# COMMUTING *n*-TUPLES OF CLOSED OPERATORS WHICH POSSESS SPECTRAL CAPACITY

HU SHANWEN (胡善文)\*\*

#### Abstract

This paper introduces the notions of commuting n-tuples of closed operators which possess SVEP, SDP and spectral capacity respectively. A formula of analytic functional calculus for several commuting unbounded operators is found. With the help of the formula, it is proved that T has spectral capacity implies T has SDP and T has SDP implies T has SVEP. It is also proved that if T possesses spectral capacity  $\mathscr E$  and  $f_{\mathfrak F}$  is analytic on a neighbourhood of  $\sigma(T)$  for  $j=1,2,\cdots,k$ , then  $f(T)=(f_1(T),\cdots,f_k(T))$  is decomposable, and the spectral capacity  $\mathscr E^*$  of f(T) is uniquely determind by  $\mathscr E^*(F)=\mathscr E(f^{-1}(F)\cap\sigma(T))$ .

## § 1. Analytic Functional Calculus

In [1], X is a Banach space and  $T_1, \dots, T_n$  are closed operators on X. For any i,  $1 \le i \le n$ , there exists  $\xi_i \in \rho(T_i) \cap \mathbb{C}$ . Let  $a_i = (\xi_i - T_i)^{-1}$ . If  $a = (a_1, \dots, a_n)$  is a commuting n-tuple of bounded operators, then  $T = (T_1, \dots T_n)$  is called a commuting n-tuple of close operators. Taylor spectrum, denoted by  $\sigma(T)$ , is the subset of  $\mathbb{C}^n \left\{ \left( \xi_1 - \frac{1}{z_1}, \xi_2 - \frac{1}{z_2}, \dots, \xi_n - \frac{1}{z_n} \right) \middle| z = (z_1, \dots, z_n) \in \sigma(a) \right\}$  where  $\mathbb{C}^n = \mathbb{C} \times \mathbb{C} \times \dots \times \mathbb{C} \times \mathbb$ 

Let U be an open set in  $\hat{\mathbb{C}}^n$ . We define

1.  $\hat{A}_{(i)}(U, X) = \{f | f \in A(U, D_{(i)}) \text{ and for any } \{j_1, \dots, j_k\} \cap (i) = \emptyset, \prod_{p=1}^k z_{j_p} f(z) \in A(U, D_{(i)}) \text{ and } \prod_{p=1}^k T_{j_p} \prod_{p=1}^k z_{j_p} f(z) \in A(U, X) \};$ 

$$\Lambda^{p}[\tau, \hat{A}(U, X)] = \{ \sum f_{(i)}t_{(i)} | f_{(i)} \in \hat{A}_{(i)}(U, X) \}, \ 0 \le p \le n.$$

2.  $C^{\infty}_{(i)(j)}(U, X) = \{f | f \in C^{\infty}(U, D_{(i)}) \text{ and for any } \{i_1, \dots, i_k\} \cap (i) = \emptyset \text{ and } \{i_1, \dots, i_k\} \cap (i) = \emptyset \}$ 

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<sup>\*</sup> East China Normal University, Shanghai, China.

 $\{j_1, \, \cdots, \, j_h\} \subset (j), \, \prod_{p=1}^k z_{i_p} \prod_{q=1}^h \bar{z}_{i_q}^2 f(z) \in C^{\infty}(U, \, D_{(i)}) \, \text{ and } \prod_{p=1}^k T_{i_p} \prod_{p=1}^k z_{i_p} \prod_{q=1}^h \bar{z}_{j_q}^2 f(z) \in C^{\infty}(U, \, X)\};$   $A^p[\tau \cup d\bar{z}, \, \hat{C}^{\infty}(U, \, X)] = \{\sum_{f(i)(j)} |f_{(i)(j)} \in C^{\infty}_{(i)(j)}(U, \, X), \\ |(i)| + |(j)| = p\}, \, 0 \leqslant p \leqslant 2n.$ 

For any  $\psi = \sum f_{(i)}t_{(i)} \in \Lambda^p[\tau, \hat{A}(U, X)], J_p$  is a mapping from  $\Lambda^p[\tau, \hat{A}(U, X)]$  into  $\Lambda^{p+1}[\tau, \hat{A}(U, X)]: J_p\psi(z) = \sum_{(i)} \sum_j (z_j - T_j) f_{(i)}(z)t_j \wedge t_{(i)}. J_p \oplus \bar{\partial}$  is a mapping from  $\Lambda^p[\tau \cup d\bar{z}, \hat{O}^{\infty}(U, X)]$  into  $\Lambda^p[\tau \cup d\bar{z}, \hat{O}^{\infty}(U, X)]$ :

 $(J_p \oplus \overline{\partial}) \left( \sum f_{(i)(j)} t_{(i)} \wedge d\overline{z}_{(j)} \right) (z)$ 

$$= \sum_{q} (z_q - T_q) f_{(i)(j)}(z) t_q \wedge t_{(i)} \wedge d\overline{z}_{(j)} + \sum_{q} \frac{\partial}{\partial \overline{z}_q} f_{(i)(j)}(z) d\overline{z}_q \wedge t_{(i)} \wedge d\overline{z}_{(j)}.$$

For convenience, if  $\xi = (\xi_1, \dots, \xi_n) \in \mathbb{C}^n$ , let  $\mathbb{C}^n_{\xi} = \{z = (z_1, \dots, z_n) \mid z \in \mathbb{C}^n, z_i \neq \xi_i, 1 \le i \le n\}$  and  $\frac{1}{\xi - U} = \{\left(\frac{1}{\xi_1 - z_1}, \dots, \frac{1}{\xi_n - z_n}\right) \mid z \in U\}$ .

**Theorem 1.1.** Let  $T = (T_1, \dots, T_n)$  be a commuting n-tuple closed operators,  $\xi_i \in \rho(T_i) \cap \mathbb{C}$ ,  $a_i = (\xi_i - T_i)^{-1}$ ,  $1 \le i \le n$  and  $a = (a_1, \dots, a_n)$ . Then the following diagram is commutative:

$$0 \to A^0[\tau, \widehat{A}(U, X)] \xrightarrow{J} A^1[\tau, \widehat{A}(U, X)] \to \cdots \to A^n[\tau, \widehat{A}(U, X)] \to 0$$

$$\downarrow u_0 \qquad \qquad \downarrow u_1 \qquad \qquad \downarrow u_n$$

 $0 \to \Lambda^0[\sigma, \hat{A}(V, X)] \xrightarrow{\alpha} \Lambda^1[\sigma, \hat{A}(V, X)] \to \cdots \to \Lambda^n[\sigma, \hat{A}(V, X)] \to 0,$ 

where  $V = \frac{1}{\xi - U}$  and  $u_p$  is an isomorphism from  $\Lambda^p[\tau, \hat{A}(U, X)]$  onto  $\Lambda^p[\sigma, \hat{A}(V, X)]$ : for any  $f_{(i)}t_{(i)} \in \Lambda^p[\tau, \hat{A}(U, X)]$ ,  $u_p(f_{(i)}t_{(i)})(\lambda) = \prod_{j \in (i)} \left(\frac{T_j - \xi_j}{\lambda_j}\right) f_{(i)}\left(\xi - \frac{1}{\lambda}\right) s_{(i)}$ . Hence, if let

 $H^p[\hat{A}(U, X), J] = \ker J_p/\operatorname{Im} J_{p-1},$ 

then

$$H^p[\widehat{A}(U, X), J] \cong H^p[\widehat{A}(V, X), \alpha].$$

Proof For any  $g_{(i)}s_{(i)} \in A^p[\sigma, \hat{A}(V, X)]$ , we can define another mapping  $\nu_p$ :  $\nu_p(g_{(i)}s_{(i)})(z) = \prod_{j \in (i)} \left(\frac{-a_j}{\xi_j - z_j}\right) g_{(i)}\left(\frac{1}{\xi - z}\right) t_{(i)}.$  It is clear that  $v_p \circ u_p = 1$  and  $u_p \circ v_p = 1$ .

For any 
$$z \in U$$
,  $\lambda \in \frac{1}{\xi - z}$ ,

$$\begin{split} \left(\alpha_{p} \circ u_{p}\right)\left(f_{(\mathbf{i})}t_{(\mathbf{i})}\right)(\lambda) &= \alpha_{p}(\lambda) \left(\prod_{j \in (\mathbf{i})} \left(\frac{T_{j} - \xi_{j}}{\lambda_{j}}\right) f_{(\mathbf{i})}\left(\xi - \frac{1}{\lambda}\right) \mathbf{s}_{(\mathbf{i})} \right. \\ &= \sum_{k \in (\mathbf{i})} \left(\lambda_{k} - a_{k}\right) \left(\prod_{j \in (\mathbf{i})} \left(\frac{T_{j} - \xi_{j}}{\lambda_{j}}\right) f_{(\mathbf{i})}\left(\xi - \frac{1}{\lambda}\right) \mathbf{s}_{k} \wedge \mathbf{s}_{(\mathbf{i})}\right) \\ &= \sum_{k \in (\mathbf{i})} \left(\xi_{k} - \frac{1}{\lambda_{k}} - T_{k}\right) \left(\prod_{j \in (\mathbf{i}) \cup (k)} \left(\frac{T_{j} - \xi_{j}}{\lambda_{j}}\right) f_{(\mathbf{i})}\left(\xi - \frac{1}{\lambda}\right) \mathbf{s}_{k} \wedge \mathbf{s}_{(\mathbf{i})}\right) \\ &= u_{p+1} \left(\sum_{k \in (\mathbf{i})} \left(z_{k} - T_{k}\right) f_{(\mathbf{i})}(z) t_{k} \wedge t_{(\mathbf{i})}\right) = \left(u_{p+1} \circ J_{p}\right) \left(f_{(\mathbf{i})}t_{(\mathbf{i})}\right)(\lambda). \end{split}$$

Thus we complete the proof.

Theorem 1.2. Let T and a be as in Theorem 1.1. Then the following diagram

is commutative:

$$0 \rightarrow \Lambda^{0}[\tau \cup d\overline{z}, \, \hat{C}^{\infty}(U, \, X)] \rightarrow \Lambda^{1}[\tau \cup d\overline{z}, \, \hat{C}^{\infty}(U, \, X)] \rightarrow \cdots \rightarrow \Lambda^{2n}[\tau \cup d\overline{z}, \, \hat{C}^{\infty}(U, \, X)] \rightarrow 0$$

$$\downarrow^{\omega_{0}} \qquad \qquad \downarrow^{\omega_{1}} \qquad \qquad \downarrow^{\omega_{n}} \qquad \downarrow^$$

$$w_{p}(f_{(i)(j)}t_{(i)}Ad\bar{z}_{(j)})(\lambda) = (-1)^{|(j)|} \prod_{k \in (i)} \left(\frac{T_{k} - \xi_{k}}{\lambda_{k}}\right) \prod_{k \in (j)} \frac{1}{\bar{\lambda}_{k}^{2}} f_{(i)(j)}\left(\xi - \frac{1}{\lambda}\right) s_{(i)}Ad\bar{\lambda}_{(j)}.$$

Hence, if let

$$H^{\mathfrak{p}}[\widehat{\mathcal{O}}^{\infty}(U, X), J \oplus \overline{\partial}] = \ker(J_{\mathfrak{p}} \oplus \overline{\partial}) / \operatorname{Im}(J_{\mathfrak{p}-1} \oplus \overline{\partial}),$$

then

$$H^{\mathfrak{p}}[\hat{O}(U, X), J \oplus \bar{\partial}] \cong H^{\mathfrak{p}}[\hat{O}(U, X), \alpha \oplus \bar{\partial}], 0 \leqslant p \leqslant 2n.$$

**Proof** If  $g_{(i)(j)}s_{(i)} \wedge d\bar{\lambda}_{(j)} \in A^{\mathfrak{p}}[\sigma \cup d\bar{\lambda}, \hat{O}^{\infty}(V, X)]$ , then the mapping  $r_{\mathfrak{p}}$ :

$$r_{p}(g_{(i)(j)}s_{(i)} \wedge d\bar{\lambda}_{(j)})(z) = (-1)^{|(j)|} \prod_{k \in (i)} \left(\frac{-a_{k}}{\xi_{k} - z_{k}}\right) \prod_{k \in (j)} g_{(i)(j)} \left(\frac{1}{\xi - z}\right) t_{(i)} \wedge d\bar{z}_{(j)}$$

satisfies the condition  $w_p \circ r_p = I$  and  $r_p \circ w_p = I$ .

For any 
$$z \in U$$
,  $\lambda \in \frac{1}{\xi - z}$ 

$$(\alpha \oplus \bar{\partial}) \circ w_{p}(f_{(i)(j)}t_{(i)} \wedge d\bar{z}_{(j)})(\lambda)$$

$$\begin{split} &= \sum_{q \in (i)} \left( \lambda_{q} - a_{q} \right) \left[ \left( -1 \right)^{|(j)|} \prod_{k \in (i)} \left( \frac{T_{k} - \xi_{k}}{\lambda_{k}} \prod_{h \in (j)} \frac{1}{\overline{\lambda_{h}^{2}}} f_{(i)(j)} \left( \xi - \frac{1}{\lambda} \right) \right] s_{q} \wedge s_{(i)} \wedge d\overline{\lambda}_{(j)} \\ &+ \sum_{q \in (j)} \frac{\partial}{\partial \overline{\lambda}_{p}} \left[ \left( -1 \right)^{|(j)|} \prod_{k \in (i)} \left( \frac{T_{k} - \xi_{k}}{\lambda_{k}} \right) \prod_{h \in (j)} \frac{1}{\overline{\lambda_{h}^{2}}} f_{(i)(j)} \right] d\overline{\lambda}_{q} \wedge s_{(i)} \wedge d\overline{\lambda}_{(j)} \\ &= \sum_{q \in (i)} \left( \xi_{q} - \frac{1}{\lambda_{q}} - T_{q} \right) \left[ \left( -1 \right)^{|(j)|} \prod_{k \in (i) \cup (q)} \left( \frac{T_{k} - \xi_{k}}{\lambda_{k}} \right) \prod_{h \in (j)} \frac{1}{\overline{\lambda_{h}^{2}}} f_{(i)(j)} \right] s_{q} \wedge s_{(i)} \wedge d\overline{\lambda}_{(j)} \\ &+ \sum_{q \in (j)} \left( -1 \right)^{|(j)| + 1} \prod_{k \in (i)} \left( \frac{T_{k} - \xi_{k}}{\lambda_{k}} \right)_{h \in (j) \cup (q)} \frac{1}{\overline{\lambda_{h}^{2}}} \frac{\partial}{\partial \overline{z}_{q}} f_{(i)(j)} d\overline{\lambda}_{q} \wedge s_{(i)} \wedge d\overline{\lambda}_{(j)} \end{split}$$

$$= (w_{p+1} \circ J_p) \left( f_{(i)(j)} t_{(i)} \wedge d\bar{z}_{(j)} \right) (\lambda) + (w_{p+1} \circ \bar{\partial}) \left( f_{(i)(j)} t_{(i)} \wedge d\bar{z}_{(j)} \right) (\lambda)$$

$$= w_{p+1} \circ (J_p \oplus \overline{\partial}) (f_{(i)(j)} t_{(i)} \wedge d\overline{z}_{(j)}) (\lambda).$$

Suppose U is an open set in  $\hat{\mathbb{C}}^n$ . We shall denote by

$$\hat{O}^p(U, X) = \bigcup_{z \in U} \{(\psi)_z | \psi \in \Lambda^p[\tau, \hat{A}(W, X)] \text{ for some neighbourhood of } z\}$$

the sheaf of all germs of analytic forms of degree p, and denote by

$$\hat{B}^p(U, X) = \bigcup_{z \in U} \{(\varphi)_z | \varphi \in \Lambda^p[\tau \cup d\overline{z}, \, \hat{C}^\infty(W, X)] \text{ for some neighbourhood of } z\}$$

the sheaf of all germs of somooth forms of degree p. For any  $z \in U$ , we have operator  $J_p(\text{or }J_p \oplus \overline{\partial})$  from  $O^p(z)$  (or  $B^p(z)$ ) into  $O^{p+1}(z)$  (or  $B^{p+1}(z)$ ) and define

$$H^p[\hat{A}(\{z\}, X), J] = \ker J_p/\mathrm{Im}J_{p+1}, \ H^p[\hat{C}^\infty(\{z\}, X), J \oplus \overline{\partial}] = \ker J_p \oplus \overline{\partial}/\mathrm{Im}J_{p+1} \oplus \overline{\partial}.$$

Obviously,  $\hat{B}^p(U, X)$  is a fine sheaf.

By Theorems 1. 1 and 1. 2 we have the following corollary.

Corollary 1.3. For any  $z \in \hat{\mathbb{C}}^n$  and  $\lambda = \frac{1}{\xi - z}$ ,

 $H^{\mathfrak{p}}[\hat{A}(\{z\}, X), J] \cong H^{\mathfrak{p}}[\hat{A}(\{\lambda\}, X), \alpha], 0 \leqslant p \leqslant n;$   $H^{\mathfrak{p}}[\hat{C}^{\infty}(\{z\}, X), J \oplus \bar{\partial}] \cong H^{\mathfrak{p}}[\hat{C}^{\infty}(\{\lambda\}, X), \alpha \oplus \bar{\partial}], 0 \leqslant p \leqslant 2n.$ 

Corollary 1.4. For any  $z \in \rho(T)$ ,

$$H^{p}[\hat{A}(\{z\}, X), J] = 0, 0 \le p \le n;$$
  
 $H^{p}[\hat{O}^{\infty}(\{z\}, X), J \oplus \bar{\partial}] = 0, 0 \le p \le 2n.$ 

Proof If  $z = (z_1, \dots, z_n) \in \rho(T)$ , we can choose  $\xi_i \in \rho(T_i)$  but  $\xi_i \neq z_i$ ,  $1 \leq i \leq n$ . Then  $\lambda = \frac{1}{\xi - z} \in \mathbb{C}^n \cap \rho(\alpha)$ . Thus we have  $H^p[\widehat{A}(\{z\}, X), J] \cong H^p[\widehat{A}(\{\lambda\}, X), \alpha] = H^p[A(\{\lambda\}, X), \alpha] = 0$  by [2] Lemma 2.2. Similarly,  $H^p[\widehat{O}^{\infty}(\{z\}, X), J \oplus \overline{\partial}] \cong H^p[O^{\infty}(\{\lambda\}, X), \alpha \oplus \overline{\partial}] = 0$ .

**Proposition 1.5.** For any open set  $U \subset \rho(T)$ , the sequence  $0 \rightarrow \Lambda^0[\tau \cup d\overline{z}, \, \hat{C}^{\infty}(U, \, X)] \rightarrow \Lambda^1[\tau \subset d\overline{z}, \, \hat{C}^{\infty}(U, \, X)] \rightarrow \cdots \rightarrow \Lambda^{2n}[\tau \cup d\overline{z}, \, \hat{C}^{\infty}(U, \, X)] \rightarrow 0$  is exact.

Proof For any  $\psi \in \Lambda^p[\tau \cup d\overline{z}, \hat{C}^{\infty}(U, X)]$ ,  $(\psi)_s$  is a section of the sheaf  $\hat{B}^p$ . Since  $\hat{B}^p(U, X)$  is a fine sheaf and for  $z \in U$ ,  $H^p[\hat{C}^{\infty}(\{z\}, X), J \oplus \overline{\partial}] = 0$ , by [7] Propositions 6. 3. 2 and 6. 3. 6, the sequence is exact.

Corollary 1.6. If f is analytic function on an neighbourhood U of  $\sigma(T)$ , then for any  $x \in X$  there exists  $\psi \in A^{n-1}[\tau \cup d\overline{z}, \ \hat{C}^{\infty}(V, X)], \ V = U \cap \rho(T)$ , such that  $tf(z)x = f(z)xt_1 \wedge \cdots \wedge t_n = (J \oplus \overline{\partial})\psi$ .

Proof By definition,  $tf(z)x \in \Lambda^n[\tau \cup d\overline{z}, \ \hat{C}^{\infty}(V, X)]$  and  $(J \oplus \overline{\partial})(tf(z)x) = 0$ , then the Corollary is an immediate consequence of Proposition 1. 5.

We shall define the single valued extention property. Before stating the definition, we shall have variant of Dilbeanlt-Grothendieck Lemma, like [4] Lemma 2.1.

For convenience, let

$$\Lambda_q^p[\tau \cup d\bar{z}, \, \hat{C}^{\infty}(U, \, X)] = \{ \sum f_{(i)(j)} t_{(i)} \wedge d\bar{z}_{(j)} | \, | \, (i) \, | + | \, (j) \, | = p, \, | \, (i) \, | = q \}.$$

**Lemma 1.7.** If G is an open polydisc in  $\mathbb{C}^n$ , then for any k,  $0 \le k \le n$ , the sequence

$$0 \to A^{k}[\tau, \hat{A}(G, X)] \xrightarrow{i} A_{k}^{k}[\tau \cup d\bar{z}, \hat{C}^{\infty}(GX)] \xrightarrow{\bar{\partial}} A_{k}^{k+1}[\tau \cup d\bar{z}, \hat{C}^{\infty}(G, X)]$$
$$\to \cdots \xrightarrow{\bar{\partial}} A_{k}^{k+n}[\tau \cup d\bar{z}\hat{C}^{\infty}(G, X)] \to 0$$

is exact.

*Proof* First we show it is exact if k=0. Our proof is similar to that of [7] Theorems 5.8.1 and 5.8.2 but slightly complicated. We omit the details.

Now, suppose 
$$k \ge 1$$
. If  $\psi \in A_k^{k+p+1}[\tau \cup d\overline{z}, \widehat{C}^{\infty}(G, X)]$  and  $\psi = \sum_{(i)} \sum_{(j)} f_{(i)(j)} d\overline{z}_{(j)} \wedge t_{(i)}$ ,

then  $\bar{\partial}\psi=0$  is equivalent to  $\bar{\partial}(\sum_{(i)}f_{(i)(j)}d\bar{z}_{(j)})=0$  for any (i). Similarly, we can construct  $\sum g_{(i)(j)}d\bar{z}_{(j)}$  satisfying  $g_{(i)(j)} \in C^{\infty}(G, D_{(i)})$  and  $T_{j_1} \cdots T_{j_k} g_{(i)(j)} \in C^{\infty}(G, X)$  for any  $\{j_1, \dots, j_k\} \cap (i) = \emptyset$  and  $\bar{\partial}(\sum_{(i)} g_{(i)(j)} d\bar{z}_{(j)}) = \sum_{(i)} f_{(i)(j)} d\bar{z}_{(j)}$ . Let  $\varphi_{(i)} = \sum_{(i)} g_{(i)(j)} d\bar{z}_{(j)}$  and  $\varphi = \sum_{(i)} \varphi_{(i)} \wedge t_{(i)}$ . Then  $\varphi \in A_k^{k+p} [\tau \cup d\overline{z}, \, \widehat{C}^{\infty}(G, \, X)]$  and  $\overline{\partial} \varphi = \sum \overline{\partial} \varphi_{(i)} = \psi$ .

If  $G = G_1 \times \cdots \times G_n$  is an open polydise in  $\widehat{\mathbb{C}}^n$ , where  $G_i = \{z \mid |z - b_i| < r_i\}$  or  $G_i = \{z \mid |z - b_i| < r_i\}$  $\{z \mid |z| > r_i\}$ , we denote by  $G^1$  the polydisc in  $\mathbb{C}^n$ ,  $G^1 = G_1^1 \times \cdots \times G_n^1$ , where  $G_i^1 = G_i$  if  $G_i \subset \mathbb{C}$  and  $G_i^1 = \frac{1}{G_i}$  otherwise.

**Lemma 1.8.** If G is an open polydisc in  $\hat{\mathbb{C}}^n$ , then the sequence in Lemma 1. 7 is also exact.

**Proof** Without loss of generality, we may assume  $G_i = \{z \mid |z| > r_i\}, i > k$  and  $G_i = \{z \mid |z - b_i| < r_i\}, i \le k$ . Define isomorphisms

$$\delta_{\mathfrak{p}} \colon A_{k}^{k+p} [\tau \cup d\overline{z}, \, \hat{O}^{\infty}(G, \, X)] \to A_{k}^{k+p} [\tau \cup d\overline{\lambda}, \, \hat{O}^{\infty}(G^{1}, \, X)],$$

$$\delta_{\mathfrak{p}} f_{(i)(j)} d\overline{z}_{(j)} \wedge t_{(i)}(A) = \prod_{q \in (i) \setminus (1, \dots, k)} \frac{1}{\overline{\lambda_{q}^{2}}} f_{(i)(j)}^{*} d\overline{\lambda}_{(j)} \wedge t_{(i)},$$

where

$$f^*(\lambda) = f(\lambda_1, \dots, \lambda_k, \frac{1}{\lambda_{k+1}}, \dots, \frac{1}{\lambda_n}), \ 0 \leqslant p \leqslant n,$$

and  $\delta_*$ :  $\Lambda^k[\tau, \hat{A}(G, X)] \rightarrow \Lambda^k[\tau, \hat{A}(G^1, X)]$ ,  $\delta_*f_{(i)}t_{(i)} = f_{(i)}^*t_{(i)}$ . It is easy to verify that the follow diagram is commutative.

$$\mathbf{0} \to A^k[\tau, \, \widehat{A}(G^1, \, X)] \overset{i}{\to} A^k_k[\tau \cup d\overline{\lambda}, \, \widehat{C}^{\infty}(G^1, \, X) \to \cdots \overset{\overline{\partial}}{\to} A^{k+n}_k[\tau \cup d\overline{z}, \, \widehat{C}^{\infty}(G^1, \, X)] \to 0.$$

Then, it follows from Lemma 1.7 that the sequence is exact.

**Definition 1.9.** If for any  $z \in \hat{\mathbb{C}}^n$ ,  $H^p[\hat{A}(\{z\}, X), J] = 0$ ,  $0 \le p \le n-1$ , then Tis said to have single valued extension property (abbrev. SVEP).

**Theorem 1.10.** Suppose  $T = (T_1, \dots, T_n)$  is a commuting n-tuple of closed operators. Then the following coditions are equivalent:

- (1)  $H^p[\hat{A}(\{z\}, X), J] = 0$  for all  $z \in \hat{\mathbb{C}}^n$  and each  $p = 0, \dots, n-1$ ;
- (2)  $H^p[\hat{\mathcal{C}}^\infty(\{z\}, X), J \oplus \bar{\partial}] = 0$  for all  $z \in \hat{\mathbb{C}}^n$  and each  $p = 0, \dots, n-1$ ;
- (3)  $H^p[\widehat{\mathcal{O}}^{\infty}(U, X), J \oplus \overline{\partial}] = 0$  for each open set U in  $\widehat{\mathbb{C}}^n$  and  $p = 0, 1, \dots, n-1$ ;
- (4)  $H^p[\hat{A}(D, X), J] = 0$  for each open polydisc D in  $\hat{\mathbb{C}}^n$  and  $p = 0, 1, \dots$ n-1.

*Proof* With the help of Lemmas 1.7 and 1.8, we can prove this Theorem using the same methods as in [2]. We omit the details.

**Proposition 1.11.** If  $T = (T_1, \dots, T_n)$  is a commuting n-tuple of closed operators,  $\xi_i \in \rho(T_i) \cap \mathbb{C}$ ,  $a_i = (\xi_i - T_i)^{-1}$ ,  $1 \le i \le n$ , and  $a = (a_1, \dots, a_n)$ , then T has SVEP iff ahas SVEP in the sense of [2] Definition 1.1.

**Proof** For any  $\lambda \in \hat{\mathbb{C}}^n \setminus \mathbb{C}^n$ , if we regard  $a = (a_1, \dots, a_n)$  as a closed operator system, then  $\lambda \in \rho(a)$ . Hence  $H^p[\hat{A}(\{\lambda\}, X), \alpha] = 0$  for each  $p = 0, 1, \dots, n-1$ . Then the proposition follows from Proposition 1.3.

**Definition 1.12.** Suppose  $T = (T_1, \dots, T_n)$  have SVEP, the local spectrum  $\sigma(T, x)$  relative to  $x \in X$  is the complement of  $\rho(T, x) = \bigcup \{U \subset \hat{\mathbb{C}}^n \text{ open} | \text{ there is } \psi \in \Lambda^{n-1}[\tau \bigcup d\overline{z}, \hat{C}^{\infty}(U, X)] \text{ such that } tx = (J \oplus \overline{\partial})\psi\}.$ 

**Proposition 1.13.** Let T and a be as in Proposition 1.11, for any  $x \in X$ ,

$$\sigma(T, x) = \xi - \frac{1}{\sigma(a, x)}$$

and  $\pi_i(\sigma(T, x)) = \sigma(T_i, x)$ ,  $1 \le i \le n$ , where  $\pi_i$  is the projection of  $\widehat{\mathbb{C}}^n$  onto its i-th coordinate.

**Proof** Immediately from the definition and Theorem 1.2 and [2] Corollary 2.2.

In [1], Eschmeier defines the analytic functional calculus for n-tuples of closed operators. If f is an analytic functional on a neibourhood of  $\sigma(T)$ , then  $f_{\xi}$  is an analytic function on a neighbourhood of  $\sigma(a)$ , where  $f_{\xi}(\lambda) = f\left(\xi - \frac{1}{\lambda}\right)$ . f(T) is defined to be  $f_{\xi}(a)$ . We try to define the analytic functional calculus directly.

Let  $\hat{\mathbb{C}}_{f_i} = \hat{\mathbb{C}} \setminus \{\xi_i\}$ ,  $\hat{\mathbb{C}}_i^n = \hat{\mathbb{C}}_{\xi_i} \times \cdots \times \hat{\mathbb{C}}_{f_n}$ . Then  $\hat{\mathbb{C}}_{\xi}$  is a local compact topology space. We notice that if we substitute compact set in  $\mathbb{C}^n$  by compact set in  $\hat{\mathbb{C}}_i^n$ , then [5] Lemma 3.3 are true for the case of closed operator systems. Therefore, if f is analytic on a neighbourhood U of  $\sigma(T)$ , then the equality  $tf(z)x - \chi = (J \oplus \bar{\partial})\psi$  has a solution  $\chi \in A^n[\tau \cup d\bar{z}, \hat{O}_{\xi}^{\infty}(U, X)]$ , where  $A^n[\tau \cup d\bar{z}, \hat{O}_{\xi}^{\infty}(U, X)]$  is the family of forms with compact support in  $\hat{\mathbb{C}}_i^n$ . Let  $\pi$  be a chain homomorphism keeping the part of  $\chi$  which contains  $d\bar{z}_1, \dots, d\bar{z}_n, T_{\xi}(z) = \prod_{i=1}^n \left(\frac{\xi_i - T_i}{\xi_i - z_i}\right)$  and  $R_{Z-T}f(z)x = (-1)^n\pi\chi$ . We can show  $f(T)x = \left(\frac{1}{2\pi \dot{v}}\right)^n \int_U T_{\xi}(z)R_{Z-T}f(z)xdz_1 \wedge \dots \wedge dz_n$  is generalized Lebesgue intergrable and  $f \to f(T)$  is an algebric homomorphism satisfying the spectral mapping theorem. Because of [1] Theorems 2.2 and 2.4, it is sufficient to prove  $f_{\xi}(a) = f(T)$ .

**Theorem 1.14.** Let  $T = (T_1, \dots, T_n)$  be a commuting n-tuple of closed operators,  $\xi_i \in \rho(T) \cap \mathbb{C}$ ,  $a_i = (\xi_i - T_i)^{-1}$ ,  $1 \le i \le n$ , and  $a = (a_1, \dots, a_n)$ . Suppose f is analytic on a neighbourhood U of  $\sigma(T)$ . Then  $f_{\xi}(a) = f(T)$ .

Proof By applying Theorem 1.2, the equality  $tf(z)x-\chi=(J\oplus \overline{\partial})\psi$  becomes  $sf_{\xi}(\lambda)x-w_n\chi=(\alpha\oplus \overline{\partial})w_{n-1}\psi$ . It is clear that supp. $w_{n\lambda}$  is compact in  $\mathbb{C}^n$  because supp. $\chi$  is compact in  $\hat{\mathbb{C}}^n_{\xi}$ . Moreover, since  $U_{\xi}=\frac{1}{\xi-U}\subset\mathbb{C}^n$ , we have  $w_{n-1}\psi\in A^{n-1}[\sigma\cup d\overline{\lambda}, O^{\infty}(U_{\xi}, X)]$ . By definition,  $R_{\lambda-a}f_{\xi}(\lambda)x=(-1)^n\pi w_n\chi=(-1)^nw_n\pi\chi$ . Let

 $\chi = \chi_1 + h d\bar{z}_1 \wedge \cdots \wedge d\bar{z}_n. \text{ Then } w_4 \pi \chi = \prod_{i=1}^n \left( \frac{\xi_i - T_i}{\lambda_i} \right) \prod_{i=1}^n \frac{1}{\bar{\lambda}_i^2} h \left( \xi - \frac{1}{\lambda} \right) d\bar{\lambda}_1 \wedge \cdots \wedge d\bar{\lambda}_n.$  Therefore

$$\begin{split} &f_{\xi}(a)x \\ &= \left(\frac{1}{2\pi i}\right)^{n} \int_{U_{\xi}} (-1)^{n} \prod_{i=1}^{n} \left(\frac{\xi_{i} - T_{i}}{\lambda_{i}}\right) \prod_{i=1}^{n} \frac{1}{\bar{\lambda}_{i}} h\left(\xi - \frac{1}{\lambda}\right) d\bar{\lambda}_{1} \wedge \cdots \wedge d\bar{\lambda}_{n} \wedge d\lambda_{1} \wedge \cdots \wedge d\lambda_{n} \\ &= \left(\frac{1}{2\pi i}\right)^{n} \int_{U} (-1)^{n} \prod_{i=1}^{n} (\xi_{i} - T_{i}) (\xi_{i} - z_{i}) \prod_{i=1}^{n} (\bar{\xi}_{i} - \bar{z}_{i}) h(z) \\ &\times \prod_{i=1}^{n} \frac{1}{|\xi_{i} - z_{i}|^{2}} d\bar{z}_{1} \wedge \cdots \wedge d\bar{z}_{n} \wedge dz_{1} \wedge \cdots \wedge dz_{n} \\ &= \left(\frac{1}{2\pi i}\right)^{n} \int_{U} (-1)^{n} \prod_{i=1}^{u} \left(\frac{\xi_{i} - T_{i}}{\xi_{i} - z_{i}}\right) h(z) d\bar{z}_{1} \wedge \cdots \wedge d\bar{z}_{n} \wedge dz_{1} \wedge \cdots \wedge dz_{n} \\ &= \left(\frac{1}{2\pi i}\right)^{n} \int_{U} T_{\xi}(z) R_{Z-T} f(z) x dz_{1} \wedge \cdots \wedge dz_{n} = f(T) x. \end{split}$$

**Remark.** If n=1 and  $\sigma(T) \subset U$ , let  $\sigma(T) \subset U_1 \subset U_2 \subset U$  and  $\Gamma$  be a close Jordan curve in  $U \setminus \overline{U}_2$  enclosing  $\sigma(T)$ . Assume  $\theta$  is a  $C^{\infty}$ -scalar function, equal to 0 in U, and to 1 outside of  $U_2$ . Let  $\psi(z) = (z-T)^{-1}f(z)x$ . Then

$$\begin{split} f(T)x &= \frac{1}{2\pi i} \int_{U} (-1) \frac{\xi - T}{\xi - z} \, \bar{\partial}(\theta \psi(z)) dz = \frac{1}{2\pi i} \int_{\Gamma} (-1) \, \frac{\xi - T}{\xi - z} \, \theta \psi(z) dz \\ &= \frac{1}{2\pi i} \int_{\Gamma} \frac{\xi - T}{\xi - z} (z - T)^{-1} f(z) x dz \\ &= \frac{1}{2\pi i} \int_{\Gamma} \frac{f(z)}{z - \xi} \, dz + \frac{1}{2\pi i} \int_{\Gamma} (z - T)^{-1} f(z) x dz \\ &= f(\infty) x + \frac{1}{2\pi i} \int_{\Gamma} (z - T)^{-1} f(z) dz. \end{split}$$

If  $U = U_1 \times \cdots \times U_n$ ,  $\infty \in \sigma(T_i) \subset U_i$ , we can show inductively that  $f(T)x = f(\infty, \dots, \infty)x + \sum_{i=1}^n \frac{1}{2\pi i} \int_{\Gamma_i} f(\infty, \dots, z_i, \dots, \infty) (z_i - T_i)^{-1} x dz_i$  $+ \sum_{i,j} \left(\frac{1}{2\pi i}\right)^n \int_{\Gamma_i} \int_{\Gamma_i} f(\infty, \dots, z_i, \dots, z_j, \dots, \infty) (z_i - T_i)^{-1} (z_j - T_j)^{-1} x dz_i dz_j$  $+ \dots + \left(\frac{1}{2n_i}\right)^n \int_{\Gamma_1} \dots \int_{\Gamma_n} f(z_1, \dots, z_n) \prod_{i=1}^n (z_i - T_i)^{-1} x dz_1 \dots dz_n.$ 

Let  $\operatorname{Inv}(T) = \{Y \mid Y \text{ is a closed subspace of } X \text{ and for any } i, \ T_i(Y \cap D_{T_i}) \subset Y, \\ \rho(T_i \mid y) \neq \emptyset\}; \ R(T) = \{Y \mid Y \in \operatorname{Inv}(T) \text{ and for any } i, \ \rho(T_i) \cap \rho(T_i \mid Y) \neq \emptyset\}.$ 

Corollary 1.15. Suppose  $Y \in R(T)$  and U is a neighbourhood of  $\sigma(T)U\sigma(T|Y)$ . If f is an analytic function on U, then  $Y \in \text{Inv } (f(T))$  and f(T|Y) = f(T)|Y.

Proof For any  $\xi_i \in \rho(T_i) \cap \rho(T_i|Y)$  and each  $y \in Y$ ,  $f(T|Y)y = \left(\frac{1}{2\pi i}\right)^n \int_U T_{\xi}(z) R_{z-T|y} f(z) y dz_1 \wedge \dots \wedge dz_n$   $= \left(\frac{1}{2\pi i}\right)^n \int_U T_{\xi}(z) R_{z-T} f(z) y dz_1 \wedge \dots \wedge dz_n = f(T) y.$ 

Hence  $f(T)y \in Y$  and f(T)y = f(T|Y)y.

If T has SVEP, we can also define analytic functional calculus on the local spectrum. If f is analytic on a neighbourhood U of  $\sigma(T,x)$ , then there exists  $\chi \in \Lambda^n[\tau \cup d\bar{z}, \ \hat{C}_f^\infty(U,X)]$  such that  $tf(z) \ x-\chi=(J\oplus\bar{\partial})\psi$  holds on U. We define  $f_T(x)=\left(\frac{1}{2\pi i}\right)^n\int T_f(z)(-1)^n\pi\chi\,dz_1\wedge\cdots\wedge dz_n$ . The results of [2] can be extended to the case of closed operator n-tuples. In particular, if f is analytic on U, then  $f(T)x=f_T(x)$  for any  $x\in X$ . Because of the similarity of the proof, we omit it in this paper. We have the following proposition.

**Proposition 1.16.** Suppose  $T = (T_1, \dots, T_n)$  has SVEP and  $\sigma(T, x)$  is compact for some  $x \in X$ . Then  $x \in \bigcap_{i=1}^n D_{T_i}$  and  $f(T)x = \left(\frac{1}{2\pi i}\right)^n \int_{T_i} R_{s-T} f(z) x dz_1 \wedge \dots \wedge dz_n$  for any analytic function f on the neighbourhood of  $\sigma(T)$ .

Proof Since  $g(z) = \prod_{i=1}^{n} (\xi_{i} - z_{i})$  is analytic on a relative compact neighbourhood U of  $\sigma(T)$ , then tuere is  $\chi$  with compact support in U such that  $tf(z)x - \chi = (J \oplus \bar{\partial})\psi$ . Hence  $tg(z)f(z)x - g(z)\chi = (J \oplus \bar{\partial})g(z)\psi$ . The result is

$$\left(\frac{1}{2\pi i}\right)^{n} \int R_{z-T} f(z) x dz_{1} \wedge \cdots \wedge dz_{n} = \left(\frac{1}{2\pi i}\right)^{n} \int \pi \chi dz_{1} \wedge \cdots \wedge dz_{n}$$

$$= \prod_{i=1}^{n} \alpha_{i} \left[\left(\frac{1}{2\pi i}\right) \int T_{\xi}(z) \pi g(z) \chi dz_{1} \wedge \cdots \wedge dz_{n}\right] = \prod_{i=1}^{n} \alpha_{i} (fg)_{T}(x)$$

$$= \prod_{i=1}^{n} \alpha_{i} f_{T}(g_{T}(x)) = \prod_{i=1}^{n} \alpha_{i} f(T) \prod_{i=1}^{n} (\xi_{i} - T_{i}) x = f(T) x.$$

The reason why  $g_T(x) = \prod_{i=1}^n (\xi_i - T_i)x$  is that  $h(z) = \prod_{i=1}^n (\xi_i - z_i)^{-1}$  is analytic. Then  $x = (hg)_T(x) = h_T(g_T(x)) = \prod_{i=1}^n a_i [g_T(x)]$ . Hence  $x \in \bigcap_{i=1}^n D_{T_i}$  and  $\prod_{i=1}^n (\xi_i - T_i)x = g_T(x)$ .

## § 2. Spectral Decompositions

**Lemma 2.1.** Suppose  $T = (T_1, \dots, T_n)$  is a commuting n-tuple of closed operators and  $X = X_1 + X_2$ ,  $X_j \in (T)$ , j = 1, 2. For any  $\xi_i \in \rho(T_i) \cap \rho(T_i | X)$ ,  $\xi = (\xi_1, \dots, \xi_n)$  and  $U \subset \hat{\mathbb{C}}^n_{\xi}$ , we have

- (1) each  $\varphi \in \Lambda^p[\tau, \hat{A}(U, x)]$  can be written as  $\varphi = \varphi_1 + \varphi_2$ , where  $\varphi_j \in \Lambda^p[\tau, \hat{A}(U, X_j)], j=1, 2;$
- (2) each  $\psi \in \Lambda^p[\tau \cup d\overline{z}, \hat{C}^{\infty}(U, X)]$  can be written as  $\psi = \psi_1 + \psi_2$ , where  $\psi_j \in \Lambda^p[\tau \cup d\overline{z}, \hat{C}^{\infty}(U, X_j)], j=1, 2$ .

*Proof* (1) It is clear that  $\rho(T_i) \cap \rho(T_i|X_1) \subset \rho(T_i|X_2)$ . Therefore  $\xi_i \in \rho(T_i|X_2)$ . If  $\varphi \in \Lambda^p[\tau, \hat{A}(U, X)]$ , then

$$u_p \varphi \in \Lambda^p \left[ \alpha, \ \hat{A} \left( \frac{1}{\xi - U}, \ X \right) \right] = \Lambda^p \left( \alpha, \ A \left( \frac{1}{\xi - U}, \ X \right) \right]$$

by Theorem 1.1. Since a is a bounded n-tuple and  $X_j \in \text{Inv}(a)$  (Corollary 1.15), we have  $u_p \varphi = \varphi_1^* + \varphi_2^*$ , where  $\varphi_j^* \in \Lambda^p \left[ \alpha, \ A \left( \frac{1}{\xi - U} \ X_j, \right) \right], \ j = 1, \ 2$ . Hence  $\varphi = v_p \varphi_1^* + v_p \varphi_2^*$ . Let  $\varphi_j = v_p \varphi_j^* \in \Lambda^p [\tau, \hat{A}(U, X_j)]$ , we are done.

(2) In the same way.

**Lemma 2.2.** Suppose  $T = (T_1, \dots, T_n)$  is a commuting n-tuple of closed operators and  $Y \in R(T)$ . For any  $\xi_i \in \rho(T_i) \cap \rho(T_i|Y)$ ,  $\xi = (\xi_1, \dots, \xi_n)$  and  $U \subset \hat{\mathbb{C}}_{\xi}^n$ , we have

- (1) each  $\tilde{f} \in \Lambda^p[\tau, \hat{A}(U, X/Y)]$  can be written as  $\tilde{f} = f/Y$ , where  $f \in \Lambda^p[\tau, \hat{A}(U, X)]$ ;
- (2) each  $\tilde{g} \in \Lambda^p[\tau \cup d\bar{z}, \hat{C}^{\infty}(U, X/Y) \text{ can be written as } \tilde{g} = g/Y, \text{ where } g \in \Lambda^p[\tau \cup d\bar{z}, \hat{C}^{\infty}(U, X)].$

*Proof* It follows from [8] Proposition 3.1 that  $T_i^Y$  is a closed operator and  $\sigma(T_i^Y) \subset \sigma(T_i) \cup \sigma(T_i|Y)$ . Hence  $\xi_i \in \rho(T_i) \cap \rho(T_i|Y) \subset \rho(T_i^Y)$  and  $(\xi_i - T_i)^{-1} = a_i^Y$ . Therefore, using the same methods as in Lemma 2.1, we obtain (1), (2).

**Lemma 2.3.** If  $T = (T_1, \dots, T_n)$  is a commuting n-tuple of closed operators, then

- (1) If  $X = X_1 + X_2$ ,  $X_j \in R(T)$ , j = 1, 2, for any  $(i) \subset \{1, \dots, n\}$  and each  $x \in D_{(i)}$ ,  $x = x_1 + x_2$ , where  $x_j \in X_j \cap D_{(i)}$ , j = 1, 2.
- (2) If  $Y \in R(T)$  and  $\widetilde{D}_{(i)}$  denote  $\bigcap \{D_{T_{j_1...}T_{j_k}} | \{j_1, \dots, j_k\} \cap (i) = \emptyset\}$ , then a given  $\widetilde{x} \in \widetilde{D}_{(i)}$  can be written as  $\widetilde{x} = x/Y$ , where  $x \in D_{(i)}$ .

Proof (1) Choose  $\xi_i \in \rho(T_i) \cap \rho(T_i | X_1) \cap \rho(T_i | X_2)$ . If  $x \in D_{(i)}$ , then  $\prod (\xi_i - T_i) x = x_1^* + x_2^*, \ x_j^* \in X_j, \ j = 1, \ 2.$ 

Let  $x_1 = \prod_{j \in (i)} a_j x_1^*$ ,  $x_2 = \prod_{k \in (i)} a_j x_2^*$ . Then  $x_j \in X_j \cap D_{(i)}$  and  $x = x_1 + x_2$ .

(2) Choose  $\xi_i \in \rho(T_i|Y) \cap \rho(T_i)$ . If  $\widetilde{x} \in \widetilde{D}_{(i)}$ , then  $\prod_{j \in (i)} (\xi_j - T_j^y) \widetilde{x} = x^*/Y$  for some  $x^*$ . Let  $x = \prod_{j \in (i)} a_j x^*$ . Then  $x \in D_{(i)}$  and  $x/Y = \widetilde{x}$ .

**Definition 2.4.** Let  $T = (T_1, \dots, T_n)$  be a commuting n-tuple of closed operators. If there is a mapping  $\mathscr{E}$  from the family of closed subsets  $\mathscr{F}(\widehat{\mathbb{C}}^n)$  of  $\widehat{\mathbb{C}}^n$  into Inv (T) satisfying:

- (1)  $\mathscr{E}(\phi) = \{0\}, \mathscr{E}(\widehat{\mathbb{C}}^n) = X;$
- (2)  $\mathscr{E}\left(\bigcap_{n=1}^{\infty}F_{n}\right)=\bigcap_{n=1}^{\infty}\mathscr{E}(F_{n})$  for any sequence  $\{F_{n}\}\subset\mathscr{F}(\widehat{\mathbb{C}}^{n});$
- (3)  $X = \sum_{i=1}^{m} \mathscr{E}(\overline{G}_i)$  for any finite open cover  $\{G_i\}_{i=1}^{m}$  of  $\widehat{\mathbb{C}}^n$ ;
- (4)  $\sigma(T|\mathcal{E}(F)) \subset F$  for each  $F \in \mathcal{F}(\hat{\mathbb{C}}^n)$ ; then T is said to possess a spectral capacity.

**Proposition 2.5.** If  $T = (T_1, \dots, T_n)$  possesses a spectral capacity  $\mathscr{E}$ , then for each  $F \in \mathscr{F}(\hat{\mathbb{C}}^n)$ , (1)  $\sigma(T | \mathscr{E}(F)) \subset \sigma(T)$ , (2)  $\sigma(T_i | \mathscr{E}(F)) \subset \sigma(T_i)$ ,  $1 \leq i \leq n$ , (3)  $\mathscr{E}(F) \in \mathscr{F}(F)$ 

R(T).

Proof For any  $z \in \sigma(T)$ , there are open sets D and  $D_1$  such that  $z \in D$ ,  $D \cup D_1 = \hat{\mathbb{C}}^n$  and  $\overline{D} \cap \sigma(T) = \emptyset$ . The spectral capacity  $\mathscr{E}$  provides the decomposition of X:  $X = \mathscr{E}(\overline{D}) + \mathscr{E}(\overline{D}_1)$ . For any  $x \in \mathscr{E}(\overline{D})$ , there is  $\psi \in A^{n-1}[\tau \cup d\overline{z}, \hat{C}^{\infty}(U, \mathscr{E}(\overline{D}))]$  such that  $tx = (J \oplus \overline{\partial})\psi$ , where  $U = \hat{\mathbb{C}}^n \setminus \overline{D} \supset \sigma(T)$ . By definition  $R_{z-T} x = 0$ , hence

$$x = \left(\frac{1}{2\pi i}\right)^n \int T_{\varepsilon}(z) R_{s-T} x dz_1 \wedge \cdots \wedge dz_n = 0.$$

Therefore  $\mathscr{E}(\overline{D}) = \{0\}$  and  $X = \mathscr{E}(\overline{D}_1)$ . Then,  $\sigma(T|\mathscr{E}(F)) = \sigma(T|\mathscr{E}(F) \cap \mathscr{E}(\overline{D}_1)) = \sigma(T|\mathscr{E}(F \cap \overline{D}_1)) \subset \overline{D}_1$ . Thus  $z \in \sigma(T|\mathscr{E}(F))$  and  $\sigma(T|\mathscr{E}(F)) \subset \sigma(T)$ . Furthermore  $\sigma(T_i) = \pi_i \sigma(T) \supset \pi_i \sigma(T|\mathscr{E}(F)) = \sigma(T_i|\mathscr{E}(F))$ .

Therefore  $\rho(T_i) \cap \rho(T_i | \mathscr{E}(F)) = \rho(T_i) \neq \emptyset$  and (1), (2), (3) all hold.

**Proposition 2.6.** Suppose  $T = (T_1, \dots, T_n)$  possesses spectral capacity  $\mathscr{E}$ . Then  $supp. \mathscr{E} = \sigma(T)$ .

*Proof* If  $F \in \mathscr{F}(\hat{\mathbb{C}}^n)$  and  $\mathscr{E}(F) = X$ , then  $\sigma(T) = \sigma(T \mid \mathscr{E}(F)) \subset F$ . Hence  $\sigma(T) \subset \text{supp. } \mathscr{E}$ . On the other hand, by the proof of Proposition 2.5, we know that if  $z \in \sigma(T)$ , then there exists D such that  $z \in D$  and  $\mathscr{E}(\overline{D}) = \{0\}$ . Hence  $z \in \text{supp. } \mathscr{E}$ .

**Theorem 2.7.** Let  $T = (T_1, \dots, T_n)$  possess spectral capacity  $\mathscr{E}$ . Suppose  $f_j$  is analytic on a neighbourhood of  $\sigma(T)$ ,  $1 \le j \le m$ . Then  $f(T) = (f_1(T), \dots, f_n(T))$  is a decomposable n-tuple in the sense of [4] Definition 3.1. The spectral capacity  $\mathscr{E}^*$  of f(T) is uniquely determind by  $\mathscr{E}^*(F) = \mathscr{E}(f^{-1}(F) \cap \sigma(T))$ .

Proof For any  $F \in \mathscr{F}(\mathbb{C}^m)$  let  $\mathscr{E}^*(F) = \mathscr{E}(f^{-1}(F) \cap \sigma(T))$ . We have

- $(1) \ \mathscr{E}^*(\phi) = \{0\}, \ \mathscr{E}^*(\mathbb{C}^m) = \mathscr{E}(f^{-1}(\mathbb{C}^m) \cap \sigma(T)) = \mathscr{E}(\sigma(T)) = X;$
- $(2) \mathscr{E}^*(\cap F_n) = \mathscr{E}(f^{-1}(\cap F_n) \cap \sigma(T)) = \cap \mathscr{E}(f^{-1}(F_n) \cap \sigma(T)) = \cap \mathscr{E}^*(F_n);$
- (3) If  $\{G_j\}_{j=1}^k$  is an open cover of  $\mathbb{C}^m$ , then  $\sigma(T) \subset \bigcup_{j=1}^k (f^{-1}(\overline{G}_j) \cap \sigma(T))$ , and  $\sum_{j=1}^k \mathscr{E}^*(\overline{G}_j) = \sum_{j=1}^k \mathscr{E}(f^{-1}(\overline{G}_j) \cap \sigma(T)) = X;$
- (4) By Corollary 1.15,  $\mathscr{E}^*(F) \in \text{Inv } (f(T)) \text{ and } f(T|\mathscr{E}^*(F)) = f(T)|\mathscr{E}^*(F)$ . Therefore

$$\begin{split} \sigma(f(T) \,|\, \mathscr{E}^*(F)) = & \sigma(f(T \,|\, \mathscr{E}^*(F))) = & f(\sigma(T \,|\, \mathscr{E}^*(F))) \\ = & f(\sigma(T \,|\, \mathscr{E}(f^{-1}(F) \cap \sigma(T)))) \subset & f(f^{-1}(F) \cap \sigma(F)) \subset F. \end{split}$$

Thus f(T) is decomposable and  $\mathscr{E}^*$  is the unique spectral capacity.

Corollary 2.8. If  $T = (T_1, \dots, T_n)$  possesses spectral capacity, then T has SVEP and  $\mathscr{E}(F) = \{x \mid \sigma(T, x) \subset F\}$  for each  $F \in \mathscr{F}(\hat{\mathbb{C}}^n)$ .

*Proof* In view of Corollary 2.7,  $a = (a_1, \dots, a_n)$  is decomposable. Hence a has SVEP. It follows from 1.11 that T has SVEP. Furthermore,

**Definition 2.9.** Let  $T = (T_1, \dots, T_n)$  be a commuting n-tuple of closed operators

and  $Y \in \text{Inv}(T)$ . If for any  $Z \in \text{Inv}(T)$ ,  $\sigma(T|Z) \subset \sigma(T|Y)$  implies  $Z \subset Y$ , then Y is called a spectral maximal space of T. The family of all spectral maximal space of T is denoted by SM(T).

**Proposition 2.10.** Let  $T = (T_1, \dots, T_n)$  possess spectral capacity  $\mathscr{E}$ . Then  $Y \in SM(T)$  iff  $Y = \mathscr{E}(\sigma(T|Y))$ .

Proof If  $F \in \mathscr{F}(\hat{\mathbb{C}}^n)$ , then  $\mathscr{E}(F) = X_T(F) = \{x \mid \sigma(T, x) \subset F\}$ . Suppose  $Z \in \text{Inv } (T) \text{ satisfy } \sigma(T \mid Z) \subset \sigma(T \mid \mathscr{E}(F))$ . Then for any  $x \in Z$ ,

 $x \in X_{T|Z}(\sigma(T|Z)) \subset X_T(\sigma(T|Z)) = \mathscr{E}(\sigma(T|Z)) \subset \mathscr{E}(\sigma(T|\mathscr{E}(F))) \subset \mathscr{E}(F).$  Conversely, if  $Y \in SM(T)$ , then  $\mathscr{E}(\sigma(T|Y)) \subset Y$  since  $\sigma(T|\mathscr{E}(\sigma(T|Y))) \subset \sigma(T|Y)$ . For any  $y \in Y$ ,  $y \in X_T(\sigma(T|Y)) = \mathscr{E}(\sigma(T|Y))$ , thus  $Y \subset \mathscr{E}(\sigma(T|Y))$  and  $Y = \mathscr{E}(\sigma(T|Y))$  is obtained.

**Proposition 2.11.** Let  $T = (T_1, \dots, T_n)$  possess spectral capacity  $\mathscr{E}$ . Then for any  $F \in \mathscr{F}(\hat{\mathbb{C}}^n)$ ,  $\sigma(T^{\mathscr{E}(F)}) \subset \hat{\mathbb{C}}^n \setminus \mathring{F}$ .

*Proof* For any  $z \in \mathring{F}$ , we have to show  $z \in \rho(T^{\mathscr{E}(F)})$ . Suppose  $\widetilde{\psi} = \sum \widetilde{x}_{(i)} t_{(i)}$  and  $J_{p}(z)\widetilde{\psi} = 0$ . By Lemma 2. 3, we may assume  $\widetilde{x}_{(i)} = x_{(i)} / \mathscr{E}(F)$ . Hence

$$J_{\mathfrak{p}}(z)\widetilde{\psi} = \sum_{(i)} \sum_{i} (z_{i} - T_{i}) x_{(i)} / \mathscr{E}(F) t_{i} \wedge t_{(i)} = 0.$$

If G is an open set satisfying  $Z \in G \subset \overline{G} \subset \mathring{F}$ , then  $\hat{\mathbb{C}}^n \setminus \overline{G}$  and  $\mathring{F}$  is an open cover of  $\hat{\mathbb{C}}^n$ . Thus  $X = X_1 + X_2$ , where  $X_1 = \mathscr{E}(\hat{\mathbb{C}}^n \setminus G)$  and  $X_2 = \mathscr{E}(F)$ . Since  $X_1, X_2 \in R(T)$ , we have  $x_{(i)} = z_{(i)} + y_{(i)}, z_i \in D_{(i)} \cap X_1$  and  $y_{(i)} \in D_{(i)} \cap X_2$  (Lemma 2.3). Set  $\psi_1 = \sum z_{(i)}t_{(i)}, \psi_2 = \sum y_{(i)}t_{(i)}$  and  $\psi = \psi_1 + \psi_2$ . Then  $J_p(z)\psi_1/\mathscr{E}(F) = J_p(z)\psi/\mathscr{E}(F) = 0$ . Consequently,  $\prod_{j \in (i)} (z_j - T_j)z(i) \in \mathscr{E}(F) \cap \mathscr{E}(\hat{\mathbb{C}}^n \setminus F) = X_1 \cap X_2$  or  $J_p(z)\psi_1/(X_1 \cap X_2) = 0$ . Let  $\widetilde{T}_j = (T_j \mid X_1)^{X_1 \cap X_2}, \ \widetilde{T} = (\widetilde{T}_1, \dots, \widetilde{T}_n)$ . Then  $\widetilde{T}$  is a commuting n-tuple of closed operators. If S is a mapping from  $X/X_2$  into  $X_1/(X_1 \cap X_2): x/X_2 = (x_1 + x_2)/X_2 \rightarrow x_1/(X_1 \cap X_2)$ , then  $T_j^{X_2} = S^{-1} T_j S$ . By [1] Theorem 2.1,  $\sigma(T^{X_3}) = \sigma(\widetilde{T}) \subset \sigma(T \mid X_1) \cup \sigma(T \mid X_1 \cap X_2)$   $\subset \hat{\mathbb{C}}^n \setminus G$ . Since  $z \in G$ , we have  $z \in \sigma(T)$ . Hence there exists  $\widetilde{\varphi}$  such that  $J_p(z)\widetilde{\varphi} = \sum z_{(i)}/(X_1 \cap X_2)t_{(i)} = \psi_1/(X_1 \cap X_2)$ . Let  $\widetilde{\varphi} = \varphi/(X_1 \cap X_2)$ . Then  $J_p(z)\varphi - \psi_1 \in \Lambda^p[\tau(z), X_1 \cap X_2]$  and  $J_p(z)\varphi/\mathscr{E}(F) = \psi_1/\mathscr{E}(F) = \psi/\mathscr{E}(F) = \widetilde{\psi}$ . Thus  $z \in \rho(T^{\mathscr{E}(F)})$  is obtained. Since z is an arbitrary point of F, we have  $\sigma(T^{\mathscr{E}(F)}) \subset \hat{\mathbb{C}}^n \setminus F$ .

**Proposition 2.12.** Let  $T = (T_1, \dots, T_n)$  possess spectral capacity  $\mathscr{E}$ . Suppose  $F \in \mathscr{F}(\widehat{\mathbb{C}}^n)$  and  $\{G_i\}_{j=1}^m$  is an open cover of F. Then  $\mathscr{E}(F) \subset \sum_{i=1}^m \mathscr{E}(\overline{G}_i)$ .

Proof Choose  $\xi_i \in \rho(T_i)$ . Set  $\alpha_i = (\xi_i - T_i)^{-1}$  and  $\alpha = (\alpha_1, \dots, \alpha_n)$ . Then  $\alpha$  is decomposable and  $\mathcal{E}_a(F) = \mathcal{E}\left(\left(\xi - \frac{1}{F}\right) \cap \mathbb{C}^n\right)$  for each  $F \in \mathcal{F}(\widehat{\mathbb{C}}^n)$ . With the help of [3] § 2 Theorem, we have

$$\mathscr{E}(F) = \mathscr{E}_{\mathrm{d}}\Big(\Big(\xi - \frac{1}{F}\Big) \, \cap \, \mathbb{C}^n \, \Big) \subset \sum_{j=1}^m \mathscr{E}_{\mathrm{d}}\Big(\Big(\xi - \frac{1}{\overline{G}_i} \cap \, \mathbb{C}^n\Big)\Big) = \sum_{j=1}^m \mathscr{E}(\overline{G}_j) \, .$$

**Proposition 2.13.** Let  $T = (T_1, \dots, T_n)$  possess spectral capacity  $\mathscr{E}$ . Then

- (1)  $T_i$  possesses spectral capacity  $\mathcal{E}_i$ :  $F \rightarrow \mathcal{E}(\hat{\mathbb{C}} \times \cdots \times F \times \cdots \times \hat{\mathbb{C}})$  for any j;
- (2)  $\mathscr{E}(F) = \bigcap \{ \sum_{j=1}^{m} [\mathscr{E}_{1}(\overline{D}_{1j}) \cap \mathscr{E}_{2}(\overline{D}_{2j}) \cap \cdots \cap \mathscr{E}_{n}(\overline{D}_{nj})] | F \subset \bigcup_{j=1}^{m} (D_{1j} \times \cdots \times D_{nj}), \} D_{1j} \times \cdots \times D_{nj} \text{ is a polydisc in } \widehat{\mathbb{C}}^{n} \text{ for any } F \in \mathscr{F}(\widehat{\mathbb{C}}^{n}).$

Proof (1) Obvious.

(2) In view of Proposition 2. 12,  $\mathscr{E}(F)$  is contained in the right side of the equality. If x belongs to the right side, then  $\sigma(T, x) = \bigcap_{j=1}^m D_{1j} \times \cdots \times D_{nj}$  for any  $\bigcup_{j=1}^m D_{1j} \times \cdots \times D_{nj} \supset F$  = F. Therefore  $x \in \mathscr{E}(F)$  and the equality is obtained.

**Definition 2.14.** Let  $T = (T_1, \dots, T_n)$  be a commuting n-tuple of closed operators. Suppose for any open cover  $\{G_j\}_{j=1}^m$  of  $\widehat{\mathbb{C}}^n$ , there are  $X_j \in \operatorname{Inv}(T)$ ,  $1 \leq j \leq m$ , such that  $\sigma(T|X_j) \subset G_j$  for each j and  $X = \sum_{j=1}^m X_j$ . Then T is called to have the spectral decomposition property (abbrev. SDP).

**Theorem 2.15.** Suppose  $T = (T_1, \dots, T_n)$  has SDP. Then T has SVEP.

Proof We have to show  $H^p[\widehat{A}(\{z\}, X), J] = 0$  for each  $z \in \widehat{\mathbb{C}}^n$ ,  $p = 0, \dots, n-1$ . Suppose  $z \in U_1 \times \dots \times U_n$ ,  $\psi \in A^p[x, \widehat{A}(U, X)]$  and  $J_y \psi = 0$ . For any fixed  $\xi_i \in \rho(T_i) \cap \mathbb{C}$ ,  $1 \le i \le n$ , if there is i such that  $z_i = \xi_i$ , then  $z = (z_1, \dots, z_n) \in \rho(T)$  and  $H^p[\widehat{A}(\{z\}, X), J] = 0$  by Proposition 1.4. If for any  $i, z_i \ne \xi_i$ , then there exist open sets  $D_i$ ,  $D_i^1$  in  $\widehat{\mathbb{C}}$  such that  $\xi_i \in D_i^1 \subset D_i \subset D_i \subset \rho(T_i) \setminus \overline{U}_j$ . Set  $G_i = \widehat{\mathbb{C}} \times \dots \times D_i \times \dots \times \widehat{\mathbb{C}}$ ,  $G_i^1 = \widehat{\mathbb{C}} \times \dots \times D_i' \times \dots \times \widehat{\mathbb{C}}$ ,  $G = \bigcup_{i=1}^n G_i$  and  $G^1 = \bigcup_{i=1}^n G_i$ . We choose another open set  $V_i$  such that  $z_i \in V_i \subset \overline{V} \subset U_i$  and  $V = V_1 \times \dots \times V_n$ . Then  $U \setminus \overline{G}^1$ ,  $\widehat{\mathbb{C}}^n \setminus (V \cup \overline{G}^1)$  and  $\{G_i^n\}_{i=1}^n$  is an open cover of  $\widehat{\mathbb{C}}^n$ . Hence there are  $X_i$ ,  $X_i$ ,  $Y_i$   $(1 \le j \le n) \in Inv(T)$  such that  $\sigma(T \mid X_1) \subset U \setminus \overline{G}^1$ ,  $\sigma(T \mid X_2) \subset \widehat{\mathbb{C}}^n \setminus (V \cup \overline{G}^1)$  and  $\sigma(T \mid Y_i) \subset G_i$ ,  $1 \le j \le n$ . By the prejection property, we have  $\sigma(T_i \mid Y_i) \subset D_i$ . Since  $D_i \cap \sigma(T_i) = \phi$ ,  $Y_i$  must be  $\{0\}$ . Obviously  $\xi_i \in \pi_i(U \setminus \overline{G}^1)$ . Then  $\xi_i \in \rho(T_i \mid Y_1)$  since

$$\sigma(T_j|X_1) = \pi_j(\sigma(T|X_1)) \subset \pi_j(U\setminus \overline{G}^1)$$
.

In the same way, we have  $\xi_j \in \rho(T_j|X_2)$ . Thus  $X = X_1 + X_2$ ,  $X_j \in R(T)$ . It is easy to prove  $\xi_j \in \rho(T_j|X_1 \cap X_2)$ . Then  $X_1 \cap X_2 \in R(T|X_1)$ . By the proof of Proposition 2.4,  $\sigma(T^{X_2}) \subset \sigma(T|X_1)U\sigma(T|X_1 \cap X_2)$ . It is not difficult to prove  $\sigma(T_j|X_1 \cap X_2) \subset U_j$ . The result is  $\sigma(T^{X_2}) \subset \sigma(T|X_1) \cup \sigma(T|X_1 \cap X_2) \subset U$ . Hence  $\sigma(a_j^{X_2}) \subset \frac{1}{\xi_j - U_j}$ . By Theorem 2.1 and Remark 2.1 of [4], we have

$$H^{p}[\hat{A}(U, X/X_{2}), J] \cong H^{p}[A(\frac{1}{\xi-U}, X/X_{2},)\alpha] = 0.$$

 X), J] = 0 is obtained.

**Theorem 2.16.** Suppose  $T = (T_1, \dots, T_n)$  has SDP. Then for any  $F \in \mathscr{F}(\widehat{\mathbb{C}}^n)$ ,  $X_T(F) \in R(T)$  and for any compact set F in  $\mathbb{C}^n$ ,  $T_i | X_T(F) (j=1, 2, \dots, n)$  are bounded.

Proof Choose  $\xi_i \in \rho(T_i)$ ,  $1 \le i \le n$ . For any  $z \in F$ , there exist polydises D,  $D^1$  such that  $z \in D^1 \subset \overline{D}^1 \subset D \subset \widehat{\mathbb{C}}^n \setminus F$  and  $\rho(T_i) \setminus D_i \ne \phi$ . In a way similar to the proof of Theorem 2. 15, we have  $X_j \in R(T)$ , j=1,2, such that  $\xi_j \in \rho(T_i|X_j)$ ,  $1 \le i \le n$ , j=1,2, and  $\sigma(T|X_1) \subset \widehat{\mathbb{C}}^n \setminus \{z\}$ ,  $\sigma(T|X_2) \subset D^1$ . For any  $x \in X_T(F)$ ,  $x = x + x_2$ . Let  $U = D \cap (\widehat{\mathbb{C}}^n \setminus \overline{D}^1)$ . Since  $x \in X_T(F)$  and  $F \cap U = \emptyset$ , there exists  $\psi^* \in A^{n-1}$   $[\tau \cup d\overline{z}, \widehat{\mathcal{O}}^\infty(U,X)]$  such that  $tx = (J \oplus \overline{\partial})\psi^*$ . Because  $U \cap \sigma(T|X_2) = \emptyset$ , there is another form  $\psi_2 \in A^{n-1}[\tau \cup d\overline{z}, \widehat{\mathcal{O}}^\infty(U,X)]$  such that  $tx_2 = (J \oplus \overline{\partial})\psi^*$ . Set  $\psi_1^* = \psi - \psi_2$ . Then  $tx_1 = (J \oplus \overline{\partial})\psi_1^*$ . Since  $\sigma(T^{X_1}) \subset \sigma(T|X_1) \cup \sigma(T|X_1 \cap X_2) \subset D'$ , there is  $\widetilde{\varphi} \in A^{n-1}[\tau \cup d\overline{z}, \widehat{\mathcal{O}}^\infty(U,X/X_1)]$  such that  $\psi_1^*/X_1 = (J \oplus \overline{\partial})\widetilde{\varphi}$ . If  $\widetilde{\varphi} = \varphi/X_1$ , then  $\psi_1 = \psi^* - (J \oplus \overline{\partial})\varphi \in A^{n-1}[\tau \cup d\overline{z}, \widehat{\mathcal{O}}^\infty(U,X)]$  and  $(J \oplus \overline{\partial})\psi_1 = tx_1$ . Multiplying suitable  $C^\infty$ -scalar functions  $\theta_1$  and  $\theta_2$ , we have  $x_j = tx_j - (J \oplus \overline{\partial})\theta_j\psi_j \in A^n[\tau \cup d\overline{z}, \widehat{\mathcal{O}}^\infty_i(D,X_j)]$ , j=1, 2. Thus  $\left(\frac{1}{2\pi i}\right)^n \int_D T_{\xi}(z)(-1)^n \pi \chi dz_1 \wedge \cdots \wedge dz_n = \left(\frac{1}{2\pi i}\right)^n \int_D T_{\xi}(z)(-1)^n \pi \chi_1 dz_1 \wedge \cdots \wedge dz_n + \chi_2$ , where  $\chi = x_1 + x_2$ . Since  $x \in X_T(F)$  and  $D \cap F = \emptyset$ , we have

$$\left(\frac{1}{2\pi i}\right)^n \int_D T_{\xi}(z) (-1)^n \pi \chi \, dz_1 \wedge \cdots \wedge dz_n = 0.$$

Therefore  $x_2 \in X_1$  and  $x = x_1 + x_2 \in X_1$ . Since x is arbitary in  $X_T(F)$ , we have  $X_T(F) \subset X_1$ . Let  $X_s$  denote  $X_1$ . Then  $X_T(F) \subset \bigcap_{s \in F} X_s$ . It is obvious that  $\bigcap X_s \in X_T(F)$ . Therefore  $X_T(F) = \bigcap X_s$  is a closed invariant space of T. Because for any  $z \in F$ ,  $\xi_i \in \rho(T_i | X_s)$ ,  $\xi_i$  must be contained in  $\rho(T_i | X_T(F))$ . Thus  $X_T(F) \in R(T)$  is obtained.

If F is compact in  $\mathbb{C}^n$ , then  $X_T(F) \subset \bigcap_{i=1}^n D_{T_i}$  by Proposition 1. 14. The restriction  $T_i|X_T(F)$  is closed and defined on Banach space  $X_T(F)$ , it must be bounded by colsed graph theorem.

**Theorem 2.17.** Let  $T = (T_1, \dots, T_n)$  have SDP. Suppose  $f_j$  is an analytic function on a neighbourhood of  $\sigma(T)$ ,  $1 \le j \le m$ . Then  $f(T) = (f_1(T), \dots, f_m(T))$  has SDP.

Proof Suppose  $\{G_i\}_{j=1}^k$  is an open cover of  $\mathbb{C}^m$ . Then  $\{f^{-1}(G_i)\}_{j=1}^k$  is an open cover of  $\sigma(T)$ . Using the same method as in the proof of Theorem 2.16, we can find  $X_i \in R(T)$ ,  $1 \le j \le k$ , such that  $X = \sum_{j=1}^k X_j$  and  $\sigma(T \mid X_j) \subset f^{-1}(G_j)$ . Consequently  $X_i \in \text{Inv}(f(T))$  and  $f(T) \mid X_j = f(T \mid X_j)$ . Therefore

 $\sigma(f(T)|X_i) = \sigma(f(T|X_i)) = f(\sigma(T|X_i)) \subset f(f^{-1}(G_i)) = G_i, \ 1 \leq j \leq k.$  By definition f(T) has SDP.

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