

# ON CERTAIN CRITERIA FOR THE PRIMALITY OF MEROMORPHIC FUNCTIONS

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## Abstract

The authors obtain, among other things, the following result: Let  $f$  be a transcendental meromorphic function, then there exists an integer  $n$ , such that the set

$$\{a \in \mathbb{C}; f(z)z^n(z-a) \text{ is not prime}\}$$

is an at most countable set.

## § 1. Introduction

A meromorphic function  $F(z) = f(g(z))$  is said to have  $f$  and  $g$  as left and right factors respectively, provided  $f$  is meromorphic and  $g$  entire ( $g$  may be meromorphic when  $f$  is rational).  $F$  is said to be prime (pseudo-prime, left-prime, right-prime), if every factorization of  $F$  of the above form into factors implies that either  $f$  or  $g$  is linear (either  $f$  is rational or  $g$  is a polynomial,  $f$  is linear whenever  $g$  is transcendental,  $g$  is linear whenever  $f$  is transcendental). For an entire function, when factors are restricted to entire functions, we define the corresponding notions such as  $E$ -prime,  $E$ -pseudo-prime,  $E$ -left-prime, etc. Two factorizations  $F = f(g)$  and  $F = f_1(g_1)$  are said to be equivalent, which is denoted by  $f(g) \sim f_1(g_1)$ , if there is a linear transformation  $\lambda$  such that

$$f_1 = f(\lambda) \quad \text{and} \quad g_1 = \lambda^{-1}(g).$$

In what follows we shall employ the notations and theorems of Nevanlinna theory of meromorphic functions<sup>[3]</sup>.

Ozawa<sup>[5]</sup> gave several criteria for  $E$ -left-primality of entire functions. Then Noda<sup>[4]</sup> improved Ozawa's results and obtained

**Theorem A.** *Let  $F$  be a transcendental entire function with at least one simple zeros satisfying*

$$\Delta = N\left(r, \frac{1}{F'}\right) - \left[N\left(r, \frac{1}{F'}\right) - \bar{N}\left(r, \frac{1}{F'}\right)\right] > kT\left(r, \frac{F'}{F}\right), \quad \text{n. e.} \quad (1)$$

*for some  $k > 0$ , where "n. e." means that the inequality holds as  $r \rightarrow \infty$  except for a set of  $r$  of finite measure. Assume that the simultaneous equations*

Manuscript received July 11, 1988.

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$$\begin{cases} F(z) = O, \\ F'(z) = 0 \end{cases} \quad (2)$$

have only finitely many solutions for any non-zero constant  $O$ . Then  $F$  is  $E$ -left-prime.

We shall extend the above result by proving

**Theorem 1.** Suppose that  $F$  satisfies all the hypotheses of Theorem A. Then  $F$  is left-prime.

Furthermore, we shall give a similar criterion for the left-primality of meromorphic function, that is

**Theorem 2.** Let  $F$  be a transcendental meromorphic function with at least one simple zero or simple pole satisfying (1) for some constant  $k > 1/2$ . Assume that the simultaneous equations (2) have only finitely many solutions for any non-zero constant  $O$ . Then  $F$  is left-prime.

Concerning the primality of entire and meromorphic function, we have

**Theorem 3.** Suppose that in addition to the hypotheses of Theorem 1, the simultaneous equations (2) have at most one solution for any non-zero constant  $O$ . Then  $F$  is prime, unless

$$F(z) = f(P(z)), \quad (3)$$

where  $f$  is entire, and

$$P(z) = w_0 + a(z - z_0)^p \quad (4)$$

with  $w_0, a, z_0$  being constants and  $p \geq 2$  an integer.

**Theorem 4.** Suppose that in addition to the hypotheses of Theorem 2, the simultaneous equations (2) have at most one solution for any non-zero constant  $O$ . Then  $F$  is prime, unless (3) holds with  $f$  being meromorphic and  $P$  being of the form (4).

Finally, we shall give an application of our criteria in section 4.

## § 2. Preliminary lemmas

**Lemma 1<sup>[1]</sup>.** Let  $f$  be meromorphic and  $g$  entire, both transcendental. Then

$$\lim_{r \rightarrow \infty} \frac{T(r, f(g))}{T(r, g)} = \infty.$$

**Lemma 2.** Let  $f(w) = H_1(w) \exp(H_2(w))$ , where  $H_1$  is a non-constant rational function with at least one zero, and  $H_2$  a non-constant polynomial. Then there exists a complex  $w_0$  such that  $f'(w_0) = 0$  and  $f(w_0) \neq 0$ .

*Proof.* Suppose the contrary, i. e. every zero of  $f'$  is one of  $f$ . Write

$$H_1(w) = A \prod_{j=1}^M (w - a_j)^{m_j} / \prod_{j=1}^N (w - b_j)^{n_j}$$

where  $A \neq 0, a_i \neq b_j, M \geq 1, N \geq 0$ . Put

$$H_3(w) = \frac{\prod_{j=1}^N (w - b_j)^{n_j+1}}{\prod_{j=1}^M (w - a_j)^{m_j-1}} [H'_1(w) + H_1(w) H'_2(w)]. \quad (5)$$

Obviously

$$H_3(a_j) \neq 0, \infty, \quad H_3(b_j) \neq 0, \infty, \quad (6)$$

so that  $H_3$  is a polynomial. If  $x$  is a zero of  $H_3$ , then by (5) and (6),  $x$  is a zero of  $f' = (H'_1 + H_1 H'_2) \exp(H_2)$ . Hence, it is also a zero of  $H_1$ . Therefore, there exists an  $a_j (1 \leq j \leq M)$  such that  $x = a_j$ , which contradicts (6). Thus  $H_3$  must have no zeros, i. e.  $H_3$  is a constant,  $B (\neq 0)$  say. We have

$$\left[ \prod_{j=1}^N (w - (b_j)^{n_j+1}) \right] (H'_1 + H_1 H'_2) = B \prod_{j=1}^M (w - a_j)^{m_j-1}. \quad (7)$$

But

$$\begin{aligned} \text{degree of left hand side in (7)} &\geq \sum_{j=1}^M m_j + N \\ &> \sum_{j=1}^M (m_j - 1) = \text{degree of right hand side in (7)}, \end{aligned}$$

which is a contradiction. And the lemma follows.

**Lemma 3.** *Let  $F$  be a transcendental meromorphic function with at least one zero satisfying (1) for some  $k > 0$ . Assume that (2) have only finitely many solutions for any non-zero constant  $C$ . Then  $F$  is pseudo-prime.*

*Proof* Let  $F = f(g)$  with  $f$  being meromorphic and  $g$  entire, both transcendental. We claim first that there exists a complex  $w_0$  such that  $f'(w_0) = 0$  and  $f(w_0) \neq 0$ . Suppose the contrary, i. e. we assume that  $f'$  has no zeros or every zero of  $f'$  is one of  $f$ . Then we have

$$N\left(r, \frac{1}{F'}\right) \leq N\left(r, \frac{1}{F}\right) - \bar{N}\left(r, \frac{1}{F}\right) + N\left(r, \frac{1}{g'}\right),$$

which implies

$$\Delta = N\left(r, \frac{1}{F'}\right) - \left[N\left(r, \frac{1}{F}\right) - \bar{N}\left(r, \frac{1}{F}\right)\right] \leq N\left(r, \frac{1}{g'}\right).$$

From (1) we deduce

$$\begin{aligned} \bar{N}\left(r, \frac{1}{F}\right) &\leq \bar{N}\left(r, \frac{F'}{F}\right) \leq T\left(r, \frac{F'}{F}\right) \\ &\leq \frac{\Delta}{k} \leq \frac{1}{k} N\left(r, \frac{1}{g'}\right) \leq \frac{1+o(1)}{k} T(r, g), \quad n. e. \end{aligned} \quad (8)'$$

If  $f$  has infinitely many zeros,  $\{w_n\}$  say, then by the second fundamental theorem of Nevanlinna's, for  $q \geq 3$

$$(q-2-o(1))T(r, g) < \sum_{j=1}^q \bar{N}\left(r, \frac{1}{g-w_j}\right) \leq \bar{N}\left(r, \frac{1}{F}\right) \leq \frac{1+o(1)}{k} T(r, g), \quad n. e.$$

which is impossible when  $q$  is large. Therefore,  $f$  must have only finitely many zeros, and we may write

$$f(w) = H_1(w) \exp(H_2(w)) \quad (9)$$

where  $H_1$  is a meromorphic function having at least one but finitely many zeros, and  $H_2$  is a non-constant entire function. If  $H_1$  has infinitely many poles, so does  $H_1'/H_1 + H_2' = H$  (say). Hence  $H$  is transcendental. But since

$$\frac{F'(z)}{F(z)} = g'(z)H(g(z)), \quad (10)$$

by Lemma 1 we have

$$\frac{T(r, F'/F)}{T(r, g)} \rightarrow \infty (r \rightarrow \infty), \text{ n.e.} \quad (11)$$

which contradicts (8). Consequently,  $H_1$  has at most finitely many poles. Thus we may assume that  $H_1$  in the form (9) is a rational function. On the other hand, if  $H_2$  is transcendental, we may deduce (10) with  $H$  transcendental and (11), again a contradiction. Therefore,  $H_2$  must be a non-constant polynomial.

Now we make use of Lemma 2 and see that it is impossible that  $f'$  has no zeros or every zero of  $f'$  is one of  $f$ . Therefore, there is a complex  $w_0$  such that  $f'(w_0) = 0$  and  $f(w_0) \neq 0$ . We assert that  $g(z) = w_0$  has only finitely many roots, for otherwise the simultaneous equations

$$\begin{cases} F(z) = f(w_0), \\ F'(z) = 0 \end{cases}$$

would have infinitely many solutions, which violates the assumption. Thus we may write

$$g(z) = w_0 + Q(z)\exp(M(z)), \quad (12)$$

where  $Q$  is a polynomial, and  $M$  entire.

Further, if  $x \neq w_0$  is a zero of  $f'$ , then  $f(x) = 0$ . Otherwise, by the same reasoning just stated,  $x$  would be another Picard's exceptional value of  $g$ , which is impossible. Therefore, we have

$$\begin{aligned} N\left(r, \frac{1}{F'}\right) &\leq N\left(r, \frac{1}{f'(g)}\right) + N\left(r, \frac{1}{g'}\right) \\ &\leq N\left(r, \frac{1}{F}\right) - \bar{N}\left(r, \frac{1}{F}\right) + O(\log r) + N\left(r, \frac{1}{g'}\right) \end{aligned}$$

or

$$\Delta \leq N\left(r, \frac{1}{g'}\right) + O(\log r).$$

From (12), we can easily derive

$$N\left(r, \frac{1}{g'}\right) + O(\log r) = o\{T(r, g)\}, \text{ n.e.}$$

Thus we obtain

$$\bar{N}\left(r, \frac{1}{F}\right) \leq \bar{N}\left(r, \frac{F'}{F}\right) \leq \frac{\Delta}{k} = o\{T(r, g)\}, \text{ n.e.}$$

Therefore, if  $w^*$  is a zero of  $f$  other than  $w_0$  ( $f$  must have a zero by the assumption), then by the second fundamental theorem, we have

$$\begin{aligned} (1-o(1))T(r, g) &< \bar{N}\left(r, \frac{1}{g-w_0}\right) + \bar{N}\left(r, \frac{1}{g-w^*}\right) \\ &\leq O(\log r) + \bar{N}\left(r, \frac{1}{F}\right) = o\{T(r, g)\}, \text{ n. e.} \end{aligned}$$

This contradiction completes the proof of our lemma.

**Lemma 4.** Suppose that in addition to the hypotheses of Lemma 3, the simultaneous equations (2) have at most one solution for any non-zero constant  $C$ . Assume that  $F$  has a factorization of the form (3) with  $f$  being transcendental meromorphic and  $P$  entire. Then  $P$  must be a polynomial of the form (4).

*Proof* By Lemma 3,  $F=f(P)$  implies that  $P$  must be a polynomial. Suppose  $P=\deg P \geq 2$ . We discuss two cases separately.

Case a).  $f'$  has infinitely many zeros  $\{w_n\}$ . We claim that for all large  $n$ ,  $w_n$  must be zeros of  $f$ . Otherwise, there exists a subsequence of  $\{w_n\}$ , still denoted by  $\{w_n\}$ , such that  $f(w_n) \neq 0$  for large  $n$  and  $P(z)=w_n$  has  $p (\geq 2)$  distinct roots which are the solutions of the simultaneous equations

$$\begin{cases} F(z) = f(w_n), \\ F'(z) = 0. \end{cases}$$

This contradicts the assumption. Therefore,  $F=f(P)$  has infinitely many zeros. On the other hand, we have

$$\begin{aligned} \bar{N}\left(r, \frac{1}{F}\right) &\leq \bar{N}\left(r, \frac{F'}{F}\right) \\ &\leq \frac{1}{k} \left[ N\left(r, \frac{1}{f'(P)}\right) + N\left(r, \frac{1}{P'}\right) - N\left(r, \frac{1}{F}\right) + \bar{N}\left(r, \frac{1}{F}\right) \right] \\ &\leq \frac{1}{k} \left[ N\left(r, \frac{1}{F}\right) - \bar{N}\left(r, \frac{1}{F}\right) + O(\log r) - N\left(r, \frac{1}{F}\right) + \bar{N}\left(r, \frac{1}{F}\right) \right] \\ &= O(\log r), \end{aligned}$$

which is a contradiction.

Case b).  $f'$  has finitely many zeros. Then

$$\begin{aligned} \bar{N}\left(r, \frac{1}{F}\right) &\leq \bar{N}\left(r, \frac{F'}{F}\right) \leq \frac{1}{k} N\left(r, \frac{1}{F'}\right) \\ &= \frac{1}{k} \left[ N\left(r, \frac{1}{f'(P)}\right) + N\left(r, \frac{1}{P'}\right) \right] = O(\log r). \end{aligned}$$

Hence,  $F$  has finitely many zeros, so does  $f$ . And we may write

$$f(w) = H_1(w) \exp(H_2(w)),$$

where  $H_1$  is a non-constant meromorphic function having finitely many zeros, and  $H_2$  is entire. If  $H_1$  has infinitely many poles, then  $H_1'/H_1 + H_2'$  is transcendental, so is

$$\frac{F''}{F} = P' \left[ \left( \frac{H_1'}{H_1} + H_2' \right) \circ P \right].$$

But

$$T\left(r, \frac{F'}{F}\right) \leq \frac{1}{k} N\left(r, \frac{1}{F'}\right) = \frac{1}{k} \left[ N\left(r, \frac{1}{f'(P)}\right) + N\left(r, \frac{1}{P'}\right) \right] = O(\log r),$$

a contradiction. Thus we may assume that  $H_1$  is a rational function. Further, if  $H_2$  is transcendental, then so are  $H_1/H_1+H_2$  and  $F'/F$ , and we shall get a contradiction again. Therefore,  $H_2$  must be a polynomial.

By applying Lemma 2, we see that there exists a complex  $w_0$  such that  $f'(w_0) = 0$  and  $f(w_0) \neq 0$ . In this case, if  $P(z) = w_0$  has at least two distinct roots, then the simultaneous equations

$$\begin{cases} F(z) = f(w_0), \\ F'(z) = 0 \end{cases}$$

would have at least two solutions, which violates the assumption. Hence,  $P(z) = w_0$  has only one root with multiplicity  $p$ , i. e.  $P$  is of the form (4). And the lemma follows.

**Lemma 5.** Let  $Q(w)$  be a rational function having at least one simple zero. Then there is a complex  $w_0$  such that  $Q'(w_0) = 0$  and  $Q(w_0) \neq 0$ , unless  $Q$  is a linear polynomial.

The proof of Lemma 5 is an elementary work, which should be omitted.

### § 3. Proofs of Theorems

*Proof of Theorem 2.* Without loss of generality, we may assume that  $F$  has a simple zero; otherwise we discuss  $\tilde{F} = F^{-1}$ .

By Lemma 3,  $F$  is pseudo-prime. Let  $F = Q(g)$ , where  $g$  is transcendental meromorphic, and  $Q$  rational. Obviously,  $Q$  has at least one simple zero,  $w_1$  say. By Lemma 5, there is a complex  $w_0$  such that  $Q'(w_0) = 0$  and  $Q(w_0) \neq 0$ , and  $g(z) = w_0$  has at most finitely many roots by the same reasoning as before. We first assume

$$Q(\infty) = \infty. \quad (13)$$

Then we have

$$\begin{aligned} N\left(r, \frac{1}{g}\right) &\leq N\left(r, \frac{g-w_0}{g}\right) + N\left(r, \frac{1}{g-w_0}\right) \\ &\leq m\left(r, \frac{g}{g-w_0}\right) + N\left(r, \frac{g}{g-w_0}\right) + O(\log r) \\ &\leq o\{T(r, g)\} + \bar{N}\left(r, \frac{1}{g-w_0}\right) + O(\log r) \\ &\leq \bar{N}(r, g) + o\{T(r, g)\}, n.e. \end{aligned} \quad (14)$$

Also,

$$\begin{aligned} N\left(r, \frac{1}{F'}\right) &= N\left(r, \frac{1}{Q'(g)}\right) + N\left(r, \frac{1}{g}\right) \\ &\leq N\left(r, \frac{1}{F}\right) - \bar{N}\left(r, \frac{1}{F}\right) + O(\log r) + N\left(r, \frac{1}{g}\right). \end{aligned} \quad (15)$$

(14) and (15) give

$$\Delta \leq \bar{N}(r, g) + o\{T(r, g)\}, \quad n. e.$$

Noticing (13), we have

$$\begin{aligned} \bar{N}(r, g) + \bar{N}\left(r, \frac{1}{F}\right) &\leq \bar{N}(r, F) + \bar{N}\left(r, \frac{1}{F}\right) = \bar{N}\left(r, \frac{F'}{F}\right) \\ &\leq \frac{\Delta}{k} \leq \frac{1}{k} \bar{N}(r, g) + o\{T(r, g)\}, \quad n. e. \end{aligned}$$

Thus we obtain

$$\bar{N}\left(r, \frac{1}{F}\right) \leq \left(\frac{1}{k} - 1\right) \bar{N}(r, g) + o\{T(r, g)\}, \quad n. e. \quad (16)$$

We claim that  $Q$  has no zeros other than  $w_1$ . Otherwise, let  $w_2 (\neq w_1)$  be a zero of  $Q$ . Then by the second fundamental theorem and (16), we have

$$\begin{aligned} T(r, g) &< \bar{N}\left(r, \frac{1}{g-w_0}\right) + \bar{N}\left(r, \frac{1}{g-w_1}\right) + \bar{N}\left(r, \frac{1}{g-w_2}\right) + o\{T(r, g)\} \\ &\leq O(\log r) + \bar{N}\left(r, \frac{1}{F}\right) + o\{T(r, g)\} \\ &\leq \left(\frac{1}{k} - 1\right) \bar{N}(r, g) + o\{T(r, g)\}, \quad n. e. \end{aligned} \quad (17)$$

Since  $k > 1/2$ , from (17) we obviously get a contradiction.

Thus  $Q$  has only one simple zero  $w_1$ . Also, due to (13), we conclude that  $Q$  is a linear polynomial.

Now we consider the case when  $Q(\infty) \neq \infty$ . Then  $Q$  has a finite pole,  $w^*$  say.

Let  $\zeta = \frac{1}{w-w^*}$ , and denote

$$Q^*(\zeta) = Q\left(w^* + \frac{1}{\zeta}\right), \quad g^*(z) = \frac{1}{g(z) - w^*}.$$

Then  $F = Q(g) = Q^*(g^*)$  and  $Q(g) \sim Q^*(g^*)$ . Clearly,  $Q^*$  satisfies  $Q^*(\infty) = \infty$ , so that  $Q^*(\zeta) = c_1\zeta + c_2$  with  $c_1, c_2$  being constants. Therefore

$$Q(w) = Q^*(\zeta) = c_1 \frac{1}{w-w^*} + c_2,$$

i. e.  $Q$  is a fractional linear function. The proof is completed.

**Proof of Theorem 1** Entirely similar to the proof of Theorem 2. It suffices to notice that when  $F$  is entire and (13) is assumed,  $g$  must be entire, and  $\bar{N}(r, g) = 0$ . Therefore, (17) holds for any  $k > 0$ , which causes a contradiction.

**Remark 1.** Under the hypotheses of Theorem 1 (or Theorem 2), we can not obtain the primality of  $F$ . For example, let

$$F(z) = (z^p - 1) \exp(z^p) \quad (p \geq 2).$$

Then  $f$  satisfies the hypotheses of Theorem 1, but  $F$  is clearly not prime.

**Proof of Theorem 3** It follows from Theorem 1 and Lemma 4.

**Proof of Theorem 4** It follows from Theorem 2 and Lemma 4.

**Remark 2.** The function  $F$  in the preceding example shows that in Theorem

3 (or Theorem 4) the factorization (3) with (4) may actually occur. Also, if the simultaneous equations (2) in Theorem 3 have at least two solutions, then the conclusion may not be valid. For example, let

$$F(z) = (z^3 + z) \exp(z^3 + z).$$

Then the simultaneous equations

$$\begin{cases} F(z) = -e^{-1}, \\ F'(z) = 0 \end{cases}$$

have three solutions, while  $F$  is neither prime nor of the form (3) with (4).

**Remark 3.** It is easily seen that the condition (1) in all four theorems may be replaced by

$$kT\left(r, \frac{F'}{F}\right) < \Delta + O(\log r), \quad n. e. \quad (1')$$

We shall use this fact in the next section.

## § 4. Application

We shall solve the following

**Problem A.** Given any transcendental meromorphic function  $f$ , does there exist a meromorphic function  $g$  such that  $f \cdot g$  is prime?

This problem is originally due to Gross-Osgood-Yang<sup>[2]</sup>, who posed it for entire function  $f$ . Noda<sup>[4]</sup> gave the answer to problem A with  $f$  entire by proving

**Theorem B.** Let  $f$  be a transcendental entire function. Then the set

$$\{a \in \mathbb{C}; f(z) \cdot (z-a) \text{ is not prime}\}$$

is at most a countable set.

The answer to problem A in general case is apparently contained in the following theorem, which we are about to prove.

**Theorem 5.** Let  $f$  be a transcendental meromorphic function. Then there exists an integer  $n$  such that the set

$$\{a \in \mathbb{C}; f(z) z^n (z-a) \text{ is not prime}\}$$

is at most a countable set.

In proving Theorem 5, we shall need two more lemmas.

**Lemma 6.** Let  $F$  be a meromorphic function. Then there exists a countable set  $E$  such that, for any non-zero constant  $c$  and  $a \in \mathbb{C} - E$ , the simultaneous equations

$$\begin{cases} F(z) \cdot (z-a) = c, \\ [F(z) \cdot (z-a)]' = 0 \end{cases}$$

have at most one solution.

Lemma 6 is an extension of Lemma 2 in [4], and the proof is similar, which should be omitted.



**Lemma 7.** Let  $f$  be a meromorphic function. Then there exists an integer  $n$  and a countable set  $E_1$  such that for any  $a \in \mathbb{C} - E_1$ , the factorization

$$(z-a)z^n f(z) = g(P(z))$$

with  $g$  being transcendental and  $P$  a polynomial implies that  $P$  is linear.

*Proof* We choose an integer  $n$  such that  $z=0$  is a simple zero of  $z^n f(z)$ . Denote

$$E_1 = \{0\} \cup \{z; f(z) = 0 \text{ or } \infty\}.$$

Suppose that there are uncountably many complex numbers  $a$  in  $\mathbb{C} - E_1$  such that

$$F_a(z) = (z-a)z^n f(z) = g(P(z)),$$

where  $g$  is transcendental, and  $P$  a polynomial of degree  $p \geq 2$ . We may assume  $P(0) = 0$ . Since  $g(P(0)) = g(0) = 0$ , each zero of  $P$  is a zero of  $F_a$ . Also, since 0 and  $a$  are simple zeros of  $F_a$ , we may write

$$P(z) = z(z-a)^\beta \prod_{j=1}^m (z-z_j),$$

where  $\beta = 0$  or 1, and  $z_j, j=1, \dots, m$ , are zeros of  $f, z_j \neq 0$ . Obviously, all possible  $\{z_j\}_1^m$  forms an at most countable set. Therefore, there exist  $a_1, a_2 \in \mathbb{C} - E_1$  and a polynomial denoted still by

$$\prod_{j=1}^m (z-z_j) = q(z) \quad (\text{say}), \quad (4.1)$$

such that

$$z^n(z-a_i)f(z) = g_i(z(z-a_i)^\beta q(z)), \quad i=1, 2, (a_1 \neq a_2),$$

where  $g_1$  and  $g_2$  are meromorphic. We deal with two cases separately.

Case a).  $\beta = 0$ . Then

$$\frac{z-a_1}{z-a_2} = \frac{g_1(zq(z))}{g_2(zq(z))} = g^*(zq(z)) \quad (\text{say}). \quad (4.2)$$

Since  $\deg(zq(z)) \geq 2$ ,  $g^*(zq(z)) = a_1$  has either no roots or at least two roots, which is impossible by (4.2).

Case b).  $\beta = 1$ . Then there are uncountably many  $a \in \mathbb{C} - E_1$  such that

$$z^n(z-a)f(z) = g_a(z(z-a)q(z)),$$

where  $g_a$  is meromorphic, and  $q(z)$  is of the form (4.1). Since  $g_a(0) = 0$ , we may write  $g_a(w) = w h_a(w)$  with  $h_a(0) \neq \infty$ . Hence

$$z^n(z-a)f(z) = z(z-a)q(z)h_a(z(z-a)q(z)).$$

Put

$$H(z) = h_a(z(z-a)q(z)) = z^{n-1}f(z)/q(z)$$

and

$$G_a(z) = z(z-a)q(z). \quad (4.3)$$

We see that  $H$  does not depend on  $a$ , and  $H' = h'_a(G_a)G'_a$ . Therefore, if  $G'_a(x) = 0$ , then  $H'(x) = 0$  or  $x$  is a pole of  $f$ . And from (4.3),

$$a[q(x) + xq'(x)] = 2xq(x) + x^2q'(x). \quad (4.4)$$

If  $q(x) + xq'(x) \neq 0$ , we have

$$a = \frac{2xq(x) + x^2q'(x)}{q(x) + xq'(x)}.$$

This indicates that there are uncountably many  $x$  which are either poles of  $f$  or zeros of  $H'$ . Thus  $H$  must be a constant, which implies that  $f$  is rational, a contradiction. Therefore, any zero  $x$  of  $G'_a$  satisfies

$$q(x) + xq'(x) = 0.$$

And by (4.4),

$$2xq(x) + x^2q'(x) = 0.$$

These two equations imply  $xq(x) = 0$ . If  $x \neq 0$ , then  $q(x) = 0$ . And by (4.4)  $q(x) = 0$  for  $x = 0$ . That is to say that each zero of  $G'_a$  is a zero of  $q(z)$ . However, it is easy to verify that there must be a zero of  $G'_a(z) = [z(z-a)q(z)]'$  which is not a zero of  $q(z)$ . This contradiction completes the proof.

*Proof of Theorem 5* By Lemma 7, there exists an integer  $n$  and a countable set  $E_1$ , such that for any  $a \in \mathbb{C} - E_1$  the function  $F_a(z) = (z-a)z^n f(z)$ , which has a simple zero  $a$ , can not be factorized into  $F_a = g(P)$  with  $g$  being transcendental and  $P$  a polynomial of degree at least two. Put

$$H(z) = z + \frac{z^n f(z)}{(z^n f(z))'}.$$

It is easy to verify

$$T\left(r, \frac{F'_a}{F_a}\right) \leq T(r, H) + O(\log r)$$

and

$$\bar{N}\left(r, \frac{1}{H-a}\right) = \bar{N}\left(r, \frac{(z^n f)' }{F'_a}\right) \leq N\left(r, \frac{1}{F'_a}\right) - \left[N\left(r, \frac{1}{F_a}\right) - \bar{N}\left(r, \frac{1}{F_a}\right)\right].$$

Also, using the second fundamental theorem, we know that for  $a \neq a_1, a_2$  and  $a_3$  (say),

$$\frac{3}{4} T(r, H) < \bar{N}\left(r, \frac{1}{H-a}\right), \quad n. e.$$

Put  $E_2 = \{H(x); H'(x) = 0\}$ . Then we derive from the preceding three inequalities that for  $a \notin E_1 \cup E_2 \cup \{a_1, a_2, a_3\} = E_0$

$$\frac{3}{4} T\left(r, \frac{F'_a}{F_a}\right) \leq N\left(r, \frac{1}{F'_a}\right) - \left\{N\left(r, \frac{1}{F_a}\right) - \bar{N}\left(r, \frac{1}{F_a}\right)\right\} + O(\log r), \quad n. e.$$

By Lemma 6, there exists a countable set  $E_3$  such that for any  $a \notin E_3$ ,

$$\begin{cases} F_a(z) = c, \\ F'_a(z) = 0 \end{cases}$$

have at most one solution for any non-zero constant  $c$ . Let  $E = E_0 \cup E_3$ . To  $F_a(z) = (z-a)z^n f(z)$  applying Theorem 4 and Lemma 7, we conclude that for any  $a \in \mathbb{C} - E_1$ ,  $F_a$  is prime. The proof is completed.

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