

ON THE TOPOLOGICALLY CONJUGATE CLASSES OF ANOSOV ENDOMORPHISMS ON TORI

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Abstract

This paper considers the following question: Given an Anosov endomorphism f on T^m , whether f is topologically conjugate to some hyperbolic toral endomorphism? It is well known that the answer for Anosov diffeomorphisms and expanding endomorphisms is affirmative. However for the remainder Anosov endomorphisms, a quite different answer is obtained in this paper, i. e., for generic Anosov endomorphisms, they are not topologically conjugate to any hyperbolic toral endomorphism.

§ 0. Introduction

Many authors have worked extensively on the topologically conjugate classes of Anosov diffeomorphisms and expanding maps on compact manifolds (see [1—4]). Their results imply the following assertion:

Theorem A^[3,4]. *If $f: T^m \rightarrow T^m$ is an Anosov diffeomorphism (expanding map), m is topologically conjugate to some hyperbolic toral automorphism (expanding toral automorphism, respectively).*

Because Anosov diffeomorphisms and expanding maps are included in Anosov endomorphisms, we have a similar problem: is every Anosov endomorphism on T^m topologically conjugate to some hyperbolic toral endomorphism?

Compared with Theorem A, our solution for this problem is quite different.

Let $A(T^m) = \{f: T^m \rightarrow T^m : f \text{ is a } C^1 \text{ Anosov endomorphism}\}$ and $A^*(T^m) = \{f \in A(T^m) : f \text{ is neither an Anosov diffeomorphism nor an expanding map}\}$. In this paper, we will prove the following result.

Main Theorem. *Suppose $m \geq 2$. Then for generic $f \in A^*(T^m)$, f is not topologically conjugate to any hyperbolic toral endomorphism.*

In [5], Przytycki made some discussions on the topologically conjugate classes of (T^m) .

§ 1 is some preliminaries. In § 2 we prove a topologically conjugate theorem to be used in § 3. The complete proof of our main theorem is contained in § 3.

§ 1. Preliminaries

In this paper, we always suppose M is a compact connected smooth Riemannian manifold. Let $\pi : \bar{M} \rightarrow M$ be a universal covering map. Let $\alpha = \langle, \rangle$ be a Riemannian metric tensor of M . α induces a metric d on M . Let $\pi^*(\alpha)$ be the pull back of α by π . Then $\pi^*(\alpha)$ is a Riemannian metric tensor of $\bar{M}$ and induces a metric $\bar{d}$ on $\bar{M}$.

Lemma 1.1. ^[7] Let $f \in C^0(M, M)$ and let $F: \bar{M} \rightarrow \bar{M}$ be a lifting of f to $\bar{M}$ i.e., $\pi F = f\pi$. Then F is uniformly continuous (with respect to $\bar{d}$). If f is a homeomorphism of M , then F is a homeomorphism of $\bar{M}$, and F^{-1} is also uniformly continuous.

To consider the perturbations of a dynamical system, we need a lemma to be used in this paper.

Lemma 1.2. Let f, g be two local diffeomorphisms and let F, G be the lift of f, g to $\bar{M}$. If g is C^1 near f , and $\bar{d}(F(x_0), G(x_0))$ is small for some $x_0 \in \bar{M}$, then G is C^1 near F and G^{-1} is also C^1 near F^{-1} .

Proof It is tedious, we will omit it. When $M = T^n$, one can refer to § 1, Chapter 5 in [8].

Definition 1.3. An orbit of $f \in C^0(M, M)$ is a bi-infinite sequence (x_n) in M such that $f(x_n) = x_{n+1}$, for all $n \in \mathbb{Z}$. Let $\text{Orb}(f) = \{(x_n) : (x_n) \text{ is an orbit of } f\}$. It is easy to prove that $\text{Orb}(f)$ is compact in $\prod_{n=-\infty}^{+\infty} M$.

The following is the definition of Anosov endomorphisms.

Definition 1.4. ^[5] We call a local diffeomorphism $f \in C^1(M, M)$ an Anosov endomorphism if f possesses the following properties:

There exist constants $C > 0$, $0 < \mu < 1$ such that for every $(x_n) \in \text{Orb}(f)$ there is a splitting of $\bigcup_{n=-\infty}^{\infty} T_{x_n} M = E^s \oplus E^u = \bigcup_{n=-\infty}^{\infty} E_{x_n}^s \oplus E_{x_n}^u$ which is preserved by the derivative Tf and satisfies the following conditions:

$$\|Tf^n v\| \leq C\mu^n \|v\|, \text{ for } v \in E^s, n = 0, 1, 2, \dots,$$

$$\|Tf^n v\| \geq C^{-1}\mu^{-n} \|v\|, \text{ for } v \in E^u, n = 0, 1, 2, \dots$$

Remarks. (1) If f is also a diffeomorphism, then f is called an Anosov diffeomorphism.

(2) If $E^s = \{0\}$, then f is called an expanding map.

In [6], Mañé & Pugh gave a definition of weakly Anosov endomorphisms. It is not difficult to prove that an Anosov endomorphism (as Def. 1.4) is a weakly Anosov endomorphism in [6].

The following are some simple examples of Anosov endomorphisms.

Example 1.5. Hyperbolic Toral Endomorphism: Let A be a hyperbolic

endomorphism of R^m which preserves the lattice Z^m , i. e., A is an integral matrix and for every eigenvalue λ of A , $|\lambda| \neq 0, 1$. Let $T^m = R^m/Z^m$ be the m -dimensional torus. Then A induces an Anosov endomorphism a (we call it a Hyperbolic Toral endomorphism), namely, $a([x]) = [Ax]$, where $[x]$ denotes points on T^m .

Let $A(M) = \{f: M \rightarrow M : f \text{ is an Anosov endomorphism}\}$ and $A^*(M) = A(M) \setminus \{\text{Anosov diffeomorphisms}\} \cup \{\text{expanding maps}\}$.

For the properties of Anosov endomorphisms, see [5, 6]. The following are some of these to be used in this paper.

Proposition 1.6. ^[5, 6] (1) Let $f \in A(M)$ and let F be the lifting of f to $\bar{M}$. Then F is an Anosov diffeomorphism of $\bar{M}$.

(2) $A(M)$ is an open subset in $C^1(M, M)$ (endowed with C^1 topology).

(3) If $f \in A^*(M)$, then f is not structurally stable.

(4) $A^*(T^m)$ is also open in $C^1(M, M)$.

The following are some elementary facts in algebraic topology. Let $\pi: R^m \rightarrow T^m$ be the covering map, $\pi(x) = [x]$. Then the group of the deck transformations $\Gamma = (R^m, \pi)$ consists of the following maps in R^m :

$$a_k(x) = x + k, \text{ for } x \in R^m, \text{ where } k \in Z^m.$$

A group endomorphism H of Γ can be represented by an integral matrix $B \in M_m(Z)$, namely, $H(a_k)(x) = x + Bk = a_{B \cdot k}(x)$ for $x \in R^m$.

Proposition 1.7. (1) Let $f \in C^0(T^m, T^m)$ and let F be its lifting to R^m . Then there exists a unique matrix $B \in M_m(Z)$ such that $F(x+k) = F(x) + Bk$ for $x \in R^m$, $k \in Z^m$, i. e., $F - B \in P(R^m) = \{\psi: R^m \rightarrow R^m : \psi \text{ is continuous and satisfies } \psi(x+k) = \psi(x) \text{ for all } x \in R^m \text{ and } k \in Z^m\}$. Conversely, if a map $F \in C^0(R^m, R^m)$ satisfies $F - B \in P(R^m)$ for some $B \in M_m(Z)$, then F is a lifting of some $f \in C^0(T^m, T^m)$.

(2) Let F_j ($j=1, 2$) be two liftings of a map $f \in C^0(T^m, T^m)$ and $B_j \in M_m(Z)$ such that $F_j - B_j \in P(R^m)$ ($j=1, 2$). Then $B_1 = B_2$.

Proof. For (1), see Shub ([9], p. 179) or Spanier [10]. From [10], we have $F(x) = F_1(x) + k_0$ for all $x \in R^m$ and some $k_0 \in Z^m$, which concludes (2).

Now we introduce some functional spaces. Suppose $\|\cdot\|$ is a norm in R^m . Let $\mathcal{B}(R^m) = \{\psi: R^m \rightarrow R^m : \psi \text{ is continuous and bounded}\}$. For $\psi \in \mathcal{B}(R^m)$, $\|\psi\|_0 = \sup\{\|\psi(x)\| : x \in R^m\}$. Then $\mathcal{B}(R^m)$ is a Banach space. Let $UCB(R^m) = \{\psi \in \mathcal{B}(R^m) : \psi \text{ is uniformly continuous}\}$. It is easy to prove the following lemma.

Lemma 1.8. $UCB(R^m)$ is closed in $\mathcal{B}(R^m)$, therefore a Banach space. Also $\mathcal{B}(R^m)$ is closed in $UCB(R^m)$.

§2. A Topologically Conjugate Theorem

Let $f \in A(M)$ and let F be its lifting to $\bar{M}$. Then F is an Anosov diffeomorphism

of $\bar{M}$. Recall that f is not structurally stable unless f is a diffeomorphism or an expanding map. However, the universality of $\bar{M}$ and the fact that F is a lifting system can conclude the following theorem.

Theorem 2. 1. *If $g \in C^1(M, M)$ is sufficiently C^1 near f , and G is a lifting of g such that G is C^1 near F , then there exists a unique homeomorphism $H : \bar{M} \rightarrow \bar{M}$ such that H is near $id_{\bar{M}}$ and satisfies $HF = GH$. In fact, H and H^{-1} are uniformly continuous.*

Proof In [6, p. 180] Mañé & Pugh asserted the first half of this theorem. The idea of the proof coincides with the proof of the structural stability of an Anosov diffeomorphism on a compact manifold. For the details, we can see Chapters 13 in [8]. Similarly, we need only solve the following equation

$$\gamma(x) = \exp_x^{-1}(G(\exp_{F^{-1}(x)}(\gamma(F^{-1}(x)))), x \in \bar{M}. \quad (2.1)$$

In order to prove that γ is uniformly continuous, we solve (2.1) in the Banach space $USB(TM) = \{\sigma : \bar{M} \rightarrow TM \mid \sigma \text{ is a uniformly continuous, bounded section}\}$. The existence of solution $\gamma \in USB(TM)$ of (2.1) follows from the local stability of a hyperbolic fixed point. For the verification of hyperbolicity, one needs the following generalized Palais Lemma in nonlinear analysis.

The following is the statement of the Lemma.

Let (E, π, X, R^m) be a vector bundle endowed with a Finsler structure. Assume $u : X \rightarrow X$ is a homeomorphism such that u and u^{-1} are uniformly continuous. Assume $U : E \rightarrow E$ is a fibre preserving map which covers u , i. e., $\pi U = u\pi$. Assume U is also uniformly continuous and maps a bounded section to a bounded section. Then U induces a map $\bar{U} : USB(E) \rightarrow USB(E)$ in the following way:

$$\bar{U}(\sigma) = U\sigma u^{-1}, \sigma \in USB(E). \quad (2.2)$$

Here $USB(E)$ is the space of the uniformly continuous, bounded sections of (E, X, R^m) .

Generalized Palais Lemma 2. 2. *Let $u, U, \bar{U}$ be as above. Assume the derivative $\bar{D}U : E \rightarrow L(E, u^*(E))$ of U is uniformly continuous. Then $\bar{U}$ is differentiable. Yet for $\sigma \in USB(E)$, the derivative $D\bar{U}(\sigma)$ of $\bar{U}$ at σ can be represented as follows:*

$$(D\bar{U}(\sigma)\tau)(x) = \bar{D}U(\sigma(u^{-1}(x)))\tau(u^{-1}(x)) \text{ for } x \in X, \tau \in USB(E). \quad (2.3)$$

Proof The proof of the Palais Lemma [8, p. 212] is also valid under the same assumptions.

As an application of Theorem 2.1, we prove a property of Anosov endomorphisms which is called the Entropy Stability Property. In [11], Yang proved this property for strongly Anosov endomorphisms. For the definition of the topological entropy of a uniformly continuous map, we can see Chapter 7 in [12].

Theorem 2. 3. *Let $f : M \rightarrow M$ be an Anosov endomorphism. Then there is a*

neighborhood $\mathcal{U} \subset C^1(M, M)$ of f such that for every $g \in \mathcal{U}$, we have $\text{ent}(g) = \text{ent}(f)$. Here $\text{ent}(f) = \text{ent}_d(f)$ denotes the topological entropy of f (relative to the metric d).

Proof Here we only sketch the proof.

(1) Let $\pi: \bar{M} \rightarrow M$ be the universal covering map. Then there is a constant $\delta > 0$ such that

$$\pi|_{B(x, \delta)}: B(x, \delta) \rightarrow B(\pi(x), \delta)$$

is an isometric homeomorphism for all $x \in \bar{M}$. Here

$$B(x, \delta) = \{y \in \bar{M} \mid d(y, x) \leq \delta\}.$$

(2) Let $f \in C^0(M, M)$. Suppose $F: \bar{M} \rightarrow \bar{M}$ is a lifting of f . By Theorem 8.12 in [2], we have

$$\text{ent}(f) = \text{ent}_d(f) = \text{ent}_d(F).$$

(3) The topological entropy is an invariant of uniform topological conjugacy. More precisely, let f, g be two uniformly continuous maps. Suppose there is a homeomorphism h such that h and h^{-1} are uniformly continuous and h satisfies $hf = gh$. Then $\text{ent}(f) = \text{ent}(g)$.

From Theorem 2.1, there is a neighborhood $\mathcal{U} \subset C^1(M, M)$ of f such that for every $g \in \mathcal{U}$, we have

$$\text{ent}(g) \stackrel{(2)}{=} \text{ent}_d(g) \stackrel{(3)}{=} \text{ent}_d(F) \stackrel{(2)}{=} \text{ent}(f).$$

§3. The Proof of our Main Theorem

Let $A(T^m)$ and $A^*(T^m)$ be as in §0, §1. Let $A^{**}(T^m) = \{f \in A^*(T^m) : f \text{ is not topologically conjugate to any hyperbolic toral endomorphism}\}$. We need to prove that $A^{**}(T^m)$ is a residual subset in $A^*(T^m)$.

Let $\text{HTE}(T^m) = \{\alpha: T^m \rightarrow T^m : \alpha \text{ is a hyperbolic toral endomorphism}\}$ and

$$\text{HOM}(T^m) = \{h: T^m \rightarrow T^m : h \text{ is a homeomorphism}\}.$$

Proposition 3.1. *If $m \geq 2$, then $A^{**}(T^m)$ is dense in $A^*(T^m)$.*

Proof Assume the conclusion is not true. Then there exists a nonempty open set $\mathcal{U} \subset A^*(T^m)$ such that $\mathcal{U} \cap A^{**}(T^m) = \emptyset$. We will prove that every $f \in \mathcal{U}$ is naturally stable, which contradicts Proposition 1.6(3).

Fix $f_0 \in \mathcal{U}$, then there exist $\alpha_0 \in \text{HTE}(T^m)$ and $h_0 \in \text{HOM}(T^m)$ such that

$$h_0 \alpha_0 = f_0 h_0. \quad (3.1)$$

on $h_0([0]) = [x_0]$ is a fixed point of f_0 , and therefore a hyperbolic fixed point.

For any $f \in \mathcal{U}$ near f_0 , there is a fixed point $[x'_0]$ of f near $[x_0]$. Let h' be the homeomorphism of T^m : $h'([x]) = [x + x'_0 - x_0]$ and

$$f_1 = (h')^{-1} f h'. \quad (3.2)$$

f_1 is also C^1 near f_0 . We may assume $f_1 \in \mathcal{U}$. Thus there exist $\alpha_1 \in \text{HTE}(T^m)$ and $h_1 \in \text{HOM}(T^m)$ such that

$$h_1 a_1 = f_1 h_1. \quad (3.3)$$

Thus $[x_1] = h_1([0])$ is a fixed point of f_1 .

Let F_j, A_j and H_j be liftings of f_j, a_j and h_j such that $F_j(x_j) = x_j$, $A_j(0) = 0$ and $H_j(0) = x_j$ ($j=0, 1$). By Proposition 1.7 (1), there exist integral matrixes $O_j \in \text{SL}(m, Z) = \{A \in M_m(Z) : \det(A) = \pm 1\}$ and $D_j \in M_m(Z)$ such that $H_j - O_j, F_j - D_j \in P(R^m)$ ($j=0, 1$). From (3.1) and (3.3), we have

$$H_j A_j = F_j H_j, \quad j=0, 1. \quad (3.4)$$

This together with Proposition 1.7 (2) implies

$$O_j A_j = D_j O_j, \quad j=0, 1. \quad (3.5)$$

Let F'_1 be another lifting of f_1 , such that $F'_1(x_0) = x_0$ (because $f_1([x_0]) = [x_0]$). Then $F'_1 - O_1 \in P(R^m)$, by Proposition 1.7 (2). As f_1 is homotopic to f_0 ,

$$f_{1*}[x_0] = f_{0*}[x_0] : \pi_1(T^m, [x_0]) \rightarrow \pi_1(T^m, [x_0]).$$

Thus

$$D_1 = D_0. \quad (3.6)$$

(3.5) and (3.6) imply

$$A_1 = O^{-1} A_0 O, \quad (3.7)$$

where $O = O_0^{-1} O_1 \in \text{SL}(m, Z)$.

From (3.4) and (3.7), we obtain

$$H'' F_0 = F_1 H'', \quad (3.8)$$

where $H'' = H_1 O^{-1} H_0^{-1} : R^m \rightarrow R^m$. It is easy to check that H'' satisfies $H''(x + H''(x) + O_1 O^{-1} O_0^{-1} k = H''(x) + k$ for $x \in R^m$, $k \in Z^m$. Moreover, $(H'')^{-1}(x + (H'')^{-1}(x) + k = k$. From Proposition 1.7 (1), H'' induces a homeomorphism $\in \text{HOM}(T^m)$.

Projecting (3.8) to T^m , we have

$$h'' f_0 = f_1 h''. \quad (3.9)$$

From (3.2) and (3.9), we have

$$(h' h'') f_0 = f_1 (h' h'').$$

This means $h' h''$ is a topological conjugacy between f_0 and f_1 .

In the same way, we can prove the following result.

Proposition 3.2. $A^*(T^m)$ contains infinitely many topologically conjugate classes.

Proof Let $\alpha_0 \in \text{HTE}(T^m)$ which is neither a hyperbolic toral automorphism nor an expanding toral endomorphism. Suppose $\mathcal{U}$ is any neighborhood of α_0 in $\text{HTE}(T^m)$. We can prove that there exists $f \in \mathcal{U}$ such that f is not topologically conjugate to any $\alpha \in \text{HTE}(T^m)$.

To prove $A^{**}(T^m)$ is a residual subset, we need a decomposition $A^{**}(T^m) = \bigcup Q_i$ where every Q_i is open, dense in $A^*(T^m)$. Now we begin working out Q_i .

Step 1: As $\text{HTE}(T^m)$ is countable, we assume $\text{HTE}(T^m) = \{\alpha_1, \alpha_2, \dots\}$. Let $\{f \in A^*(T^m) : f \text{ is not topologically conjugate to } \alpha_i\}$. Then $A^{**}(T^m) = \bigcap R_i$.

Step 2: Let $R_i = A^*(T^m) \setminus R_i = \{f \in A^*(T^m) : \text{there exists } h \in \text{HOM}(T^m) \text{ such that}$

$hf = a, h\}$. We construct a Procedure (*) about the liftings of maps in the following way:

(*) Let $f \in A^*(T^m)$. Suppose there exist $a \in \text{HTE}(T^m)$ and $h \in \text{HOM}(T^m)$ s. t. $f = ah$. Then $[x_f] = h^{-1}([0])$ is a fixed point of f . Let F, H, A be the liftings of f, a , satisfying $F(x_f) = x_f, A(0) = 0, H(x_f) = 0$. Then we have

$$HF = AH. \quad (3.10)$$

$h \in \text{HOM}(T^m)$, so there is a matrix $C \in \text{SL}(m, Z)$ such that $H - C \in P(R^m)$.

Let $\text{SL}(m, Z) = \{B_1, B_2, \dots\}$. $R_{ij} = \{f \in R: \text{the matrix } C \text{ in Procedure (*) is equal to } B_j\}$. Then we have

$$A^{**}(T^m) = \bigcap_{ij} (A^*(T^m) \setminus R_{ij}). \quad (3.11)$$

Proposition 3.3 Every R_{ij} is closed in $A^*(T^m)$.

Proof Fixing $a \in \text{HTE}(T^m)$ and $B \in \text{SL}(m, Z)$, let $R = \{f \in A^*(T^m): \text{there exists } h \in \text{HOM}(T^m) \text{ such that the matrix in (*) is just } B\}$.

Let $f_n \in R (n=1, 2, \dots)$ and $f_n \rightarrow f_0 \in A^*(T^m)$ for some f_0 as $n \rightarrow \infty$. We need to prove $f_0 \in R$.

By the definition of R , there exist $h_n \in \text{HOM}(T^m)$ such that

$$h_n f_n = a h_n, \quad n=1, 2, \dots \quad (3.12)$$

For $n=1, 2, \dots$, let $[x_n] = h_n^{-1}([0])$. Then the liftings H_n, F_n in (*) satisfy $F_n(x_n) = x_n, H_n - B \in P(R^m)$ and

$$H_n F_n = A H_n, \quad n=1, 2, \dots \quad (3.13)$$

By the compactness of T^m , we may assume that $\{[x_n]\}$ converges to some $[x_0] \in T^m$. We also may assume $\{x_n\}$ converges to x_0 . It is easy to check that $f_0([x_0]) = [x_0]$. As (*) , let F_0 be the lifting of f_0 to R^m , satisfying $F_0(x_0) = x_0$. By Lemma 1.2, $F_n \rightarrow F_0$ as $n \rightarrow \infty$.

Consider the following functional equations

$$(B + \psi)F_n = A(B + \psi), \quad n=0, 1, 2, \dots \quad (3.14)$$

From (3.13), for $n=1, 2, \dots$, $\psi = \xi_n = H_n - B \in P(R^m)$ is a solution of (3.14).

Because A is a hyperbolic automorphism of R^m , there is a norm $\|\cdot\|$ in R^m and a hyperbolic splitting $R^m = E^s \oplus E^u$ and a constant $\tau \in (0, 1)$ s. t. $AE^s = E^s, AE^u = E^u$ and

$$\|Av\| \leq \tau \|v\|, \text{ for } v \in E^s; \|Av\| \geq \tau^{-1} \|v\|, \text{ for } v \in E^u.$$

Let P_s, P_u be the projections of R^m to E^s, E^u resp., and $A_s = A|_{E^s}, A_u = A|_{E^u}$.

By Lemma 1.8, $\text{UCB}(R^m)$ with $\|\cdot\|_0$ is a Banach space. We have a splitting of $\text{JB}(R^m) = \text{UC}^s \oplus \text{UC}^u$, here $\text{UC}^s(u) = \{\psi \in \text{UCB}(R^m): \psi(x) \in E^{s(u)} \text{ for } x \in R^m\}$. For $\psi \in \text{JB}(R^m)$, we have the decomposition $\psi = \psi^s + \psi^u = P_s \psi + P_u \psi$. Define an equivalent norm $\|\cdot\|$ on $\text{UCB}(R^m)$ as follows

$$\|\psi\| = \|\psi^s + \psi^u\| = \max(\|\psi^s\|_0, \|\psi^u\|_0).$$

Let $\phi_n(x) = A^{-1}BF_n(x) - Bx$. From (3.13), it is easy to prove $\phi_n \in P(R^m)$ for $n=1,$

2, ..., So $\phi_0 \in P(R^m)$ because $F_n \rightarrow F_0$.

Now (3.14) is equivalent to $\psi = A^{-1}\psi F_n + \phi_n$. Projecting this equation to E^s , E^u resp., we have

$$\begin{cases} \psi^s = A_s^{-1}\psi^s F_n^s + \phi_n^s, \\ \psi^u = A_u^{-1}\psi^u F_n^u + \phi_n^u, \end{cases}$$

i. e.,

$$\begin{cases} \psi^s = A_s \psi^s F_n^{-1} - A_s \phi_n^s F_n^{-1} \stackrel{\text{def.}}{=} T_n^s(\psi), \\ \psi^u = A_u^{-1} \psi^u F_n + \phi_n^u \stackrel{\text{def.}}{=} T_n^u(\psi). \end{cases} \quad (3)$$

Because F_n , F_n^{-1} , ϕ_n^s , ϕ_n^u are uniformly continuous, we can define maps $\text{UCB}(R^m) \rightarrow \text{UCB}(R^m)$ as follows:

$$T_n(\psi) = T_n^s(\psi^s + \psi^u) \stackrel{\text{def.}}{=} T_n^s(\psi) + T_n^u(\psi) \text{ for } \psi \in \text{UCB}(R^m).$$

Now (3.15) becomes

$$\psi = T_n(\psi), \quad n=0, 1, 2, \dots \quad (3)$$

Lemma 3.4. For $n=0, 1, 2, \dots$, T_n is contractive. More precisely, we have

$$\|T_n(\psi_1) - T_n(\psi_2)\| \leq \tau \|\psi_1 - \psi_2\| \text{ for } \psi_1, \psi_2 \in \text{UCB}(R^m).$$

Proof For $\psi_1, \psi_2 \in \text{UCB}(R^m)$, we have

$$\begin{aligned} & \|T_n^s(\psi_1) - T_n^s(\psi_2)\|_0 \\ &= \sup\{\|A_s(\psi_1^s(F_n^{-1}x) - \psi_2^s(F_n^{-1}x))\|: x \in R^m\} \\ &= \sup\{\|A_s(\psi_1^s(x) - \psi_2^s(x))\|: x \in R^m\} \\ &\leq \tau \|\psi_1^s - \psi_2^s\|_0 \leq \tau \|\psi_1 - \psi_2\|. \end{aligned}$$

In the same way, we have

$$\|T_n^u(\psi_1) - T_n^u(\psi_2)\|_0 \leq \tau \|\psi_1 - \psi_2\|.$$

These two inequalities conclude the lemma.

We continue to prove Proposition 3.3.

From Lemma 3.4, for every $n=0, 1, 2, \dots$, there exists a unique $\psi_n \in \text{UCB}(R^m)$ s. t. $\psi_n = T_n(\psi_n)$, i. e., ψ_n is the solution of (3.14). By the uniqueness, $\psi_n = \xi_n \in P(R^m)$ for $n=1, 2, \dots$. Now we prove $\psi_n \rightarrow \psi_0$ as $n \rightarrow \infty$. Thus $\psi_0 \in P(R^m)$.

$$\begin{aligned} \|\psi_n - \psi_0\| &= \|T_n(\psi_n) - T_0(\psi_0)\| \\ &\leq \|T_n(\psi_n) - T_n(\psi_0)\| + \|T_n(\psi_0) - T_0(\psi_0)\| \\ &\leq \tau \|\psi_n - \psi_0\| + \|T_n(\psi_0) - T_0(\psi_0)\|. \end{aligned}$$

This implies

$$\|\psi_n - \psi_0\| \leq 1/(1-\tau) \|T_n(\psi_0) - T_0(\psi_0)\|. \quad (3)$$

As $\psi_0 \in \text{UCB}(R^m)$, for arbitrary $\varepsilon > 0$ there exists a $\delta = \delta(\varepsilon) > 0$ s. t.

$$\|\psi_0^s(x) - \psi_0^s(y)\| < \varepsilon/2\tau \text{ for } x, y \in R^m \text{ and } \|x - y\| < \delta. \quad (3)$$

By Lemma 1.2, $F_n \rightarrow F_0$ and $F_n^{-1} \rightarrow F_0^{-1}$ as $n \rightarrow \infty$. Thus there is

$$N_1 = N_1(\varepsilon, \delta) = N_1(\varepsilon) \gg 1$$

such that

$$\|F_n(x) - N_0(x)\| < \varepsilon_1 \text{ and } \|F_n^{-1}(x) - F_0^{-1}(x)\| < \varepsilon_1 \quad (3.19)$$

for all $x \in R^m$ and $N > N_1$. Here $\varepsilon_1 = \min(\varepsilon/(2\tau\|B^*\|), \delta)$.

(3.18) and (3.19) imply

$$\begin{aligned} & \|T_n^s(\psi_0)(x) - T_0^s(\psi_0)(x)\| \\ &= \|A_s(\psi_0^s(F_n^{-1}(x)) - \psi_0^s(F_0^{-1}(x))) - A_s B^s(F_n^{-1}(x) - F_0^{-1}(x))\| \\ &\leq \varepsilon \text{ for } n \leq N_1 \text{ and } x \in R^m. \end{aligned}$$

We can prove a similar inequality for T_n^u . Then we have proved $\|\psi_n - \psi_0\| < \varepsilon$ for $n \gg 1$.

Now we prove the following lemma.

Lemma 3.5. $H_0 = B + \psi_0: R^m \rightarrow R^m$ is a homeomorphism and the projection h_0 of H_0 is also a homeomorphism of T^m .

Proof Because $f_0 \in A^*(T^m)$ and $f_n \rightarrow f_0$. From Theorem 2.1, for some $N \gg 1$, there exists $\bar{H}_N = id_{R^m} + \bar{\psi}_N \in \text{HOM}(R^m)$ such that

$$\bar{H}_N F_0 = F_N \bar{H}_N, \quad (3.20)$$

here $\bar{\psi}_N \in \text{UCB}(R^m)$.

(3.14) for $n=N$ and (3.20) imply

$$((B + \psi_N)(id_{R^m} + \bar{\psi}_N))F_0 = A((B + \psi_N)(id_{R^m} + \bar{\psi}_N)).$$

As $(B + \psi_N)(id_{R^m} + \bar{\psi}_N) - B = \psi_N(id_{R^m} + \bar{\psi}_N) + B\bar{\psi}_N \in \text{UCB}(R^m)$, the uniqueness of the solution of (3.14) for $n=0$ implies that $B + \psi_0 = (B + \psi_N)(id_{R^m} + \bar{\psi}_N) \in \text{HOM}(R^m)$, because $B + \psi_N$ and $id_{R^m} + \bar{\psi}_N \in \text{HOM}(R^m)$.

Because $\psi_0 \in P(R^m)$, it is easy to prove that $H_0^{-1} = B^{-1} + \bar{\psi}_0$ for some $\bar{\psi}_0 \in P(R^m)$. As $B, B^{-1} \in \text{SL}(m, Z)$, from Proposition 1.7(1), the projection h_0 of H_0 to T^m is a homeomorphism.

Now projecting (3.14) for $n=0$ to T^m , we have $h_0 f_0 = ah_0$. By the definition of $f_0 \in R$. This completes the proof of Proposition 3.3.

Proof of our Main Theorem Proposition 3.1 implies $A^*(T^m) \setminus R_{ij}$ is dense in $A^*(T^m)$ and Proposition 3.3 asserts it is open in $A^*(T^m)$. The formula (3.11) completes the proof of the Main Theorem.

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