

A REMARK ON THE UNIQUENESS OF THE HARMONIC MAPS**

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Abstract

This paper studies the uniqueness of the harmonic maps from R^n into S^{n-1} . At first it is proved that the map $\psi(x) = \frac{x}{|x|}: B^n \rightarrow S^{n-1}$ is the unique energy minimizing harmonic map. Then the uniqueness of the harmonic maps with identity boundary value from B^n to S^{n-1} follows.

In this paper we consider the uniqueness of the harmonic maps from R^n to S^{n-1} . We prove that $\psi = \frac{x}{|x|}: R^n \rightarrow S^{n-1}$ is the unique harmonic map with identity boundary value for all $n \geq 3$.

It is not hard to see that $\psi(x)$ is a harmonic map from R^n into S^{n-1} , i. e., ψ satisfies the equation $\Delta\psi + \psi|\nabla\psi|^2 = 0$. W. Jäger and H. Kaul have shown that ψ is an energy minimizing harmonic map if $n \geq 7$ [2]. H. Brezis, J. M. Coron and E. Lieb have proved that $\psi: R^3 \rightarrow S^2$ is the unique minimizing harmonic map [3]. Recently, Fan-Hua Lin has proved that ψ is an energy minimizing harmonic map for all $n \geq 3$ [1]. The questions we shall discuss are whether ψ is the unique energy minimizing harmonic map or not and whether ψ is the unique harmonic map or not for all $n \geq 3$.

Let B be the unit ball in R^n , and consider the class

$$O = \{u \in H^1(B, S^{n-1}) \mid u(x) = x \text{ on } \partial B\}.$$

Then we set

$$E = \inf_{u \in O} \int_B |\nabla u|^2 dx.$$

At first, we prove the following

Theorem 1. *The map $\psi(x)$ is the unique minimizer of E for all $n \geq 3$.*

The technique we use in the proof of the above theorem is so called "adding a null Lagrangian". This is from Lin's paper [1] and has been used already in [1] in proving that ψ is a minimizer for E for $n \geq 3$.

Lemma 1. *Let $u: R^n \rightarrow S^{n-1}$ ($n \geq 4$) be a C^1 map in a neighborhood of $x_0 \in R^n$ which*

Manuscript received February 10, 1989.

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** Supported by the National Natural Science Foundation of China.

satisfies

$$P(\nabla u)(x_0) = |\nabla u|^2(x_0) + \frac{1}{n-2} [\text{tr}(\nabla u)^2 - (\text{div } u)^2](x_0) = 0. \quad (1)$$

Then we have

$$\partial u_i / \partial x_j(x_0) = \alpha [\delta_{ij} - u_i(x_0) u_j(x_0)], \quad i, j = 1, 2, \dots, n, \quad (2)$$

where $\alpha = \frac{1}{n-1} \text{div } u(x_0)$.

Proof Let us choose a rotation $R = (r_{ij})$ in R^n which satisfies

$$R(e_n) = u(x_0),$$

where e_n is n -th unit coordinate vector. Then, denote by y_0 the point $R^t x_0$ and define

$$v(x) = R^t \cdot u \cdot R(x) \text{ for } x \in R^n.$$

It is easy to verify that

$$v(y_0) = R^t \circ u(x_0) = e_n, \quad (3)$$

$$\nabla v(x) = R^t \nabla u(Rx) R \text{ for all } x \in R^n, \quad (4)$$

$$P(\nabla v)(x) = P(\nabla u)(Rx) \text{ for all } x \in R^n. \quad (5)$$

In particular we have $P(\nabla v)(y_0) = 0$.

Setting $a_{ij} = \frac{\partial v_i}{\partial x_j}(y_0)$ and applying the relation (3), we obtain $a_{nj} = 0$ for $j = 1, 2, \dots, n$. So we have

$$(\text{div } v(y_0))^2 = \left(\sum_{i=1}^{n-1} a_{ii} \right)^2 = (n-1) \sum_{i=1}^{n-1} a_{ii}^2 - \frac{1}{2} \sum_{i,j=1}^{n-1} (a_{ii} - a_{jj})^2, \quad (6)$$

$$\text{tr}(\nabla v)^2(y_0) = \sum_{i,j=1}^n a_{ij} a_{ji} = \sum_{i=1}^{n-1} a_{ii}^2 - \sum_{i \neq j} a_{ij}^2 + \frac{1}{2} \sum_{i \neq j} (a_{ij} + a_{ji})^2, \quad (7)$$

$$|\nabla v|^2(y_0) = \sum_{i,j=1}^n a_{ij}^2 = \sum_{i=1}^{n-1} a_{ii}^2 + \sum_{i \neq j} a_{ij}^2. \quad (8)$$

Hence we get

$$P(\nabla v)(y_0) = \frac{n-3}{n-2} \sum_{i \neq j} a_{ij}^2 + \frac{1}{2(n-2)} \left[\sum_{i \neq j} (a_{ij} + a_{ji})^2 + \sum_{i,j=1}^{n-1} (a_{ii} - a_{jj})^2 \right]. \quad (9)$$

Consequently one has

$$a_{ij} = 0 \quad i \neq j, \quad a_{11} = \dots = a_{n-1,n-1}.$$

By a simple computation we obtain from formula (4)

$$\partial u_i / \partial x_j(x_0) = \alpha (\delta_{ij} - r_{in} r_{jn}),$$

where $\alpha = a_{11} = \frac{1}{n-1} \text{div } v(y_0) = \frac{1}{n-1} \text{div } u(x_0)$. Recalling the choice of rotation R ,

we have

$$r_{in} = u_i(x_0), \quad i = 1, 2, \dots, n.$$

The required equality (2) then follows.

Lemma 2. Let $u: R^3 \rightarrow S^2$ be a C^1 map in a neighborhood of $x_0 \in R^3$ which satisfies

$$P(\nabla u)(x_0) = |\nabla u|^2(x_0) + [\text{tr}(\nabla u)^2 - (\text{div } u)](x_0) = 0.$$

Then there exist unit vectors r_1, r_2 in R^3 and a real number b such that $(r_1, r_2) = 0, (r_1,$

$u(x_0) = 0$ for $k=1, 2$ and

$$\partial u_i / \partial x_j(x_0) = a[\delta_{ij} - u_i(x_0)u_j(x_0)] + b(r_{i1}r_{j2} - r_{i2}r_{j1}),$$

$i, j=1, 2, 3$, where $a = \frac{1}{2} \operatorname{div} u(x_0)$.

Proof Follow the same argument as in the proof of Lemma 1.

Proof of the Theorem 1. Let u be a minimizer for E . We claim that u equals ψ .

From the Schoen-Uhlenbeck result^[4], we know that the singular set of map u is a closed set with Hausdorff dimension at most $n-3$. In particular, u is a C^1 map in some neighborhood of $x \in B$ for a. e. $x \in B$.

From Lin's paper^[1] we see that

$$P(\nabla u) \geq 0, \quad E(u) = E = \inf_{u \in \mathcal{O}} \int_B |\nabla u|^2 dx = \frac{n-1}{n-2} \operatorname{meas}(S^{n-1}),$$

$$\int_B [(\operatorname{div} u)^2 - \operatorname{tr}(\nabla u)^2] dx = (n-1) \operatorname{meas}(S^{n-1}).$$

So we have by the definition of $P(\nabla u)$

$$\begin{aligned} \frac{n-1}{n-2} \operatorname{meas}(S^{n-1}) &= \int_B |\nabla u|^2 dx \\ &= \int_B P(\nabla u) dx + \frac{1}{n-2} \int_B [(\operatorname{div} u)^2 - \operatorname{tr}(\nabla u)^2] dx \\ &= \int_B P(\nabla u) dx + \frac{n-1}{n-2} \operatorname{meas}(S^{n-1}). \end{aligned}$$

Hence $P(\nabla u)(x) = 0$ for a. e. $x \in B$. Then from Lemma 1 and Lemma 2 we obtain for all $n \geq 3$

$$\partial u_i / \partial x_j(x) = a(x)(\delta_{ij} - u_i u_j) + b(x) \delta_{3n}(r_{i1}r_{j2} - r_{i2}r_{j1}).$$

By a simple computation we find that

$$a^2 = \frac{1}{n-1} |\nabla u|^2 \quad (n \geq 4), \quad a^2 + b^2 = \frac{1}{2} |\nabla u|^2 \quad (n=3).$$

So we have

$$\int_B a^2(x) dx \leq \frac{1}{n-2} \operatorname{meas}(S^{n-1}) \text{ for all } n \geq 3.$$

Next set $f(x) = (\psi(x), u(x))$. We want to prove that $f=1$ for a. e. $x \in B$. By a simple computation we get

$$\begin{aligned} \partial f / \partial x_i(x) &= a[\psi_i - f(x)u_i] + [u_i - f(x)\psi_i] \frac{1}{|x|} \\ &\quad + \delta_{3n} b(x) [(r_{i1}, \psi) r_{i2} - (r_{i2}, \psi) r_{i1}] \quad \text{a. e. } x \in B, \text{ all } i. \end{aligned} \quad (10)$$

According to Fubini theorem we conclude that there exists a set $F \subset S^{n-1}$, $\operatorname{meas}(S^{n-1} \setminus F) = 0$, such that for any $\sigma \in F$ the formula (10) holds at the point $t\sigma$ for a. e. $t \in [0, 1]$ and

$$N(\sigma) = \int_0^1 r^{n-1} a^2(r\sigma) dr < \infty.$$

Now fix $\sigma \in F$ and let $g(t)$ denote the function $1 - f(t\sigma)$. We obtain by formula (10) and boundary condition

$$g'(t) = -\frac{\partial f}{\partial x_i}(t\sigma)\sigma_i = -a(t\sigma)[1+f(t\sigma)]g(t) \text{ a. e. } t \in [0, 1], \quad (11)$$

$$g(1) = 0. \quad (12)$$

For any given $d \in (0, 1]$ we get

$$M = \int_d^1 a^2(r\sigma)dr \leq d^{1-n}N(\sigma) < \infty.$$

Consequently we obtain by Hölder inequality

$$\begin{aligned} g(t) &= \int_t^1 a(s\sigma)[1+f(s\sigma)]g(s)ds \\ &\leq 2\sqrt{M} \left[\int_t^1 |g(s)|^2 ds \right]^{1/2} \text{ for all } t \in [d, 1]. \end{aligned}$$

Hence $g \in O[d, 1]$ and

$$|g(t)|^2 \leq 4M \int_t^1 |g(s)|^2 ds, \text{ for all } t \in [d, 1].$$

Using the Bellman inequality we immediately get

$$g(t) = 0 \text{ for all } t \in [d, 1].$$

So, $g(t) = 0$ for all $t \in (0, 1]$. Recalling the choice of the set F , it follows that $f(x) = 1$ for a. e. $x \in B$.

This completes the proof of Theorem 1.

Now we prove the following

Theorem 2. *The map $\psi(x)$ is the unique harmonic map with identity boundary value from R^n into S^{n-1} for all $n \geq 3$.*

Proof Let $u \in H^1(B^n)$ be a harmonic map from R^n to S^{n-1} . Then we have identity

$$\begin{aligned} \operatorname{div}((x \cdot Du_i)Du_i) - \frac{1}{2}x \cdot D(|Du_i|^2) &= -(x \cdot Du_i)u_i|\nabla u|^2 + |Du_i|^2, \\ i &= 1, 2, \dots, n. \end{aligned} \quad (13)$$

Summing over i we then obtain

$$\sum_i \operatorname{div}((x \cdot Du_i)Du_i) - \frac{1}{2}x \cdot D(|\nabla u|^2) = |\nabla u|^2. \quad (14)$$

By above identity and divergence theorem one has

$$\left(\frac{n}{2} - 1\right) \int_B |\nabla u|^2 dx = \frac{1}{2} \int_{S^{n-1}} |\nabla u|^2 d\sigma - \sum_i \int_{S^{n-1}} (x \cdot Du_i)^2 d\sigma. \quad (15)$$

If we denote the tangential gradient of u on S^{n-1} by $\nabla_T u$, then it is obtained that

$$\int_B |\nabla u|^2 dx = \frac{1}{n-2} \int_{S^{n-1}} |\nabla_T u|^2 d\sigma - \frac{1}{n-2} \int_{S^{n-1}} |\nabla_r u|^2 d\sigma, \quad (16)$$

where

$$|\nabla_r u|^2 = |\nabla u|^2 - |\nabla_T u|^2 \geq 0.$$

Since $u(x) = x$ on S^{n-1} , we have

$$\int_{S^{n-1}} |\nabla_T u|^2 d\sigma = (n-1)|S^{n-1}|.$$

So

$$\int_B |\nabla u|^2 dx \leq E.$$

It follows that u is a minimizer of E and $u \equiv \psi$ by Theorem 1.

References

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