

ON PRIMALITY OF THE COMBINATION OF EXPONENTIAL FUNCTIONS

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Abstract

The author discusses in this paper the transcendental unsolvability of the functional equation $F(z) = f \circ g(z)$ with f being meromorphic and g entire, for the function of the form

$$F(z) = \sum_{j=1}^m Q_j(z) e^{P_j(z)},$$

where Q_j 's are rational, P_j 's are polynomials. The main results are:

- a) $F(z)$ is pseudo-prime, i. e. $F = f \circ g$ has no transcendental solutions f and g ;
- b) If $0 \leq n_1 < n_2 < \dots < n_m$ with $n_j = \deg P_j$, then $F(z)$ is prime (i. e. $F = f \circ g$ implies that either f or g is linear), unless there exists a nonlinear polynomial $g(z)$ such that $P_j = p_j(g)$, $Q_j = q_j(g)$ with p_j 's being polynomials and q_j 's rational.

These results generalize some theorems due to Prokopovich and other authors.

§ 1. Introduction

A meromorphic function $F(z)$ is said to be composite if $F(z)$ can be factorized as

$$F(z) = (f \circ g)(z) (\equiv f(g(z))), \quad (1)$$

where f is meromorphic and g is entire (g may be meromorphic when f is rational), none of which is (fractional) linear.

$F(z)$ is said to be prime (pseudo-prime) if every factorization of the form (1) implies that either f is fractional linear or g is linear (either f is rational or g is a polynomial). Also, a transcendental meromorphic function $F(z)$ is said to be left (right) prime if every factorization of the form (1) implies that f is fractional linear whenever g is transcendental (g is linear whenever f is transcendental). Further, two (or more) functions F and G are said to have a common right factor α , if there exist functions f and g such that

$$F = f \circ \alpha \text{ and } G = g \circ \alpha,$$

where α is a non-linear entire function.

When f in (1) is rational and g is restricted to entire functions, or when $F = f \circ g$ is entire, and f and g are restricted to entire functions, it is called to be a factorization

in entire sense.

The factorization theory of meromorphic functions has been developed in various aspects. It is an interesting problem to determine the primality or pseudo-primality of entire and meromorphic functions. Many results in this topic are known. In an earlier paper^[8], Rosenbloom pointed out that the function $F(z) = z + e^z$ is prime. Baker and Gross^[1] proved that the function

$$F(z) = e^z + P(z)$$

is prime, where $P(z)$ is a non-constant polynomial. Gol'dberg and Prokopovich^[3] generalized these results. They considered the function

$$F(z) = P(z) + Q(z)e^{R(z)},$$

where P, Q, R are polynomials, $P, R \neq \text{const.}$, $Q \neq 0$. Later on, Prokopovich^[7] dealt with functions of the form

$$F(z) = \sum_{j=1}^m Q_j(z)e^{P_j(z)}, \quad F(z) \neq O + Q_2(z)e^{P_1(z)}, \quad (2)$$

where $Q_j(z)$ and $P_j(z)$ are polynomials, and O is a constant. He proved

Theorem A. Let P_j and Q_j be polynomials, and $\deg P_j = n_j$ with $0 \leq n_1 < n_2 < \dots < n_m$, where $Q_j \neq 0$ for $j=1, \dots, m$. Let $F(z)$ be of the form (2). Then F is composite if and only if all P_j and Q_j have a common right factor.

Recent results of N. Steinmetz^[9] make the discussion of the pseudo-primality of (2) much easier. We shall in this note discuss the primality of functions of the form (2) with all Q_j being rational functions and without the restriction that $F(z) \neq O + Q_2(z)e^{P_1(z)}$. Here are the main results.

Theorem 1. Let $P_1(z), \dots, P_m(z)$ be polynomials, and $Q_1(z), \dots, Q_m(z)$ be rational functions. Then the function

$$F(z) = \sum_{j=1}^m Q_j(z)e^{P_j(z)} \quad (3)$$

is pseudo-prime.

In the following theorems, the factorization is restricted in entire sense. That is, if $F = f \circ g$ and f is rational, then only entire factor g is considered.

Theorem 2. Suppose that the hypotheses of Theorem 1 are satisfied, and that in addition $n_m = \deg P_m > \deg P_j = n_j$ for $j=1, \dots, m-1$ ($m \geq 2$). Then the function (3) with $F(z) \neq O + Q_2(z)e^{P_1(z)}$ is left prime.

Remark 1. The example

$$G(z) = 1 + 2e^z + e^{2z} = (1 + e^z)^2$$

shows that the hypothesis $n_m > n_j$ ($j < m$) cannot be omitted.

Remark 2. The demand $F(z) \neq O + Q_2(z)e^{P_1(z)}$ in Theorem 2 cannot be canceled either, as is shown in the example below

$$H(z) = O + e^{2z},$$

since we have $H = f \circ g$ with $f(\zeta) = O + \zeta^2$ and $g(z) = e^z$.

Theorem 3. Suppose that in addition to the hypotheses of Theorem 2, the function $F(z)$ of the form (3) satisfies $0 \leq n_1 < n_2 < \dots < n_m$. Then $F(z)$ is prime, unless all $P_1, \dots, P_m$ and $Q_1, \dots, Q_m$ have a common right factor.

Corollary. Let f be meromorphic and g be entire such that (i) f and g are nonlinear, (ii) $F = f \circ g$ is transcendental and of finite order, and (iii) F has only finitely many poles. Then F has infinitely many fix-points.

This is a slightly refined form of a result in [5, Theorem 1].

Theorem 4. Let

$$h(z) = O + Q(z)e^{P(z)}, \quad (4)$$

where $Q(z) \not\equiv 0$ is rational, and $P(z)$ is a non-constant polynomial. Then

- (i) $h(z)$ is right prime if and only if P and Q have no common right factor;
- (ii) $h(z)$ is left prime, unless $Q(z)$ is of the form

$$Q(z) = \beta(z)^n,$$

where $\beta(z)$ is rational, and n is an integer with $n \geq 2$.

Remark 3. From this theorem, we conclude that if the function (4) has a factorization $h = f \circ g$, then either

$$f(\zeta) = O + \zeta^n \text{ and } g(z) = \beta(z)e^{\frac{1}{n}P(z)} \text{ with } Q(z) = \beta(z)^n$$

or

$$f(\zeta) = O + q(\zeta)e^{p(\zeta)}$$

and $g(z)$ is a polynomial of degree ≥ 2 such that

$$Q(z) = q(g(z)) \text{ and } P(z) = p(g(z)).$$

Throughout this note the standard notation of Nevanlinna theory will be employed without explanation.

§ 2. Preliminary Lemmas

Lemma 1^[9]. Let $h(z)$ be a transcendental meromorphic function satisfying the linear differential equation

$$w^{(n)} + a_{n-1}(z)w^{(n-1)} + \dots + a_0(z)w = a(z),$$

where $a(z), a_0(z), \dots, a_{n-1}(z)$ are rational. Then $h(z)$ is pseudo-prime.

Lemma 2. Let $P_1(z), \dots, P_m(z)$ be polynomials ($m \geq 1$) such that $P_j - P_l$ is not a constant for $j \neq l, 1 \leq j, l \leq m$ (when $m=1$, no restriction arises for polynomial $P_1(z)$). Let $Q_1(z), \dots, Q_m(z)$ be rational. Then the function

$$F(z) = \sum_{j=1}^m Q_j(z)e^{P_j(z)}$$

is identically zero only if $Q_j(z) \equiv 0$ for $j=1, \dots, m$.

This is an immediate consequence of a result in [2, Theorem 1].

Lemma 3^[6]. Let $g(z)$ be a transcendental meromorphic function such that

$$N(r, g) = o(T(r, g)) \text{ as } r \rightarrow \infty.$$

Let $R(z)$ be a rational function of degree k and $\alpha(z) \neq 0$ be a meromorphic function satisfying the condition $T(r, \alpha) = o(T(r, g))$ as $r \rightarrow \infty$. Then

$$\lim_{\substack{r \rightarrow \infty \\ r \notin E}} \frac{N\left(r, \frac{1}{R(g) - \alpha}\right)}{T(r, g)} \geq k - 1 + \delta(0, g), \quad (5)$$

where $\text{mes } E < \infty$.

Lemma 4 (See [4, Theorem 5.1]). *Let $F(z)$ be a transcendental meromorphic function of finite order which has only a finite number of poles and zeros. Then if F has a factorization $F = f \circ g$, where f is rational, then f must be of the form*

$$f(\zeta) = a(\zeta - b)^n,$$

where n is an integer with $|n| \geq 2$, and a, b are constants.

§ 3. Proofs of Theorems

Proof of Theorem 1 Without loss of generality, we may assume that $P_j - P_l$ is not a constant for $j \neq l$, $1 \leq j, l \leq m$, and that $Q_j \neq 0$ for $j = 1, \dots, m$.

We have

$$F^{(k)}(z) = \sum_{j=1}^m Q_{k,j}(z) e^{P_j(z)}, \quad 0 \leq k \leq m-1 \quad (6)$$

and

$$F^{(m)}(z) = \sum_{j=1}^m Q_{m,j} e^{P_j(z)}, \quad (7)$$

where

$$F^{(0)} = F, \quad Q_{0,j} = Q_j$$

and

$$Q_{k,j} = Q_{k-1,j} P_j' + Q_{k-1,j}' \text{ for } 1 \leq j, k \leq m.$$

By Lemma 2, the set of functions $Q_1 e^{P_1}, \dots, Q_m e^{P_m}$ is linear independent over complex numbers. Therefore, the Wronskian of $Q_1 e^{P_1}, \dots, Q_m e^{P_m}$

$$\det (Q_{k,j}) \exp \left(\sum_{j=1}^m P_j \right)$$

is not identically zero, and $\det (Q_{k,j})$ is not either. Solving the system of linear equations (6) for functions $e^{P_1}, \dots, e^{P_m}$, we see that each of these functions can be expressed by the linear combinations of $F^{(j)}(z)$, $j = 0, \dots, m-1$, with rational functions as coefficients. Substituting all these expressions of $e^{P_1}, \dots, e^{P_m}$ into (7), we conclude that $F(z)$ satisfies a linear differential equation

$$w^{(m)} + a_{m-1}(z) w^{(m-1)} + \dots + a_0(z) w = a(z),$$

where $a(z), a_0(z), \dots, a_{m-1}(z)$ are rational functions. By Lemma 1, $F(z)$ is therefore pseudo-prime as is claimed in the theorem.

Proof of Theorem 2 By Theorem 1, $F(z)$ is pseudo-prime. Suppose that $F = R \circ g$, when $R(\zeta)$ is a rational function of degree k , and g is entire. Apparently, g is of order n_m , since F is. Let

$$\alpha(z) = \sum_{j=1}^{m-1} Q_j(z) e^{P_j(z)}.$$

Clearly, $T(r, \alpha) = o(T(r, g))$ as $r \rightarrow \infty$. Hence, by Lemma 3, there exists a sequence $r_n \rightarrow \infty$ ($n \rightarrow \infty$) such that

$$N\left(r_n, \frac{1}{Q_m e^{P_m}}\right) \geq (k-1+o(1))T(r_n, g) \quad (n \rightarrow \infty).$$

But, this can hold only if $k=1$, namely, R is fractional linear, so that $F(z)$ is left prime. The theorem follows.

Proof of Theorem 3 By Theorem 2, it suffices to consider the case when $F=f \circ g$ with g being a polynomial of degree ≥ 2 . In this case, we want to show that g is a common right factor of all P_j and Q_j .

Indeed, since F has only finitely many poles, so does f . Hence, we can write

$$f \circ g = \frac{f_1 \circ g}{R \circ g},$$

where f_1 is entire and R a polynomial. Let

$$F_1(z) = F(z)R(g(z)).$$

Then $F_1(z)$ is entire and

$$F_1(z) = \sum_{j=1}^m \tilde{Q}_j(z) e^{P_j(z)},$$

where

$$\tilde{Q}_j = (R \circ g) Q_j, \quad j=1, \dots, m. \quad (8)$$

It is clear that $\tilde{Q}_1, \dots, \tilde{Q}_m$ have to be polynomials. Now that

$$F_1 = (f \cdot R) \circ g,$$

by Theorem A, there exist polynomials $\tilde{q}_j$ and p_j such that

$$\tilde{Q}_j = \tilde{q}_j \circ g \text{ and } P_j = p_j \circ g, \quad j=1, \dots, m. \quad (9)$$

From (8) and (9) we obtain

$$Q_j = q_j \circ g \text{ and } P_j = p_j \circ g,$$

where

$$q_j = \tilde{q}_j / R, \quad j=1, \dots, m.$$

And this is what we needed.

Proof of Corollary. If F had only finitely many fix-points, then by the assumption, we would have

$$F(z) - z = Q(z) e^{P(z)},$$

where $Q(z)$ is rational and $P(z)$ is a polynomial. This means that the function

$$F(z) = z + Q(z) e^{P(z)}$$

would be composite, which violates the conclusion of Theorem 3.

Proof of Theorem 4 By Theorem 1, $h(z)$ is pseudo-prime. Suppose that $h=f \circ g$. We deal with two cases.

Case 1 g is a polynomial of degree ≥ 2 . Assume first that $C \neq 0$. Put

$$\tilde{h}(z) = g(z)h(z) = Cg(z) + \tilde{Q}(z) e^{P(z)},$$

where

$$\tilde{Q}(z) = g(z)Q(z).$$

From the proof of Theorem 3, we see that $g(z)$ is a common right factor of $\tilde{Q}$ and P .

Hence $\tilde{Q} = \tilde{q} \circ g$, where $\tilde{q}$ is rational. We thus obtain

$$Q(z) = \tilde{Q}(z)/g(z) = (q \circ g)(z),$$

where $g(\zeta) = \tilde{q}(\zeta)/\zeta$ is rational. Therefore, $g(z)$ is a common right factor of P and Q .

In the case when $O=0$, by setting

$$h_1(z) = 1 + h(z)$$

and to $h_1(z)$ applying the result just proved, we can easily reach the same conclusion.

Case 2 f is rational of degree ≥ 2 . Assume first that $O=0$. By Lemma 4, f is of the form

$$f(\zeta) = a(\zeta - b)^n,$$

where n is an integer with $|n| \geq 2$, and we may take $a=1$. Then we have

$$Q(z)e^{P(z)} = [g(z) - b]^n.$$

Hence

$$Q(z) = [(g(z) - b)e^{-\frac{1}{n}P(z)}]^n.$$

Let

$$\beta(z) = [g(z) - b]e^{-\frac{1}{n}P(z)}.$$

Then $\beta(z)$ must be rational and

$$Q(z) = \beta(z)^n. \quad (10)$$

For the case when $O \neq 0$, we set

$$h_1(z) = Q(z)e^{P(z)}.$$

Then $h_1 = f_1 \circ g$, where $f_1 = f - O$ is a rational function of degree ≥ 2 . So we also deduce (10). The theorem is complete.

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