调递增. 由于 $P_j = 0 (j > \frac{1}{\sigma_1})$, $Q_j = 0 (j > \frac{1}{\sigma_2})$, 则

$$\begin{aligned}
A &\leq \frac{l\|z_0\|^2}{1 - \|z\|^2} \left[(|2\alpha - 1| - 1)(1 - \sigma_1) + \frac{4\alpha}{\cos \beta} \sum_{j=2}^{\infty} (2 - 2\alpha)^{\sigma_1 j - 1} (\cos \beta)^{1 - \sigma_1 j} (j - 1) \|P_j\| \right] \\
&\quad + \frac{t\|w_0\|^2}{1 - \|w\|^2} \left[(|2\alpha - 1| - 1)(1 - \sigma_2) + \frac{4\alpha}{\cos \beta} \sum_{j=2}^{\infty} (2 - 2\alpha)^{\sigma_2 j - 1} (\cos \beta)^{1 - \sigma_2 j} (j - 1) \|Q_j\| \right] \\
&\leq 0,
\end{aligned}$$

这里 $\sum_{j=2}^{\infty} (j - 1) \|P_j\| (2 - 2\alpha)^{\sigma_1 j - 2} (\cos \beta)^{-\sigma_1 j} \leq \frac{1 - \sigma_1}{4\alpha}$, $\sum_{j=2}^{\infty} (j - 1) \|Q_j\| (2 - 2\alpha)^{\sigma_2 j - 2} (\cos \beta)^{-\sigma_2 j} \leq \frac{1 - \sigma_2}{4\alpha}$.

于是 (5.1) 式成立, 则定理得证.

在定理 5.1 中若不考虑含有 ξ 及 w 的项, 则有以下结论.

推论 5.1 设 $f(z_1)$ 是 D 上的 α 次 β 型螺形函数, $\alpha \in (0, 1)$, $\beta \in (-\frac{\pi}{2}, \frac{\pi}{2})$. $F(z)$ 是 (1.3) 式所定义的函数, $\sigma_1 \in [0, \frac{1}{2}]$. 令 $P_j = 0 (j > \frac{1}{\sigma_1})$. 若

$$(i) \alpha \in (0, \frac{1}{2}] \text{ 时, } \sum_{j=2}^{\infty} (j-1) \|P_j\| (\cos \beta)^{-\sigma_1 j} \leq \frac{1-\sigma_1}{2};$$

$$(ii) \alpha \in (\frac{1}{2}, 1) \text{ 时, } \sum_{j=2}^{\infty} (j-1) \|P_j\| (2-2\alpha)^{\sigma_1 j-2} (\cos \beta)^{-\sigma_1 j} \leq \frac{1-\sigma_1}{4\alpha},$$

则 $F(z)$ 是 B^n 上的 α 次 β 型螺形映照.

注 5.1 在定理 5.1 及推论 5.1 中令 $\beta = 0$, 则得到相应的关于 α 次星形映照的结论.

致谢 感谢审稿人提出的宝贵建议.

参 考 文 献

- [1] Roper K A, Suffridge T J. Convex mappings on the unit ball of \mathbb{C}^n [J]. *J Anal Math*, 1995, 65:333–347.
- [2] Graham I, Kohr G. Univalent mappings associated with the Roper-Suffridge extension operator [J]. *J Analyse Math*, 2000, 81:331–342.
- [3] 刘小松, 刘太顺. 关于 α 次的 β 型螺形映照推广的 Roper-Suffridge 算子 [J]. *数学年刊 A 辑*, 2006, 27(6):789–798.
- [4] 刘小松, 冯淑霞. 关于 α 次的 β 型螺形映射推广的 Roper-Suffridge 算子的一个注记 [J]. *数学季刊*, 2009, 24(2):310–316.
- [5] 刘浩, 夏红川. Reinhardt 域上一类推广的 Roper-Suffridge 算子 [J]. *数学学报*, 2016, 59(2):253–266.
- [6] Muir J R. A modification of the Roper-Suffridge extension operator [J]. *Comput Methods Funct Theory*, 2005, 5(1):237–251.
- [7] Kohr G. Loewner chains and a modification of the Roper-Suffridge extension operator [J]. *Mathematica*, 2006, 71(1):41–48.
- [8] 王建飞, 刘太顺. 全纯映射子族上改进的 Roper-Suffridge 算子 [J]. *数学年刊 A 辑*, 2010, 31(4):487–496.
- [9] Muir J R. A class of Loewner chain preserving extension operators [J]. *J Math Anal Appl*, 2008, 337(2):862–879.
- [10] 唐言言. Bergman-Hartogs 型域上的 Roper-Suffridge 算子 [D]. 开封: 河南大学硕士论文, 2016.
- [11] 潘利双, 王安. Bergman-Hartogs 型域的全纯自同构群 [J]. *中国科学*, 2015, 45:31–42.
- [12] 叶薇薇, 王安. 一类 Hartogs 域的 Einstein-Kähler 度量和 Kobayashi 度量的比较定理 [J]. *数学年刊 A 辑*, 2012, 33(6):687–704.
- [13] Wang A, Liu Y. Zeroes of the Bergman kernels on some new Hartogs domains [J]. *Chin Quart J of Math*, 2011, 26(3):325–334.
- [14] 蔡荣华, 刘小松. 强螺形函数子族的第三项和第四项系数估计 [J]. *湛江师范学院学报*, 2010, 31:38–43.

- [15] Zhu Y C, Liu M S. The generalized Roper-Suffridge extension operator on Reinhardt domain D_p [J]. *Taiwanese Jour of Math*, 2010, 14(2):359–372.
- [16] Feng S, Liu T. The generalized Roper-Suffridge extension operator [J]. *Acta Math Sci*, 2008, 28B(1):63–80.
- [17] 冯淑霞, 刘太顺, 任广斌. 复 Banach 空间单位球上几类映射的增长掩盖定理 [J]. *数学年刊 A 辑*, 2007, 28(2):215–230.
- [18] Liu T S, Ren G B. The growth theorem for starlike mappings on bounded starlike circular domains [J]. *Chin Ann Math, Ser B*, 1998, 19(4):401–408.
- [19] Ahlfors L V. Complex analysis [M]. 3rd, ed. New York: Mc Graw-Hill Book Co., 1979.
- [20] Duren P L. Univalent functions [M]. New York: Springer-Verlag, 1983.
- [21] Graham I, Kohr G. Geometric function theory in one and higher dimensions [M]. New York: Marcel Dekker, 2003.
- [22] 张洁, 卢金. 单位多圆柱上 α 次的殆 β 型螺形映射的偏差定理 [J]. *湖州师范学院学报*, 2011, 33(2):46–50.
- [23] 王朝君, 崔艳艳, 刘浩. B^n 上推广的 Roper-Suffridge 算子的性质 [J]. *数学学报*, 2016, 59(6):721–864.

Properties of the Perturbed Extension Operators on Hartogs Domains

CUI Yanyan¹ LIU Hao²

¹College of Mathematics and Statistics, Zhoukou Normal University, Zhoukou 466001, Henan, China. E-mail: cui9907081@163.com

²Corresponding author. Department of Mathematics, Henan University, Kaifeng 475001, Henan, China. E-mail: haoliu@henu.edu.cn

Abstract This paper mainly discuss the properties of the generalized Roper-Suffridge operators on the extended Hartogs domains. By using the distortion results of subclasses of biholomorphic mappings, the authors conclude that the generalized operators preserve the properties of strong and almost spirallike mappings of type β and order α , almost spirallike mappings of type β and order α , spirallike mappings of type β and order α on Ω_N under different conditions, respectively. Thus the authors get the corresponding results on B^n . These conclusions involve some known results and provide new approaches to research the biholomorphic mappings in \mathbb{C}^n .

Keywords Starlike mappings, Spirallike mappings, Extension operators, Hartogs domains

2000 MR Subject Classification 32A30, 30C45

The English translation of this paper will be published in **Chinese Journal of Contemporary Mathematics, Vol. 40 No. 2, 2019** by ALLERTON PRESS, INC., USA