

# COMPLETE HYPERSURFACES WITH CONSTANT SCALAR CURVATURE AND CONSTANT MEAN CURVATURE IN $R^4$

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## Abstract

Let  $M$  be a 3-dimensional complete and connected hypersurface immersed in  $R^4$ . If the scalar curvature  $R$  and the mean curvature  $|H|$  of  $M$  are constants, where  $|H| \neq 0$ ,  $R \geq 0$ , then there are only three cases:  $R=6|H|^2$ ,  $\frac{9}{2}|H|^2$  and 0. Moreover we can find some hypersurfaces appropriate to these cases.

## Introduction

In 1974, Masafumi Okumura proved the following theorem: Let  $M$  be an  $n$ -dimensional complete and connected hypersurface in an  $(n+1)$ -dimensional Riemannian manifold  $N$  with non-negative constant curvature  $C$ . Let  $S$  be the square norm of second fundamental tensor  $\tilde{H}$  of  $M$ . If both  $S$  and trace  $\tilde{H}$  are constants, where trace  $\tilde{H} > 0$ , and in addition,  $S < 2C + \frac{1}{n-1}(\text{trace } \tilde{H})^2$ , then  $M$  is a sphere (see [1]).

Suppose that  $|H| = \frac{1}{n} \text{trace } \tilde{H}$ , and  $R$  is the scalar curvature of  $M$ . At first, we have  $R + S = n^2|H|^2$ . In particular when  $n=3$ ,  $N=R^4$ , the above theorem indicates that if  $S < \frac{9}{2}|H|^2$ , we must have  $M=S^3$ . At that time,  $S=3|H|^2$ .

Assume  $R \geq 0$ . It is obvious that  $S \leq 9|H|^2$ . In this paper, we obtain the following result: Let  $M$  be a 3-dimensional complete and connected hypersurface in  $R^4$ . If  $|H|$  and  $R$  are constants, and  $|H| \neq 0$ ,  $R \geq 0$ , then there are only three cases:  $R=6|H|^2$ ,  $\frac{9}{2}|H|^2$  and 0, when  $R=6|H|^2$ ,  $M=S^3\left(\frac{1}{|H|}\right)$ ; when  $R=\frac{9}{2}|H|^2$ ,  $M=S^2\left(\frac{2}{3|H|}\right) \times R^1$ ; When  $R=0$ , for example,  $M=S^1\left(\frac{1}{3|H|}\right) \times R^2$ .

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## § 1. Preliminaries

Let  $M$  be an  $n$ -dimensional hypersurface immersed in  $(n+1)$ -dimensional Euclidean space  $R^{n+1}$ . We choose a local vector field of orthonormal frames  $e_1, \dots, e_{n+1}$  in  $R^{n+1}$  such that, restricted to  $M$ , the vectors  $e_1, \dots, e_n$  are tangent to  $M$ . And consequently, the remaining vector  $e_{n+1}$  is normal to  $M$ . We shall agree with the following convention on the ranges of indices:

$$1 \leq A, B, C, \dots \leq n+1, 1 \leq i, j, k, l, s, t, \dots \leq n.$$

Let  $\omega^1, \dots, \omega^{n+1}$  be a local field of dual frames in  $R^{n+1}$ . It's well known that the structure equation and fundamental formulas are given by

$$\begin{aligned} d\omega^A &= \sum_B \omega^B \wedge \omega_B^A, \quad \omega_B^A + \omega_A^B = 0, \\ d\omega_B^A &= \sum_C \omega_B^C \wedge \omega_C^A. \end{aligned} \quad (1.1)$$

We restrict these forms to  $M$ . Then we can see

$$\begin{aligned} \omega^{n+1} &= 0, \quad \omega_j^{n+1} = \sum_i h_{ji} \omega^i, \quad h_{ji} = h_{ij}, \\ d\omega^i &= \sum_j \omega^j \wedge \omega_j^i, \quad \omega_j^i + \omega_i^j = 0, \\ d\omega_j^i &= \sum_l \omega_l^i \wedge \omega_j^l + \frac{1}{2} \sum_{l,s} R_{jls}^i \omega^l \wedge \omega^s, \end{aligned}$$

where

$$R_{jls}^i = h_{js} h_{il} - h_{jl} h_{is}. \quad (1.2)$$

We call  $h_{ij}$  the components of the second fundamental form. Let

$$S = \sum_{i,j} (h_{ij})^2.$$

Mean curvature vector  $H = \frac{1}{n} \cdot \sum_i h_{ii} e_{n+1}$ . When  $|H| \neq 0$ , we can choose  $e_{n+1}$  as the unit vector of  $H$ , and make use of  $|\cdot|$  to express the length of a vector. We can see  $\sum_i h_{ii} = n|H|$ .

From [6] or [7], we know

$$\begin{aligned} \sum_k h_{ijk} \omega^k &= dh_{ij} - \sum_l h_{il} \omega_j^l - \sum_l h_{lj} \omega_i^l, \quad h_{ijk} = h_{ikj}, \\ \sum_l h_{ijkl} \omega^l &= dh_{ijl} - \sum_k h_{ikl} \omega_j^k - \sum_k h_{klj} \omega_i^k - \sum_k h_{ijl} \omega_k^i, \\ h_{ijkl} - h_{ijlk} &= \sum_s h_{is} R_{jkl}^s + \sum_s h_{sj} R_{ikl}^s, \\ \sum_s h_{ijkl} \omega^s &= dh_{ijl} - \sum_s h_{sjl} \omega_i^s - \sum_s h_{isl} \omega_j^s - \sum_s h_{ijl} \omega_k^s - \sum_s h_{ijls} \omega_l^i, \\ h_{ijkl} - h_{ijlks} &= \sum_t h_{tjk} R_{ils}^t + \sum_t h_{itk} R_{jls}^t + \sum_t h_{ijl} R_{kts}^t, \\ \Delta h_{ij} &= \sum_k h_{ijk} \omega^k, \quad \Delta h_{ijk} = \sum_l h_{ijkl} \omega^l. \end{aligned} \quad (1.3)$$

The Ricci curvature  $R_{ij}$  of  $M$  is determined by  $R_{ij} = \sum_l R_{ilj}^l$ . The scalar curvature

$R = \sum_i R_{ii}$ . From (1.2), we know

$$R_{ij} = n |H| h_{ij} - \sum_i h_{ii} h_{ij}, \quad R = n^2 |H|^2 - S. \quad (1.4)$$

In this paper, we require that  $|H|$  and  $R$  are constants ( $|H| \neq 0$ ). We make use of  $\tilde{H}$  to express matrix  $(h_{ij})$ .  $\sum$  indicates the sum of all the same indices. By a long calculation and by virtue of (1.2)–(1.4), we obtain

$$\Delta h_{ij} = n |H| \sum_i h_{ii} h_{ij} - S h_{ij}, \quad (1.5)$$

$$\begin{aligned} \sum h_{ijk} \Delta h_{ijk} &= 6 \sum h_{ijk} h_{isk} h_{ij} h_{sj} - 3 \sum h_{ijk} h_{sik} h_{is} h_{jl} - 6 \sum h_{ijk} h_{isk} h_{ij} h_{is} \\ &\quad + 3n |H| \sum h_{ijk} h_{sik} h_{sj} - S \sum h_{ijk}^2, \end{aligned} \quad (1.6)$$

$$\begin{aligned} \Delta(\text{trace } \tilde{H}^3) &= 3 \sum \Delta h_{ij} h_{ji} h_{ii} + 6 \sum h_{ijk} h_{jki} h_{ii} \\ &= 3n |H| \text{trace } \tilde{H}^4 - 3S \text{trace } \tilde{H}^3 + 6 \sum h_{ijk} h_{jki} h_{ii}. \end{aligned} \quad (1.7)$$

$$\begin{aligned} \Delta(\text{trace } \tilde{H}^4) &= 4n |H| \text{trace } \tilde{H}^5 - 4S \text{trace } \tilde{H}^4 + 8 \sum h_{ijs} h_{jis} h_{ik} h_{ki} \\ &\quad + 4 \sum h_{ijs} h_{jki} h_{iks} h_{ki}. \end{aligned} \quad (1.8)$$

We also have

$$\frac{1}{2} \Delta S = \sum h_{ij} \Delta h_{ij} + \sum h_{ijk}^2 = n |H| \text{trace } \tilde{H}^3 - S^2 + \sum h_{ijk}^2, \quad (1.9)$$

$$\frac{1}{4} \Delta^2 S = \frac{1}{2} n |H| \Delta(\text{trace } \tilde{H}^3) - \frac{1}{2} \Delta S^2 + \sum h_{ijk} \Delta h_{ijk} + \sum (h_{ijk})^2. \quad (1.10)$$

Now because  $S$  is a constant too, using (1.6) and (1.7), we have

$$\begin{aligned} \sum h_{ijk}^2 &= -\frac{3}{2} n^2 |H|^2 \text{trace } \tilde{H}^4 + \frac{3}{2} S n |H| \text{trace } \tilde{H}^3 - 6n |H| \sum h_{ijk} h_{jki} h_{ii} \\ &\quad - 6 \sum h_{ijk} h_{isk} h_{ij} h_{sj} + 3 \sum h_{ijk} h_{sik} h_{is} h_{jl} + S \sum h_{ijk}^2. \end{aligned} \quad (1.11)$$

Let  $b_{ij} = |H| \delta_{ij} - h_{ij}$ , then  $b_{ij} = b_{ji}$ . The following formulas are easily seen:

$$\sum b_{ii} = 0, \quad \sum b_{ij}^2 = S - n |H|^2,$$

$$\text{trace } \tilde{H}^3 = 3 |H| S - 2n |H|^3 - \text{trace } B^3,$$

$$\text{trace } \tilde{H}^4 = 6 |H|^2 S - 5n |H|^4 - 4 |H| \text{trace } B^3 + \text{trace } B^4,$$

$$\text{where } B \text{ denotes the matrix } (b_{ij}). \quad (1.12)$$

## § 2. 3-dimensional complete hypersurfaces in $R^4$

In this section,  $n=3$ . On the other word,  $M$  is a complete and connected hypersurface with constant mean curvature and constant scalar curvature in  $R^4$ .

At the beginning of this section, we know all formulas in § 1 are valid. Because  $\tilde{H} = (h_{ij})$  is a symmetric matrix, we choose  $e_1, e_2, e_3$  at a point  $P$  of  $M$  such that  $h_{ij} = \lambda_i \delta_{ij}$ . From the definition of  $b_{ij}$ , at the same time, we have  $b_{ij} = \mu_i \delta_{ij}$  and  $\lambda_i + \mu_i = |H|$ .

It is easy to know that

$$\mu_1 + \mu_2 + \mu_3 = 0, \quad \mu_1^2 + \mu_2^2 + \mu_3^2 = S - 3 |H|^2,$$

$$\begin{aligned}\mu_1^4 + \mu_2^4 + \mu_3^4 &= (\mu_1^2 + \mu_2^2 + \mu_3^2)^2 - \frac{1}{2} [(\mu_1 + \mu_2 + \mu_3)^2 - (\mu_1^2 + \mu_2^2 + \mu_3^2)]^2 \\ &= \frac{1}{2} (S - 3|H|^2)^2.\end{aligned}\quad (2.1)$$

From (2.1), (1.9) and (1.12), we get

$$\Delta(\text{trace } \tilde{H}^4) = 4|H| \Delta(\text{trace } \tilde{H}^3) = -\frac{4}{3} \Delta(\sum h_{ijk}^2). \quad (2.2)$$

Because of (1.8) and (2.2), at  $P$ , we have

$$2 \sum_{ijk} \lambda_i^2 \lambda_j^2 + \sum h_{ijk}^2 \lambda_i \lambda_j = -\frac{1}{3} \Delta(\sum h_{ijk}^2) - 3|H| \sum_i \lambda_i^5 + S \sum_i \lambda_i^4. \quad (2.3)$$

Using the relation between  $\lambda_i$  and  $\mu_i$ , we know

$$\sum h_{ijk}^2 \lambda_i^2 + 2 \sum h_{ijk}^2 \lambda_i \lambda_j = 3|H|^2 \sum h_{ijk}^2 - 6|H| \sum h_{ijk}^2 \mu_i + \frac{1}{3} \sum h_{ijk}^2 (\mu_i + \mu_j + \mu_k)^2. \quad (2.4)$$

From (1.7), we have

$$\begin{aligned}6|H| \sum h_{ijk}^2 \mu_i &= 9|H|^2 \text{trace } \tilde{H}^4 - 3S|H| \text{trace } \tilde{H}^3 \\ &\quad + 6|H|^2 \sum h_{ijk}^2 + \frac{1}{3} \Delta(\sum h_{ijk}^2),\end{aligned}\quad (2.5)$$

and from

$$6 \sum h_{ijk}^2 \lambda_i \lambda_j - 3 \sum h_{ijk}^2 \lambda_j^2 = 5(\sum h_{ijk}^2 \lambda_i^2 + 2 \sum h_{ijk}^2 \lambda_i \lambda_j) - 4(2 \sum h_{ijk}^2 \lambda_i^2 + \sum h_{ijk}^2 \lambda_i \lambda_j), \quad (2.6)$$

we can obtain

$$\begin{aligned}6 \sum h_{ijk}^2 \lambda_i \lambda_j - 3 \sum h_{ijk}^2 \lambda_j^2 &= -\frac{1}{3} \Delta(\sum h_{ijk}^2) + 12|H| \text{trace } \tilde{H}^5 \\ &\quad - (4S + 45|H|^2) \text{trace } \tilde{H}^4 \\ &\quad + 15|H| (S + 3|H|^2) \text{trace } \tilde{H}^3 - 15S^2|H|^2 \\ &\quad + \frac{5}{3} \sum h_{ijk}^2 (\mu_i + \mu_j + \mu_k)^2.\end{aligned}\quad (2.7)$$

We substitute (1.7), (1.9), (2.2) and (2.7) into (1.11), then

$$\begin{aligned}\sum h_{ijk}^2 &= \frac{4}{3} \Delta(\sum h_{ijk}^2) + S^3 + 15S^2|H|^2 + 4S \text{trace } \tilde{H}^4 \\ &\quad - \frac{45}{2} S|H| \text{trace } \tilde{H}^3 - 12|H| \text{trace } \tilde{H}^5 + \frac{117}{2} |H|^2 \text{trace } \tilde{H}^4 \\ &\quad - 45|H|^3 \text{trace } \tilde{H}^3 - \frac{5}{3} \sum h_{ijk}^2 (\mu_i + \mu_j + \mu_k)^2.\end{aligned}\quad (2.8)$$

Using (2.1), we can see

$$\text{trace } \tilde{H}^5 = -\frac{9}{2} |H|^5 - 5|H|^3 S + \frac{5}{2} |H| S^2 - 10|H|^2 \sum_i \mu_i^3 - \sum_i \mu_i^5 \quad (2.9)$$

and

$$\begin{aligned}\sum_i \mu_i^5 &= -5\mu_1\mu_2(\mu_1 + \mu_2)(\mu_1^2 + \mu_1\mu_2 + \mu_2^2) \\ &= \left(\frac{5}{3} \sum_i \mu_i^3\right) \cdot \left(\frac{1}{2} \sum_i \mu_i^2\right) = \frac{5}{6} (S - 3|H|^2) \sum_i \mu_i^3.\end{aligned}\quad (2.10)$$

From (1.12) and (2.10), evidently

$$\text{trace } \tilde{H}^5 = \frac{5}{6} (S + 9|H|^2) \text{trace } \tilde{H}^3 + \frac{9}{2} |H|^3 (9|H|^2 - 5S). \quad (2.11)$$

We rely on (1.12), (1.9) and (2.11). Let  $\sum h_{ijk}^2 = f$ .  $f$  is a differentiable function of  $M$ . Then (2.8) becomes

$$\begin{aligned} \sum h_{ijk}^2 &= \frac{4}{3} 4f + \frac{5}{2} (S - 3|H|^2) \left( S - \frac{9}{2} |H|^2 \right) (9|H|^2 - S) \\ &\quad + \frac{11}{2} (S - 6|H|^2) f - \frac{5}{3} \sum h_{ijk}^2 (\mu_i + \mu_j + \mu_k)^2. \end{aligned} \quad (2.12)$$

Combining (2.5) with (1.9), (1.12), we have

$$\frac{1}{3} 4f = 6|H| \sum h_{ijk}^2 \mu_i + (6|H|^2 - S)f + (S - 3|H|^2) \left( S - \frac{9}{2} |H|^2 \right) (S - 9|H|^2). \quad (2.13)$$

Therefore

$$\begin{aligned} \sum h_{ijk}^2 &+ \frac{5}{3} \sum h_{ijk}^2 (\mu_i + \mu_j + \mu_k)^2 - 24|H| \sum h_{ijk}^2 \mu_i \\ &= \frac{3}{2} (S - 3|H|^2) \left( S - \frac{9}{2} |H|^2 \right) (S - 9|H|^2) + \frac{3}{2} (S - 6|H|^2) f. \end{aligned} \quad (2.14)$$

The above equality is a very important formula in this paper.

If, in the whole of  $M$ , each  $\lambda_i$  ( $1 \leq i \leq 3$ ) is constant, we say  $M$  owns constant principal curvatures. Secondly, we introduce some res  $u|t$  which we shall use later on

(1) In  $R^{n+1}$ , a complete and connected hypersurface with constant principal curvatures must be  $S^k \times R^{n-k}$  ( $0 \leq k \leq n$ ) (see [2]).

Hence, in  $R^4$ , non-totally geodesic complete and connected hypersurfaces with constant principal curvatures are certainly  $S^3$ ,  $S^2 \times R^1$ ,  $S^1 \times R^2$ , where  $S$  are  $3|H|^2$ ,  $\frac{9}{2}|H|^2$  or  $9|H|^2$ , respectively.

(2)  $-\frac{1}{\sqrt{6}} (S - 3|H|^2)^{3/2} \leq \sum_i \mu_i^3 \leq \frac{1}{\sqrt{6}} (S - 3|H|^2)^{3/2}$ , the equality holds if and only if there are at least two equal  $\mu_i$  (cf. lemma in [1]).

From (1.4), we know

$$R_{ii} = 3|H| \lambda_i - \lambda_i^2 > -3|H| \sqrt{S} - S. \quad (2.15)$$

In this paper, the Ricci curvature of  $M$  is bounded below.

Now we shall set up a theorem.

**Theorem.** In  $R^4$ , let  $M$  be complete and connected hypersurface with non-zero constant mean curvature vector  $H$  and non-negative constant scalar curvature  $R$ . Then there are only three cases:  $R = 6|H|^2$ ,  $\frac{9}{2}|H|^2$  and 0. When  $R = 6|H|^2$ ,  $M = S^2\left(\frac{1}{|H|}\right)$ ; when  $R = \frac{9}{2}|H|^2$ ,  $M = S^2\left(\frac{2}{3|H|}\right) \times R^1$ ; when  $R = 0$ , for example  $M = S^1\left(\frac{1}{3|H|}\right) \times R^2$ .

*Proof* Because  $|H|$  and  $S$  are constants, from (1.9) and (1),  $M$  owns constant principal curvatures if and only if  $f$  is constant. Hence it is considerable that  $f$  is not constant.

We assume  $f$  is not constant. Masafumi Okumura proved that when

$$S < \frac{9}{2} |H|^2, \quad M = S^3 \left( \frac{1}{|H|} \right).$$

When  $S = \frac{9}{2} |H|^2$ , by virtue of the work of Chen and Okumura in 1973 (see [4]), we can see  $M$  has non-negative sectional curvature.

From the work of Nomizu and Smyth in 1969 (cf. [5]), we can find

$$M = S^3 \left( \frac{2}{3|H|} \right) \times R^1.$$

Now we consider  $S > \frac{9}{2} |H|^2$ . In 1975, S. T. Yau discovered the following theorem<sup>[3]</sup>:

*Let  $\tilde{M}$  be a complete Riemannian manifold. The Ricci curvature of  $\tilde{M}$  is bounded below. In addition,  $F$  is a  $C^2$  function and  $\sup F < \infty$ . Then there is a sequence of points  $\{P_\nu\}$  in  $\tilde{M}$  such that*

$$\begin{aligned} \lim_{\nu \rightarrow \infty} |dF(P_\nu)| &= 0, \quad \lim_{\nu \rightarrow \infty} \Delta F(P_\nu) \leq 0, \\ \lim_{\nu \rightarrow \infty} F(P_\nu) &= \sup F. \end{aligned} \quad (2.16)$$

Let  $F = -f$ . Obviously,  $M$  and  $F$  satisfy the condition in S. T. Yau's theorem. Hence,  $\lim_{\nu \rightarrow \infty} f(P_\nu) = \inf f$ . Because of (1.9) and (1.12), evidently

$$\lim_{\nu \rightarrow \infty} \sum_i \mu_i^3(P_\nu) = \inf \sum_i \mu_i^3 \geq -\frac{1}{\sqrt{6}} (S - 3|H|^2)^{3/2}, \quad (2.17)$$

while we know  $\mu_i(P_\nu) \in [-(S - 3|H|^2)^{1/2}, (S - 3|H|^2)^{1/2}]$  ( $1 \leq i \leq 3$ ). It is well known that in a closed interval a set of infinite points has at least an accumulation point. Therefore we can select a subsequence  $\{P_{\nu'}\}$  of  $\{P_\nu\}$  such that  $\mu_1(P_{\nu'})$  has a limit point. Next, we can choose a subsequence  $\{P_{\nu''}\}$  of  $\{P_{\nu'}\}$  such that  $\mu_2(P_{\nu''})$  has a limit point, etc. At last, there exists a subsequence of  $\{P_\nu\}$ , which, for simplicity, we still write as  $\{P_\nu\}$ . Moreover, at the same time,  $\mu_i(P_\nu)$  ( $1 \leq i \leq 3$ ) has limit points respectively.

Now we conclude

$$\lim_{\nu \rightarrow \infty} \sum_i \mu_i^3(P_\nu) = -\frac{1}{\sqrt{6}} (S - 3|H|^2)^{3/2}, \quad \inf f = 0. \quad (2.18)$$

At the beginning, we prove the first equality. If it were not true, i. e.,

$$\inf \sum_i \mu_i^3 > -\frac{1}{\sqrt{6}} (S - 3|H|^2)^{3/2},$$

from (2), we should know that any two numbers among  $\lim_{\nu \rightarrow \infty} \mu_1(P_\nu)$ ,  $\lim_{\nu \rightarrow \infty} \mu_2(P_\nu)$  and  $\lim_{\nu \rightarrow \infty} \mu_3(P_\nu)$  are different. Because there are two equal numbers, by calculation,  $\inf \sum_i \mu_i^3$  must be  $\frac{1}{\sqrt{6}} (S-3|H|^2)^{3/3} = \sup \sum_i \mu_i^3$ . Hence  $\sum_i \mu_i^3$  is a constant. Consequently  $f$  is a constant too. It is contrary to the hypothesis. Therefore we can see that when  $\nu$  is sufficiently large, any two numbers among  $\lambda_1(P_\nu)$ ,  $\lambda_2(P_\nu)$  and  $\lambda_3(P_\nu)$  are different.

It's easy to know that

$$A(P_\nu) = \begin{vmatrix} 1 & 1 & 1 \\ \lambda_1 & \lambda_2 & \lambda_3 \\ \lambda_1^2 & \lambda_2^2 & \lambda_3^2 \end{vmatrix} (P_\nu) \neq 0 \text{ and } \lim_{\nu \rightarrow \infty} A(P_\nu) \neq 0. \quad (2.19)$$

In view of (1.9), we know  $df = -3|H| d(\text{trace } \tilde{H}^3)$ . Then  $\lim_{\nu \rightarrow \infty} |d(\text{trace } \tilde{H}^3(P_\nu))| = 0$ . And because  $|H|$ ,  $S$  are constants, at  $P_\nu$  we have

$$\sum_i h_{iik} = 0, \quad \sum_i \lambda_i h_{iik} = 0, \quad \sum_i \lambda_i^2 h_{iik} = a_k, \quad (2.20)$$

where  $a_k$  depends on  $P_\nu$ ,  $1 \leq k \leq 3$ , and  $\lim_{\nu \rightarrow \infty} a_k(P_\nu) = 0$ . Because of (2.20), at point  $P_\nu$  we get

$$h_{11k} = A^{-1} a_k (\lambda_3 - \lambda_2), \quad h_{22k} = A^{-1} a_k (\lambda_1 - \lambda_3), \quad h_{33k} = A^{-1} a_k (\lambda_2 - \lambda_1). \quad (2.21)$$

By virtue of (2.19), we find  $\lim_{\nu \rightarrow \infty} h_{iik}(P_\nu) = 0$ . from (2.1), we can see

$$\sum h_{ijik}^2 \mu_i = \sum_i h_{iik}^2 \mu_i + \sum_{i \neq k} h_{iik}^2 (2\mu_i + \mu_k). \quad (2.22)$$

Evidently

$$\lim_{\nu \rightarrow \infty} \sum h_{ijik}^2 \mu_i(P_\nu) = 0. \quad (2.23)$$

Similarly, we have

$$\lim_{\nu \rightarrow \infty} \sum h_{ijik}^2 (\mu_i + \mu_j + \mu_k)^2(P_\nu) = 0. \quad (2.24)$$

Evaluate formula (2.13) at  $P_\nu$  and let  $\nu \rightarrow \infty$ . Then using  $\lim_{\nu \rightarrow \infty} \Delta f(P_\nu) \geq 0$  and (2.23), we can see

$$(S-6|H|^2) \inf f \leq (S-3|H|^2) \left( S - \frac{9}{2} |H|^2 \right) (S-9|H|^2). \quad (2.25)$$

As in the work of Peng and Terng<sup>[6]</sup> we obtain

$$\sum h_{ijik}^2 \geq 3 \sum_{i \neq j} h_{ijij}^2. \quad (2.26)$$

Define  $t_{ij} = h_{ijij} - h_{jiji}$ . From (1.3), we have

$$t_{ij} = \lambda_i \lambda_j (\lambda_i - \lambda_j). \quad (2.27)$$

When  $i \neq j$ ,

$$h_{ijij}^2 + h_{jiji}^2 = \frac{1}{2} (h_{ijij} - h_{jiji})^2 + \frac{1}{2} (h_{ijij} + h_{jiji})^2. \quad (2.28)$$

Hence

$$\sum h_{ijik}^2 \geq \frac{3}{4} \sum \lambda_i^2 \lambda_j^2 (\lambda_i - \lambda_j)^2. \quad (2.29)$$

From (2.14), we know  $\lim_{\nu \rightarrow \infty} \sum h_{ijkl}^2(P_\nu)$  exists. From (2.29) and the fact that any two numbers among  $\lim_{\nu \rightarrow \infty} \lambda_1(P_\nu)$ ,  $\lim_{\nu \rightarrow \infty} \lambda_2(P_\nu)$  and  $\lim_{\nu \rightarrow \infty} \lambda_3(P_\nu)$  are different, we have

$$\lim_{\nu \rightarrow \infty} \sum h_{ijkl}^2(P_\nu) > 0. \quad (2.30)$$

Making use of (2.23), (2.24), (2.25) and (2.30), from (2.14), we can see

$$0 < 3(S - 3|H|^2) \left( S - \frac{9}{2}|H|^2 \right) (S - 9|H|^2). \quad (2.31)$$

Because  $\frac{9}{2}|H|^2 < S \leq 9|H|^2$ , it is impossible. Therefore, we obtain the first formula in (2.18).

Using (1.9) and (1.12), at  $P_\nu$ , we have

$$f = (S - 3|H|^2)(S - 6|H|^2) + 3|H| \sum \mu_i^3. \quad (2.32)$$

Because  $f \geq 0$ , we have  $\inf f \geq 0$ . In view of the first formula in (2.18), obviously

$$(S - 3|H|^2)(S - 6|H|^2) - \frac{3}{\sqrt{6}}|H|(S - 3|H|^2)^{3/2} = \inf f \geq 0. \quad (2.33)$$

(2.33) is equivalent to

$$\begin{aligned} & -3(S - 3|H|^2) \left[ |H| - \frac{1}{\sqrt{6}}(S - 3|H|^2)^{1/2} \right] \cdot \left[ |H| + \frac{2}{\sqrt{6}}(S - 3|H|^2)^{1/2} \right] \\ & = \inf f \geq 0. \end{aligned}$$

By virtue of  $\frac{9}{2}|H|^2 < S \leq 9|H|^2$ , the left hand side of (2.34)  $\leq 0$ . Hence  $\inf f = 0$ .

At the moment

$$|H| - \frac{1}{\sqrt{6}}(S - 3|H|^2)^{1/2} = 0, \text{ i. e., } S = 9|H|^2. \quad (2.35)$$

In sum, when  $0 < S \leq 9|H|^2$ ,  $S$  gets only three values:  $3|H|^2$ ,  $\frac{9}{2}|H|^2$  and  $9|H|^2$ , i. e., when  $R \geq 0$ ,  $R$  has only three values:  $6|H|^2$ ,  $\frac{9}{2}|H|^2$  and 0.

When  $M = S^1 \left( \frac{1}{3|H|} \right) \times R^2$ ,  $M$  owns constant principal curvatures. We know  $S = 9|H|^2$  and  $R = 0$  (cf. [2]).

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