

2 维可压缩 Navier-Stokes 方程带真空平坦 稀疏波粘性消失极限

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提要 主要研究 2 维等熵可压缩 Navier-Stokes 方程带真空平坦稀疏波的粘性消失极限. 得到了关于粘性系数的一致收敛率. 主要创新和难点在于如何处理由高维稀疏波真空状态所引起的退化. 在真空附近利用适当截断和尺度伸缩技巧来处理真空引起的奇性问题. 最终, 通过引入截断参数和处理 2 维可压缩 Navier-Stokes 方程的粘性误差项, 得到了稀疏波真空附近的无粘性衰减率. 证明过程基于基本能量法.

关键词 可压缩 Navier-Stokes 方程, 平坦稀疏波, 真空, 粘性消失极限

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1 引 言

本文研究 2 维等熵可压缩 Navier-Stokes (NS) 方程

$$\begin{cases} \rho_t + \operatorname{div}(\rho \mathbf{u}) = 0, \\ (\rho \mathbf{u})_t + \operatorname{div}(\rho \mathbf{u} \otimes \mathbf{u}) + \nabla p(\rho) = \mu_1 \Delta \mathbf{u} + (\mu_1 + \lambda_1) \nabla \operatorname{div} \mathbf{u}, \end{cases} \quad (1.1)$$

其中 $x := (x_1, x_2) \in \Omega \subset \mathbb{R}^2$ 是空间变量, $t \geq 0$ 是时间变量. 方程中 $\rho = \rho(t, x) \geq 0$, $\mathbf{u} = \mathbf{u}(t, x) = (u_1, u_2, u_3)(t, x)$, $p = p(t, x)$ 分别表示流体密度、速度和压力. 压力项 $p = p(\rho)$ 满足 γ -定律

$$p(\rho) = \frac{\rho^\gamma}{\gamma},$$

其中 $\gamma > 1$ 是绝热系数. 切粘滞系数 μ_1 和体粘滞系数 λ_1 满足以下条件:

$$\mu_1 > 0, \quad \mu_1 + \lambda_1 \geq 0.$$

可取定

$$\mu_1 = \mu \varepsilon, \quad \lambda_1 = \lambda \varepsilon,$$

其中 $\varepsilon > 0$ 是粘性消失参数, μ 和 λ 是与 ε 无关的给定常数.

考虑 NS 方程 (1.1) 在空间区域为 $\Omega = \mathbb{R} \times \mathbb{T}$ ($\mathbb{T} = \mathbb{R}/\mathbb{Z}$ 表示环面), 给定如下初值

$$(\rho, \mathbf{u})(t = 0, x) = (\rho_0, \mathbf{u}_0)(x) = (\rho_0, u_{10}, u_{20})(x), \quad (1.2)$$

并且解在 x_1 方向无穷远处满足:

$$(\rho, u_1, u_2) \rightarrow (\rho_\pm, u_{1\pm}, 0), \quad x_1 \rightarrow \pm\infty, \quad (1.3)$$

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其中 $\rho_{\pm} \geq 0$, $u_{1+} \neq u_{1-}$ 是给定的常数. 本文主要研究带真空的情形: $\rho_- = 0$, $\rho_+ > 0$, 并且 (ρ_+, u_{1+}) 通过 2-稀疏波与真空 $\rho_- = 0$ 相连接.

形式上来说, 当 $\varepsilon \rightarrow 0$ 时, 2 维可压缩 NS 方程 (1.1)–(1.3) 的解收敛到 2 维可压缩 Euler 方程

$$\begin{cases} \rho_t + \operatorname{div}(\rho \mathbf{u}) = 0, \\ (\rho \mathbf{u})_t + \operatorname{div}(\rho \mathbf{u} \otimes \mathbf{u}) + \nabla p(\rho) = 0 \end{cases} \quad (1.4)$$

在平坦 Riemann 初值

$$(\rho_0^r, \mathbf{u}_0^r)(x) = (\rho_0^r, \mathbf{u}_0^r)(x_1) = \begin{cases} (\rho_-, u_{1-}, 0), & x_1 < 0, \\ (\rho_+, u_{1+}, 0), & x_1 > 0 \end{cases} \quad (1.5)$$

下的解. 但是 2 维可压缩 Euler 方程 (1.4)–(1.5) 的解会产生奇性, 例如激波和真空, 因此 NS 方程 (1.1)–(1.3) 的粘性消失问题通常是一个奇异极限问题. 值得注意的是, 2 维 Euler 方程的 Riemann 问题 (1.4)–(1.5) 与 1 维 Euler 方程

$$\begin{cases} \rho_t + (\rho u_1)_{x_1} = 0, & x_1 \in \mathbb{R}, t \geq 0, \\ (\rho u_1)_t + (\rho u_1^2 + p(\rho))_{x_1} = 0 \end{cases} \quad (1.6)$$

的 Riemann 初值

$$(\rho_0^r, u_{10}^r)(0, x_1) = \begin{cases} (\rho_-, u_{1-}), & x_1 < 0, \\ (\rho_+, u_{1+}), & x_1 > 0 \end{cases} \quad (1.7)$$

问题息息相关 (见 [1–2]). 需要指出的是, 1 维可压缩 Euler 方程 Riemann 问题 (1.6)–(1.7) 包含 3 类基本波: 真正非线性特征域上的激波和稀疏波, 线性退化特征域上的接触间断波, 其中激波和稀疏波是非线性波. 并且 Liu-Smoller 在文 [3] 中指出非线性波中仅有稀疏波可以连接到真空 $\rho_{\pm} = 0$.

特别地, 1 维 Euler 方程 (1.6)–(1.7) 的 Riemann 解 (激波、稀疏波及二者的线性组合) 且取 $u_2 = 0$ 就是 2 维 Euler 方程 (1.4)–(1.5) 的解, 即为平坦 Riemann 解. 然而, 对于平坦激波初值 (令 (1.6)–(1.7) 的解为激波的 Riemann 初值 (1.5)), 除了 1 维 Euler 方程的激波解外, Chiodaroli 等人 [4–5] 证明了满足熵条件的高维 Euler 方程平坦 Riemann 问题 (1.4)–(1.5) 有无穷多个有界允许弱解, 其证明主要依赖于 De Lellis 和 Szekelyhidi [6] 提出的凸积分方法. 随后文 [7–8] 将文 [4–5] 的结论推广到组合波 (平面激波与接触间断波, 平面激波与稀疏波) 的 2 维 Riemann 初值问题上. 另一方面, 关于一致有界的允许弱解的唯一性, 目前仅在高维平坦稀疏波的情形下得到了证明 (见 [9–11]), 即使初值中包含真空仍然成立. 这与高维平坦激波的情形形成鲜明对比. 这一唯一性结果表明, 即使包含真空, 平坦稀疏波的解也比平坦激波更为稳定. 基于此, 我们进一步研究了 2 维等熵可压缩 NS 方程中带真空平坦稀疏波的粘性消失极限问题.

准确地说, 当 $\rho_- = 0$ 时, 带真空的稀疏波是 1 维 Euler 方程 Riemann 问题 (1.6)–(1.7) 的唯一熵解, 即 1 维 Euler 方程 (1.6) 在给定单侧真空的 Riemann 初值

$$(\rho_0^r, u_{10}^r)(x_1) = \begin{cases} \rho_0^r \equiv 0, & x_1 < 0, \\ (\rho_+, u_{1+}), & x_1 > 0 \end{cases} \quad (1.8)$$

下的唯一解. 同时, 这也是 2 维 Euler 方程平坦 Riemann 问题 (1.4)–(1.5) 在 $\rho_- = 0$ 情

况下的唯一解, 即在平坦 Riemann 初值

$$(\rho_0^r, \mathbf{u}_0^r)(x) = \begin{cases} \rho_0^r \equiv 0, & x_1 < 0, \\ (\rho_+, u_{1+}, 0), & x_1 > 0 \end{cases} \quad (1.9)$$

下的唯一解. 需要指出的是, 1 维基本波的粘性消失极限已被广泛研究, 其中文 [12–27] 研究了 1 维可压缩 NS 方程和 1 维带人工粘性的双曲守恒律方程, 文 [28–33] 证明了其他相关的结论. 尽管 1 维结果非常丰富, 然而由于高维粘性消失极限问题研究中存在诸多困难, 导致相关研究结果较为有限, 目前有文 [34–42].

值得一提的是, Huang 等人在文 [17] 中证明了一维等熵可压缩 NS 方程中连接到真空的稀疏波的粘性消失极限并得到了关于粘性一致的衰减率. 最近, Li 等人在文 [34–35] 中证明了 2 维等熵可压缩 NS 方程和 3 维完全 Navier-Stokes-Fourier 方程远离真空的平坦稀疏波的粘性消失极限. 随后, 文 [37–38] 进一步证明了 3 维等熵可压缩 NS 方程带真空平坦稀疏波的粘性消失极限. 最近, 文 [41–42] 将平坦稀疏波的粘性消失极限问题推广到其他方程. 受到文 [34, 37] 的启发, 本文研究 2 维等熵可压缩 NS 方程带真空平坦稀疏波的粘性消失极限以及获得关于粘性一致的收敛率.

2 维可压缩 Euler 方程 (1.4) 在初值 (1.9) 下的带真空平坦稀疏波, 也是 1 维可压缩 Euler 方程 (1.6) 在初值 (1.8) 下的带真空稀疏波解. 当 $\rho \geq 0$ 时, 1 维 Euler 方程 (1.6) 是双曲的, 有两个实特征值

$$\lambda_1(\rho, u_1) = u_1 - \sqrt{p'(\rho)}, \quad \lambda_2(\rho, u_1) = u_1 + \sqrt{p'(\rho)},$$

分别对应于右特征向量 $r_1(\rho, u_1), r_2(\rho, u_1)$. 若 $\rho > 0$, 则两个特征域是真正非线性的, 即

$$\nabla_{(\rho, u_1)} \lambda_i(\rho, u_1) \cdot r_i(\rho, u_1) \neq 0, \quad i = 1, 2,$$

对应的 i -Riemann 不变量 ($i = 1, 2$) 可定义为

$$\sum_i(\rho, u_1) = u_1 + (-1)^{i+1} \int^\rho \frac{\sqrt{p'(s)}}{s} ds. \quad (1.10)$$

不失一般性, 这里仅考虑带真空的 2-稀疏波, 因为 1-稀疏波和 2 个稀疏波叠加的情形可用类似方法处理. 由于 2-Riemann 不变量 $\sum_2(\rho, u_1)$ 沿着 2-稀疏波曲线是常值, 则真空 $\rho_- = 0$ 附近的速度左状态 u_{1-} 需满足 $u_{1-} = \sum_2(\rho_+, u_{1+})$. 连接真空 $\rho_- = 0$ 和非真空 (ρ_+, u_{1+}) 的 2-稀疏波是 1 维 Euler 方程 (1.6) 的自相似解 $(\rho^{r_2}, u_1^{r_2})(\xi)$ ($\xi = \frac{x_1}{t}$), 满足

$$\lambda_2(\rho^{r_2}, u_1^{r_2}) = \begin{cases} \rho^{r_2}(\xi) \equiv 0, & \xi < \lambda_2(0, u_{1-}) = u_{1-}, \\ \xi, & u_{1-} \leq \xi \leq \lambda_2(\rho_+, u_{1+}), \\ \lambda_2(\rho_+, u_{1+}), & \xi > \lambda_2(\rho_+, u_{1+}) \end{cases} \quad (1.11)$$

和

$$\sum_2(\rho^{r_2}(\xi), u_1^{r_2}(\xi)) = \sum_2(0, u_{1-}) = \sum_2(\rho_+, u_{1+}). \quad (1.12)$$

定义 2-稀疏波的动量为

$$m_1^{r_2}(\xi) := \begin{cases} \rho^{r_2}(\xi) u_1^{r_2}(\xi), & \rho^{r_2} > 0, \\ 0, & \rho^{r_2} = 0. \end{cases} \quad (1.13)$$

需要指出的是, 速度在真空区域无法定义, 但动量却是唯一确定的且为 0. 由于 1 维可压缩 Euler 方程 (1.6) 和初值 (1.8) 的带真空 2-稀疏波定义为 $(\rho^{r_2}, m_1^{r_2})(\xi = \frac{x_1}{t})$, 因此相应的 2 维可压缩 Euler 方程 (1.4) 和初值 (1.9) 的带真空平坦 2-稀疏波可定义为 $(\rho^{r_2}, m_1^{r_2}, 0)(\xi = \frac{x_1}{t})$.

定理 1.1 设 $(\rho^{r_2}, m_1^{r_2}, 0)(\frac{x_1}{t})$ 是由 (1.11)–(1.13) 定义的 2 维可压缩 Euler 方程 (1.4) 的带真空平坦 2-稀疏波解. 令 $T > 0$ 为任意固定的时刻, 绝热指数 $1 < \gamma < 19$, 则存在足够小的常数 $\varepsilon_0 > 0$, 使得对任意 $\varepsilon \in (0, \varepsilon_0]$, 存在一族依赖于 T 和初值 (3.1) 的 2 维可压缩 NS 方程 (1.1) 光滑解 $(\rho^\varepsilon, m_1^\varepsilon = \rho^\varepsilon u_1^\varepsilon, m_2^\varepsilon = \rho^\varepsilon u_2^\varepsilon)$, 满足

$$\begin{cases} (\rho^\varepsilon - \rho^{r_2}, m_1^\varepsilon - m_1^r, m_2^\varepsilon) \in C^0(0, T; L^2(\mathbb{R} \times \mathbb{T})), \\ (\nabla \rho^\varepsilon, \nabla \mathbf{m}^\varepsilon) \in C^0(0, T; H^1(\mathbb{R} \times \mathbb{T})), \\ \nabla^3 \mathbf{m}^\varepsilon \in L^2(0, T; L^2(\mathbb{R} \times \mathbb{T})). \end{cases}$$

此外, 对任意小的正常数 h , 存在与 ε 无关的正常数 $C_{h,T}$, 使得

$$\sup_{h \leq t \leq T} \left\| (\rho^\varepsilon, m_1^\varepsilon, m_2^\varepsilon)(t, x_1, x_2) - (\rho^r, m_1^r, 0) \left(\frac{x_1}{t} \right) \right\|_{L^\infty(\mathbb{R} \times \mathbb{T})} \leq C_{h,T} \varepsilon^{\frac{1}{3(\gamma+2)}} |\ln \varepsilon|.$$

除 $(0, 0)$ 外, $(\rho^\varepsilon, \mathbf{m}^\varepsilon) = (\rho^\varepsilon, m_1^\varepsilon, m_2^\varepsilon)(t, x_1, x_2)$ 逐点收敛到平面 2-稀疏波 $(\rho^{r_2}, m_1^{r_2}, 0)(\frac{x_1}{t})$, 即

$$(\rho^\varepsilon, m_1^\varepsilon, m_2^\varepsilon)(t, x_1, x_2) \rightarrow (\rho^{r_2}, m_1^{r_2}, 0) \left(\frac{x_1}{t} \right), \quad \text{a.e. } \mathbb{R}^+ \times \mathbb{R} \times \mathbb{T}.$$

注 1.1 定理 1.1 证明了 2 维可压缩 NS 方程 (1.1)–(1.3) 带真空平坦稀疏波的粘性消失极限. 同时, 引言中阐述了平坦稀疏波在高维可压缩 Euler 方程的一致有界可允许弱解中是唯一的, 即使初值包含真空结论仍然成立 (见 [9–11]). 对比之下, 定理 1.1 将唯一性结论推广到粘性消失极限问题上, 肯定了即使在粘性消失问题上带真空平坦稀疏波仍然是唯一的, 这与高维平坦激波的非唯一性形成鲜明对比 (见 [4–5]). 这些结论更加肯定了平坦稀疏波的稳定性行为.

注 1.2 事实上, 若 $\gamma \geq 19$, 利用相同的方法也能得到带真空平坦稀疏波的粘性消失极限和衰减率. 原因是, 虽然引理 2.4 只证明了 $1 < \gamma < 19$ 的双曲波估计, 但只要将文 [37] 中引理 2.5 省略的高阶导数详细展开容易得到 $\gamma \geq 19$ 的双曲波估计 (2.11), 并且后续误差估计步骤和 $1 < \gamma < 19$ 一致, 只是后续关于双曲波的估计均用 (2.11) 替换, 但考虑到较为繁琐并且 $1 < \gamma < 19$ 已经包含了一般的物理情形 ($1 < \gamma \leq 3$), 故而将 $\gamma \geq 19$ 的情况省略. 此外, $\gamma \geq 19$ 得到带真空平坦稀疏波关于粘性一致的衰减率可能比 $1 < \gamma < 19$ 小, 因为前者双曲波的衰减率 (2.11) 比后者 (2.10) 更小, 参照 (3.7) 后关于最终衰减率的讨论.

下面简要描述定理 1.1 的证明思路. 首先, 左状态 ρ_- 连接到真空会导致特征域退化, 即 1 维可压缩 Euler 方程不是严格双曲的, 因此需要在真空附近做截断处理. 取定截断参数 $\nu := \varepsilon^a |\ln \varepsilon| > 0$ (为何取这种形式见注 3.4), 定义连接 $(\nu, u_{1\nu})$ 和 (ρ_+, u_{1+}) 的截断 2-稀疏波, 其中 $u_{1\nu}$ 由 2-Riemann 不变量 (1.10) 唯一确定. 再者, 由于截断 2-稀疏波仅是 Lipschitz 连续的, 但后续分析中需要对其求导并进行误差估计, 因此需要将其光滑化得到磨光的截断 2-稀疏波. 紧接着, 由于稀疏波在无粘性在高维会导致相应的粘性误差项衰减率不足, 故引入双曲波以恢复稀疏波的粘性项. 最后, 通过时空尺度变换可以获得更快的收敛率. 此外, 收敛率主要由截断参数 ν 和 δ 决定 (见注 3.4 及 (3.9)).

本文组织如下: 在第 2 节, 首先将密度的左状态 ρ_- 在真空附近截断并光滑化, 得到磨光的截断 2-稀疏波, 然后引入双曲波构造逼近解. 第 3 节主要把原始问题转化成逼近解附近的扰动方程问题, 并基于标准的但被省略的局部存在性以及关于粘性一致的先验

估计证明定理 1.1. 最后一节利用基本能量法封闭解的 H^2 先验估计. 本文用到以下记号. 记 $H^l(\Omega)$ ($l \geq 0, l \in \mathbb{Z}$) 为一般的 Sobolev 空间且范数为 $\|\cdot\|_l$, 记 $L^2(\Omega) := H^0(\Omega)$ 为一般的平方可积空间且范数 $\|\cdot\| := \|\cdot\|_0$, 记 $L^\infty(\Omega)$ 为本质有界空间且范数 $\|\cdot\|_\infty$. 令 C 是与 $\varepsilon, \nu, \delta, T$ 无关的通用正常数, C_T 是与 ε, ν, δ 无关但与时间 T 有关的正常数. 空间区域 $\Omega = \mathbb{R} \times \mathbb{T}$, $\Omega_\varepsilon = \mathbb{R} \times (\mathbb{T}/\varepsilon)$ ($\mathbb{T} = \mathbb{R}/\mathbb{Z}$ 表示环面).

2 带真空的平坦稀疏波

下面考虑 2 维可压缩 Euler 方程 (1.4) 和初值 (1.9) 的带真空平坦稀疏波, 即 1 维可压缩 Euler 方程 (1.6) 和初值 (1.8) 的带真空稀疏波.

2.1 1 维稀疏波

首先考虑 1 维 Euler 方程 (1.6) 不含真空的稀疏波解. 当 $\rho > 0$ 时, 1 维 Euler 方程 (1.6) 是严格双曲的, 有两个不同的特征值

$$\lambda_1(\rho, u_1) = u_1 - \sqrt{p'(\rho)}, \quad \lambda_2(\rho, u_1) = u_1 + \sqrt{p'(\rho)},$$

对应的两个右特征向量为 $r_1(\rho, u_1), r_2(\rho, u_1)$, 且特征值是真正非线性的, 即满足

$$\nabla_{\rho, u_1} \lambda_i(\rho, u_1) \cdot r_i(\rho, u_1) \neq 0, \quad i = 1, 2.$$

1 维 Euler 方程 (1.6) 对应的 i -Riemann 不变量

$$\sum_i(\rho, u_1) = u_1 + (-1)^{i+1} \int^\rho \frac{\sqrt{p'(s)}}{s} ds$$

满足 $\nabla_{(\rho, u_1)} \sum_i(\rho, u_1) \cdot r_i(\rho, u_1) \equiv 0$ ($i = 1, 2$), 因此 i -Riemann 不变量沿着 i -稀疏波曲线是常值. 本文只考虑 2-稀疏波, 因为 1-稀疏波和 2-稀疏波叠加的情形方法类似.

2.2 截断 2-稀疏波

给定含真空的 Riemann 初值

$$\begin{cases} \rho(0, x_1) = 0, & x_1 < 0, \\ (\rho, u_1)(0, x_1) = (\rho_+, u_{1+}), & x_1 > 0, \end{cases}$$

其中 ρ 左状态是真空, ρ 右状态 $\rho_+ > 0$, u_{1+} 是给定的常数, 1 维可压缩 Euler 方程 (1.6) 在上述初值下用 2-稀疏波连接. 沿着 2-稀疏波曲线, 2-Riemann 不变量 $\sum_2(\rho, u_1)$ 是常值, 因此可确定 $u_{1-} = \sum_2(\rho_+, u_{1+})$. 2-稀疏波连接真空 ($\rho = 0, u_{1-}$) 和 (ρ_+, u_{1+}) 的自相似解 $(\rho^{r_2}, u_1^{r_2})(\xi)$ ($\xi = \frac{x_1}{t}$) 满足

$$\lambda_2(\rho^{r_2}, u_1^{r_2}) = \begin{cases} \rho^{r_2}(\xi) \equiv 0, & \xi < \lambda_2(0, u_{1-}) = u_{1-}, \\ \xi, & u_{1-} \leq \xi \leq \lambda_2(\rho_+, u_{1+}), \\ \lambda_2(\rho_+, u_{1+}), & \xi > \lambda_2(\rho_+, u_{1+}) \end{cases} \quad (2.1)$$

和

$$\sum_2(\rho^{r_2}(\xi), u_1^{r_2}(\xi)) = \sum_2(0, u_{1-}) = \sum_2(\rho_+, u_{1+}).$$

定义 2-稀疏波的动量

$$m_1^{r_2}(\xi) = \begin{cases} \rho^{r_2}(\xi)u_1^{r_2}(\xi), & \rho^{r_2} > 0, \\ 0, & \rho^{r_2} = 0. \end{cases} \quad (2.2)$$

带真空的稀疏波是有奇性的, 即特征域线性退化, 需要在真空附近截断. 令 $\nu = \varepsilon^a |\ln \varepsilon|$, $a > 0$ 是待定的参数 (ν 的选取参见注 3.4). 给定截断 2-稀疏波初值

$$(\rho, u_1)(0, x_1) = \begin{cases} (\nu, u_{1\nu}), & x_1 < 0, \\ (\rho_+, u_{1+}), & x_1 > 0, \end{cases}$$

2-Riemann 不变量沿着 2-稀疏波是常值, $\sum_2(\rho_+, u_{1+}) = \sum_2(\nu, u_{1\nu})$ 可以确定 $u_{1\nu}$ 的表达式. 截断 2-稀疏波解 $(\rho_\nu^{r_2}, u_{1\nu}^{r_2})(\xi)$ ($\xi = \frac{x_1}{t}$), 满足

$$\lambda_2(\rho_\nu^{r_2}, u_{1\nu}^{r_2}) = \begin{cases} \lambda_2(\nu, u_{1\nu}), & \xi < \lambda_2(\nu, u_{1\nu}), \\ \xi, & \lambda_2(\nu, u_{1\nu}) \leq \xi \leq \lambda_2(\rho_+, u_{1+}), \\ \lambda_2(\rho_+, u_{1+}), & \xi > \lambda_2(\rho_+, u_{1+}), \end{cases}$$

截断 2-稀疏波的动量

$$m_{1\nu}^{r_2} = \rho_\nu^{r_2}(\xi)u_{1\nu}^{r_2}(\xi),$$

2-Riemann 不变量

$$\sum_2(\rho_\nu^{r_2}(\xi), u_{1\nu}^{r_2}(\xi)) = \sum_2(\nu, u_{1\nu}) = \sum_2(\rho_+, u_{1+}).$$

引理 2.1 (见 [3, 17]) 存在常数 $\nu_0 \in (0, 1)$, 使得对任意 $\nu \in (0, \nu_0]$, $t > 0$, 有

$$\left\| (\rho_\nu^{r_2}, m_{1\nu}^{r_2})\left(\frac{\cdot}{t}\right) - (\rho^{r_2}, m_1^{r_2})\left(\frac{\cdot}{t}\right) \right\|_{L^\infty(\mathbb{R})} \leq C\nu. \quad (2.3)$$

2.3 磨光截断 2-稀疏波

截断 2-稀疏波仅仅是 Lipschitz 连续的, 但后续需要对 2-稀疏波求高阶导数并做估计, 因此我们将截断 2-稀疏波磨光. 考虑 1 维无粘 Burgers 方程

$$\begin{cases} \omega_t + \omega\omega_{x_1} = 0, \\ \omega(0, x_1) = \begin{cases} \omega_-, & x_1 < 0, \\ \omega_+, & x_1 > 0, \end{cases} \end{cases}$$

若 $\omega_- < \omega_+$, 方程的稀疏波解

$$\omega^r(x_1, t) = \omega^r\left(\frac{x_1}{t}\right) = \begin{cases} \omega_-, & \frac{x_1}{t} < \omega_-, \\ \frac{x_1}{t}, & \omega_- < \frac{x_1}{t} < \omega_+, \\ \omega_+, & \frac{x_1}{t} > \omega_+. \end{cases}$$

磨光的稀疏波解

$$\begin{cases} \omega_t + \omega\omega_{x_1} = 0, \\ \omega(t=0, x_1) = \omega_\delta(x_1) = \omega\left(\frac{x_1}{\delta}\right) = \frac{\omega_- + \omega_+}{2} + \frac{\omega_- - \omega_+}{2} \cdot \tanh\left(\frac{x_1}{\delta}\right), \end{cases} \quad (2.4)$$

其中 $\delta = \varepsilon^a$ 是待定参数. 特别地, 截断参数 $\nu = \varepsilon^a |\ln \varepsilon|$ 与此处 $\delta = \varepsilon^a$ 选取相同的 a , 这是为了得到最佳衰减率 (见注 3.4). 由特征线方法有以下结论.

引理 2.2 (见 [17, 26]) 对任意的 $\delta > 0$, (2.4) 有唯一的全局光滑解 $\omega_\delta^r(t, x_1)$, 且具备下面性质:

(1) 对任意的 $x_1 \in \mathbb{R}$, $t \geq 0$, $\delta > 0$, 有

$$\omega_- < \omega_\delta^r(t, x_1) < \omega_+, \quad \partial_{x_1} \omega_\delta^r(t, x_1) > 0.$$

(2) 对任意的 $t > 0$, $\delta > 0$, $p \in [1, \infty]$, 有

$$\|\partial_{x_1} \omega_\delta^r(t, \cdot)\|_{L^p} \leq C(\omega_+ - \omega_-)^{\frac{1}{p}} (\delta + t)^{-1 + \frac{1}{p}},$$

$$\|\partial_{x_1 x_1} \omega_\delta^r(t, \cdot)\|_{L^p} \leq C(\delta + t)^{-1} \delta^{-1 + \frac{1}{p}},$$

$$\|\partial_{x_1 x_1 x_1} \omega_\delta^r(t, \cdot)\|_{L^p} \leq C(\delta + t)^{-1} \delta^{-2 + \frac{1}{p}},$$

$$|\partial_{x_1 x_1} \omega_\delta^r(t, x_1)| \leq \frac{4}{\delta} \partial_{x_1} \omega_\delta^r(t, x_1).$$

(3) 存在常数 $\delta_0 \in (0, 1)$, 使得 $\delta \in (0, \delta_0]$, $t > 0$, 有

$$\left\| \omega_\delta^r(t, \cdot) - \omega^r\left(\frac{\cdot}{t}\right) \right\|_{L^\infty(\mathbb{R})} \leq C \delta t^{-1} [\ln(1+t) + |\ln \delta|].$$

下面考虑将截断 2-稀疏波 $(\rho_\nu^{r2}, u_{1\nu}^{r2})(\xi)$ ($\xi = \frac{x_1}{t}$) 磨光, 得到磨光截断 2-稀疏波 $(\bar{\rho}_{\nu, \delta}, \bar{u}_{1\nu, \delta})(t, x_1)$, 有

$$\begin{cases} \omega_+ = \lambda_2(\rho_+, u_{1+}), \omega_- = \lambda_2(\nu, u_{1\nu}), \\ \omega_\delta^r(t, x_1) = \lambda_2(\bar{\rho}_{\nu, \delta}, \bar{u}_{1\nu, \delta})(t, x_1), \\ \sum_2 (\bar{\rho}_{\nu, \delta}, \bar{u}_{1\nu, \delta})(t, x_1) = \sum_2 (\nu, u_{1\nu}) = \sum_2 (\rho_{\rho_+}, u_{1+}). \end{cases} \quad (2.5)$$

记 $(\bar{\rho}_{\nu, \delta}, \bar{u}_{1\nu, \delta})(t, x_1)$ 为 $(\bar{\rho}, \bar{u}_1)(t, x_1)$, 其满足 1 维 Euler 方程

$$\begin{cases} \bar{\rho}_t + (\bar{\rho} \bar{u}_1)_{x_1} = 0, \\ (\bar{\rho} \bar{u}_1)_t + \left(\bar{\rho} \bar{u}_1^2 + p(\bar{\rho}) \right)_{x_1} = 0, \\ (\bar{\rho}, \bar{u}_1)(0, x_1) := (\bar{\rho}_0, \bar{u}_{10})(x_1), \end{cases} \quad (2.6)$$

有以下结论.

引理 2.3 (见 [17, 26]) 磨光的截断 2-稀疏波 $(\bar{\rho}, \bar{u}_1)(t, x_1)$ 有以下性质:

(1) 对任意的 $x \in \mathbb{R}$, $t \geq 0$, 有 $\nu < \bar{\rho}(t, x_1) < \rho_+$, $\bar{u}_{1x_1}(t, x_1) = \frac{2}{\gamma+1}(\omega_\delta^r)_{x_1} > 0$, $\bar{\rho}_{x_1} = \bar{\rho}^{\frac{3-\gamma}{2}} \bar{u}_{1x_1}$, $\bar{\rho}_{x_1 x_1} = \bar{\rho}^{\frac{3-\gamma}{2}} \bar{u}_{1x_1 x_1} + \frac{3-\gamma}{2} \bar{\rho}^{2-\gamma} (\bar{u}_{1x_1})^2$.

(2) 对任意的 $t > 0$, $\delta > 0$, $p \in [1, \infty]$, 有以下估计:

$$\|\bar{u}_{1x_1}(t, \cdot)\|_{L^p} \leq C(\omega_+ - \omega_-)^{\frac{1}{p}} (\delta + t)^{-1 + \frac{1}{p}},$$

$$\|\bar{u}_{1x_1 x_1}(t, \cdot)\|_{L^p} \leq C(\delta + t)^{-1} \delta^{-1 + \frac{1}{p}},$$

$$\|\bar{u}_{1x_1 x_1 x_1}(t, \cdot)\|_{L^p} \leq C(\delta + t)^{-1} \delta^{-2 + \frac{1}{p}}.$$

(3) 存在常数 $\delta_0 \in (0, 1)$, 使得 $\delta \in (0, \delta_0]$, $t > 0$,

$$\|(\bar{\rho}, \bar{u}_1)(t, \cdot) - (\rho_\nu^{r2}, u_{1\nu}^{r2})\left(\frac{\cdot}{t}\right)\|_{L^\infty(\mathbb{R})} \leq C \delta t^{-1} [\ln(1+t) + |\ln \delta|]. \quad (2.7)$$

2.4 双曲波

如果取 $(\bar{\rho}, \bar{u}_1)(t, x_1)$ 作为粘性消失的解, 但由于稀疏波的粘性误差项 $(2\mu + \lambda)\varepsilon \bar{u}_{1x_1x_1}$ 的存在导致衰减率不足, 这里需要引入双曲波取抵消粘性误差项的作用 (见 [34–35]). 令 $(d_1, d_2)(t, x_1)$ 是如下双曲方程的解

$$\begin{cases} d_{1t} + d_{2x_1} = 0, & x_1 \in \mathbb{R}, t \in (0, T], \\ d_{2t} + \left(-\frac{\bar{m}_1^2}{\bar{\rho}^2} d_1 + p'(\bar{\rho}) d_1 + \frac{2\bar{m}_1}{\bar{\rho}} d_2 \right)_{x_1} \\ \quad = (2\mu_1 + \lambda_1) \bar{u}_{1x_1x_1} = (2\mu + \lambda) \varepsilon \bar{u}_{1x_1x_1}, \\ (d_1, d_2)(0, x_1) = (0, 0), \end{cases} \quad (2.8)$$

其中 $\bar{m}_1 := \bar{\rho} \bar{u}_1$ 表示磨光的截断 2-稀疏波的动量. 关于 (d_1, d_2) 的估计有以下结论.

引理 2.4 (见 [37]) 双曲波方程 (2.8) 的解 $(d_1, d_2)(t, x_1)$, 有以下估计

$$\left\| \frac{\partial^k}{\partial x_1^k} (d_1, d_2)(t, \cdot) \right\|^2 \leq \frac{C_T \cdot \varepsilon^2}{(\nu^\alpha \delta^2)^{k+1}}, \quad k = 0, 1, 2, 3,$$

其中 $\alpha = \gamma - 1$ ($1 < \gamma < 19$). 因此由 Sobolev 不等式, 有

$$\sup_{0 \leq t \leq T} \left\| \frac{\partial^k}{\partial x_1^k} (d_1, d_2)(t, \cdot) \right\|_{L^\infty(\mathbb{R})} \leq \frac{C_T \cdot \varepsilon}{(\nu^\alpha \delta^2)^{\frac{2k+3}{4}}}, \quad k = 0, 1, 2. \quad (2.9)$$

注 2.1 引理 2.4 表明双曲波的估计依赖于截断参数 ν , 即双曲波在真空附近也会退化. 下面简述引理 2.4 的证明思路, 详细证明见文 [37] 中引理 2.4–2.5. 首先将方程 (2.8) 对角化, 其中需要用到一个重要的解耦关系式, 文 [37] 中的 (2.11). 再对对角化后的双曲波进行加权能量估计, 权值为 $\bar{\rho}^\alpha$, 引入这一权值是为了保留恰当好项

$$\int_0^t \int_{\mathbb{R}} \bar{u}_{1x_1} \left| \frac{\partial^k D_1}{\partial x_1^k} \right|^2 dx_1 dt_1, \quad k = 0, 1, 2, 3,$$

进而封闭 D_2 的高阶导数估计. 最后将上述估计转化为原始双曲波 (2.8) 的估计, 为了后续计算方便, 这里取 $\alpha = \gamma - 1$ ($1 < \gamma < 19$). 更准确地说, 若 $1 < \gamma < 19$, 有

$$\left\| \frac{\partial^k}{\partial x_1^k} (d_1, d_2)(t, \cdot) \right\|^2 \leq \frac{C_T \cdot \varepsilon^2}{(\nu^{\gamma-1} \delta^2)^{k+1}}, \quad k = 0, 1, 2, 3, \quad (2.10)$$

而且这已经包含了一般的物理情形 ($1 < \gamma < 3$). 若 $\gamma \geq 19$, 有

$$\left\| \frac{\partial^k}{\partial x_1^k} (d_1, d_2)(t, \cdot) \right\|^2 \leq \frac{C_T \cdot \varepsilon^2}{\nu^{k(\gamma-1)+\alpha} \delta^{2(k+1)}}, \quad k = 0, 1, 2, 3, \quad (2.11)$$

其中 $\alpha > \frac{5\gamma-23}{4}$. 注意到文 [37] 中引理 2.5 没有 $\gamma \geq 19$ 下双曲波的估计, 但其证明过程若把高阶导数估计详细展开, 即可得这一结论.

需要指出的是, 利用 (2.11) 可得 $\gamma \geq 19$ 情形下的粘性消失极限和衰减率, 其步骤与 $1 < \gamma < 19$ 的情形一致, 只是后续关于双曲波的估计均用 (2.11) 替换, 但考虑到较为繁琐并且 $1 < \gamma < 19$ 已经包含了一般的物理情形 ($1 < \gamma < 3$), 故而将 $\gamma \geq 19$ 的情况省略.

2.5 构造逼近解

结合本节 4 部分的叙述, 构造 2 维 NS 方程 (1.1) 和初值 (3.1) 的逼近解 $(\tilde{\rho}, \tilde{m}_1)(t, x_1)$ 为

$$\tilde{\rho}(t, x_1) = (\bar{\rho} + d_1)(t, x_1), \quad \tilde{m}_1(t, x_1) = (\bar{m}_1 + d_2)(t, x_1) := \tilde{\rho} \tilde{u}_1(t, x_1),$$

其满足如下方程组

$$\begin{cases} \tilde{\rho}_t + (\tilde{\rho} \tilde{u}_1)_{x_1} = 0, \\ (\tilde{\rho} \tilde{u}_1)_t + (\tilde{\rho} \tilde{u}_1^2 + p(\tilde{\rho}))_{x_1} = (2\mu + \lambda)\varepsilon \bar{u}_{1x_1x_1} + (\tilde{\rho} \tilde{u}_1^2 - \bar{\rho} \bar{u}_1^2 + \bar{u}_1^2 d_1 - 2\bar{u}_1 d_2)_{x_1} \\ \quad + (p(\tilde{\rho}) - p(\bar{\rho}) - p'(\bar{\rho})d_1)_{x_1}, \\ (\tilde{\rho}, \tilde{u}_1)(t=0, x_1) = (\bar{\rho}_0, \bar{u}_{10})(x_1). \end{cases} \quad (2.12)$$

根据引理 2.4 可知

$$\|(d_1, d_2)\|_{L^\infty(\mathbb{R})} \leq C_T \frac{\varepsilon}{\nu^{\frac{3}{4}\alpha} \delta^{\frac{3}{2}}},$$

同时由引理 2.3 中 (1) 知 $\nu < \bar{\rho} < \rho_+$ 以及 ν, δ 的取值 (3.9) 可推出

$$\left\| \frac{(d_1, d_2)}{\bar{\rho}} \right\|_{L^\infty(\mathbb{R})} \leq C_T \frac{\varepsilon}{\nu^{\frac{3}{4}\alpha+1} \delta^{\frac{3}{2}}} \leq C_T \varepsilon^{\beta'},$$

其中 β' 是任意小的正常数. 因此

$$\tilde{\rho} \sim \bar{\rho}, \quad \frac{\nu}{2} < \tilde{\rho} < \rho_+. \quad (2.13)$$

3 问题的转化

下面主要证明定理 1.1. 需利用 2 维 NS 方程 (1.1) 的解 $(\rho^\varepsilon, u_1^\varepsilon, u_2^\varepsilon)$ 和渐近方程 (2.12) 的解 $(\tilde{\rho}, \tilde{u}_1, 0)$, 定义扰动项 (ϕ, Ψ) :

$$\phi := \rho^\varepsilon(t, x_1, x_2) - \tilde{\rho}(t, x_1),$$

$$\Psi := (\psi_1, \psi_2)^T(t, x_1, x_2) = (u_1^\varepsilon, u_2^\varepsilon)^T(t, x_1, x_2) - (\tilde{u}_1, 0)^T(t, x_1),$$

这里 $(\rho^\varepsilon, u_1^\varepsilon, u_2^\varepsilon)$ 是 2 维 NS 方程 (1.1) 在给定的初值

$$(\rho^\varepsilon, u_1^\varepsilon, u_2^\varepsilon)(t=0, x_1, x_2) := (\bar{\rho}_0, \bar{u}_{10}, 0)(x_1) + (\phi_0, \psi_{10}, \psi_{20})(x_1, x_2) \quad (3.1)$$

下的解. 为了得到更高的衰减率 (命题 3.1), 对时空变量做尺度伸缩变换

$$\tau = \frac{t}{\varepsilon}, \quad y_1 = \frac{x_1}{\varepsilon}, \quad y_2 = \frac{x_2}{\varepsilon},$$

并且仍用 $(\phi, \Psi)(\tau, y_1, y_2)$ 表示扰动项, 利用 2 维 NS 方程 (1.1) 减去逼近方程 (2.12) 得

到扰动方程:

$$\left\{ \begin{array}{l} \phi_\tau + \rho \operatorname{div} \Psi + \phi \tilde{u}_{1y_1} + u \nabla \phi + \psi_1 \tilde{\rho}_{y_1} = 0, \\ \rho \Psi_\tau + \rho u_1 \Psi_{y_1} + \rho u_2 \Psi_{y_2} + (\rho \psi_1 \tilde{u}_{1y_1}, 0)^T + p'(\rho) \nabla \phi \\ + \left(\left(p'(\rho) - \frac{\rho}{\tilde{\rho}} p'(\tilde{\rho}) \right) \tilde{\rho}_{y_1}, 0 \right)^T + \left((2\mu + \lambda) \frac{\bar{u}_{1y_1 y_1} \phi}{\tilde{\rho}}, 0 \right)^T \\ + \left(\frac{(\tilde{\rho} \tilde{u}_1^2 - \bar{\rho} \bar{u}_1^2 + \bar{u}_1^2 d_1 - 2\bar{u}_1 d_2)_{y_1} \phi}{\tilde{\rho}}, 0 \right)^T \\ + \left(\frac{(p(\tilde{\rho}) - p(\bar{\rho}) - p'(\bar{\rho}) d_1)_{y_1} \phi}{\tilde{\rho}}, 0 \right)^T \\ = \mu \Delta \Psi + (\mu + \lambda) \nabla \operatorname{div} \Psi + \left((2\mu + \lambda) \left(\frac{-d_1 \bar{u}_1 + d_2}{\tilde{\rho}} \right)_{y_1 y_1}, 0 \right)^T \\ - ((\tilde{\rho} \tilde{u}_1^2 - \bar{\rho} \bar{u}_1^2 + \bar{u}_1^2 d_1 - 2\bar{u}_1 d_2)_{y_1}, 0)^T - ((p(\tilde{\rho}) - p(\bar{\rho}) - p'(\bar{\rho}) d_1)_{y_1}, 0)^T, \end{array} \right. \quad (3.2)$$

由 (3.1) 给定的扰动方程初值为

$$(\phi, \Psi)(\tau = 0, y_1, y_2) := (\phi_0, \psi_{10}, \psi_{20})(\varepsilon y_1, \varepsilon y_2), \quad (3.3)$$

并满足

$$E_0^2 := \frac{1}{\nu^2} \|\phi_0\|_{H^2(\Omega_\varepsilon)}^2 + \|\Psi_0\|_{H^2(\Omega_\varepsilon)}^2 = O\left(\frac{\varepsilon}{\nu^{2\alpha+2}\delta^4}\right).$$

$\forall \tau_1 \in (0, \frac{T}{\varepsilon})$, 扰动方程 (3.2)–(3.3) 选取的解空间为

$$\begin{aligned} & \Pi(0, \tau_1) \\ &= \left\{ (\phi, \Psi) \mid \begin{array}{l} (\bar{\rho}^{\frac{\gamma-2}{2}} \phi, \bar{\rho}^{\frac{1}{2}} \Psi) \in C^0(0, \tau_1; L^2(\Omega_\varepsilon)), (\nabla \phi, \nabla \Psi) \in C^0(0, \tau_1; H^1(\Omega_\varepsilon)), \\ \nabla \Psi \in L^2(0, \tau_1; H^2(\Omega_\varepsilon)). \end{array} \right\}. \end{aligned}$$

给定先验假设

$$\left\{ \begin{array}{l} E^2 := \sup_{0 \leq \tau \leq \tau_1(\varepsilon)} \|\nabla \phi\|_1^2 \leq \frac{1}{160} \nu^{\alpha+3}, \\ \sup_{0 \leq \tau \leq \tau_1(\varepsilon)} \|\phi\|_\infty \leq \frac{\nu}{4}, \end{array} \right. \quad (3.4)$$

其中第一个式子是为了估计 (4.9), 第二个式子表明主要考虑小扰动情形, 这是为了 Φ 的下界控制且根据 (2.13) 可知 $\rho \sim \tilde{\rho} \sim \bar{\rho}$.

注 3.1 $\bar{\rho}$ 在真空附近截断能保证动量方程 (1.1)₂ 是严格抛物的, 这对于证明 NS 方程经典解的局部存在性和全局存在性是至关重要的.

命题 3.1 (全局存在性和一致估计) 存在正常数 $\varepsilon_1 < 1$, 如果 $0 < \varepsilon \leq \varepsilon_1$ 和取 ν, δ 满足 (3.9), 则扰动方程 (3.2)–(3.3) 有唯一整体解 $(\phi, \Psi) \in \Pi(0, \frac{T}{\varepsilon})$, 且满足

$$\begin{aligned} & \sup_{0 \leq \tau \leq \frac{T}{\varepsilon}} [\|(\bar{\rho}^{\frac{\gamma-2}{2}} \phi, \bar{\rho}^{\frac{1}{2}} \Psi)(\tau)\|^2 + \|(\nabla \phi, \nabla \Psi)(\tau)\|_1^2] \\ & + \int_0^{\frac{T}{\varepsilon}} [\|(\bar{\rho}^{\frac{\gamma-2}{2}} \bar{u}_{1x_1}^{\frac{1}{2}} \phi, \bar{\rho}^{\frac{1}{2}} \bar{u}_{1x_1}^{\frac{1}{2}} \psi_1)\|^2 + \|\bar{\rho}^{\frac{\gamma-2}{2}} (\nabla \phi, \nabla^2 \phi)\|^2 + \|\nabla \Psi\|_2^2] d\tau \\ & \leq E_0^2 + \frac{C_T \varepsilon}{\nu^{2\alpha+2}\delta^4}, \end{aligned}$$

其中 C_T 是与时间 T 有关但与 ε, δ, ν 无关的正常数.

注 3.2 如果命题 3.1 成立, 结合扰动方程 (3.2) 的局部存在性 (证明是标准的, 因此一般情形下省略), 可得到扰动方程的整体光滑解的存在性, 即定理 1.1 的光滑解的整体存在性. 需要指出的是, 命题 3.1 的衰减率 $\frac{C_T \varepsilon}{\nu^{2\alpha+2}\delta^4}$ 取决于引理 4.1 对粘性误差项 I_1 的估计 (4.5).

由命题 3.1 的一致估计有 (ϕ, Ψ) 的 H^2 估计为

$$\begin{cases} \|(\phi, \Psi)\| \leq C_T \frac{\varepsilon^{\frac{1}{2}}}{\nu^{\alpha+s_0}\delta^2}, \\ \|(\nabla\phi, \nabla\Psi)\|_1 \leq C_T \frac{\varepsilon^{\frac{1}{2}}}{\nu^{\alpha+1}\delta^2}, \end{cases} \quad (3.5)$$

其中

$$s_0 = \begin{cases} \frac{3}{2}, & 1 < \gamma \leq 3, \\ \frac{\gamma}{2}, & \gamma > 3. \end{cases}$$

为了满足先验假设 (3.4)₁, 联合 (3.5) 需满足下式:

$$C_T \frac{\varepsilon}{\nu^{2(\alpha+1)}\delta^4} \leq \frac{1}{160} \nu^{\alpha+3}. \quad (3.6)$$

同理为了满足先验假设 (3.4)₂, 需要有以下式成立:

$$\begin{aligned} \sup_{0 \leq t \leq T} \|(\phi, \Psi)(t, x_1, x_2)\|_{L^\infty(\Omega)} &= \sup_{0 \leq \tau \leq \frac{T}{\varepsilon}} \|(\phi, \Psi)(\tau, y_1, y_2)\|_{L^\infty(\Omega_\varepsilon)} \\ &\leq C(\|(\phi, \Psi)\|_{L^2(\Omega_\varepsilon)}^{\frac{1}{2}} \|(\nabla\phi, \nabla\Psi)\|_{L^2(\Omega_\varepsilon)}^{\frac{1}{2}} + \|(\nabla\phi, \nabla\Psi)\|_{L^2(\Omega_\varepsilon)}^{\frac{1}{2}} \|(\nabla^2\phi, \nabla^2\Psi)\|_{L^2(\Omega_\varepsilon)}^{\frac{1}{2}}) \\ &\leq C_T \frac{\varepsilon^{\frac{1}{2}}}{\nu^{(\alpha+\frac{s_0+1}{2})}\delta^2} \leq \frac{\nu}{4}. \end{aligned} \quad (3.7)$$

第一个不等号成立是由于 Sobolev 不等式, 其中的 C 与 ε 无关, 虽然积分区域与 ε 有关, 具体说明见文 [34, 43], 第二个不等号成立是根据估计 (3.5) 所得. 上式表明, 扰动量 (ϕ, Ψ) 与截断参数 ν 同阶, 甚至是更低阶.

利用 (3.6)–(3.7), (2.9), (2.7), (2.3) 可以得到, 对于任意给定的正常数 $0 < h < T$, 有

$$\begin{aligned} &\sup_{h \leq t \leq T} \|\rho - \rho^{r_2}\|_{L^\infty(\Omega)} \\ &\leq \sup_{0 \leq t \leq T} \|\rho - \tilde{\rho}\|_{L^\infty(\Omega)} + \sup_{0 \leq t \leq T} \|\tilde{\rho} - \bar{\rho}\|_{L^\infty(\Omega)} \\ &\quad + \sup_{h \leq t \leq T} \|\bar{\rho} - \rho_\nu^{r_2}\|_{L^\infty(\Omega)} + \sup_{h \leq t \leq T} \|\rho_\nu^{r_2} - \rho^{r_2}\|_{L^\infty(\Omega)} \\ &\leq \frac{\nu}{4} + C_T \frac{\varepsilon}{\nu^{\frac{3}{4}\alpha}\delta^{\frac{3}{2}}} + C_{h,T} \delta \ln |\delta| + C_{h,T} \nu \\ &\leq C_{h,T} \delta \ln |\delta| + C_{h,T} \nu, \\ &\sup_{h \leq t \leq T} \|m_1 - m_1^{r_2}\|_{L^\infty(\Omega)} \\ &\leq \sup_{0 \leq t \leq T} \|m_1 - \tilde{m}_1\|_{L^\infty(\Omega)} + \sup_{0 \leq t \leq T} \|\tilde{m}_1 - \bar{m}_1\|_{L^\infty(\Omega)} \end{aligned}$$

$$\begin{aligned}
& + \sup_{h \leq t \leq T} \|\bar{m}_1 - m_{1\nu}^{r_2}\|_{L^\infty(\Omega)} + \sup_{h \leq t \leq T} \|m_{1\nu}^{r_2} - m_1^{r_2}\|_{L^\infty(\Omega)} \\
& \leq C \sup_{0 \leq t \leq T} \|\phi, \Psi\|_{L^\infty(\Omega)} + C \sup_{0 \leq t \leq T} \left\| \left(d_1, \frac{-d_1 \bar{u}_1 + d_2}{\tilde{\rho}} \right) \right\|_{L^\infty(\Omega)} \\
& \quad + C \sup_{h \leq t \leq T} \|(\bar{\rho} - \rho_\nu^{r_2}, \bar{u}_1 - u_{1\nu}^{r_2})\|_{L^\infty(\Omega)} + C_{h,T} \nu \\
& \leq \frac{\nu}{4} + C_T \frac{\varepsilon}{\nu^{\frac{3}{4}\alpha+1} \delta^{\frac{3}{2}}} + C_{h,T} \delta \ln |\delta| + C_{h,T} \nu \\
& \leq C_{h,T} \delta \ln |\delta| + C_{h,T} \nu,
\end{aligned}$$

$$\sup_{0 \leq t \leq T} \|m_2\| \leq C \sup_{0 \leq t \leq T} \|\psi_2\|_{L^\infty(\Omega)} \leq C_T \frac{\varepsilon^{\frac{1}{2}}}{\nu^{(\alpha+\frac{s_0+1}{2})} \delta^2} \leq C_T \nu.$$

注 3.3 以上估计在 $t = 0$ 附近截断, 是因为引理 2.1 中 (2.3) 和引理 2.3 中 (2.7) 在远离初始时刻 $t = 0$ 的情形下成立. 但 (d_1, d_2) 和 (ϕ, Ψ) 不需要在 $t = 0$ 附近截断, 因为引理 2.4 和命题 3.1 在 $t = 0$ 处仍成立.

注 3.4 上述讨论表明, 在 (3.7) 成立的前提下, 最终衰减率是由引理 2.4 的双曲波估计, 磨光的截断 2-稀疏波的待定参数 δ 的 $\delta |\ln \delta|$, 截断参数 ν 共同决定. 结合 (3.6) 易知

$$\max \left\{ \frac{\varepsilon}{\nu^{\frac{3}{4}\alpha} \delta^{\frac{3}{2}}}, \frac{\varepsilon}{\nu^{\frac{3}{4}\alpha+1} \delta^{\frac{3}{2}}} \right\} \leq \nu,$$

因此粘性消失极限问题的最终的衰减率由 $\delta |\ln \delta|$ 和 ν 共同决定. 需要指出的是, (3.6)–(3.7) 的成立是为了使先验假设 (3.4) 成立. 考虑到若设 $\delta = \varepsilon^a$, 则相应的衰减率决定项中有 $\delta |\ln \delta| = a \varepsilon^a |\ln \varepsilon|$, 取 $\nu = \varepsilon^a |\ln \varepsilon|$, 这样的话 $\delta |\ln \delta|$ 与 ν 同阶, 可使衰减率取到最优. 下面证明 $a = \frac{1}{3(\gamma+2)}$ 时, 衰减率达到最优.

设 $\nu = \varepsilon^a |\ln \varepsilon|$, $\delta = \varepsilon^a$, 结合 (3.6), 可得

$$1 - (2\alpha + 2 + 4)a \geq (\alpha + 3)a,$$

根据引理 2.4 中 $\alpha = \gamma - 1$ ($1 < \gamma < 19$), 得到 $a \leq \frac{1}{3(\gamma+2)}$, 取最大值

$$a = \frac{1}{3(\gamma+2)}, \quad (3.8)$$

因此有

$$\nu = \varepsilon^{\frac{1}{3(\gamma+2)}} |\ln \varepsilon|, \quad \delta = \varepsilon^{\frac{1}{3(\gamma+2)}}. \quad (3.9)$$

故而得到

$$\sup_{h \leq t \leq T} \|(\rho - \rho^{r_2}, m_1 - m_1^{r_2}, m_2)\|_{L^\infty(\Omega)} \leq C_{h,T} \varepsilon^{\frac{1}{3(\gamma+2)}} |\ln \varepsilon|,$$

即证带真空平坦稀疏波的粘性消失并得到关于粘性一致的收敛率.

命题 3.2 (先验估计) 设 $(\phi, \Psi) \in \Pi(0, \tau(\varepsilon))$ 是 (3.2)–(3.3) 的解, $\forall \tau(\varepsilon) \in (0, \frac{T}{\varepsilon})$, 则存在与 $\varepsilon, \delta, \nu, \tau_1(\varepsilon)$ 无关的常数 $\varepsilon_2 > 0$, 使得对任意 $0 < \varepsilon \leq \varepsilon_2$, 满足以下估计

$$\begin{aligned}
& \sup_{0 \leq \tau \leq \tau(\varepsilon)} [\|(\bar{\rho}^{\frac{\gamma-2}{2}} \phi, \bar{\rho}^{\frac{1}{2}} \Psi)(\tau)\|^2 + \|(\nabla \phi, \nabla \Psi)(\tau)\|_1^2] \\
& + \int_0^{\tau(\varepsilon)} [\|(\bar{\rho}^{\frac{\gamma-2}{2}} \bar{u}_{1x_1}^{\frac{1}{2}} \phi, \bar{\rho}^{\frac{1}{2}} \bar{u}_{1x_1}^{\frac{1}{2}} \psi_1)\|^2 + \|\bar{\rho}^{\frac{\gamma-2}{2}} (\nabla \phi, \nabla^2 \phi)\|^2 + \|\nabla \Psi\|_2^2] d\tau
\end{aligned}$$

$$\leq E_0^2 + \frac{C_T \varepsilon}{\nu^{2\alpha+2} \delta^4},$$

其中 C_T 是与时间 T 有关但与 ε, δ, ν 无关的正常数.

下面主要给出命题 3.2 的证明.

4 先验估计

引理 4.1 存在正常数 C_T , 对任意 $\tau(\varepsilon) \in (0, \frac{T}{\varepsilon})$, 有以下估计

$$\begin{aligned} & \sup_{0 \leq \tau \leq \tau(\varepsilon)} \|(\bar{\rho}^{\frac{\gamma-2}{2}} \phi, \bar{\rho}^{\frac{1}{2}} \Psi)(\tau)\|^2 \\ & + \int_0^{\tau(\varepsilon)} [\|(\bar{\rho}^{\frac{\gamma-2}{2}} \bar{u}_{1y_1} \phi, \bar{\rho}^{\frac{1}{2}} \bar{u}_{1y_1} \psi_1)\|^2 + \|\nabla \Psi\|^2] d\tau \leq E_0^2 + \frac{C_T \varepsilon}{\nu^{2\alpha+2} \delta^4}. \end{aligned}$$

证 将 (3.2)₂ 乘以 Ψ , 有

$$\begin{aligned} & \left(\rho \frac{|\Psi|^2}{2} \right)_\tau + \operatorname{div} \left(\rho u \frac{|\Psi|^2}{2} - \mu \psi_i \nabla \psi_i + (\mu + \lambda) \Psi \operatorname{div} \Psi \right) + \rho \tilde{u}_{1y_1} \psi_1^2 \\ & + \mu |\nabla \Psi|^2 + (\mu + \lambda) |\operatorname{div} \Psi|^2 + p'(\rho) \nabla \phi \cdot \Psi + \left(p'(\rho) - \frac{\rho}{\bar{\rho}} p'(\bar{\rho}) \right) \tilde{\rho}_{y_1} \psi_1 \\ & = (2\mu + \lambda) \left(\frac{-d_1 \bar{u}_1 + d_2}{\tilde{\rho}} \right)_{y_1 y_1} \psi_1 - (\tilde{\rho} \tilde{u}_1^2 - \bar{\rho} \bar{u}_1^2 + \bar{u}_1^2 d_1 - 2\bar{u}_1 d_2)_{y_1} \psi_1 \\ & - (p(\tilde{\rho}) - p(\bar{\rho}) - p'(\bar{\rho}) d_1)_{y_1} \psi_1 - (2\mu + \lambda) \frac{\bar{u}_{1y_1 y_1} \phi \psi_1}{\bar{\rho}} \\ & - \frac{(\tilde{\rho} \tilde{u}_1^2 - \bar{\rho} \bar{u}_1^2 + \bar{u}_1^2 d_1 - 2\bar{u}_1 d_2)_{y_1} \phi \psi_1}{\tilde{\rho}} - \frac{(p(\tilde{\rho}) - p(\bar{\rho}) - p'(\bar{\rho}) d_1)_{y_1} \phi \psi_1}{\tilde{\rho}}. \end{aligned} \quad (4.1)$$

定义位势函数

$$\Phi(\rho, \tilde{\rho}) := \int_{\tilde{\rho}}^{\rho} \frac{p(s) - p(\tilde{\rho})}{s^2} ds = \frac{1}{(\gamma - 1)\rho} (p(\rho) - p(\tilde{\rho}) - p'(\tilde{\rho})\phi).$$

结合 (3.2)₁, 直接推导出

$$\begin{aligned} & (\rho \Phi)_\tau + \operatorname{div}(\rho u \Phi + (p(\rho) - p(\tilde{\rho}))\Psi) + \tilde{u}_{1y_1} (p(\rho) - p(\tilde{\rho}) - p'(\tilde{\rho})\phi) \\ & - p'(\rho) \nabla \phi \cdot \Psi - \left(p'(\rho) - \frac{\rho}{\tilde{\rho}} p'(\tilde{\rho}) \right) \tilde{\rho}_{y_1} \varphi_1 = 0. \end{aligned} \quad (4.2)$$

其中注意到

$$\rho \psi_1^2 \tilde{u}_{1y_1} = \rho \psi_1^2 \bar{u}_{1y_1} + \rho \psi_1^2 \left(\frac{-d_1 \bar{u}_1 + d_2}{\tilde{\rho}} \right)_{y_1},$$

根据 (2.13) 中 $\tilde{\rho} \sim \bar{\rho}$ 以及先验假设 $\|\phi\|_\infty \leq \frac{\nu}{4} \leq \bar{\rho}$, 有下式成立

$$\Phi(\rho, \tilde{\rho}) = \frac{1}{(\gamma - 1)} (p(\rho) - p(\tilde{\rho}) - p'(\tilde{\rho})\phi) \geq C \tilde{\rho}^{\gamma-2} \phi^2 \sim C \bar{\rho}^{\gamma-2} \phi^2, \quad (4.3)$$

结合上述关系式, 对 (4.1)–(4.2) 在 $[0, \tau] \times \Omega$ 上积分, 有

$$\begin{aligned} & \|(\bar{\rho}^{\frac{\gamma-2}{2}} \phi, \bar{\rho}^{\frac{1}{2}} \Psi)(\tau)\|^2 + \int_0^\tau (\|\bar{\rho}^{\frac{\gamma-2}{2}} \bar{u}_{1y_1}^{\frac{1}{2}} \phi\|^2 + \|\bar{\rho}^{\frac{1}{2}} \bar{u}_{1y_1}^{\frac{1}{2}} \Psi\|^2 + \|\nabla \Psi\|^2) d\tau \\ & \leq E_0^2 + \left| \int_0^\tau \iint_{\Omega_\varepsilon} \left[(2\mu + \lambda) \left(\frac{-d_1 \bar{u}_1 + d_2}{\tilde{\rho}} \right)_{y_1 y_1} \psi_1 \right. \right. \end{aligned}$$

$$\begin{aligned}
& -(\widetilde{\rho}u_1^2 - \overline{\rho}u_1^2 + \overline{u}_1^2d_1 - 2\overline{u}_1d_2)_{y_1}\psi_1 - (p(\widetilde{\rho}) - p(\overline{\rho}) - p'(\overline{\rho})d_1)_{y_1}\psi_1 \\
& - (2\mu + \lambda) \frac{\overline{u}_{1y_1y_1}\phi\psi_1}{\widetilde{\rho}} - \frac{(\widetilde{\rho}u_1^2 - \overline{\rho}u_1^2 + \overline{u}_1^2d_1 - 2\overline{u}_1d_2)_{y_1}\phi\psi_1}{\widetilde{\rho}} \\
& - \frac{(p(\widetilde{\rho}) - p(\overline{\rho}) - p'(\overline{\rho})d_1)_{y_1}\phi\psi_1}{\widetilde{\rho}} - \rho\psi_1^2 \left(\frac{-d_1\overline{u}_1 + d_2}{\widetilde{\rho}} \right)_{y_1} \\
& - \left(\frac{-d_1\overline{u}_1 + d_2}{\widetilde{\rho}} \right)_{y_1} (p(\rho) - p(\widetilde{\rho}) - p'(\widetilde{\rho})\phi) \Big] dy_1 dy_2 d\tau \Big| \\
& := E_0^2 + \left| \sum_{i=1}^8 I_i \right|. \tag{4.4}
\end{aligned}$$

利用 Hölder 不等式, Young 不等式和 Sobolev 不等式, 下面分别对 I_i 进行估计. 先估计 I_1 , 有

$$\begin{aligned}
|I_1| &= \left| \int_0^\tau \iint_{\Omega_\varepsilon} (2\mu + \lambda) \left(\frac{-d_1\overline{u}_1 + d_2}{\widetilde{\rho}} \right)_{y_1 y_1} \psi_1 dy_1 dy_2 d\tau \right| \\
&= \left| \int_0^\tau \iint_{\Omega_\varepsilon} (2\mu + \lambda) \left(\frac{-d_1\overline{u}_1 + d_2}{\widetilde{\rho}} \right)_{y_1} \psi_{1y_1} dy_1 dy_2 d\tau \right| \\
&\leq C \int_0^\tau \left\| \left(\frac{-d_1\overline{u}_1 + d_2}{\widetilde{\rho}} \right)_{y_1} \right\| \cdot \|\psi_{1y_1}\| d\tau \\
&\leq \frac{1}{160} \int_0^\tau \|\psi_{1y_1}\|^2 d\tau + \int_0^\tau \left\| \left(\frac{-d_1\overline{u}_1 + d_2}{\widetilde{\rho}} \right)_{y_1} \right\|^2 d\tau \\
&\leq \frac{1}{160} \int_0^\tau \|\psi_{1y_1}\|^2 d\tau + C_T \varepsilon^{-1} \sup_{0 \leq t \leq T} \left\| \left(\frac{-d_1\overline{u}_1 + d_2}{\widetilde{\rho}} \right)_{x_1} \right\|^2 \\
&\leq \frac{1}{160} \int_0^\tau \|\psi_{1y_1}\|^2 d\tau + \frac{C_T \varepsilon}{\nu^{2\alpha+2}\delta^4}. \tag{4.5}
\end{aligned}$$

最后一个不等号成立是因为

$$\begin{aligned}
\left\| \frac{d_{2x_1}}{\widetilde{\rho}} \right\| &\leq \frac{1}{\nu} \|d_{2x_1}\| \leq \frac{\varepsilon}{\nu^{\alpha+1}\delta^2}, \\
\left\| \frac{d_1\overline{u}_{1x_1}}{\widetilde{\rho}} \right\| &\leq \frac{1}{\nu} \|\overline{u}_{1x_1}\|_\infty \|d_1\| \leq \frac{\varepsilon}{\nu^{\frac{\alpha}{2}+1}\delta^2}, \\
\left\| \frac{d_2\widetilde{\rho}_{x_1}}{\widetilde{\rho}^2} \right\| &\leq \frac{1}{\nu^{\frac{\alpha}{2}+1}} \|\overline{u}_{1x_1}\|_\infty \|d_2\| + \frac{1}{\nu^2} \|d_{1x_1}\|_\infty \|d_2\| \leq \frac{\varepsilon}{\nu^{\alpha+1}\delta^2},
\end{aligned}$$

故有 $\left\| \left(\frac{-d_1\overline{u}_1 + d_2}{\widetilde{\rho}} \right)_{x_1} \right\| \leq \frac{\varepsilon}{\nu^{\alpha+1}\delta^2}$. 再估计 I_2 , 有

$$\begin{aligned}
|I_2| &= \left| \int_0^\tau \iint_{\Omega_\varepsilon} (\widetilde{\rho}u_1^2 - \overline{\rho}u_1^2 + \overline{u}_1^2d_1 - 2\overline{u}_1d_2)_{y_1} \psi_1 dy_1 dy_2 d\tau \right| \\
&= \left| \int_0^\tau \iint_{\Omega_\varepsilon} \left(\frac{(-d_1\overline{u}_1 + d_2)^2}{\widetilde{\rho}} \right)_{y_1} \psi_1 dy_1 dy_2 d\tau \right| \\
&\leq \frac{C}{\nu^{\frac{1}{2}}} \int_0^\tau \left\| \left(\frac{(-d_1\overline{u}_1 + d_2)^2}{\widetilde{\rho}} \right)_{y_1} \right\| \cdot \|\widetilde{\rho}^{\frac{1}{2}}\psi_1\| d\tau \\
&\leq \frac{C}{\nu^{\frac{1}{2}}} \int_0^\tau \left\| \left(\frac{(-d_1\overline{u}_1 + d_2)^2}{\widetilde{\rho}} \right)_{y_1} \right\| d\tau \cdot \sup_{0 \leq \tau \leq \tau(\varepsilon)} \|\widetilde{\rho}^{\frac{1}{2}}\psi_1\|
\end{aligned}$$

$$\begin{aligned}
&\leq \frac{1}{160} \sup_{0 \leq \tau \leq \tau(\varepsilon)} \|\bar{\rho}^{\frac{1}{2}} \psi_1\|^2 + \frac{C_T}{\nu \varepsilon^2} \sup_{0 \leq t \leq T} \left\| \left(\frac{(-d_1 \bar{u}_1 + d_2)^2}{\bar{\rho}} \right)_{x_1} \right\|^2 \\
&\leq \frac{1}{160} \sup_{0 \leq \tau \leq \tau(\varepsilon)} \|\bar{\rho}^{\frac{1}{2}} \psi_1\|^2 + \frac{\varepsilon}{\nu^{\frac{3}{2}\alpha+1} \delta^3} \frac{C_T \varepsilon}{\nu^{2\alpha+2} \delta^4}.
\end{aligned}$$

I₂ 估计的最后一个不等号用到下面结果

$$\begin{aligned}
\left(\frac{(-d_1 \bar{u}_1 + d_2)^2}{\bar{\rho}} \right)_{x_1} &= \frac{(-d_1 \bar{u}_1 + d_2)^2_{x_1}}{\bar{\rho}} - \frac{(-d_1 \bar{u}_1 + d_2)^2 \tilde{\rho}_{x_1}}{\bar{\rho}^2}, \\
\left\| \frac{d_2 d_{2x_1}}{\bar{\rho}} \right\| &\leq \frac{1}{\nu} \|d_2\|_{\infty} \|d_{2x_1}\| \leq \frac{\varepsilon^2}{\nu^{\frac{7}{4}\alpha+1} \delta^{\frac{7}{2}}}, \\
\left\| \frac{d_1^2 \bar{u}_1 \bar{u}_{1x_1}}{\bar{\rho}} \right\| &\leq \frac{1}{\nu} \|\bar{u}_{1x_1}\|_{\infty} \|d_1\|_{\infty} \|d_1\| \leq \frac{\varepsilon^2}{\nu^{\frac{5}{4}\alpha+1} \delta^{\frac{7}{2}}}, \\
\left\| \frac{d_2^2 \tilde{\rho}_{x_1}}{\bar{\rho}^2} \right\| &\leq \frac{1}{\nu^{\frac{\alpha}{2}+1}} \|\bar{u}_{1x_1}\|_{\infty} \|d_2\|_{\infty} \|d_2\| + \frac{1}{\nu^2} \|d_2\|_{\infty}^2 \|d_{2x_1}\| \leq \frac{\varepsilon^2}{\nu^{\frac{7}{4}\alpha+1} \delta^{\frac{7}{2}}},
\end{aligned}$$

因此有 $\left\| \left(\frac{(-d_1 \bar{u}_1 + d_2)^2}{\bar{\rho}} \right)_{x_1} \right\| \leq \frac{\varepsilon^2}{\nu^{\frac{7}{4}\alpha+1} \delta^{\frac{7}{2}}}$. 接着估计 I₃, 有

$$\begin{aligned}
|I_3| &= \left| \int_0^{\tau} \iint_{\Omega_{\varepsilon}} (p(\tilde{\rho}) - p(\bar{\rho}) - p'(\bar{\rho}) d_1)_{y_1} \psi_1 dy_1 dy_2 d\tau \right| \\
&\leq \frac{C}{\nu^{\frac{1}{2}}} \int_0^{\tau} \| (p(\tilde{\rho}) - p(\bar{\rho}) - p'(\bar{\rho}) d_1)_{y_1} \| d\tau \cdot \sup_{0 \leq \tau \leq \tau(\varepsilon)} \|\bar{\rho}^{\frac{1}{2}} \psi_1\| \\
&\leq \frac{1}{160} \sup_{0 \leq \tau \leq \tau(\varepsilon)} \|\bar{\rho}^{\frac{1}{2}} \psi_1\|^2 + \frac{C_T}{\nu \varepsilon^2} \sup_{0 \leq t \leq T} \| (p(\tilde{\rho}) - p(\bar{\rho}) - p'(\bar{\rho}) d_1)_{x_1} \|^2 \\
&\leq \frac{1}{160} \sup_{0 \leq \tau \leq \tau(\varepsilon)} \|\bar{\rho}^{\frac{1}{2}} \psi_1\|^2 + \frac{\varepsilon}{\nu^{\frac{3}{2}\alpha+1} \delta^3} \frac{C_T \varepsilon}{\nu^{2\alpha+2} \delta^4}.
\end{aligned}$$

最后一个不等号是因为

$$\begin{aligned}
(p(\tilde{\rho}) - p(\bar{\rho}) - p'(\bar{\rho}) d_1)_{x_1} &= (p'(\tilde{\rho}) - p'(\bar{\rho}) - p''(\bar{\rho}) d_1) \tilde{\rho}_{x_1} + p''(\bar{\rho}) d_1 d_{1x_1}, \\
\| (p'(\tilde{\rho}) - p'(\bar{\rho}) - p''(\bar{\rho}) d_1) \tilde{\rho}_{x_1} \| &\leq \frac{1}{\nu} \|\bar{u}_{1x_1}\|_{\infty} \|d_1\|_{\infty} \|d_1\| + \|d_{1x_1}\|_{\infty} \|d_1\|_{\infty} \|d_1\| \\
&\leq \frac{\varepsilon^2}{\nu^{\frac{5}{4}\alpha+1} \delta^{\frac{7}{2}}}, \\
\| p''(\bar{\rho}) d_1 d_{1x_1} \| &\leq \frac{1}{\nu} \|d_{1x_1}\|_{\infty} \|d_1\| \leq \frac{\varepsilon^2}{\nu^{\frac{7}{4}\alpha+1} \delta^{\frac{7}{2}}},
\end{aligned}$$

因而 $\| (p(\tilde{\rho}) - p(\bar{\rho}) - p'(\bar{\rho}) d_1)_{x_1} \| \leq \frac{\varepsilon^2}{\nu^{\frac{7}{4}\alpha+1} \delta^{\frac{7}{2}}}$. 然后估计 I₄, 得到

$$\begin{aligned}
|I_4| &= \left| \int_0^{\tau} \iint_{\Omega_{\varepsilon}} (2\mu + \lambda) \frac{\bar{u}_{1y_1 y_1} \phi \psi_1}{\bar{\rho}} dy_1 dy_2 d\tau \right| \\
&\leq \frac{C}{\nu^{\frac{\alpha}{2}+1}} \int_0^{\tau} \|\bar{u}_{y_1 y_1}\|_{\infty} \cdot \|\bar{\rho}^{\frac{\gamma-2}{2}} \phi\| \cdot \|\bar{\rho}^{\frac{1}{2}} \psi_1\| d\tau \\
&\leq \frac{C_T \varepsilon}{\nu^{\frac{\alpha}{2}+1} \delta^2} \sup_{0 \leq \tau \leq \tau(\varepsilon)} \|\bar{\rho}^{\frac{\gamma-2}{2}} \phi\| \cdot \sup_{0 \leq \tau \leq \tau(\varepsilon)} \|\bar{\rho}^{\frac{1}{2}} \psi_1\| \\
&\leq \frac{1}{160} \sup_{0 \leq \tau \leq \tau(\varepsilon)} \|\bar{\rho}^{\frac{\gamma-2}{2}} \phi\|^2 + \frac{C_T \varepsilon^2}{\nu^{\alpha+2} \delta^4} \sup_{0 \leq \tau \leq \tau(\varepsilon)} \|\bar{\rho}^{\frac{1}{2}} \psi_1\|^2.
\end{aligned}$$

由先验假设 (3.4)₂ 知 $\|\phi\|_\infty \leq \frac{\nu}{4} \leq \bar{\rho} \sim \tilde{\rho} \sim \rho$, 及 I_2, I_3 的估计, 则有 $|I_5| + |I_6| \leq \frac{C_T \varepsilon}{\nu^{2\alpha+2}\delta^4}$. 然后对于 I_7 , 有以下估计

$$\begin{aligned} |I_7| &= \left| \int_0^\tau \iint_{\Omega_\varepsilon} \rho \psi_1^2 \left(\frac{-d_1 \bar{u}_1 + d_2}{\rho} \right)_{y_1} dy_1 dy_2 d\tau \right| \\ &\leq C_T \sup_{0 \leq t \leq T} \left\| \left(\frac{-d_1 \bar{u}_1 + d_2}{\rho} \right)_{x_1} \right\|_\infty \cdot \sup_{0 \leq \tau \leq \tau(\varepsilon)} \|\bar{\rho}^{\frac{1}{2}} \psi_1\|^2 \\ &\leq \frac{C_T \varepsilon}{\nu^{\frac{5}{4}\alpha+1} \delta^{\frac{5}{2}}} \sup_{0 \leq \tau \leq \tau(\varepsilon)} \|\bar{\rho}^{\frac{1}{2}} \psi_1\|^2. \end{aligned}$$

最后一个不等号成立是因为

$$\begin{aligned} \left(\frac{-d_1 \bar{u}_1 + d_2}{\tilde{\rho}} \right)_{x_1} &= \frac{(-d_1 \bar{u}_1 + d_2)_{x_1}}{\tilde{\rho}} - \frac{(-d_1 \bar{u}_1 + d_2) \tilde{\rho}_{x_1}}{\tilde{\rho}^2}, \\ \left\| \frac{d_{2x_1}}{\tilde{\rho}} \right\|_\infty &\leq \frac{1}{\nu} \|d_{2x_1}\|_\infty \leq \frac{\varepsilon}{\nu^{\frac{5}{4}\alpha+1} \delta^{\frac{5}{2}}}, \\ \left\| \frac{d_1 \bar{u}_{1x_1}}{\tilde{\rho}} \right\|_\infty &\leq \frac{1}{\nu} \|\bar{u}_{1x_1}\|_\infty \|d_1\|_\infty \leq \frac{\varepsilon}{\nu^{\frac{3}{4}\alpha+1} \delta^{\frac{5}{2}}}, \\ \left\| \frac{d_2 \tilde{\rho}_{x_1}}{\tilde{\rho}^2} \right\|_\infty &\leq \frac{1}{\nu^{\frac{\alpha}{2}+1}} \|\bar{u}_{1x_1}\|_\infty \|d_2\|_\infty + \frac{1}{\nu^2} \|d_{1x_1}\|_\infty \|d_2\|_\infty \leq \frac{\varepsilon}{\nu^{\frac{5}{4}\alpha+1} \delta^{\frac{5}{2}}}, \end{aligned}$$

因此有 $\left\| \left(\frac{-d_1 \bar{u}_1 + d_2}{\tilde{\rho}} \right)_{x_1} \right\|_\infty \leq \frac{\varepsilon}{\nu^{\frac{5}{4}\alpha+1} \delta^{\frac{5}{2}}}$. 最后估计 I_8 , 可得

$$\begin{aligned} |I_8| &= \left| \int_0^\tau \iint_{\Omega_\varepsilon} \left(\frac{-d_1 \bar{u}_1 + d_2}{\tilde{\rho}} \right)_{y_1} (p(\rho) - p(\tilde{\rho}) - p'(\tilde{\rho})\phi) dy_1 dy_2 d\tau \right| \\ &\leq C \int_0^\tau \left\| \left(\frac{-d_1 \bar{u}_1 + d_2}{\tilde{\rho}} \right)_{y_1} \right\|_\infty \cdot \|\bar{\rho}^{\frac{\gamma-2}{2}} \phi\|^2 d\tau \\ &\leq C_T \sup_{0 \leq t \leq T} \left\| \left(\frac{-d_1 \bar{u}_1 + d_2}{\tilde{\rho}} \right)_{x_1} \right\|_\infty \sup_{0 \leq t \leq \tau(\varepsilon)} \|\bar{\rho}^{\frac{\gamma-2}{2}} \phi\|^2 \\ &\leq \frac{C_T \varepsilon}{\nu^{\frac{5}{4}\alpha+1} \delta^{\frac{5}{2}}} \sup_{0 \leq t \leq \tau(\varepsilon)} \|\bar{\rho}^{\frac{\gamma-2}{2}} \phi\|^2. \end{aligned}$$

综上 $I_i, 1 \leq i \leq 8$ 的估计, 如果 $\frac{\varepsilon}{\nu^{2\alpha+2}\delta^4} \leq \varepsilon^{\alpha'}$, α' 是任意小的正常数, 则有粘性误差项 I_1 确定最终的收敛率, 结合 (4.4), 引理得证.

注 4.1 以上关于 $I_i, 1 \leq i \leq 8$ 的估计都需要 $\tilde{\rho}$ 的正下界, 因此在真空附近对 2-稀疏波截断, 这样就有正下界 ν . 积分不等式 (4.4) 需要对 $(\bar{\rho}, \bar{u}_1)$ 的导数做估计, 但截断 2-稀疏波只是 Lipschitz 连续的, 故将 2-稀疏波磨光是有必要的. 这里引入双曲波 d_1, d_2 也是至关重要的, 因为稀疏波粘性项的存在会导致衰减率不足.

引理 4.2 存在正常数 C_T , 对任意 $\tau(\varepsilon) \in (0, \frac{T}{\varepsilon})$, 有

$$\begin{aligned} &\sup_{0 \leq \tau \leq \tau(\varepsilon)} [\|(\bar{\rho}^{\frac{\gamma-2}{2}} \phi, \bar{\rho}^{\frac{1}{2}} \Psi)(\tau)\|^2 + \|(\nabla \phi, \nabla \Psi)(\tau)\|^2] \\ &+ \int_0^{\tau(\varepsilon)} [\|(\bar{\rho}^{\frac{\gamma-2}{2}} \bar{u}_{1y_1} \phi, \bar{\rho}^{\frac{1}{2}} \bar{u}_{1y_1} \psi_1)\|^2 + \|\bar{\rho}^{\frac{\gamma-2}{2}} \nabla \phi\|^2 + \|\nabla \Psi\|_1^2] d\tau \\ &\leq E_0^2 + \frac{C_T \varepsilon}{\nu^{2\alpha+2}\delta^4}. \end{aligned}$$

证 对 (3.2)₁ 求梯度 ∇ , 再乘以 $\frac{\nabla\phi}{\rho^2}$, 有

$$\begin{aligned} & \left(\frac{|\nabla\phi|^2}{2\rho^2} \right)_\tau + \operatorname{div} \left(\frac{u|\nabla\phi|^2}{2\rho^2} \right) + \frac{\nabla \operatorname{div} \Psi \cdot \nabla\phi}{\rho} \\ &= \frac{|\nabla\phi|^2 \operatorname{div} \Psi}{2\rho^2} + \frac{\tilde{u}_{1y_1} |\nabla\phi|^2}{2\rho^2} - \frac{\tilde{\rho}_{y_1} \phi_{y_1} \operatorname{div} \Psi}{\rho^2} - \frac{\phi_{y_i} \nabla\phi \nabla\psi_i}{\rho^2} - \frac{\tilde{u}_{1y_1} \phi_{y_1}^2}{\rho^2} \\ & \quad - \frac{\tilde{\rho}_{y_1} \nabla\phi \cdot \nabla\psi_1}{\rho^2} - \frac{\tilde{\rho}_{y_1 y_1} \phi_{y_1} \psi_1}{\rho^2} - \frac{\tilde{u}_{1y_1 y_1} \phi_{y_1} \phi}{\rho^2} \\ &:= G(\tau, y_1, y_2). \end{aligned} \quad (4.6)$$

对 (3.2)₂ 乘以 $\frac{\nabla\phi}{\rho}$, 可得

$$\begin{aligned} & (\Psi \cdot \nabla\phi)_\tau + \operatorname{div} \left(-\Psi\phi_\tau + u_i \Psi_{y_i} \phi - u\phi \operatorname{div} \Psi - \frac{\mu}{\rho} \nabla \Psi_i \phi_{y_i} \right) \\ & + \left(\frac{\mu}{\rho} \nabla\psi_i \cdot \nabla\phi \right)_{y_i} + \frac{p'(\rho)}{\rho} |\nabla\phi|^2 - \frac{(2\mu + \lambda) \nabla \operatorname{div} \Psi \nabla\phi}{\rho} \\ &= \tilde{\rho} |\operatorname{div} \Psi|^2 + \phi \Psi_{y_i} \nabla\psi_i + \tilde{\rho}_{y_1} \psi_1 \operatorname{div} \Psi + \tilde{u}_{1y_1} \phi \psi_{1y_1} - \tilde{u}_{1y_1} \psi_1 \phi_{y_1} \\ & \quad - \frac{\mu}{\rho^2} \tilde{\rho}_{y_1} \nabla\psi_1 \nabla\phi + \frac{\mu}{\rho^2} \tilde{\rho}_{y_1} \Psi_{y_1} \nabla\phi - \left(\frac{p'(\rho)}{\rho} - \frac{p'(\tilde{\rho})}{\tilde{\rho}} \right) \tilde{\rho}_{y_1} \phi_{y_1} \\ & \quad - (2\mu + \lambda) \frac{\bar{u}_{1y_1 y_1} \phi_{y_1} \phi}{\tilde{\rho} \rho} - \frac{(\tilde{\rho} \bar{u}_1^2 - \bar{\rho} \bar{u}_1^2 + \bar{u}_1^2 d_1 - 2\bar{u}_1 d_2)_{y_1} \phi_{y_1} \phi}{\tilde{\rho} \rho} \\ & \quad - \frac{(p(\tilde{\rho}) - p(\bar{\rho}) - p'(\bar{\rho}) d_1)_{y_1} \phi_{y_1} \phi}{\tilde{\rho} \rho} + \frac{2\mu + \lambda}{\rho} \left(\frac{-d_1 \bar{u}_1 + d_2}{\tilde{\rho}} \right)_{y_1 y_1} \phi_{y_1} \\ & \quad - \frac{(\tilde{\rho} \bar{u}_1^2 - \bar{\rho} \bar{u}_1^2 + \bar{u}_1^2 d_1 - 2\bar{u}_1 d_2)_{y_1}}{\rho} \phi_{y_1} - \frac{(p(\tilde{\rho}) - p(\bar{\rho}) - p'(\bar{\rho}) d_1)_{y_1}}{\rho} \phi_{y_1} \\ &:= \tilde{\rho} |\operatorname{div} \Psi|^2 + H(\tau, y_1, y_2). \end{aligned} \quad (4.7)$$

将 (4.6) 乘以 $2\mu + \lambda$ 加上 (4.7), 最后在 $[0, \tau] \times \Omega_\varepsilon$ 上积分, 可得

$$\begin{aligned} & \iint_{\Omega_\varepsilon} \left[\frac{(2\mu + \lambda) |\nabla\phi|^2}{2\rho^2} + \Psi \cdot \nabla\phi \right] dy_1 dy_2 \Big|_0^\tau + \int_0^\tau \iint_{\Omega_\varepsilon} \frac{p'(\rho)}{\rho} |\nabla\phi|^2 dy_1 dy_2 d\tau \\ &= \int_0^\tau \iint_{\Omega_\varepsilon} [\tilde{\rho} |\operatorname{div} \Psi|^2 + (2\mu + \lambda) G(\tau, y_1, y_2) + H(\tau, y_1, y_2)] dy_1 dy_2 d\tau. \end{aligned}$$

结合引理 4.1 和上述积分式, 可得

$$\begin{aligned} & \|(\bar{\rho}^{\frac{\gamma-2}{2}} \phi, \bar{\rho}^{\frac{1}{2}} \Psi, \bar{\rho}^{-1} \nabla\phi)(\tau)\|^2 \\ & + \int_0^\tau [\|(\bar{\rho}^{\frac{\gamma-2}{2}} \bar{u}_{1y_1}^{\frac{1}{2}} \phi, \bar{\rho}^{\frac{1}{2}} \bar{u}_{1y_1} \psi_1)\|^2 + \|\bar{\rho}^{\frac{\gamma-2}{2}} \nabla\phi\|^2 + \|\nabla \Psi\|^2] d\tau \\ & \leq E_0^2 + \frac{C_T \varepsilon}{\nu^{2\alpha+2} \delta^4} + \int_0^\tau \iint_{\Omega_\varepsilon} [(2\mu + \lambda) G(\tau, y_1, y_2) + H(\tau, y_1, y_2)] dy_1 dy_2 d\tau. \end{aligned} \quad (4.8)$$

利用 Hölder 不等式, Young 不等式, Sobolev 不等式估计 $G(\tau, y_1, y_2), H(\tau, y_1, y_2)$, 由于 $G(\tau, y_1, y_2), H(\tau, y_1, y_2)$ 很多项估计方法类似, 因此只估计其中的特殊项, 下面先估计 $G(\tau, y_1, y_2)$ 中的项

$$\left| \int_0^\tau \iint_{\Omega_\varepsilon} \frac{|\nabla\phi|^2}{2\rho^2} \operatorname{div} \Psi dy_1 dy_2 d\tau \right|$$

$$\begin{aligned}
&\leq \frac{C}{\nu^{\frac{\alpha+3}{2}}} \int_0^\tau \|\bar{\rho}^{\frac{\gamma-2}{2}} \nabla \phi\| \cdot \|\nabla \phi\|_{L^4} \|\nabla \Psi\|_{L^4} d\tau \\
&\leq \frac{C}{\nu^{\frac{\alpha+3}{2}}} \int_0^\tau \|\bar{\rho}^{\frac{\gamma-2}{2}} \nabla \phi\| \cdot \|\nabla \phi\|_1 \|\nabla \Psi\|_1 d\tau \\
&\leq \frac{1}{160} \int_0^\tau \|\bar{\rho}^{\frac{\gamma-2}{2}} \nabla \phi\|^2 d\tau + \frac{C}{\nu^{\alpha+3}} \sup_{0 \leq \tau \leq \tau(\varepsilon)} \|\nabla \phi\|_1^2 \int_0^\tau \|\nabla \Psi\|_1^2 d\tau \\
&\leq \frac{1}{160} \int_0^\tau \|\bar{\rho}^{\frac{\gamma-2}{2}} \nabla \phi\|^2 d\tau + \frac{E^2}{\nu^{\alpha+3}} \int_0^\tau \|\nabla \Psi\|_1^2 d\tau, \tag{4.9}
\end{aligned}$$

上述估计需要注意的是第二个不等号利用 Sobolev 不等式 $\|f\|_{L^4(\mathbb{R} \times \mathbb{T}_\varepsilon)} \leq C\|f\|_1(\mathbb{R} \times \mathbb{T}_\varepsilon)$, 其中 C 是与 ε 无关的常数, 同时有先验假设 (3.4)₁ 知道右端项可控. 同理可以估计 $H(\tau, y_1, y_2)$ 中的项

$$\begin{aligned}
&\left| \int_0^\tau \iint_{\Omega_\varepsilon} \phi \Psi_{y_i} \nabla \psi_i dy_1 dy_2 d\tau \right| \leq \int_0^\tau \|\nabla \Psi\| \cdot \|\phi\|_{L^4} \|\nabla \psi_i\|_{L^4} d\tau \\
&\leq \int_0^\tau \|\nabla \Psi\| \cdot \|\phi\|_1 \|\nabla \psi_i\|_1 d\tau \leq \frac{1}{160} \int_0^\tau \|\nabla \Psi\|^2 d\tau + C \cdot E^2 \int_0^\tau \|\nabla \Psi\|_1^2 d\tau, \\
&\left| \int_0^\tau \iint_{\Omega_\varepsilon} \frac{(2\mu + \lambda)}{\rho} \left(\frac{-d_1 \bar{u}_1 + d_2}{\tilde{\rho}} \right)_{y_1 y_1} \phi_{y_1} dy_1 dy_2 d\tau \right| \\
&\leq \frac{C}{\nu^{\frac{\alpha+1}{2}}} \int_0^\tau \|\bar{\rho}^{\frac{\gamma-2}{2}} \nabla \phi\| \cdot \left\| \left(\frac{-d_1 \bar{u}_1 + d_2}{\tilde{\rho}} \right)_{y_1 y_1} \right\|^2 d\tau \\
&\leq \frac{1}{160} \int_0^\tau \|\bar{\rho}^{\frac{\gamma-2}{2}} \nabla \phi\|^2 d\tau + \frac{C}{\nu^{\alpha+1}} \int_0^\tau \left\| \left(\frac{-d_1 \bar{u}_1 + d_2}{\tilde{\rho}} \right)_{y_1 y_1} \right\|^2 d\tau \\
&\leq \frac{1}{160} \int_0^\tau \|\bar{\rho}^{\frac{\gamma-2}{2}} \nabla \phi\|^2 d\tau + \frac{C_T \varepsilon}{\nu^{\alpha+1}} \sup_{0 \leq t \leq T} \left\| \left(\frac{-d_1 \bar{u}_1 + d_2}{\tilde{\rho}} \right)_{x_1 x_1} \right\|^2 \\
&\leq \frac{1}{160} \int_0^\tau \|\bar{\rho}^{\frac{\gamma-2}{2}} \nabla \phi\|^2 d\tau + \frac{\varepsilon^2}{\nu^{2\alpha+1} \delta^2} \frac{C_T \varepsilon}{\nu^{2\alpha+2} \delta^4}.
\end{aligned}$$

最后一个不等号是因为

$$\begin{aligned}
\left(\frac{-d_1 \bar{u}_1 + d_2}{\tilde{\rho}} \right)_{x_1 x_1} &= \frac{(-d_1 \bar{u}_1 + d_2)_{x_1 x_1}}{\tilde{\rho}} - 2 \frac{(-d_1 \bar{u}_1 + d_2)_{x_1} \tilde{\rho}_{x_1}}{\tilde{\rho}^2} \\
&\quad + (-d_1 \tilde{u}_1 + d_2) \left(-\frac{\tilde{\rho}_{x_1 x_1}}{\tilde{\rho}^2} + 2 \frac{\tilde{\rho}_{x_1}^2}{\tilde{\rho}^3} \right), \\
\left\| \frac{d_{2x_1 x_1}}{\tilde{\rho}} \right\| &\leq \frac{1}{\nu} \|d_{2x_1 x_1}\| \leq \frac{\varepsilon}{\nu^{\frac{3}{2}\alpha+1} \delta^3}, \\
\left\| \frac{d_{1x_1} \bar{u}_{1x_1}}{\tilde{\rho}} \right\| &\leq \frac{1}{\nu} \|\bar{u}_{1x_1}\|_\infty \|d_{1x_1}\| \leq \frac{\varepsilon}{\nu^{\alpha+1} \delta^3}, \\
\left\| \frac{d_1 \bar{u}_{1x_1 x_1}}{\tilde{\rho}} \right\| &\leq \frac{1}{\nu} \|\bar{u}_{1x_1 x_1}\|_\infty \|d_1\| \leq \frac{\varepsilon}{\nu^{\frac{\alpha}{2}+1} \delta^3}, \\
\left\| \frac{d_{2x_1} \tilde{\rho}_{x_1}}{\tilde{\rho}^2} \right\| &\leq \frac{1}{\nu^{\frac{\alpha}{2}+1}} \|\bar{u}_{1x_1}\|_\infty \|d_{2x_1}\| + \frac{1}{\nu^2} \|d_{1x_1}\|_\infty \|d_{2x_1}\| \leq \frac{\varepsilon}{\nu^{\frac{3}{2}\alpha+1} \delta^3}, \\
\left\| \frac{d_1 \bar{u}_{1x_1} \tilde{\rho}_{x_1}}{\tilde{\rho}^2} \right\| &\leq \frac{1}{\nu^{\frac{\alpha}{2}+1}} \|u_{1x_1}\|_\infty^2 \|d_1\| + \frac{1}{\nu^2} \|\bar{u}_{1x_1}\|_\infty \|d_{1x_1}\|_\infty \|d_1\| \leq \frac{\varepsilon}{\nu^{\alpha+1} \delta^3}, \\
\left\| \frac{d_2 \tilde{\rho}_{x_1}^2}{\tilde{\rho}^3} \right\| &\leq \frac{2}{\nu^{\alpha+1}} \|\bar{u}_{1x_1}\|_\infty^2 \|d_2\| + \frac{2}{\nu^3} \|d_{1x_1}\|_\infty^2 \|d_2\| \leq \frac{\varepsilon}{\nu^{\frac{3}{2}\alpha+1} \delta^3},
\end{aligned}$$

$$\begin{aligned} \left\| \frac{d_2 \tilde{\rho}_{x_1 x_1}}{\tilde{\rho}^2} \right\| &\leq \frac{1}{\nu^{\frac{\alpha}{2}+1}} \|\bar{u}_{1x_1 x_1}\| \|d_1\| + \frac{1}{\nu^{\alpha+1}} \|\bar{u}_{1x_1}\|_\infty^2 \|d_2\| + \frac{1}{\nu^2} \|d_2\|_\infty \|d_{1x_1 x_1}\| \\ &\leq \frac{\varepsilon}{\nu^{\frac{3}{2}\alpha+1} \delta^3}, \end{aligned}$$

因此有 $\left\| \left(\frac{-d_1 \bar{u}_1 + d_2}{\tilde{\rho}} \right)_{x_1 x_1} \right\| \leq \frac{\varepsilon}{\nu^{\frac{3}{2}\alpha+1} \delta^3}$. 结合 (4.8) 及先验假设 (3.4), 可得

$$\begin{aligned} &\|(\bar{\rho}^{\frac{\gamma-2}{2}} \phi^2, \bar{\rho}^{\frac{1}{2}} \Psi, \bar{\rho}^{-1} \nabla \phi)(\tau)\|^2 \\ &+ \int_0^\tau [\|(\bar{\rho}^{\frac{\gamma-2}{2}} \bar{u}_{1y_1}^{\frac{1}{2}} \phi, \bar{\rho}^{\frac{1}{2}} \bar{u}_{1y_1} \psi_1)\|^2 + \|\bar{\rho}^{\frac{\gamma-2}{2}} \nabla \phi\|^2 + \|\nabla \Psi\|^2] d\tau \\ &\leq E_0^2 + \frac{C_T \varepsilon}{\nu^{2\alpha+2} \delta^4} + \frac{E^2}{\nu^{\alpha+3}} \int_0^\tau \|\nabla^2 \Psi\|^2 d\tau. \end{aligned} \quad (4.10)$$

为了封闭上式估计, 需要对 (3.2)₂ 乘以 $-\frac{\Delta \Psi}{\rho}$, 可得

$$\begin{aligned} &\left(\frac{|\nabla \Psi|^2}{2} \right)_\tau - \operatorname{div} \left(\psi_{i\tau} \nabla \psi_i + \frac{\mu + \lambda}{\rho} \operatorname{div} \Psi \nabla \operatorname{div} \Psi - \frac{\mu + \lambda}{\rho} \operatorname{div} \Psi \Delta \Psi \right) \\ &+ \frac{\mu}{\rho} |\Delta \Psi|^2 + \frac{\mu + \lambda}{\rho} |\nabla \operatorname{div} \Psi|^2 \\ &= u_i \Psi_{y_i} \cdot \Delta \Psi + \frac{p'(\rho)}{\rho} \nabla \phi \cdot \Delta \Psi + \tilde{u}_{1y_1} \psi_1 \Delta \psi_1 + \left(\frac{p'(\rho)}{\rho} - \frac{p'(\tilde{\rho})}{\tilde{\rho}} \right) \tilde{\rho}_{y_1} \Delta \psi_1 \\ &- \frac{\mu + \lambda}{\rho^2} \operatorname{div} \Psi \nabla \phi \cdot \Delta \Psi + \frac{\mu + \lambda}{\rho^2} \operatorname{div} \Psi \nabla \phi \cdot \nabla \operatorname{div} \Psi \\ &- \frac{\mu + \lambda}{\rho^2} \tilde{\rho}_{y_1} \operatorname{div} \Psi \Delta \psi_1 + \frac{\mu + \lambda}{\rho^2} \tilde{\rho}_{y_1} \operatorname{div} \Psi \operatorname{div} \Psi_{y_1} \\ &- \frac{2\mu + \lambda}{\rho^2} \left(\frac{-d_1 \bar{u}_1 + d_2}{\tilde{\rho}} \right)_{y_1 y_1} \Delta \psi_1 + \frac{(\tilde{\rho} \bar{u}_1^2 - \bar{\rho} \bar{u}_1^2 + \bar{u}_1^2 d_1 - 2\bar{u}_1 d_2)_{y_1}}{\rho} \Delta \psi_1 \\ &+ \frac{(p(\tilde{\rho}) - p(\bar{\rho}) - p'(\bar{\rho}) d_1)_{y_1}}{\rho} \Delta \psi_1 + \frac{2\mu + \lambda}{\tilde{\rho} \rho} \bar{u}_{1y_1 y_1} \phi \Delta \psi_1 \\ &+ \frac{(\tilde{\rho} \bar{u}_1^2 - \bar{\rho} \bar{u}_1^2 + \bar{u}_1^2 d_1 - 2\bar{u}_1 d_2)_{y_1}}{\tilde{\rho} \rho} \phi \Delta \psi_1 + \frac{(p(\tilde{\rho}) - p(\bar{\rho}) - p'(\bar{\rho}) d_1)_{y_1}}{\tilde{\rho} \rho} \phi \Delta \psi_1 \\ &:= K(\tau, y_1, y_2). \end{aligned}$$

再将上式在 $[0, t] \times \Omega_\varepsilon$ 上积分, 可得

$$\|\nabla \Psi(\tau)\|^2 + \int_0^\tau \|\rho^{-\frac{1}{2}} \Delta \Psi\|^2 d\tau \leq E_0^2 + C \left| \int_0^\tau \iint_{\Omega_\varepsilon} K(\tau, y_1, y_2) dy_1 dy_2 d\tau \right|. \quad (4.11)$$

同样只估计 $K(\tau, y_1, y_2)$ 中的某些特殊项

$$\begin{aligned} &\left| \int_0^\tau \iint_{\Omega_\varepsilon} u_i \Psi_{y_i} \cdot \Delta \Psi dy_1 dy_2 d\tau \right| \leq \frac{1}{160} \int_0^\tau \|\nabla^2 \Psi\|^2 d\tau + C \int_0^\tau \|\nabla \Psi\|^2 d\tau, \\ &\left| \int_0^\tau \iint_{\Omega_\varepsilon} \frac{p'(\rho)}{\rho} \nabla \phi \Delta \Psi dy_1 dy_2 d\tau \right| \leq \frac{1}{160} \int_0^\tau \|\rho^{-\frac{1}{2}} \Delta \Psi\|^2 d\tau + C \int_0^\tau \|\bar{\rho}^{\frac{\gamma-2}{2}} \nabla \phi\|^2 d\tau, \\ &\left| \int_0^\tau \iint_{\Omega_\varepsilon} \tilde{u}_{1y_1} \psi_1 \nabla \psi_1 dy_1 dy_2 d\tau \right| \leq \frac{1}{160} \int_0^\tau \|\rho^{-\frac{1}{2}} \Delta \Psi\|^2 d\tau + C \int_0^\tau \|\tilde{u}_{1y_1}\|_\infty^2 \|\bar{\rho}^{\frac{1}{2}} \psi_1\| d\tau \\ &\leq \frac{1}{160} \int_0^\tau \|\rho^{-\frac{1}{2}} \Delta \Psi\|^2 d\tau + \frac{C_T \varepsilon}{\delta^2} \sup_{0 \leq \tau \leq \tau(\varepsilon)} \|\bar{\rho}^{\frac{1}{2}} \psi_1\|^2. \end{aligned}$$

$$\begin{aligned}
& \left| \int_0^\tau \iint_{\Omega_\varepsilon} \left(\frac{p'(\rho)}{\rho} - \frac{p'(\tilde{\rho})}{\tilde{\rho}} \right) \tilde{\rho}_{y_1} \Delta \psi_1 dy_1 dy_2 d\tau \right| \leq \frac{C}{\nu} \int_0^\tau \|\rho^{-\frac{1}{2}} \Delta \Psi\| \cdot \|\tilde{\rho}_{y_1}\|_\infty \|\tilde{\rho}^{-\frac{\gamma-2}{2}} \phi\| d\tau \\
& \leq \frac{1}{160} \int_0^\tau \|\rho^{-\frac{1}{2}} \Delta \Psi\|^2 d\tau + \frac{C_T \varepsilon}{\nu^{\alpha+2} \delta^2} \sup_{0 \leq \tau \leq \tau(\varepsilon)} \|\tilde{\rho}^{-\frac{\gamma-2}{2}} \phi\|^2. \\
& \left| \int_0^\tau \iint_{\Omega_\varepsilon} \frac{\mu + \lambda}{\rho^2} \operatorname{div} \Psi \nabla \phi \cdot \Delta \Psi dy_1 dy_2 d\tau \right| \leq \frac{C}{\nu^{\frac{3}{2}}} \int_0^\tau \|\nabla \Psi\|_{L^4} \|\nabla \phi\|_{L^4} \|\rho^{-\frac{1}{2}} \Delta \Psi\| d\tau \\
& \leq \frac{C}{\nu^{\frac{3}{2}}} \int_0^\tau \|\nabla \Psi\|_1 \|\nabla \phi\|_1 \|\rho^{-\frac{1}{2}} \Delta \Psi\| d\tau \leq \frac{1}{160} \int_0^\tau \|\nabla^2 \Psi\|^2 d\tau + \frac{E^2}{\nu^3} \int_0^\tau \|\nabla \Psi\|_1^2 d\tau.
\end{aligned}$$

由于 ρ 有上界和椭圆估计, 则 $\|\rho^{-\frac{1}{2}} \Delta \Psi\|^2 \geq \|\Delta \Psi\|^2 \sim \|\nabla^2 \Psi\|^2$, $\|\rho^{-\frac{1}{2}} \nabla \operatorname{div} \Psi\|^2 \geq \|\nabla \operatorname{div} \Psi\|^2 \sim \|\nabla^2 \Psi\|^2$,

结合积分不等式 (4.10)–(4.11), 引理 4.1 和先验假设 (3.4), 引理可证.

引理 4.3 存在正常数 C_T , 对任意 $\tau(\varepsilon) \in (0, \frac{T}{\varepsilon})$, 有

$$\begin{aligned}
& \sup_{0 \leq \tau \leq \tau(\varepsilon)} [\|(\tilde{\rho}^{-\frac{\gamma-2}{2}} \phi, \tilde{\rho}^{\frac{1}{2}} \Psi)(\tau)\|^2 + \|(\nabla \phi, \nabla \Psi)(\tau)\|_1^2] \\
& + \int_0^{\tau(\varepsilon)} [\|(\tilde{\rho}^{-\frac{\gamma-2}{2}} \bar{u}_{1y_1} \phi, \tilde{\rho}^{\frac{1}{2}} \bar{u}_{1y_1} \psi_1)\|^2 + \|\tilde{\rho}^{-\frac{\gamma-2}{2}} (\nabla \phi, \nabla^2 \phi)\|^2 + \|\nabla \Psi\|_2^2] d\tau \\
& \leq E_0^2 + \frac{C_T \varepsilon}{\nu^{2\alpha+2} \delta^4}.
\end{aligned}$$

证 对 (3.2)₁ 中求 2 阶导 ∇^2 , 再乘以 $\frac{\nabla^2 \phi}{\rho^2}$, 可得

$$\begin{aligned}
& \left(\frac{|\nabla^2 \phi|^2}{2\rho^2} \right)_\tau + \operatorname{div} \left(\frac{u |\nabla^2 \phi|^2}{2\rho^2} \right) + \frac{\nabla^2 \phi \cdot \nabla^2 \operatorname{div} \Psi}{\rho} \\
& = \frac{\operatorname{div} \Psi |\nabla^2 \phi|^2}{2\rho^2} - \frac{\tilde{\rho}_{y_1 y_1} \operatorname{div} \Psi \phi_{y_1 y_1}}{\rho^2} - \frac{\phi_{y_i} \nabla \phi_{y_i} \cdot \nabla \operatorname{div} \Psi}{\rho^2} - \frac{2\tilde{\rho}_{y_1} \nabla \phi_{y_1} \cdot \nabla \operatorname{div} \Psi}{\rho^2} \\
& - \frac{\nabla \phi \cdot \nabla \phi_{y_i} \operatorname{div} \Psi_{y_i}}{\rho^2} - \frac{\nabla \psi_j \cdot \nabla \phi_{y_i} \phi_{y_i y_j}}{\rho^2} - \frac{\psi_{j y_i} \nabla \phi_{y_j} \cdot \nabla \phi_{y_i}}{\rho^2} - \frac{\phi_{y_i} \nabla^2 \phi \cdot \nabla^2 \psi_i}{\rho^2} \\
& - \frac{\tilde{u}_{1y_1 y_1} \phi_{y_1} \phi_{y_1 y_1}}{\rho^2} - \frac{2\tilde{u}_{1y_1} |\nabla \phi_{y_1}|^2}{\rho^2} - \frac{\tilde{\rho}_{y_1 y_1 y_1} \psi_1 \phi_{y_1 y_1}}{\rho^2} - \frac{2\tilde{\rho}_{y_1 y_1} \nabla \psi_1 \cdot \nabla \phi_{y_1}}{\rho^2} \\
& - \frac{\tilde{\rho}_{y_1} \nabla^2 \psi_1 \cdot \nabla^2 \phi}{\rho^2} - \frac{\tilde{u}_{1y_1 y_1 y_1} \phi \phi_{y_1 y_1}}{\rho^2} - \frac{2\tilde{u}_{1y_1 y_1} \nabla \phi \cdot \nabla \phi_{y_1}}{\rho^2} + \frac{\tilde{u}_{1y_1} |\nabla^2 \phi|^2}{2\rho^2} \\
& := L(\tau, y_1, y_2).
\end{aligned} \tag{4.12}$$

对 (3.2)₂ 除以 ρ , 求梯度 ∇ , 乘以 $\nabla^2 \phi$, 可得

$$\begin{aligned}
& (\nabla \Psi \cdot \nabla^2 \phi)_\tau - \operatorname{div} \left(\phi_{y_i \tau} \nabla \psi_i - u_i \phi_{y_j} \nabla \psi_{j y_i} + u \nabla \phi \cdot \Delta \Psi + \frac{\mu}{\rho} \phi_{y_i y_j} \nabla \psi_{i y_j} \right) \\
& + \left(\frac{\mu}{\rho} \nabla \phi_{y_j} \cdot \nabla \psi_{i y_j} \right)_{y_i} + \frac{p'(\rho)}{\rho} |\nabla^2 \phi|^2 - \frac{2\mu + \lambda}{\rho} \nabla^2 \phi \cdot \nabla^2 \operatorname{div} \Psi \\
& = \rho \nabla \operatorname{div} \Psi \cdot \Delta \Psi + \tilde{\rho}_{y_1} \operatorname{div} \Psi \Delta \psi_1 + \phi_{y_j} \nabla \psi_i \cdot \nabla \psi_{j y_i} + \tilde{u}_{1y_1} \nabla \phi \cdot \Psi_{y_1 y_1} \\
& + \phi_{y_i} \nabla \psi_i \cdot \Delta \Psi + \tilde{u}_{1y_1} \phi_{y_1} \Delta \psi_1 + \tilde{\rho}_{y_1 y_1} \psi_1 \Delta \psi_1 + \tilde{\rho}_{y_1} \nabla \psi_1 \cdot \Delta \Psi \\
& + \tilde{u}_{1y_1 y_1} \phi \Delta \psi_1 - \psi_{j y_i} \nabla \psi_i \cdot \nabla \phi_{y_i} - \tilde{u}_{1y_1} \psi_{j y_1} \phi_{y_1 y_1} - \tilde{u}_{1y_1 y_1} \psi_1 \phi_{y_1 y_1}
\end{aligned}$$

$$\begin{aligned}
& -\tilde{u}_{1y_1} \nabla \psi_1 \cdot \nabla \phi_{y_1} - \left(\frac{p'(\rho)}{\rho} \right)' \phi_{y_i} \nabla \phi \cdot \nabla \phi_{y_i} - 2 \left(\frac{p'(\rho)}{\rho} \right)' \tilde{\rho}_{y_1} \nabla \phi \cdot \nabla \phi_{y_1} \\
& - \left[\left(\frac{p'(\rho)}{\rho} \right)' - \left(\frac{p'(\tilde{\rho})}{\tilde{\rho}} \right)' \right] \tilde{\rho}_{y_1}^2 \phi_{y_1 y_1} - \left(\frac{p'(\rho)}{\rho} - \frac{p'(\tilde{\rho})}{\tilde{\rho}} \right) \tilde{\rho}_{y_1 y_1} \phi_{y_1 y_1} \\
& + \frac{\mu}{\rho^2} \nabla \phi \cdot \nabla \psi_{iy_j} \phi_{y_i y_j} - \frac{\mu}{\rho^2} \phi_{y_i} \nabla \phi_{y_j} \cdot \nabla \psi_{iy_j} + \frac{\mu}{\rho^2} \tilde{\rho}_{y_1} \psi_{iy_1 y_j} \phi_{y_i y_j} \\
& - \frac{\mu}{\rho^2} \tilde{\rho}_{y_1} \nabla \phi_{y_j} \cdot \nabla \psi_{1y_j} - \frac{\mu}{\rho^2} \nabla \phi \cdot \nabla \phi_{y_j} \Delta \psi_i - \frac{\mu}{\rho^2} \tilde{\rho}_{y_1} \phi_{y_1 y_i} \Delta \psi_i \\
& - \frac{\mu + \lambda}{\rho^2} \nabla \phi \cdot \nabla \phi_{y_i} \operatorname{div} \Psi_{y_i} - \frac{\mu + \lambda}{\rho^2} \tilde{\rho}_{y_1} \phi_{y_1 y_i} \operatorname{div} \Psi_{y_i} \\
& + \frac{2\mu + \lambda}{\rho^2} \left(\frac{-d_1 \bar{u}_1 + d_2}{\tilde{\rho}} \right)_{y_1 y_1 y_1} \phi_{y_1 y_1} - \frac{2\mu + \lambda}{\rho^2} \left(\frac{-d_1 \bar{u}_1 + d_2}{\tilde{\rho}} \right)_{y_1 y_1} \nabla \phi \cdot \nabla \phi_{y_1} \\
& - \frac{2\mu + \lambda}{\rho^2} \left(\frac{-d_1 \bar{u}_1 + d_2}{\tilde{\rho}} \right)_{y_1 y_1} \tilde{\rho}_{y_1} \phi_{y_1 y_1} - \frac{2\mu + \lambda}{\tilde{\rho} \rho} \bar{u}_{1y_1 y_1 y_1} \phi \nabla \phi_{y_1 y_1} \\
& - \frac{2\mu + \lambda}{\rho^2} \bar{u}_{1y_1 y_1} \nabla \phi \cdot \nabla \phi_{y_1} + \frac{(2\mu + \lambda)(\tilde{\rho} + \rho)}{\tilde{\rho}^2 \rho^2} \tilde{\rho}_{y_1} \bar{u}_{1y_1 y_1} \phi \phi_{y_1 y_1} \\
& - \left(\frac{(\tilde{\rho} u_1^2 - \bar{\rho} u_1^2 + \bar{u}_1^2 d_1 - 2\bar{u}_1 d_2)_{y_1}}{\tilde{\rho}} \right)_{y_1} \phi_{y_1 y_1} - \left(\frac{(p(\tilde{\rho}) - p(\bar{\rho}) - p'(\bar{\rho}) d_1)_{y_1}}{\tilde{\rho}} \right)_{y_1} \phi_{y_1 y_1} \\
& := \rho \nabla \operatorname{div} \Psi \cdot \Delta \Psi + M(\tau, y_1, y_2). \tag{4.13}
\end{aligned}$$

将 (4.12) 乘以 $2\mu + \lambda$ 与 (4.13) 相加, 再在 $[0, \tau] \times \Omega_\varepsilon$ 上积分, 可得

$$\begin{aligned}
& \iint_{\Omega_\varepsilon} \left(\frac{2\mu + \lambda}{2\rho^2} |\nabla^2 \phi|^2 + \nabla \Psi \cdot \nabla^2 \phi \right) dy_1 dy_2 \Big|_0^\tau + \int_0^\tau \iint_{\Omega_\varepsilon} \frac{p'(\rho)}{\rho} |\nabla^2 \phi|^2 dy_1 dy_2 d\tau \\
& = \int_0^\tau \iint_{\Omega_\varepsilon} [\rho \nabla \operatorname{div} \Psi \cdot \Delta \Psi + (2\mu + \lambda)L(\tau, y_1, y_2) + M(\tau, y_1, y_2)] dy_1 dy_2 d\tau.
\end{aligned}$$

结合上式和引理 4.2, 可得

$$\begin{aligned}
& \sup_{0 \leq \tau \leq \tau(\varepsilon)} [\|(\bar{\rho}^{\frac{\gamma-2}{2}} \phi, \bar{\rho}^{\frac{1}{2}} \Psi)\|^2 + \|(\bar{\rho}^{-1} \nabla \phi, \nabla \Psi)\|^2 + \|\bar{\rho}^{-1} \nabla^2 \phi\|^2] \\
& + \int_0^{\tau(\varepsilon)} [\|(\bar{\rho}^{\frac{\gamma-2}{2}} \bar{u}_{1y_1} \phi, \bar{\rho}^{\frac{1}{2}} \bar{u}_{1y_1} \psi_1)\|^2 + \|\bar{\rho}^{\frac{\gamma-2}{2}} (\nabla \phi, \nabla^2 \phi)\|^2 + \|\nabla \Psi\|_1^2] d\tau \\
& \leq E_0^2 + \frac{C_T \varepsilon}{\nu^{2\alpha+2} \delta^4} + C \left| \int_0^\tau \iint_{\Omega_\varepsilon} [(2\mu + \lambda)L(\tau, y_1, y_2) + M(\tau, y_1, y_2)] dy_1 dy_2 d\tau \right|. \tag{4.14}
\end{aligned}$$

利用 Hölder 不等式, Young 不等式以及 Sobolev 不等式估计 $L(\tau, y_1, y_2)$, $M(\tau, y_1, y_2)$. 先估计 $L(\tau, y_1, y_2)$ 中的特殊项

$$\begin{aligned}
& \left| \int_0^\tau \iint_{\Omega_\varepsilon} \frac{\operatorname{div} \Psi \cdot |\nabla^2 \phi|^2}{2\rho^2} dy_1 dy_2 d\tau \right| \\
& \leq \frac{C}{\nu^{\frac{\alpha+3}{2}}} \int_0^\tau \|\bar{\rho}^{\frac{\gamma-2}{2}} \nabla^2 \phi\| \cdot \|\nabla^2 \phi\| \cdot \|\nabla \Psi\|_\infty d\tau \\
& \leq \frac{C}{\nu^{\frac{\alpha+3}{2}}} \int_0^\tau \|\bar{\rho}^{\frac{\gamma-2}{2}} \nabla^2 \phi\| \cdot \|\nabla^2 \phi\| \cdot \|\nabla \Psi\|_2 d\tau \\
& \leq \frac{1}{160} \int_0^\tau \|\bar{\rho}^{\frac{\gamma-2}{2}} \nabla^2 \phi\|^2 d\tau + \frac{E^2}{\nu^{\alpha+3}} \int_0^\tau \|\nabla \Psi\|_2^2 d\tau,
\end{aligned}$$

$$\begin{aligned}
& \left| \int_0^\tau \iint_{\Omega_\varepsilon} \frac{\phi_{y_i} \nabla \phi_{y_i} \nabla \operatorname{div} \Psi}{\rho^2} dy_1 dy_2 d\tau \right| \leq \frac{C}{\nu^{\frac{\alpha+3}{2}}} \int_0^\tau \|\bar{\rho}^{\frac{\gamma-2}{2}} \nabla^2 \phi\| \|\nabla \phi\|_{L^4} \|\nabla^2 \Psi\|_{L^4} d\tau \\
& \leq \frac{C}{\nu^{\frac{\alpha+3}{2}}} \int_0^\tau \|\bar{\rho}^{\frac{\gamma-2}{2}} \nabla^2 \phi\| \cdot \|\nabla \phi\|_1 \|\nabla^2 \Psi\|_1 d\tau \\
& \leq \frac{1}{160} \int_0^\tau \|\bar{\rho}^{\frac{\gamma-2}{2}} \nabla^2 \phi\|^2 d\tau + \frac{E^2}{\nu^{\alpha+3}} \int_0^\tau \|\nabla^2 \Psi\|_1^2 d\tau.
\end{aligned}$$

下面再估计 $M(\tau, y_1, y_2)$ 中某些特殊项

$$\begin{aligned}
& \left| \int_0^\tau \iint_{\Omega_\varepsilon} \left(\frac{p'(\rho)}{\rho} \right)' \phi_{y_i} \nabla \phi \nabla \phi_{y_i} dy_1 dy_2 d\tau \right| \leq \frac{C}{\nu^{\frac{3}{2}}} \int_0^\tau \|\bar{\rho}^{\frac{\gamma-2}{2}} \nabla^2 \phi\| \cdot \|\nabla \phi\|_{L^4}^2 d\tau \\
& \leq \frac{C}{\nu^{\frac{3}{2}}} \int_0^\tau \|\bar{\rho}^{\frac{\gamma-2}{2}} \nabla^2 \phi\| \cdot \|\nabla \phi\|_1^2 d\tau \leq \frac{1}{160} \int_0^\tau \|\bar{\rho}^{\frac{\gamma-2}{2}} \nabla^2 \phi\|^2 d\tau + \frac{E^2}{\nu^3} \int_0^\tau \|\nabla \phi\|_1^2 d\tau \\
& \leq \frac{1}{160} \int_0^\tau \|\bar{\rho}^{\frac{\gamma-2}{2}} \nabla^2 \phi\|^2 d\tau + \frac{E^2}{\nu^{\alpha+3}} \int_0^\tau (\|\bar{\rho}^{\frac{\gamma-2}{2}} \nabla \phi\|^2 + \|\bar{\rho}^{\frac{\gamma-2}{2}} \nabla^2 \phi\|^2) d\tau, \\
& \left| \int_0^\tau \iint_{\Omega_\varepsilon} \left[\left(\frac{p'(\rho)}{\rho} \right)' - \left(\frac{p'(\tilde{\rho})}{\tilde{\rho}} \right)' \right] \tilde{\rho}_{y_1}^2 \phi_{y_1 y_1} dy_1 dy_2 d\tau \right| \\
& \leq \frac{C}{\nu^2} \int_0^\tau \|\tilde{\rho}_{y_1}\|_\infty^2 \|\bar{\rho}^{\frac{\gamma-2}{2}} \nabla^2 \phi\| \cdot \|\bar{\rho}^{\frac{\gamma-2}{2}} \phi\| d\tau \\
& \leq \frac{1}{160} \int_0^\tau \|\bar{\rho}^{\frac{\gamma-2}{2}} \nabla^2 \phi\|^2 d\tau + \frac{\varepsilon^3}{\nu^4} \sup_{0 \leq t \leq T} \|\tilde{\rho}_{x_1}\|_\infty^4 \sup_{0 \leq \tau \leq \tau(\varepsilon)} \|\bar{\rho}^{\frac{\gamma-2}{2}} \phi\|^2 \\
& \leq \frac{1}{160} \int_0^\tau \|\bar{\rho}^{\frac{\gamma-2}{2}} \nabla^2 \phi\|^2 d\tau + \frac{\varepsilon^3}{\nu^{2\alpha+4} \delta^4} \sup_{0 \leq \tau \leq \tau(\varepsilon)} \|\bar{\rho}^{\frac{\gamma-2}{2}} \phi\|^2, \\
& \left| \int_0^\tau \iint_{\Omega_\varepsilon} \frac{2\mu + \lambda}{\rho^2} \left(\frac{-d_1 \bar{u}_1 + d_2}{\tilde{\rho}} \right)_{y_1 y_1} \tilde{\rho}_{y_1} \phi_{y_1 y_1} dy_1 dy_2 d\tau \right| \\
& \leq \frac{C}{\nu^{\frac{\alpha+3}{2}}} \int_0^\tau \|\bar{\rho}^{\frac{\gamma-2}{2}} \nabla^2 \phi\| \cdot \|\tilde{\rho}_{y_1}\|_\infty \left\| \left(\frac{-d_1 \bar{u}_1 + d_2}{\tilde{\rho}} \right)_{y_1 y_1} \right\| d\tau \\
& \leq \frac{1}{160} \int_0^\tau \|\bar{\rho}^{\frac{\gamma-2}{2}} \nabla^2 \phi\|^2 d\tau + \frac{C}{\nu^{\alpha+3}} \int_0^\tau \|\tilde{\rho}_{y_1}\|_\infty^2 \left\| \left(\frac{-d_1 \bar{u}_1 + d_2}{\tilde{\rho}} \right)_{y_1 y_1} \right\|^2 d\tau \\
& \leq \frac{1}{160} \int_0^\tau \|\bar{\rho}^{\frac{\gamma-2}{2}} \nabla^2 \phi\|^2 d\tau + \frac{C_T \varepsilon^3}{\nu^{\alpha+3}} \sup_{0 \leq t \leq T} \left(\|\tilde{\rho}_{x_1}\|_\infty^2 \left\| \left(\frac{-d_1 \bar{u}_1 + d_2}{\tilde{\rho}} \right)_{x_1 x_1} \right\|^2 \right) \\
& \leq \frac{1}{160} \int_0^\tau \|\bar{\rho}^{\frac{\gamma-2}{2}} \nabla^2 \phi\|^2 d\tau + \frac{\varepsilon^4}{\nu^{3\alpha+3} \delta^4} \frac{C_T \varepsilon}{\nu^{2\alpha+2} \delta^4}, \\
& \left| \int_0^\tau \iint_{\Omega_\varepsilon} \frac{(\tilde{\rho} \bar{u}_1^2 - \bar{\rho} \bar{u}_1^2 + \bar{u}_1^2 d_1 - 2\bar{u}_1 d_2)_{y_1 y_1}}{\tilde{\rho}} \phi_{y_1 y_1} dy_1 dy_2 d\tau \right| \\
& = \left| \int_0^\tau \iint_{\Omega_\varepsilon} \frac{1}{\tilde{\rho}} \left(\frac{(-d_1 \bar{u}_1 + d_2)^2}{\tilde{\rho}} \right)_{y_1 y_1} \phi_{y_1 y_1} dy_1 dy_2 d\tau \right| \\
& \leq \frac{C}{\nu^{\frac{\alpha+1}{2}}} \int_0^\tau \|\bar{\rho}^{\frac{\gamma-2}{2}} \nabla^2 \phi\| \cdot \left\| \left(\frac{(-d_1 \bar{u}_1 + d_2)^2}{\tilde{\rho}} \right)_{y_1 y_1} \right\| d\tau \\
& \leq \frac{1}{160} \int_0^\tau \|\bar{\rho}^{\frac{\gamma-2}{2}} \nabla^2 \phi\|^2 d\tau + \frac{C_T \varepsilon}{\nu^{\alpha+1}} \sup_{0 \leq t \leq T} \left\| \left(\frac{(-d_1 \bar{u}_1 + d_2)^2}{\tilde{\rho}} \right)_{x_1 x_1} \right\|^2 \\
& \leq \frac{1}{160} \int_0^\tau \|\bar{\rho}^{\frac{\gamma-2}{2}} \nabla^2 \phi\|^2 d\tau + \frac{\varepsilon^4}{\nu^{\frac{7}{2}\alpha+1} \delta^5} \frac{\varepsilon}{\nu^{2\alpha+2} \delta^4},
\end{aligned}$$

其中用到下面结论

$$\begin{aligned}
\left\| \frac{d_2 d_{2x_1 x_1}}{\tilde{\rho}} \right\| &\leq \frac{1}{\nu} \|d_2\|_{\infty} \|d_{2x_1 x_1}\| \leq \frac{\varepsilon^2}{\nu^{\frac{9}{4}\alpha+1} \delta^{\frac{9}{2}}}, \\
\left\| \frac{d_2 d_{1x_1} \bar{u}_{1x_1}}{\tilde{\rho}} \right\| &\leq \frac{1}{\nu \delta} \|d_2\|_{\infty} \|d_{1x_1}\| \leq \frac{\varepsilon^2}{\nu^{\frac{7}{4}\alpha+1} \delta^{\frac{9}{2}}}, \\
\left\| \frac{d_2 d_1 \bar{u}_{1x_1 x_1}}{\tilde{\rho}} \right\| &\leq \frac{1}{\nu \delta^2} \|d_2\|_{\infty} \|d_1\| \leq \frac{\varepsilon^2}{\nu^{\frac{5}{4}\alpha+1} \delta^9}, \\
\left\| \frac{d_{2x_1}^2}{\tilde{\rho}} \right\| &\leq \frac{1}{\nu} \|d_{2x_1}\|_{\infty} \|d_{2x_1}\| \leq \frac{\varepsilon^2}{\nu^{\frac{9}{4}\alpha+1} \delta^{\frac{9}{2}}}, \\
\left\| \frac{d_1^2 \bar{u}_{1x_1}^2}{\tilde{\rho}} \right\| &\leq \frac{1}{\nu \delta^2} \|d_1\|_{\infty} \|d_1\| \leq \frac{\varepsilon^2}{\nu^{\frac{5}{4}\alpha+1} \delta^{\frac{9}{2}}}, \\
\left\| \frac{d_2 d_{2x_1} \tilde{\rho}_{x_1}}{\tilde{\rho}^2} \right\| &\leq \frac{1}{\nu^{\frac{\alpha}{2}+1} \delta} \|d_2\|_{\infty} \|d_{2x_1}\| \leq \frac{\varepsilon^2}{\nu^{\frac{9}{4}\alpha+1} \delta^{\frac{9}{2}}}, \\
\left\| \frac{d_2 d_1 \bar{u}_{1x_1} \tilde{\rho}_{x_1}}{\tilde{\rho}^2} \right\| &\leq \frac{1}{\nu^{\frac{\alpha}{2}+1} \delta^2} \|d_2\|_{\infty} \|d_1\| + \frac{1}{\nu^2 \delta} \|d_2\|_{\infty} \|d_{1x_1}\|_{\infty} \|d_2\| \leq \frac{\varepsilon^2}{\nu^{\frac{7}{4}\alpha+1} \delta^{\frac{9}{2}}}, \\
\left\| \frac{d_2^2 \tilde{\rho}_{x_1 x_1}}{\tilde{\rho}^2} \right\| &\leq \left(\frac{1}{\nu^{\frac{\alpha}{2}+1} \delta^2} + \frac{1}{\nu^{\alpha+1} \delta^2} \right) \|d_2\|_{\infty} \|d_2\| + \frac{1}{\nu^2} \|d_2\|_{\infty} \|d_{1x_1 x_1}\|_{\infty} \|d_2\| \\
&\leq \frac{\varepsilon^2}{\nu^{\frac{9}{4}\alpha+1} \delta^{\frac{9}{2}}}, \\
\left\| \frac{d_2^2 \tilde{\rho}_{x_1}^2}{\tilde{\rho}^3} \right\| &\leq \frac{1}{\nu^{\alpha+1} \delta^2} \|d_2\|_{\infty} \|d_2\| + \frac{1}{\nu^3} \|d_2\|_{\infty} \|d_{1x_1}\|_{\infty}^2 \|d_2\| \leq \frac{\varepsilon^2}{\nu^{\frac{9}{4}\alpha+1} \delta^{\frac{9}{2}}},
\end{aligned}$$

因此有 $\left\| \left(\frac{(-d_1 \bar{u}_1 + d_2)^2}{\tilde{\rho}} \right)_{x_1 x_1} \right\| \leq \frac{\varepsilon^2}{\nu^{\frac{9}{4}\alpha+1} \delta^{\frac{9}{2}}}$. 接下来, 有

$$\begin{aligned}
&\left| \int_0^{\tau} \iint_{\Omega_{\varepsilon}} \frac{(\tilde{\rho} \bar{u}_1^2 - \tilde{\rho} \bar{u}_1^2 + \bar{u}_1^2 d_1 - 2\bar{u}_1 d_2)_{y_1} \tilde{\rho}_{y_1}}{\tilde{\rho}^2} \phi_{y_1 y_1} dy_1 dy_2 d\tau \right| \\
&= \left| \int_0^{\tau} \iint_{\Omega_{\varepsilon}} \frac{\tilde{\rho}_{y_1}}{\tilde{\rho}^2} \left(\frac{(-d_1 \bar{u}_1 + d_2)^2}{\tilde{\rho}} \right)_{y_1} \phi_{y_1 y_1} dy_1 dy_2 d\tau \right| \\
&\leq \frac{C}{\nu^{\frac{\alpha+3}{2}}} \int_0^{\tau} \|\bar{\rho}^{\frac{\gamma-2}{2}} \nabla^2 \phi\| \cdot \|\tilde{\rho}_{y_1}\|_{\infty} \cdot \left\| \left(\frac{(-d_1 \bar{u}_1 + d_2)^2}{\tilde{\rho}} \right)_{y_1} \right\| d\tau \\
&\leq \frac{1}{160} \int_0^{\tau} \|\bar{\rho}^{\frac{\gamma-2}{2}} \nabla^2 \phi\|^2 d\tau + \frac{C}{\nu^{\alpha+3}} \int_0^{\tau} \|\tilde{\rho}_{y_1}\|_{\infty}^2 \cdot \left\| \left(\frac{(-d_1 \bar{u}_1 + d_2)^2}{\tilde{\rho}} \right)_{y_1} \right\|^2 d\tau \\
&\leq \frac{1}{160} \int_0^{\tau} \|\bar{\rho}^{\frac{\gamma-2}{2}} \nabla^2 \phi\|^2 d\tau + \frac{C_T \varepsilon}{\nu^{\alpha+3}} \sup_{0 \leq t \leq T} \|\tilde{\rho}_{x_1}\|_{\infty}^2 \cdot \left\| \left(\frac{(-d_1 \bar{u}_1 + d_2)^2}{\tilde{\rho}} \right)_{x_1} \right\|^2 \\
&\leq \frac{1}{160} \int_0^{\tau} \|\bar{\rho}^{\frac{\gamma-2}{2}} \nabla^2 \phi\|^2 d\tau + \frac{\varepsilon^4}{\nu^{\frac{7}{2}\alpha+3} \delta^5} \frac{C_T \varepsilon}{\nu^{2\alpha+2} \delta^4}.
\end{aligned}$$

结合 (4.14), 可得

$$\begin{aligned}
&\sup_{0 \leq \tau \leq \tau(\varepsilon)} [\|(\bar{\rho}^{\frac{\gamma-2}{2}} \phi, \bar{\rho}^{\frac{1}{2}} \Psi)\|^2 + \|(\bar{\rho}^{-1} \nabla \phi, \nabla \Psi)\|^2 + \|\bar{\rho}^{-1} \nabla^2 \phi\|^2] \\
&+ \int_0^{\tau(\varepsilon)} [\|(\bar{\rho}^{\frac{\gamma-2}{2}} \bar{u}_{1y_1} \phi, \bar{\rho}^{\frac{1}{2}} \bar{u}_{1y_1} \psi_1)\|^2 + \|\bar{\rho}^{\frac{\gamma-2}{2}} (\nabla \phi, \nabla^2 \phi)\|^2 + \|\nabla \Psi\|_1^2] d\tau \\
&\leq E_0^2 + \frac{C_T \varepsilon}{\nu^{2\alpha+2} \delta^4} + \frac{E^2}{\nu^{\alpha+3}} \int_0^{\tau} \|\nabla^3 \Psi\|^2 d\tau.
\end{aligned} \tag{4.15}$$

为了封闭上式, 需要对 (3.2) 中 Ψ 方程除以 ρ , 求梯度 ∇ , 然后乘以 $-\nabla\Delta\Psi$, 可得

$$\begin{aligned}
 & \left(\frac{|\nabla^2\Psi|^2}{2} \right)_\tau - \operatorname{div} \left(\psi_{i\tau y_j} \nabla \psi_{iy_j} + \frac{\mu + \lambda}{\rho} \operatorname{div} \Psi_{y_i} \nabla \operatorname{div} \Psi_{y_i} - \frac{\mu + \lambda}{\rho} \operatorname{div} \Psi_{y_i} \Delta \Psi_{y_i} \right) \\
 & + \frac{\mu}{\rho} |\nabla \Delta \Psi|^2 + \frac{\mu + \lambda}{\rho} |\nabla^2 \operatorname{div} \Psi|^2 \\
 = & u_i \nabla \Psi_{y_i} \cdot \nabla \Delta \Psi + \frac{p'(\rho)}{\rho} \nabla^2 \phi \cdot \nabla \Delta \Psi + \psi_{jy_i} \nabla \psi_i \cdot \nabla \Delta \psi_j + \tilde{u}_{1y_1} \Psi_{y_1} \cdot \Delta \Psi_{y_1} \\
 & + \tilde{u}_{1y_1 y_1} \psi_1 \Delta \psi_{1y_1} + \tilde{u}_{1y_1} \nabla \psi_1 \cdot \nabla \Delta \psi_1 + \left(\frac{p'(\rho)}{\rho} \right)' \phi_{y_i} \nabla \phi \cdot \nabla \Delta \psi_i \\
 & + \left(\frac{p'(\rho)}{\rho} \right)' \tilde{\rho}_{y_1} \nabla \phi \cdot \Delta \Psi_{y_1} + \left(\frac{p'(\rho)}{\rho} \right)' \tilde{\rho}_{y_1} \nabla \cdot \nabla \Delta \psi_i + \left[\left(\frac{p'(\rho)}{\rho} \right)' - \left(\frac{p'(\tilde{\rho})}{\tilde{\rho}} \right)' \right] \tilde{\rho}_{y_1}^2 \Delta \psi_{1y_1} \\
 & + \left(\frac{p'(\rho)}{\rho} - \frac{p'(\tilde{\rho})}{\tilde{\rho}} \right) \tilde{\rho}_{y_1 y_1} \Delta \psi_{1y_1} + \frac{\mu}{\rho^2} \Delta \psi_i \nabla \phi \cdot \nabla \Delta \psi_i + \frac{\mu}{\rho^2} \tilde{\rho}_{y_1} \Delta \Psi \cdot \Delta \Psi_{y_1} \\
 & - \frac{\mu + \lambda}{\rho^2} \phi_{y_i} \nabla \operatorname{div} \Psi \cdot \nabla \Delta \psi_i - \frac{\mu + \lambda}{\rho^2} \tilde{\rho}_{y_1} \nabla \operatorname{div} \Psi \cdot \nabla \Delta \psi_1 + \frac{\mu + \lambda}{\rho^2} \operatorname{div} \Psi_{y_i} \nabla \phi \cdot \nabla \operatorname{div} \Psi_{y_i} \\
 & + \frac{\mu + \lambda}{\rho^2} \tilde{\rho}_{y_1} \nabla \operatorname{div} \Psi \cdot \nabla \operatorname{div} \Psi_{y_1} + \frac{\mu + \lambda}{\rho^2} \operatorname{div} \Psi_{y_i} \nabla \phi \cdot \nabla \Delta \psi_i + \frac{\mu + \lambda}{\rho^2} \tilde{\rho}_{y_1} \Delta \Psi_{y_1} \cdot \nabla \operatorname{div} \Psi \\
 & - \frac{2\mu + \lambda}{\rho} \left(\frac{-d_1 \bar{u}_1 + d_2}{\tilde{\rho}} \right)_{y_1 y_1 y_1} \Delta \psi_{1y_1} + \frac{2\mu + \lambda}{\rho} \left(\frac{-d_1 \bar{u}_1 + d_2}{\tilde{\rho}} \right)_{y_1 y_1} \nabla \phi \cdot \nabla \Delta \psi_1 \\
 & + \frac{2\mu + \lambda}{\rho^2} \left(\frac{-d_1 \bar{u}_1 + d_2}{\tilde{\rho}} \right)_{y_1 y_1} \tilde{\rho}_{y_1} \Delta \psi_{1y_1} + \frac{2\mu + \lambda}{\rho \tilde{\rho}} \bar{u}_{1y_1 y_1 y_1} \phi \Delta \psi_{1y_1} \\
 & + \frac{2\mu + \lambda}{\rho^2} \bar{u}_{1y_1 y_1} \nabla \phi \cdot \nabla \Delta \psi_1 - \frac{(2\mu + \lambda)(\tilde{\rho} + \rho)}{\tilde{\rho}^2 \rho^2} \tilde{\rho}_{y_1} \bar{u}_{1y_1 y_1} \phi \Delta \psi_{1y_1} \\
 & + \left[\frac{(\tilde{\rho} \bar{u}_1^2 - \bar{\rho} \bar{u}_1^2 + \bar{u}_1^2 d_1 - 2\bar{u}_1 d_2)}{\tilde{\rho}} \right]_{y_1} \Delta \psi_{1y_1} + \left[\frac{(p(\tilde{\rho}) - p(\bar{\rho}) - p'(\bar{\rho}) d_1)_{y_1}}{\tilde{\rho}} \right]_{y_1} \Delta \psi_{1y_1} \\
 & := N(\tau, y_1, y_2).
 \end{aligned}$$

对上式在 $[0, \tau] \times \Omega_\varepsilon$ 上积分, 可得

$$\begin{aligned}
 & \|\nabla^2 \Psi\|^2 + \int_0^\tau \|\rho^{-\frac{1}{2}} \nabla \Delta \Psi\|^2 d\tau \\
 & \leq \|\nabla^2 \Psi_0\|^2 + C \left| \int_0^\tau \iint_{\Omega_\varepsilon} N(\tau, y_1, y_2) dy_1 dy_2 d\tau \right|. \quad (4.16)
 \end{aligned}$$

对 $N(\tau, y_1, y_2)$ 中某些特殊项进行估计, 可得

$$\begin{aligned}
 & \left| \int_0^\tau \iint_{\Omega_\varepsilon} \left(\frac{p'(\rho)}{\rho} - \frac{p'(\tilde{\rho})}{\tilde{\rho}} \right) \tilde{\rho}_{y_1 y_1} \Delta \psi_{1y_1} dy_1 dy_2 d\tau \right| \\
 & \leq \frac{C}{\nu} \int_0^\tau \|\rho^{-\frac{1}{2}} \nabla \Delta \Psi\| \cdot \|\tilde{\rho}_{y_1 y_1}\|_\infty^2 \|\bar{\rho}^{\frac{\gamma-2}{2}} \phi\| d\tau \\
 & \leq \frac{1}{160} \int_0^\tau \|\rho^{-\frac{1}{2}} \nabla \Delta \Psi\|^2 d\tau + \frac{C_T \varepsilon^3}{\nu^2} \sup_{0 \leq t \leq T} \|\tilde{\rho}_{x_1 x_1}\|_\infty^2 \sup_{0 \leq \tau \leq \tau(\varepsilon)} \|\bar{\rho}^{\frac{\gamma-2}{2}} \phi\|^2 \\
 & \leq \frac{1}{160} \int_0^\tau \|\rho^{-\frac{1}{2}} \nabla \Delta \Psi\|^2 d\tau + \frac{C_T \varepsilon^3}{\nu^{2\alpha+2} \delta^4} \sup_{0 \leq \tau \leq \tau(\varepsilon)} \|\bar{\rho}^{\frac{\gamma-2}{2}} \phi\|^2,
 \end{aligned}$$

$$\begin{aligned}
& \left| \int_0^\tau \iint_{\Omega_\varepsilon} \frac{(\widetilde{\rho} \bar{u}_1^2 - \bar{\rho} \bar{u}_1^2 + \bar{u}_1^2 d_1 - 2\bar{u}_1 d_2)_{y_1 y_1}}{\widetilde{\rho}} \Delta \psi_{1y_1} dy_1 dy_2 d\tau \right| \\
&= \left| \int_0^\tau \iint_{\Omega_\varepsilon} \frac{1}{\widetilde{\rho}} \left(\frac{(-d_1 \bar{u}_1 + d_2)^2}{\widetilde{\rho}} \right)_{y_1 y_1} \Delta \psi_{1y_1} dy_1 dy_2 d\tau \right| \\
&\leq \frac{C}{\nu^{\frac{1}{2}}} \int_0^\tau \|\rho^{-\frac{1}{2}} \nabla \Delta \psi_1\| \cdot \left\| \left(\frac{(-d_1 \bar{u}_1 + d_2)^2}{\widetilde{\rho}} \right)_{y_1 y_1} \right\| d\tau \\
&\leq \frac{1}{160} \int_0^\tau \|\rho^{-\frac{1}{2}} \nabla \Delta \psi_1\|^2 d\tau + \frac{C_T \varepsilon}{\nu} \sup_{0 \leq t \leq T} \left\| \left(\frac{(-d_1 \bar{u}_1 + d_2)^2}{\widetilde{\rho}} \right)_{x_1 x_1} \right\|^2 \\
&\leq \frac{1}{160} \int_0^\tau \|\rho^{-\frac{1}{2}} \nabla \Delta \psi_1\|^2 d\tau + \frac{\varepsilon^4}{\nu^{\frac{5}{2}\alpha+1} \delta^5} \frac{C_T \varepsilon}{\nu^{2\alpha+2} \delta^4}, \\
& \left| \int_0^\tau \iint_{\Omega_\varepsilon} \frac{(\widetilde{\rho} \bar{u}_1^2 - \bar{\rho} \bar{u}_1^2 + \bar{u}_1^2 d_1 - 2\bar{u}_1 d_2)_{y_1} \widetilde{\rho}_{y_1}}{\widetilde{\rho}^2} \Delta \psi_{1y_1} dy_1 dy_2 d\tau \right| \\
&= \left| \int_0^\tau \iint_{\Omega_\varepsilon} \frac{\widetilde{\rho}_{y_1}}{\widetilde{\rho}^2} \left(\frac{(-d_1 \bar{u}_1 + d_2)^2}{\widetilde{\rho}} \right)_{y_1} \Delta \psi_{1y_1} dy_1 dy_2 d\tau \right| \\
&\leq \frac{1}{\nu^{\frac{3}{2}}} \int_0^\tau \|\rho^{-\frac{1}{2}} \nabla \Delta \Psi\| \cdot \|\widetilde{\rho}_{y_1}\|_\infty \left\| \left(\frac{(-d_1 \bar{u}_1 + d_2)^2}{\widetilde{\rho}} \right)_{y_1} \right\| d\tau \\
&\leq \frac{1}{160} \int_0^\tau \|\rho^{-\frac{1}{2}} \nabla \Delta \Psi\|^2 d\tau + \frac{C_T \varepsilon}{\nu^3} \sup_{0 \leq t \leq T} \left(\|\widetilde{\rho}_{x_1}\|_\infty^2 \left\| \left(\frac{(-d_1 \bar{u}_1 + d_2)^2}{\widetilde{\rho}} \right)_{x_1} \right\|^2 \right) \\
&\leq \frac{1}{160} \int_0^\tau \|\rho^{-\frac{1}{2}} \nabla \Delta \Psi\|^2 d\tau + \frac{\varepsilon^2}{\nu^{\frac{5}{2}\alpha+3} \delta^5} \frac{C_T \varepsilon}{\nu^{2\alpha+2} \delta^4}.
\end{aligned}$$

由于 ρ 有上界和椭圆估计, 则

$$\|\rho^{-\frac{1}{2}} \nabla \Delta \Psi\|^2 \geq \|\nabla \Delta \Psi\|^2 \sim \|\nabla^3 \Psi\|^2,$$

$$\|\rho^{-\frac{1}{2}} \nabla^2 \operatorname{div} \Psi\|^2 \geq \|\nabla^2 \operatorname{div} \Psi\|^2 \sim \|\nabla^3 \Psi\|^2.$$

结合积分不等式 (4.15)–(4.16) 及先验假设 (3.4), 引理得证.

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Vanishing Viscosity Limit to Planar Rarefaction Wave with Vacuum for 2D Compressible Navier-Stokes Equations

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Abstract This paper mainly studies the vanishing viscosity limit of planar rarefaction wave with vacuum for the two-dimensional (2D for short) isentropic compressible Navier-Stokes equations. A uniform convergence rate related to the viscosity coefficients is obtained. The main novelty and difficulty lie in controlling the degeneracy caused by vacuum states of the rarefaction wave in the multidimensional setting. Appropriate cut-off and scaling techniques are applied near the vacuum states. Eventually, the authors obtain the inviscid decay rate around the planar rarefaction wave with vacuum by introducing the cut-off parameter and handling the viscous error terms of the two-dimensional compressible Navier-Stokes equations. The proof is based on the elementary energy method.

Keywords Compressible Navier-Stokes equations, Planar rarefaction wave, Vacuum, Vanishing viscosity limit

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