

# LIE ALGEBRA $K(n, \mu_j, m)$ OF CARTAN TYPE OF CHARACTERISTIC $p=2$ .

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## Abstract

Let  $K(n, \mu_j, m)$ ,  $n=2r+1$ , denote the Lie algebra of characteristic  $p=2$ , which is defined in [4]. In the paper the restrictability of  $K(n, \mu_j, m)$  is discussed and it is proved that, when  $r \equiv 1 \pmod{2}$  and  $r > 1$ ,  $I(ad f) = n+1$  if and only if  $0 \neq f \in \langle x^r \rangle$ . Then the invariance of some filtrations of  $K(n, \mu_j, m)$  and the condition of isomorphism of  $K(n, \mu_i, m)$  and  $K(n', \mu'_j, m')$  are obtained. Besides, the generators and the derivation algebra of  $K(n, \mu_i, m)$  are discussed. The results also hold, when  $r \equiv 0 \pmod{2}$  and  $r > 0$ .

## § 0. Introduction

Let  $F$  be a field of characteristic  $p=2$ ,  $N$  be the set of nonnegative integers,  $n=2r+1$  be a positive odd number. If  $a = (a_1, a_2, \dots, a_n)$ ,  $b = (b_1, b_2, \dots, b_n) \in N^n$ , we define that  $a \leq b \Leftrightarrow a_i \leq b_i, i=1, 2, \dots, n$ ;  $a < b \Leftrightarrow a \leq b$  and  $a \neq b$ . We let  $\binom{a}{b} = \prod_{i=1}^n \binom{a_i}{b_i}$ .

Let  $A(n)$  consist of all formal sums of the indepent elements  $\{x^a | a \in N^n\}$  over  $F$  and give it the structure of an associative algebra by defining

$$x^a x^b = \binom{a+b}{a} x^{a+b}, \quad a, b \in N.$$

Let  $m = (m_1, m_2, \dots, m_n)$ , where  $m_1, \dots, m_n$  are positive integers. We put  $\tau = (2^{m_1}-1, \dots, 2^{m_n}-1)$ ,  $\varepsilon_i = (\delta_{i1}, \dots, \delta_{in})$ ,  $\tau_i = (2^{m_i}-1)\varepsilon_i$ , where  $i=1, \dots, n$ . Then  $A(n, m) = \bigoplus_{a \leq \tau} F x^a$  is an associative subalgebra of  $A(n)$  (see [1]). Define special derivations  $D_1, \dots, D_n$  of  $A(n, m)$  by

$$D_i(x^a) = x^{a-\varepsilon_i},$$

where  $x^b = 0$ , if  $b \notin N^n$ . Let  $\mu_j, j=1, 2, \dots, 2r$ , be  $2r$  elements of  $F$  such that

$$\mu_j + \mu_{j'} = 1, \quad j=1, \dots, 2r,$$

where

$$j' = \begin{cases} j+r, & \text{if } 1 \leq j \leq r, \\ j-r, & \text{if } r < j \leq 2r. \end{cases}$$

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In  $A(n, m)$  we define Lie operation as following

$$[f, g] = \left( I + \sum_{j=1}^{2r} \mu_j x^{\varepsilon_j} D_j \right) (f) D_n(g) + \left( I + \sum_{j=1}^{2r} \mu_j x^{\varepsilon_j} D_j \right) (g) D_n(f) + \sum_{j=1}^{2r} D_j(f) D_j(g).$$

Then  $A(n, m)$  becomes a Lie algebra which is denoted by  $K'(n, \mu_j, m)$  (see [4]).

Let  $K(n, \mu_j, m) = K'(n, \mu_j, m)^{(1)}$ . By Theorem 1 of [4] and (II) of [3] we know that  $K(n, \mu_j, m)$  is a simple Lie algebra and

$$K(n, \mu_j, m) = \begin{cases} K'(n, \mu_j, m), & \text{if } r \equiv 1(2), \\ \bigoplus_{a < \tau} Fx^a, & \text{if } r \equiv 0(2), \end{cases}$$

where we abbreviate (mod 2) to (2).

Let  $|a| = \sum_{i=1}^n a_i$ ,  $\|a\| = |a| + a_n - 2$ ,  $K(n, \mu_j, m)_i = \langle \{x^a \mid \|a\| = i\} \rangle$ . Then

$$K(n, \mu_j, m) = \bigoplus_{i=-2}^s K(n, \mu_j, m)_i$$

is a  $\mathbb{Z}$ -graded Lie algebra. If  $r \equiv 1(2)$ , then  $s = \|\tau\|$ ; if  $r \equiv 0(2)$ , then  $s = \|\tau\| - 1$ .

## § 1. Intrinsic Property

**Theorem 1.**  $K(n, \mu_j, m)$  is a restricted Lie algebra if and only if  $m = \mathbf{1}$ , where  $\mathbf{1} = (1, 1, \dots, 1)$ .

*Proof* Suppose  $m = \mathbf{1}$ . We know that  $K'(n, \mu_j, \mathbf{1}) \simeq \{D \in W(n, \mathbf{1}) \mid D\omega \in A(n, \mathbf{1})\omega\}$ , where  $\omega = dx_n + \sum_{i=1}^{2r} \mu_i x_i dx_i$  (see [4]). Let  $D \in K'(n, \mu_j, \mathbf{1})$  and  $D\omega = u\omega$ . Then  $D^2\omega = D(u\omega) = (Du)\omega + u(D\omega) = (Du + u^2)\omega$ . Since  $W(n, \mathbf{1}) = \text{Der } A(n, \mathbf{1})$  is a restricted Lie algebra,  $D^2 \in W(n, \mathbf{1})$ . Hence  $D^2 \in K'(n, \mu_j, \mathbf{1})$ . Consequently  $K'(n, \mu_j, \mathbf{1})$  is restricted. If  $r \equiv 1(2)$ , then  $K(n, \mu_j, \mathbf{1}) = K'(n, \mu_j, \mathbf{1})$  is restricted.

Let  $r \equiv 0(2)$  and  $x^a \in K(n, \mu_j, \mathbf{1})$ . Suppose  $(x^a)^{[2]} = v + kx^\tau$ ,  $k \in F$ ,  $v \in K(n, \mu_j, \mathbf{1})$ . Then

$$\begin{aligned} [(x^a)^{[2]}, 1] &= [x^a, [x^a, 1]] = (x^{a-\varepsilon_n})^2 + \sum_{j=1}^{2r} \mu_j a_j x^{\varepsilon_j} x^{a-\varepsilon_j-\varepsilon_n} x^{a-\varepsilon_n} \\ &\quad + \sum_{j=1}^{2r} x^{a-\varepsilon_j} x^{a-\varepsilon_j-\varepsilon_n} = \begin{cases} 0, & \text{if } a \neq \varepsilon_n, \\ 1, & \text{if } a = \varepsilon_n. \end{cases} \end{aligned} \quad (1)$$

Also  $[(x^a)^{[2]}, 1] = [v + kx^\tau, 1] = D_n v + kx^{\tau-\varepsilon_n}$ . Hence the coefficient of  $x^{\tau-\varepsilon_n}$  is  $k$ . By (1),  $k = 0$ . Then  $K(n, \mu_j, \mathbf{1})$  is restricted.

Conversely, suppose  $K(n, \mu_j, m)$  is restricted. Then  $(\text{ad} 1)^2$  is an inner derivation. If  $(\text{ad} 1)^2 \neq 0$ , then the degree of homogeneous derivation  $(\text{ad} 1)^2$  is equal to  $-4$ , because  $1 \in K(n, \mu_j, m)_{-2}$ . Since the degree of any homogeneous inner derivation of  $K(n, \mu_j, m)$  is greater than  $-3$ ,  $(\text{ad} 1)^2 = 0$ . Hence

$$x^{\tau-\varepsilon_1-2\varepsilon_n} = (\text{ad} 1)^2(x^{\tau-\varepsilon_1}) = 0.$$

Then  $m_n = 1$ .

Since the degree of homogeneous inner derivation  $(\text{ad}x^{s_i})^2$ ,  $1 \leq i \leq 2r$ , is equal to  $-2$ ,  $(\text{ad}x^{s_i})^2 = \text{ad}(\alpha 1)$ , where  $\alpha \in F$ . Then

$$0 = [\alpha 1, x^{\tau-\tau n}] = (\text{ad}x^{s_i})^2(x^{\tau-\tau n}) = x^{\tau-2s_i-\tau n}$$

Therefore  $m_{i'} = 1$ ,  $i' = 1, \dots, 2r$ . Thus  $m = 1$ .

Following [1] we let  $\deg x^a = |a| + a_n$ . If  $x$  is a linear combination of basis elements of the same degree  $k$ , then  $x$  is called a homogeneous element and we set  $\deg x = k$ .

**Lemma 1.** Let  $x = \sum c_b x^b \in K(n, \mu_j, m)$ , where  $c_b \in F$ . Suppose  $c_a x^a$  is a term of  $x$ .

(i) If  $[x^{s_n}, c_a x^a] \neq 0$ , then  $[x^{s_n}, x] \neq 0$ .

(ii) If  $[x^{s_j+s_{j'}}, c_a x^a] \neq 0$ , then  $[x^{s_j+s_{j'}}, x] \neq 0$ .

*Proof* If  $c_b x^b$  is another term of  $x$ , where  $b \neq a$ , it is easy to see that  $[x^{s_n}, c_a x^a]$  and  $[x^{s_n}, c_b x^b]$  cannot cancel. Hence  $[x^{s_n}, x] \neq 0$ . The proof of (ii) is similar.

**Lemma 2.** Let  $x = \sum c_b x^b \in K(n, \mu_j, m)$ . Suppose  $x^a$  is a term of  $x$  and  $a_n = 0(2)$ .

(i) If  $a_i = 0(2)$  and  $[x^{s_n+s_i}, x^a] \neq 0$ , then  $[x^{s_n+s_i}, x] \neq 0$ .

(ii) If  $a_i = a_{i'} = 0(2)$ ,  $a_j = a_{j'} = a_k = a_{k'} = 0(2)$ ,  $d = s_j + s_{j'} + s_k + s_{k'}$ , then either  $[x^{s_n+s_i}, x]$  or  $[x^{s_n+s_{i'}}, x]$  is nonzero; either  $[x^{d+s_i}, x]$  or  $[x^{d+s_{i'}}, x]$  is nonzero.

*Proof* (i) Obviously,  $[x^{s_n+s_i}, x^a] = \alpha x^{a+s_i} + x^{a-s_{i'}+s_n}$ , where  $\alpha \in F$ . Suppose  $\alpha x^{a+s_i} \neq 0$ . Let  $c_b x^b$  be a term of  $x$  and  $b \neq a$ . Then  $[x^{s_n+s_i}, c_b x^b] = \delta_1 x^{b+s_i} + \delta_2 x^{b-s_{i'}+s_n}$ , where  $\delta_1, \delta_2 \in F$  and  $\delta_2 = c_b \binom{b_n+1}{1}$ .

If  $b + s_i = a + s_i$ , then  $b = a$ . It contradicts  $b \neq a$ . If  $b - s_{i'} + s_n = a + s_i$ , then  $b_n = 1(2)$  because  $a_n = 0(2)$ . Hence  $\delta_2 = 0$ . Then in  $[x^{s_n+s_i}, x]$  the term  $\alpha x^{a+s_i}$  cannot be canceled. This implies  $[x^{s_n+s_i}, x] \neq 0$ .

Suppose  $\alpha x^{a+s_i} = 0$ . Then  $x^{a-s_{i'}+s_n} \neq 0$  because  $[x^{s_n+s_i}, x^a] \neq 0$ . In  $[x^{s_n+s_i}, x]$  the only possible term to cancel  $x^{a-s_{i'}+s_n}$  occurs in  $[x^{s_n+s_i}, c_b x^{a-s_{i'}-s_{i'}+s_n}]$ . By computation we see this term is zero. Hence  $[x^{s_n+s_i}, x] \neq 0$ .

(ii) Since  $a_{i'} \neq 0(2)$  and  $a_n = 0(2)$ ,  $x^{a-s_{i'}+s_n} \neq 0$  and  $[x^{s_n+s_i}, x^a] = \alpha x^{a+s_i} + x^{a-s_{i'}+s_n} \neq 0$ . In  $[x^{s_n+s_i}, x]$  the only possible term to cancel  $x^{a-s_{i'}+s_n}$  occurs in  $[x^{s_n+s_i}, c_b x^{a-s_{i'}-s_{i'}+s_n}] = c(\delta - \mu_{i'}) x^{a-s_{i'}+s_n}$ , where  $\delta \in F$ . If  $c(\delta - \mu_{i'}) \neq 1$ , then  $[x^{s_n+s_i}, x] \neq 0$ . If  $c(\delta - \mu_{i'}) = 1$ , then  $c(\delta - \mu_i) \neq 1$  because  $\mu_i \neq \mu_{i'}$ . Thus we obtain  $[x^{s_n+s_{i'}}, x] \neq 0$ .

Using the above method we can also prove the remaining part of (ii).

**Lemma 3.** Let  $x = \sum c_b x^b \in K(n, \mu_j, m)$  in which every term  $c_b x^b$  satisfies  $b_n = 1(2)$ . Suppose  $x^d \in K(n, \mu_j, m)$  and  $d_n = 1(2)$ . If there exists some term  $c_a x^a$  of  $x$  such that  $[x^d, c_a x^a] \neq 0$ , then  $[x^d, x] \neq 0$ .

Imitating (i) of Lemma 1 we can prove this lemma.

**Lemma 4.** Suppose  $r = 3$ . Let  $g$  be a homogeneous element of  $K(n, \mu_j, m)$  and  $D_n(g) \neq 0$ ,  $[g, x^{s_i}] = 0$ ,  $[g, x^{s_{i'}}] \neq 0$ ,  $i = 1, 2, 3$ . Then there exists a basis element  $x^b$  with

$\deg x^b > 3$ , such that  $[g, x^b] \neq 0$ .

*Proof* Let  $g = \sum c_d x^d$ ,  $s = \max\{d_n | c_d \neq 0\}$ . We write  $g = x^s + \dots$ , where  $a_n = s$ .

Since  $[x^a, x^{s_i}] = (1 - \mu_i) x^{s_i} x^{a-s_n} + x^{a-s_i}$ , and  $[g, x^{s_i}] = 0$ ,  $a_i = 0$ ,  $i = 1, 2, 3$ . If some  $a_i$  is odd,  $1 \leq i \leq 3$ . Let  $b = \sum_{i=1}^3 s_i + s_i$ . Then  $[x^a, x^b] = x^{a+b-s_i-s_i} \neq 0$  and  $[g, x^b] \neq 0$ .

Suppose that  $a_i$ ,  $i = 1, 2, 3$ , are even numbers. If some  $a_i$  is nonzero, let  $b = \sum_{i=1}^3 s_i - s_i$ , then  $[x^a, x^b] \neq 0$  and  $[g, x^b] \neq 0$ .

If  $a_i = 0$ ,  $i = 1, 2, 3$ , then  $a = ks_n$ ,  $k \geq 1$ . Let  $b = \sum_{i=1}^3 s_i$ . Then  $[x^a, x^b] = x^{a+b-s_n} \neq 0$ . In  $[g, x^b]$  the only possible term to cancel  $x^{a+b-s_n}$  occurs in  $[cx^{(k+1)s_n+s_i+s_i}, x^b]$ . By computation we know that  $[cx^{(k+1)s_n+s_i+s_i}, x^b] = 0$ . Hence  $[g, x^b] \neq 0$ .

**Lemma 5.** Suppose  $r \equiv 1(2)$ . If  $x$  is a nonzero homogeneous element of  $K(n, \mu, m)$ ,  $x \in \langle x^r \rangle$ , then there exist two basis elements  $b_1, b_2$ , with  $\deg b_i > 1$ ,  $i = 1, 2$ , such that  $[b_1, x]$  and  $[b_2, x]$  are linearly independent.

*Proof* Let  $x = \sum c_b x^b$ , where  $c_b \in F$ .

(A) Assume there exists a nonzero term  $c_b x^b$  such that  $b_i \equiv 0(2)$ . We can set  $x = x^a + \dots$ , where  $a_n \equiv 0(2)$ . Let  $\alpha = 1 + \sum_{i=1}^{2r} \mu_i a_i$ .

1.  $\alpha \neq 0$ . Then  $[x^{s_n}, x^a] = \alpha x^a \neq 0$ . By (i) of Lemma 1 we have  $[x^{s_n}, x] \neq 0$ .

If  $a_i \equiv 1(2)$ ,  $i = 1, 2, \dots, 2r$ , then  $\alpha = 1 + r = 0$ . It contradicts  $\alpha \neq 0$ . Hence there exists some  $a_i$  ( $i \leq 2r$ ) such that  $a_i \equiv 0(2)$ . Then  $[x^{s_n+s_i}, x^a] = \alpha x^{a+s_i} + x^{a+s_n-s_i} \neq 0$ . By (i) of Lemma 2,  $[x^{s_n+s_i}, x] \neq 0$ . Because the degrees of  $[x^{s_n}, x]$  and  $[x^{s_n+s_i}, x]$  are different, they are linearly independent.

2.  $\alpha = 0$ .

(i) There exists some  $a_i$  ( $i \leq 2r$ ) such that  $a_i \neq a_i'(2)$ . Without loss of generality, we set  $a_i \equiv 0(2)$  and  $a_i' \neq 0(2)$ . Then  $[x^{s_n+s_i}, x^a] = x^{a-s_i'+s_n} \neq 0$ . By (i) of Lemma 2,  $[x^{s_n+s_i}, x] \neq 0$ . Since  $[x^{s_i'+s_i}, x^a] = x^a \neq 0$ , by (ii) of Lemma 1,  $[x^{s_i'+s_i}, x] \neq 0$ ,  $[x^{s_i'+s_i}, x]$  and  $[x^{s_n+s_i}, x]$  are linearly independent.

(ii)  $a_i \equiv a_i'(2)$ ,  $i = 1, 2, \dots, 2r$ .

(ii)-(a). Assume there exists some  $a_j$  ( $j \leq 2r$ ) such that  $a_j \equiv 0(2)$ . Then  $a_j' \equiv 0(2)$ . Because  $\alpha = 0$ , there exists some  $a_i$  ( $i \leq 2r$ ) such that  $a_i \neq 0(2)$ . Then  $a_i' \neq 0(2)$ . By (ii) of Lemma 2, at least one of  $[x^{s_n+s_i}, x]$  and  $[x^{s_n+s_i'}, x]$  is nonzero. We can assume  $[x^{s_n+s_i}, x] \neq 0$ . Because  $\alpha = 0$  and  $r \equiv 1(2)$ , there exists also  $K$  ( $k \neq j, j', k \leq 2r$ ) such that  $a_k \equiv 0(2)$ . Let  $d = s_j + s_{j'} + s_k + s_k'$ . By (ii) of Lemma 2, at least one of  $[x^{d+s_i}, x]$  and  $[x^{d+s_i'}, x]$  is nonzero. It is linearly independent of  $[x^{s_n+s_i}, x]$ .

(ii)-(b).  $a_i \neq 0(2)$ ,  $i = 1, 2, \dots, 2r$ .

If some term  $c_b x^b$  of  $x$  satisfies  $b_n \equiv 0(2)$  and  $b_i \equiv 0(2)$  for some  $i$  ( $i \leq 2r$ ), then we can set  $x = x^b + \dots$ . This comes to the case of (ii)-(a). Hence we can assume that any term  $c_b x^b$  of  $x$  with  $b_n \equiv 0(2)$  satisfies  $b_i \neq 0(2)$ ,  $i = 1, 2, \dots, 2r$ .

Let  $j \leq 2r$ . Then  $a_j \equiv a_{j'} \not\equiv 0(2)$ . By (ii) of Lemma 2, without loss of generality, we suppose that  $[x^{e_n+e_j}, x] \neq 0$ . Since  $a_n \equiv 0(2)$  and  $a_j \equiv a_{j'} \not\equiv 0(2)$ , we have  $[x^{e_n+e_j}, x^a] = x^{a-e_j+e_n} \neq 0$ .

If  $[x^{e_n+e_{j'}}, x] = 0$ , then  $x$  contains the nonzero term  $cx^{a-e_j-e_{j'}+e_n}$  so that in  $[x^{e_n+e_{j'}}, x]$  the term  $x^{a-e_j+e_n}$  can be cancelled. Then we affirm  $[x^{e_n+e_j+e_{j'}}, x] \neq 0$ . In fact, obviously  $[x^{e_n+e_j+e_{j'}}, cx^{a-e_j-e_{j'}+e_n}] = cx^{a+e_n} \neq 0$ . In  $[x^{e_n+e_j+e_{j'}}, x]$  the only possible term to cancel  $cx^{a+e_n}$  occurs in  $[x^{e_n+e_j+e_{j'}}, c_b x^b]$ , where  $b_n \equiv 0(2)$ . By the assumption of (ii)-(b) we have  $b_j \equiv b_{j'} \not\equiv 0(2)$ . Then we obtain  $[x^{e_n+e_j+e_{j'}}, c_b x^b] = 0$  by direct computation. Hence the term  $cx^{a+e_n}$  cannot be cancelled and  $[x^{e_n+e_j+e_{j'}}, x] \neq 0$ . It is linearly independent of  $[x^{e_n+e_j}, x]$ .

Suppose  $[x^{e_n+e_{j'}}, x] \neq 0$ . If it is linearly independent of  $[x^{e_n+e_j}, x]$ , we are through. If  $[x^{e_n+e_j}, x] = k[x^{e_n+e_{j'}}, x]$ , where  $0 \neq k \in F$ , then  $x^{a-e_{j'}+e_n}$  is also a term of  $k[x^{e_n+e_{j'}}, x]$ . We set  $x = x^a + c_b x^b + \dots$  and  $k[x^{e_n+e_{j'}}, c_b x^b]$  contains the term  $x^{a-e_{j'}+e_n}$ .

We affirm that  $b_n \not\equiv 0(2)$ . In fact, if  $b_n \equiv 0(2)$ , we have  $b_j \equiv b_{j'} \not\equiv 0(2)$  by the assumption of (ii)-(b). Then  $k[x^{e_n+e_{j'}}, c_b x^b] = kc_b x^{b-e_{j'}+e_n}$ . Hence  $b-e_{j'}+e_n = a-e_{j'}+e_n$ . Then  $b_j \equiv 0(2)$ . It contradicts  $b_j \not\equiv 0(2)$ . The affirmation holds.

Since  $b_n \not\equiv 0(2)$ , we have  $k[x^{e_n+e_{j'}}, c_b x^b] = k\delta x^{b-e_{j'}}$  where  $\delta \in F$ . Therefore  $b+e_{j'} = -ae_{j'}+e_n$ . We have  $b_{j'} = a_{j'} - 2$ ,  $b_n = a_n + 1$  and  $b_l = a_l$  ( $l \neq j', n$ ). Then

$$1 + \sum_{l=1}^{2r} \mu_l b_l = 1 + \sum_{l=1}^{2r} \mu_l a_l = \alpha = 0.$$

Hence  $[x^{e_n}, c_b x^b] = c_b x^b \neq 0$ . By (i) of Lemma 1,  $[x^{e_n}, x] \neq 0$ . It is linearly independent of  $[x^{e_n+e_j}, x]$ .

(B) Every term  $c_b x^b$  of  $x$  satisfies  $b_n \equiv 1(2)$ . We set  $x = x^a + \dots$ . Let  $\beta = \sum_{l=1}^{2r} \mu_l a_l$ .

1.  $\beta \neq 0$ . Then  $[x^{e_n}, x^a] = \beta x^a \neq 0$ . Hence  $[x^{e_n}, x] \neq 0$  by (i) of Lemma 1.

1-(a). Suppose there exist  $a_i$  and  $a_j$  ( $i \neq j$ ,  $i, j < n$ ) such that  $a_i \equiv a_j \equiv 0(2)$ . Then  $[x^{e_n+c_i}, x^a] = (\mu_i + \beta)x^{a+e_i}$ ,  $[x^{e_n+e_j}, x^a] = (\mu_j + \beta)x^{a+e_j}$ ,  $[x^{e_n+e_i+e_j}, x^a] = (\mu_i + \mu_j + \beta)x^{a+e_i+e_j}$ . It is easy to see that at least one of them is nonzero. We can suppose  $[x^{e_n+c_i}, x^a] \neq 0$ . By Lemma 3,  $[x^{e_n+e_i}, x] \neq 0$ . It is linearly independent of  $[x^{e_n}, x]$ .

1-(b). Suppose there is only one  $a_i$  ( $i \leq 2r$ ) such that  $a_i \equiv 0(2)$ . Then  $\mu_i + \sum_{l=1}^{2r} \mu_l a_l = r \equiv 1(2)$ . Hence  $[x^{e_n+e_i}, x^a] = x^{a+e_i} \neq 0$ . By Lemma 3,  $[x^{e_n+e_i}, x] \neq 0$ . It is linearly independent to  $[x^{e_n}, x]$ .

1-(c).  $a_i \not\equiv 0(2)$ ,  $i = 1, 2, \dots, 2r$ .

If  $a_n < 2^{mn} - 1$ , we let  $h = 2^{mn} - a_n$ . We know that

$$\binom{2^s - 1}{t} \equiv 1(2), \quad (4)$$

where  $s, t$  are positive integers and  $1 \leq t \leq 2^s - 6$ . Hence  $[x^{h e_n}, x^a] = x^{a+(h-1)e_n} \neq 0$ .

Then  $[x^{h^n}, w] \neq 0$ . Since  $a_n < 2^{m_n} - 1$  and  $a_n \equiv 1(2)$ ,  $h > 1$ . Then  $[x^{s_n}, w]$  and  $[x^{h^{2n}}, w]$  are linearly independent.

If  $a_n = 2^{m_n} - 1$ , since  $x \notin \langle x^v \rangle$ , we have  $x^a \neq x^v$ . Then there exists some  $a_i (i \leq 2r)$  such that  $a_i < 2^{m_i} - 1$ . Let  $h = 2^{m_i} - 1 - a_i$ . Using (4) we have  $[x^{s_n+h^{2i}}, x^a] = x^{a+h^{2i}} \neq 0$ . Hence  $[x^{s_n+h^{2i}}, w] \neq 0$ . It is linearly independent of  $[x^{s_n}, w]$ .

2.  $\beta = 0$ .

2-(a). Suppose there exists some  $a_i (i \leq 2r)$  such that  $a_i \equiv a_{i'} \equiv 0(2)$ . Then  $[x^{s_n+s_i+s_{i'}}, w] = x^{a+s_i+s_{i'}} + \dots \neq 0$ . We also have  $[x^{s_n+s_i}, w] = \mu_i x^{a+s_i} + \dots$ ,  $[x^{s_n+s_{i'}}, w] = \mu_{i'} x^{a+s_{i'}} + \dots$ . Obviously at least one of them is nonzero. It is linearly independent of  $[x^{s_n+s_i+s_{i'}}, w]$ .

2-(b). Suppose there is not any  $i$  which satisfies  $a_i \equiv a_{i'} \equiv 0(2)$ . Then there exists some  $a_j$  such that  $a_j \equiv 0(2)$  and  $\mu_j \neq 0$  (otherwise we have  $\sum_{i=1}^{2r} \mu_i a_i = r \neq 0$ , it contradicts  $\beta = 0$ ). Then  $[x^{s_n+s_j}, w] = \mu_j x^{a+s_j} + \dots \neq 0$ .

Since  $a_j \equiv 0(2)$ , by the supposition of 2-(b),  $a_{j'} \not\equiv 0(2)$ . Then  $[x^{s_j+s_{j'}}, x^a] = x^a \neq 0$ . By (ii) of Lemma 1,  $[x^{s_j+s_{j'}}, w] \neq 0$ . It is linearly independent of  $[x^{s_n+s_j}, w]$ . The proof of this lemma is completed.

**Lemma 6.** Let  $\{g_{ia} | i \in I, a \in A\} \subseteq K(n, \mu_j, m)$ , where  $I$  and  $A$  are finite sets. Suppose for every  $a \in A$  there exists a linear transformation  $D_a$  such that  $D_a(g_{ia}) \neq 0$  for any  $i \in I$  and  $\{D_a(g_{ib}) | i \in I, b \in A, D_a(g_{ib}) \neq 0\}$  are linearly independent. Then  $\{g_{ia} | i \in I, a \in A\}$  are linearly independent.

*Proof* Suppose  $\sum_{i \in I, a \in A} \beta_{ia} g_{ia} = 0$ , where  $\beta_{ia} \in F$ . For any  $b \in A$ , we have a linear transformation  $D_b$ . Then  $0 = D_b(\sum_{i \in I, a \in A} \beta_{ia} g_{ia}) = \sum_{i \in I, a \in A} \beta_{ia} D_b(g_{ia}) = \sum_{i \in I} \beta_{ib} D_b(g_{ib}) + \sum_{i \in I, a \in A \setminus \{b\}} \beta_{ia} D_b(g_{ia})$ . Since  $D_b(g_{ib}) \neq 0$  for any  $i \in I$  and  $\{D_b(g_{ia}) | i \in I, a \in A, D_b(g_{ia}) \neq 0\}$  are linearly independent,  $\beta_{ib} = 0$  for any  $i \in I$ . Hence the lemma holds.

**Corollary 1.** Let  $\{g_a | a \in A\} \subseteq K(n, \mu_j, m)$ , where  $A$  is a finite set. Suppose for any  $b \in A$  there exists a linear transformation  $D_a$  such that  $D_a(g_a) \neq 0$  and  $\{D_a(g_b) | b \in A, D_a(g_b) \neq 0\}$  are linearly independent. Then  $\{g_a | a \in A\}$  are linearly independent.

Following [1], we let  $I(d) = \dim(\text{Im } d)$ , where  $d \in \text{Der}_F K(n, \mu_j, m)$ . If  $M$  is a subset of  $\text{Der}_F(K(n, \mu_j, m))$ , we let  $I(M) = \min_{0 \neq d \in M} I(d)$ .

**Theorem 2.** Let  $r \equiv 1(2)$  and  $r > 1$ . If  $0 \neq f \in \langle x^v \rangle$ , then  $I(\text{ad } f) = n+1$ ; if  $f \notin \langle x^v \rangle$ , then  $I(\text{ad } f) > n+1$ .

*Proof* Let  $0 \neq cx^v \in \langle x^v \rangle$ . Then  $[cx^v, 1], [cx^v, x^{s_n}], [cx^v, x^{s_i}] (i=1, 2, \dots, 2r)$ , are linearly independent. If  $\deg x^a > 1$  and  $a \neq s_n$ , then  $[cx^v, x^a] = 0$ . Hence  $I(\text{ad}(cx^v)) = n+1$ .

Let  $f \notin \langle x^v \rangle$ . We shall prove  $I(\text{ad } f) > n+1$ . Let  $g$  be the nonzero homogeneous part of  $f$  with the least degree. It is sufficient to prove  $I(\text{ad } g) > n+1$ . Let  $V = \langle x^{s_3},$

$w^{s_2}, \dots, w^{s_r}\rangle$ . Then  $[x, y] \in F, \forall x, y \in V$ . Hence  $V$  is a symplectic space (see [1]). Let  $V_g = \{x \in V \mid [g, x] = 0\}$ . Suppose  $\dim V_g = t$ . By Lemma 1.5 of [1] and Witt's theorem we can directly assume that  $\{w^{s_1}, w^{s_{1'}}, \dots, w^{s_u}, w^{s_{u'}}, w^{s_{u+1}}, \dots, w^{s_{t-u}}\}$  is a basis of  $V_g$ .

1.  $[g, 1] = D_n(g) = 0$ . Then  $[g, w^{s_i}] = D_{i'}(g), i = 1, \dots, 2r$ .

(i)  $t = 2r$ . Then  $D_{i'}(g) = 0, i' = 1, \dots, 2r$ . Hence we can assume  $g = 1$ . Since  $[1, w^{s_n}], [1, w^{s_n+s_1+s_{1'}}], [1, w^{s_n+s_1+s_{1'}+s_2}], [1, w^{s_n+s_2}], i = 1, \dots, 2r$ , are lindependent,  $I(\text{ad } g) > n+1$ .

(ii)  $t < 2r$ . Let  $J = \{1, 1', \dots, u, u', u+1, \dots, t-u\}, J_0 = \{1, 1', \dots, u, u'\}, \bar{J} = \{1, 2, \dots, n-1\} \setminus J$ . We affirm that

(\*)  $\{D_{i'}(g) \mid i \in \bar{J}\}$  are linearly independent.

In fact, if  $\sum_{i \in \bar{J}} \beta_i D_{i'}(g) = 0$ , then  $\sum_{i \in \bar{J}} \beta_i [g, w^{s_i}] = 0$ . Hence  $[g, \sum_{i \in \bar{J}} \beta_i w^{s_i}] = 0$ . Then  $\sum_{i \in \bar{J}} \beta_i w^{s_i} \in V_g$ . Consequently  $\beta_i = 0, \forall i \in \bar{J}$ . Then the affirmation holds.

(ii)-A. Let  $T = \{\sum_{j \in J_0} d_j s_j \mid d_j = 0 \text{ or } 1\}, T_\lambda = \{a \in T \mid |a| = \lambda\}$ , where  $0 \leq \lambda \leq 2u$ . Let  $g_{ia} = [g, w^{s_i+a}]$ , where  $i \in \bar{J}, a \in T_\lambda$ . Then  $g_{ia} = D_{i'}(g) w^a$ . Suppose  $a = s_{i_1} + s_{i_2} + \dots + s_{i_\lambda} \in T_\lambda$ . Then  $i_1, i_2, \dots, i_\lambda \in J_0$ . Let  $D_a = D_{i_\lambda} D_{i_{\lambda-1}} \dots D_{i_1}$ . Since  $D_l(g) = [g, w^{s_l}] = 0$  for  $l \in J_0$ ,  $D_a(g) = 0$ . Hence  $D_a(g_{ia}) = D_a(D_{i'}(g) w^a) = D_{i'}(D_a(g)) w^a + D_{i'}(g) = D_{i'}(g)$ , where  $i \in \bar{J}, a \in T_\lambda$ . If  $b \in T_\lambda$  and  $b \neq a$ , then  $D_a(g_{ib}) = D_a(D_{i'}(g) w^b) = 0$ . By (\*) and Lemma 6,  $\{g_{ia} \mid i \in \bar{J}, a \in T_\lambda\}$  are linearly independent.

Let  $\lambda = 0, 1, \dots, 2u$ . We get  $(n-1-t)2^{2u}$  linearly independent elements in  $\text{Im}(\text{ad } g)$ .

(ii)-B. For  $i \in \bar{J}$  we have  $D_n[g, w^{s_n+s_i}] = D_n(D_{i'}(g) w^{s_n} + g w^{s_i} + \sum_{j=1}^{2r} \mu_j w^{s_j} w^{s_i} D_j(g)) = D_{i'}(g)$ . By (\*),  $\{D_n[g, w^{s_n+s_i}] \mid i \in \bar{J}\}$  are linearly independent and so are  $\{[g, w^{s_n+s_i}] \mid i \in \bar{J}\}$ . We also get  $n-1-t$  linearly indepent elements in  $\text{Im}(\text{ad } g)$ .

(ii)-C. Let  $J_1 = \{(u+1)', (u+2)', (t-u)'\}$ .  $H = \{\sum_{j \in J_1} h_j s_j \mid h_j = 0 \text{ or } 1\}, H_\lambda = \{a \in H \mid |a| = \lambda\}$ , where  $2 \leq \lambda \leq t-2u$ . Let  $g_a = [g, w^{s_n+a}]$  where  $a \in H_\lambda$ .

It is easy to prove that  $\{D_n(g_a) \mid a \in H_\lambda\}$  are linearly independent. Consequently,  $\{g_a \mid a \in H_\lambda\}$  are linearly independent. Let  $\lambda = 2, 3, \dots, t-2u$ . We get  $2^{t-2u} - (t-2u) - 1$  linearly independent elements in  $\text{Im}(\text{ad } g)$ .

It is easy to see that all elements we obtain in (ii)-A, (ii)-B and (ii)-C are linearly independent. Then  $I(\text{ad } g) \geq (n-1-t)2^{2u} + (n-1-t) + 2^{t-2u} - (t-2u) - 1$ . Let  $s = t-2u$ . Since  $r \equiv 1(2)$  and  $r > 1$ , we have  $n \geq 7$ . Then  $I(\text{ad } g) \geq (n-1-s-2u)(2^{2u}+1) + 2^s - s - 1 \geq (n-1-s) \times 2 + 2^s - s - 1 \geq n+2 + (n-5+2^s-3s) > n+1$ .

2.  $[g, 1] \neq 0$ .

(i)  $V_g \neq 0$ . If  $x, y \in V_g$  and  $[x, y] = 1$ , then  $[g, 1] = [g, [x, y]] = [x, [g, y]] + [y, [g, x]] = 0$ . It contradicts  $[g, 1] \neq 0$ . Hence  $V_g$  is totally isotopic subspace of

$V$ . Then  $u=0$  and  $\{x^{e_1}, x^{e_2}, \dots, x^{e_t}\}$  is a basis of  $V_g$ . Let  $J=\{1, 2, \dots, t\}$ ,  $J'=\{1', 2', \dots, t'\}$ ,  $\bar{J}=\{1, 2, \dots, n-1\} \setminus J$ ,  $J_1=\bar{J} \setminus J'$ . Let  $H=\{\sum_{j \in J'} k_j e_j + k_l e_l \mid l \in J_1, k_j, k_l=0 \text{ or } 1\}$ ,  $H_\lambda=\{a \in H \mid |a|=\lambda\}$ , where  $0 \leq \lambda \leq t+1$ . We shall prove by induction on  $\lambda$  that  $\{[g, x^a] \mid a \in H_\lambda\}$  are linearly independent.

Since  $[g, 1] \neq 0$ , the conclusion is right for  $\lambda=0$ . The conclusion is also right for  $\lambda=1$ , because  $\{[g, x^{e_i}] \mid i \in \bar{J}\}$  are linearly independent. Let  $\lambda > 1$  and suppose  $\{[g, x^a] \mid a \in H_{\lambda-1}\}$  are linearly independent.

Let  $\sum_{a \in H_\lambda} k_a [g, x^a] = 0$ , where  $k_a \in F$ . Let  $i \in J'$ . Since  $[g, x^{e_{i'}}] = 0$ , we have

$$\begin{aligned} 0 &= \sum_{a \in H_\lambda} k_a [g, x^a]; x^{e_{i'}} = \sum_{a \in H_\lambda} k_a [[g, x^a], x^{e_{i'}}] \\ &= \sum_{a \in H_\lambda} k_a [g, [x^a, x^{e_{i'}}]] = \sum_{a \in H_\lambda} k_a [g, x^{a-e_{i'}}]. \end{aligned}$$

If  $a - e_{i'} \neq 0$ , then  $x^{a-e_{i'}} = 0$ . Hence  $\sum_{a \in H_\lambda, a-e_{i'} > 0} k_a [g, x^{a-e_{i'}}] = 0$ . If  $a \in H_\lambda$  and  $a - e_{i'} \geq 0$ , then  $a - e_{i'} \in H_{\lambda-1}$ . Hence, by induction hypothesis,  $k_a = 0$ . Let  $b$  be any element of  $H_\lambda$ . There exists some  $i \in J'$  such that  $b - e_i \in H_{\lambda-1}$ . By above proof, we have  $k_b = 0$ . This implies  $\{[g, x^a] \mid a \in H_\lambda\}$  are linearly independent. Let  $\lambda = 0, 1, \dots, t+1$ . We have

$$I(\text{ad } g) \geq 2^t + 2^t(n-1-2t) = 2^t(n-2t).$$

If  $n > 7$  or  $n = 7$  ( $t \neq 3$ ), it is easy to see that  $2^t(n-2t) > n+1$ . If  $n=7$  and  $t=3$ , by Lemma 4,  $I(\text{ad } g) \geq 2^t(n-2t) + 1 > n+1$ .

(ii)  $V_g = 0$ . Then  $[g, 1], [g, x^{e_i}], i=1, \dots, n-1$ , are linearly independent. Since  $f \in \langle x^v \rangle$ ,  $g \in \langle x^v \rangle$ . Using Lemma 5 we have  $I(\text{ad } g) > n+1$ . The theorem is proved.

Imitating the proof of Lemma 5, we have

**Lemma 7.** Suppose  $r \equiv 0(2)$  and  $r \neq 0$ . If  $x$  is a nonzero homogeneous element of  $K(n, \mu_j, \mathbf{m})$ , then there exists a basis element  $b$ , with  $\deg b > 1$ , such that  $[b, x] \neq 0$ .

Imitating the proof of Theorem 2, we get

**Theorem 3.** Suppose  $r \equiv 0(2)$  and  $r \neq 0$ . If  $0 \neq f \in \langle x^v \rangle$ , then  $I(\text{ad } f|_{K(n, \mu_j, \mathbf{m})}) = n$ . If  $0 \neq f \in K(n, \mu_j, \mathbf{m})$ , then  $I(\text{ad } f) > n$ .

Let  $r \equiv 1(2)$  and  $r > 1$ . Suppose  $R$  is the normalizer of  $\langle x^v \rangle$  in  $K(n, \mu_j, \mathbf{m})$ . Then  $R = \langle x^a \mid \deg x^a \geq 2 \rangle$ . Using Theorem 2, we have

**Corollary 2.** Let  $r \equiv 1(2)$  and  $r > 1$ . Then  $\langle x^v \rangle$  is an invariant subspace of  $K(n, \mu_j, \mathbf{m})$  and  $R$  is an invariant subalgebra of  $K(n, \mu_j, \mathbf{m})$ .

Following [1] we have the filtrations

$$K(n, \mu_j, \mathbf{m}) = L_{-2} \supset L_{-1} \supset \dots \supset L_s = 0, \quad (1.1)$$

$$K(n, \mu_j, \mathbf{m}) = \bar{L}_{-1} \supset \bar{L}_0 \supset \dots \supset \bar{L}_{s'} = 0, \quad (1.2)$$

where  $L_{-1} = V \oplus R$ ,  $L_0 = R$ ,  $L_i = \{x \in L_{i-1} \mid [x, L_{-1}] \subset L_{i-1}\}$ ,  $i \geq 1$ ;  $\bar{L}_0 = R$ ,  $\bar{L}_i = \{x \in \bar{L}_{i-1} \mid [x, \bar{L}_{-1}] \subset \bar{L}_{i-1}\}$ ,  $i \geq 1$ ;  $s = \sum_{i=1}^{2r} 2^{m_i} + 2^{mn+1} - (n-2)$ ,  $s' = \sum_{i=1}^n 2^{m_i} - (n+1)$ .

Using Corollary 2 and imitating the proof of Theorem 3.1 of [1], we have

**Theorem 4.** Let  $r \equiv 1(2)$  and  $r > 1$ . Then filtrations (1.1) and (1.2) are both intrinsically determined.

Using Theorem 4 and imitating the corresponding proofs of [1] and [5] we have

**Theorem 5.** Let  $r \equiv 1(2)$  and  $r > 1$ . Then  $K(n, \mu_j, \mathbf{m})$  and  $K(n', \mu'_j, \mathbf{m}')$  are isomorphic if and only if  $n = n'$ ,  $m_n = m'_n$  and  $\{\{m_1, m_1'\}, \dots, [m_r, m_r']\} = \{\{m'_1, m'_1\}, \dots, [m'_r, m'_r']\}$ .

**Remark.** If  $r = 1$ , then Theorem 2 becomes invalid. In fact, if  $\mathbf{m} = \mathbf{1}$ , then

$$\{1, x^{e_1}, x^{e_1'}, x^n, x^{e_1+e_1'}, x^{e_n+e_1}, x^{e_n+e_1'}, x^{e_n+e_1+e_1'}\}$$

consists of basis of  $K(3, \mu_j, \mathbf{1})$ . It is easy to see that  $I(\text{ad } 1) = n+1$ . Now Theorem 2 is not correct.

## § 2. Generators and Derivation Algebra

Let  $\Delta = \{x^{e_i+e_j}, i, j=1, 2, \dots, n; x^{2e_i}, 0 \leq s < m_i, i=1, \dots, n\}$ .

**Theorem 6.**  $K(n, \mu_j, \mathbf{m})$  is generated by  $\Delta$ .

*Proof* We only prove this theorem in the case of  $r \equiv 1(2)$ . When  $r \equiv 0(2)$ , the proof is essentially the same.

Let  $Y$  be the subalgebra generated by  $\Delta$ . Then  $1 = [x^{e_i}, x^{e_i'}] \in Y$ ,  $x^{e_n+e_i+e_i'} = [x^{e_n+e_i}, x^{e_i'}] \in Y$ ,  $x^{e_n+e_i+e_j} = [x^{e_n+e_i+e_j'}, x^{e_i'+e_j}] \in Y$ ,  $j < n$ .

(1)  $x^{ke_i} \in Y$ ,  $ks_i \leq \tau_i$ ,  $i=1, \dots, 2r$ .

We use induction on  $k$ . Let  $k=2^j h$ , where  $h \equiv 1(2)$ . We can suppose that  $h > 2$ .

(a)  $j=0$ . Then  $k \equiv 1(2)$ . Hence  $x^{ke_i} = [x^{e_n+e_i}, x^{(k-1)e_i}] \in Y$ .

(b)  $j > 0$ . By hypothesis of induction,  $x^{2^j e_i}, x^{(2^j+1)e_i} \in Y$ . Then  $x^{(2^j+1)e_i+e_i'} = [x^{e_n+e_i+e_i'}, x^{2^j e_i}] \in Y$ ,  $x^{e_n+2^j e_i} = [x^{(2^j+1)e_i}, x^{e_n+e_i'}] - \mu_i x^{(2^j+1)e_i+e_i'} \in Y$ . Hence  $x^{ke_i} = [x^{e_n+2^j e_i}, x^{(k-2^j)e_i}] \in Y$ .

(2)  $x^{ke_n} \in Y$ ,  $ks_n \leq \tau_n$ .

We use induction on  $k=2^j h$ , where  $h \equiv 1(2)$ . If  $j=0$ , then  $x^{(k-1)e_n+e_i'} = [x^{(k-1)e_n}, x^{e_i'}] \in Y$ . Since  $x^{(k-1)e_n+e_i+e_i'} = [x^{(k-1)e_n}, x^{e_n+e_i'+e_i}] \in Y$ ,  $x^{ke_n} = [x^{e_n+e_i}, x^{(k-1)e_n+e_i'}] - \mu_i x^{(k-1)e_n+e_i+e_i'} \in Y$ .

If  $j > 0$ , then  $x^{ke_n} = [x^{(2^j+1)e_n}, x^{(k-2^j)e_n}] \in Y$ .

(3)  $x^{k e_i + l e_i'} \in Y$ ,  $ks_i \leq \tau_i$ ,  $ls_i' \leq \tau_i'$ .

(a)  $ks_i < \tau_i$ ,  $ls_i' < \tau_i'$ .  $x^{k e_i + l e_i'} = [x^{(k+1)e_i}, x^{(l+1)e_i'}] \in Y$ .

(b)  $ks_i = \tau_i$ ,  $ls_i' < \tau_i'$ .

(b)-(i).  $\tau_i > e_i$ . Then  $x^{2e_i+e_i'} = [x^{e_n+e_i'}, x^{2e_i}] + x^{e_n+e_i} \in Y$ . If  $l \equiv 0(2)$ , then  $x^{\tau_i+l e_i'} = [x^{(\tau_i-e_i)+l e_i'}, x^{2e_i+e_i'}] \in Y$ . If  $l \equiv 1(2)$ , then  $(l+1)e_i' < \tau_i'$ . Hence  $x^{\tau_i+l e_i'} = [x^{(\tau_i-e_i)+(l+1)e_i'}, x^{2e_i}] \in Y$ .

(b)-(ii).  $\tau_i = s_i$ . If  $l \equiv 1(2)$ , then  $x^{s_i + l\tau_i'} = [x^{s_n + s_i + s_i'}, x^{(l-1)s_i'}] \in Y$ . If  $l \equiv 0(2)$ , then  $x^{s_i + (l+1)s_i'} = [x^{s_n + s_i + s_i'}, x^{ls_i'}] \in Y$ . Hence  $x^{s_i + ls_i'} = [x^{s_i}, x^{s_i + (l+1)s_i'}] \in Y$ .

(c)  $ks_i = \tau_i$ ,  $ls_i' = \tau_i'$ .

$$x^{s_n + \tau_i'} = [x^{s_n + s_i + s_i'}, x^{\tau_i'}] \in Y. \quad (i)$$

Then  $x^{s_n + s_i + \tau_i'} = [x^{s_n + \tau_i'}, x^{s_n - s_i}] \in Y$ . Hence  $x^{\tau_i + \tau_i'} = [x^{\tau_i - s_i}, x^{s_n + s_i + \tau_i'}] \in Y$ .

(4)  $x^{ks_n + \tau_i + \tau_i'} \in Y$ ,  $ks_n \leq \tau_n$ ,  $k = 1, \dots, 2r$ .

Since  $x^{ks_n + s_i + s_i'} = [x^{s_n + s_i'}, x^{ks_n}] \in Y$ ,  $x^{ks_n + \tau_i} = [x^{ks_n + s_i + s_i'}, x^{\tau_i}] \in Y$ . (ii)

If  $k \equiv 1(2)$ , by identity (i),  $x^{ks_n + \tau_i + \tau_i'} = [x^{ks_n + \tau_i}, x^{s_n + \tau_i'}] \in Y$ .

If  $k \equiv 0(2)$ , then  $(k+1)s_n \leq \tau_n$  and  $k+1 \equiv 1(2)$ . Hence

$$x^{(k+1)s_n + \tau_i + (\tau_i' - s_i)} = [x^{(k+1)s_n + \tau_i + \tau_i'}, x^{s_i}] \in Y, \quad (iii)$$

Then  $[x^{(k+1)s_n + \tau_i'}, x^{\tau_i}] = \mu_i x^{ks_n + \tau_i + \tau_i'} + x^{(k+1)s_n + (\tau_i - s_i) + (\tau_i' - s_i')} \in Y$ ,  $[x^{(k+1)s_n + \tau_i + (\tau_i' - s_i')}, x^{s_i'}] = \mu_i x^{ks_n + \tau_i + \tau_i'} + x^{(k+1)s_n + (\tau_i - s_i) + (\tau_i' - s_i')} \in Y$ . We add the right sides of above two identities, then  $x^{ks_n + \tau_i + \tau_i'} \in Y$ .

(5)  $x^{s_i + s_i' + s_j'} \in Y$ ,  $i \neq j$ ,  $i, j \leq 2r$ .

If  $\mu_j \neq 0$ , then  $x^{s_i + s_i' + s_j'} = \frac{1}{\mu_j} [x^{s_n + s_i + s_i'}, x^{s_j'}] \in Y$ . If  $\mu_j = 0$ , then  $x^{s_j + s_j' + s_i'} = [x^{s_n - s_i' + s_j'}, x^{s_j'}] + x^{s_n + s_i'} \in Y$ . Hence  $x^{s_i - i' + s_j'} = [x^{s_j + s_j' + s_i'}, x^{s_i + s_i'}] \in Y$ .

(6) Let  $\sigma = x^{s_n + \tau_i + \tau_i' + \tau_j + (\tau_j - s_j')}$ ,  $\delta = x^{s_n + \tau_i + \tau_i' + (\tau_j - s_j') + \tau_j'}$ . Then  $\sigma, \delta \in Y$ .

By (ii) and (5),  $x^{s_n + \tau_j + s_i'} = [x^{s_n + \tau_j}, x^{s_j + s_j' + s_i'}] \in Y$ . If  $\mu_j \neq 0$ , by (iii),  $x^{s_n + \tau_i + \tau_i' + \tau_j} = \frac{1}{\mu_j} [x^{s_n + \tau_i + (\tau_i' - s_i')}, x^{s_n + \tau_j + s_i'}] \in Y$ . By (3),  $\sigma = [x^{s_n + \tau_i + \tau_i' + \tau_j}, x^{s_j + \tau_j'}] \in Y$ .  $\delta = [[\sigma, x^{s_i' + s_j'}], x^{s_i + s_i'}] \in Y$ .

If  $\mu_j \neq 0$ , symmetrically, we can get  $x^{s_n + \tau_i + \tau_i' + \tau_j'} \in Y$  and  $\delta, \sigma \in Y$ .

(7) Let  $\eta_{ij}(k) = x^{ks_n - \tau_i + \tau_i' + \tau_j - \tau_j'}$ . Then  $\eta_{ij}(1), \eta_{ij}(0) \in Y$ .

Since  $r \geq 3$ , there is  $l$  such that  $1 \leq l < n$  and  $l \notin \{i, j, i', j'\}$ . If  $\mu_l \neq 0$ , by (6),  $\eta_{ij}(1)x^{s_l} = \frac{1}{\mu_l} [\delta, x^{s_n + s_j + s_i}] \in Y$ . Hence  $\eta_{ij}(1) = [\eta_{ij}(1)x^{s_l}, x^{s_l'}] - \mu_l [[\eta_{ij}(1)x^{s_l}, x^{s_n + s_i}], 1] \in Y$ .

If  $\mu_l = 0$ , then  $\mu_{l'} \neq 0$ . Symmetrically, we can get  $\eta_{ij}(1) \in Y$ . If  $\mu_{l'} \neq 0$ , then  $\eta_{ij}(0)x^{s_{l'}} = \frac{1}{\mu_{l'}} [\eta_{ij}(1), x^{s_{l'}}] \in Y$ . Hence  $\eta_{ij}(0) = [\eta_{ij}(0)x^{s_{l'}}, x^{s_{l'}}] \in Y$ . If  $\mu_{l'} \neq 0$ , symmetrically, we have  $\eta_{ij}(0) \in Y$ .

(8) Let  $Q_h(k) = x^{ks_n + \tau_1 + \tau_1' + \dots + \tau_h + \tau_h'}$ . Then  $Q_h(k) \in Y$ .

We use induction on  $h$ . If  $h \equiv 1(2)$ , by (4),  $Q_h(k) = \frac{1}{h} [x^{s_n + \tau_h + \tau_h'}, Q_{h-1}(k)] \in Y$ .

Suppose  $h \equiv 0(2)$ , then  $h+1 \leq r$ . If  $ks_n < \tau_n$ , by (7),  $Q_{h+1}(k) = [Q_{h-1}(i+1), \eta_{h, h+1}(0)] \in Y$ . If  $ks_n = \tau_n$ , by (7),  $Q_{h+1}(k) = [Q_{h-1}(k), \eta_{h, h+1}(1)] \in Y$ .

Using following identities

$$\begin{aligned} [Q_h(k) x^{t\theta_{h+1}+l\theta_{(h+1)'}} x^{s\theta_{(h+1)'}}] &= Q_h(k-1) y_1 + Q_h(k) x^{(t-1)\theta_{h+1}+l\theta_{(h+1)'}} \\ [Q_h(k) x^{t\theta_{h+1}+l\theta_{(h+1)'}} x^{s\theta_{h+1}}] &= Q_h(k-1) y_2 - Q_h(k) x^{t\theta_{h+1}+(l-1)\theta_{(h+1)'}} \end{aligned} \quad (\text{iv})$$

where

$$y_1 = (l+1) \mu_{h+1} x^{t\theta_{h+1}+(l+1)\theta_{(h+1)'}}$$

$$y_2 = (t+1) \mu_{(h+1)'} x^{(t+1)\theta_{h+1}+l\theta_{(h+1)'}}$$

using induction on  $d = (2^{m_{h+1}} - 1) + (2^{m_{(h+1)'}} - 1) - (t+l)$ , we have  $Q_h(k) \in Y$ .

(9)  $x^a \in Y$ ,  $0 \leq a \leq \tau$ .

By (8),  $Q_r(a_n) \in Y$ . Using the identities (iv) and induction on

$$d = \sum_{i=1}^r ((2^{m_i} - 1) + (2^{m_{i'}} - 1)) - \sum_{i=1}^r (a_i + a_{i'}),$$

we can prove that  $x^a \in Y$ .

**Theorem 7.** Let  $r \equiv 1(2)$  and  $r > 1$ . Then  $\text{Der } K(n, \mu_j, m) = \text{ad } K(n, \mu_j, m) \oplus M$ , where  $M = \langle D_i^{2^{k_i}} \mid i=1, \dots, n, 1 \leq k_i \leq m_i - 1 \rangle$ .

*Proof*  $\forall D \in \text{Der } K(n, \mu_j, m)$ , by (i) and (iii) in the proof of Theorem 4.1 of paper [2] (now  $G=0$  in [2]), we know that there exists  $g \in K(n, \mu_j, m)$  such that  $D^{(3)} = D - \text{ad } g$  satisfies  $D^{(3)}(x^{e_n}) = 0$ ,  $D^{(3)}(x^{e_i}) = D^{(3)}(x^{e_i+s_i'}) = 0$ ,  $i=1, \dots, 2r$ .

We affirm that  $D^{(3)}(x^{e_i+s_j}) = 0$ ,  $1 \leq i, j \leq 2r$ ,  $j \neq i, i'$ . In fact, applying  $D^{(3)}$  to the identities  $[x^{e_i+s_j}, 1] = 0$  and

$$[x^{e_i+s_j}, x^{e_{j'}}] = \begin{cases} 0, & \text{if } l \neq i', j', \\ x^{e_i} \text{ or } x^{e_{j'}}, & \text{if } s = j' \text{ or } i', \end{cases}$$

by Lemma 4.2 of [2], we have  $D^{(3)}(x^{e_i+s_j}) = \alpha 1$ ,  $\alpha \in F$ . Applying  $D^{(3)}$  to the identity  $[x^{e_i+s_j}, x^{e_{j'+s_{j'}}}] = x^{e_i+s_j}$ , we have  $[\alpha 1, x^{e_{j'+s_{j'}}}] = \alpha 1$ . Then  $\alpha 1 = 0$  and  $D^{(3)}(x^{e_i+s_j}) = 0$ .

Since  $[x^{e_n+s_i}, 1] = x^{e_i}$ ,  $[x^{e_n+s_i}, x^{e_j}] = \mu_{j'}(1 + \delta_{ij})x^{e_i+s_j} + \delta_{ij'}x^{e_n}$ , applying  $D^{(3)}$ , by Lemma 4.2 of [2], we have  $D^{(3)}(x^{e_n+s_i}) = \alpha 1$ ,  $\alpha \in F$ . Applying  $D^{(3)}$  to the identity  $[x^{e_n+s_i}, x^{e_i+s_{i'}}] = x^{e_n+s_i}$ , we have  $D^{(3)}(x^{e_n+s_i}) = 0$ .

Using Theorem 6, imitating the proof of part (iv) of Theorem 4.1 in [2], we have  $D \in \text{ad } K(n, \mu_j, m) \oplus M$ .

Similarly, using Theorem 6, we can prove

**Theorem 8.** Let  $r \equiv 0(2)$  and  $r \neq 0$ . Then  $\text{Der } K(n, \mu_j, m) = \text{ad } K(n, \mu_j, m) \oplus \text{ad } x^\tau \mid_{K(n, \mu_j, m)} \oplus M$ .

Using Theorem 3 and Theorem 8, imitating the proof of Theorem 2.3 of [1], we have

**Theorem 9.** Let  $r \equiv 0(2)$  and  $r > 0$ . Then

(I)  $I(\text{Der } K(n, \mu_j, m)) = n$ . (II)  $\forall D \in \text{Der } K(n, \mu_j, m)$ ,  $I(D) = n$  if and only if  $0 \neq D \in \langle \text{ad } x^\tau \rangle$ .

By Theorem 9,  $\langle \text{ad } x^\tau \rangle$  is an invariant subspace of  $\text{Der } K(n, \mu_j, m)$ . Let  $R' = \langle x^a \mid \deg x^a \geq 2, a \neq \tau \rangle$ .

**Corollary 3.** Let  $r \equiv 0(2)$  and  $r > 0$ . Then  $R'$  is an invariant subalgebra of

$K(n, \mu_j, m)$ .

(vi) *Proof* Let  $\sigma$  be an automorphism of  $K(n, \mu_j, m)$ . Then  $D \mapsto \sigma D \sigma^{-1}$ ,  $\forall D \in \text{Der } K(n, \mu_j, m)$ , is an automorphism of  $\text{Der } K(n, \mu_j, m)$ . Hence  $\sigma \langle \text{ad } x^r \rangle \sigma^{-1} = \langle \text{ad } x^r \rangle$ . Since  $R' = \{y \in K(n, \mu_j, m) \mid \langle \text{ad } x^r \rangle(y) = 0\}$ ,  $\langle \text{ad } x^r \rangle(\sigma R') = \sigma \langle \text{ad } x^r \rangle \sigma^{-1}(\sigma R') = 0$ . Therefore  $\sigma(R') \subset R'$  and  $R'$  is an invariant subalgebra.

When  $r \equiv 0(2)$  and  $r > 0$ , we also have the filtrations

$$K(n, \mu_j, m) = L'_{-2} \supset L'_{-1} \supset \cdots \supset L'_0 = 0, \quad (2.1)$$

$$K(n, \mu_j, m) = \bar{L}'_{-1} \supset \bar{L}'_0 \supset \cdots \supset \bar{L}'_r = 0, \quad (2.2)$$

where  $L'_{-1} = V \oplus R'$ ,  $L'_0 = R'$ ,  $L'_i = \{x \in L'_{i-1} \mid [x, L'_{-1}] \subset L'_{i-1}\}$ ,  $i \geq 1$ ;  $\bar{L}'_0 = R'$ ,  $\bar{L}'_i = \{x \in \bar{L}'_{i-1} \mid [x, \bar{L}'_{-1}] \subset \bar{L}'_{i-1}\}$ ,  $i \geq 1$ .

Thus the results of Theorem 4 and Theorem 5 hold for  $r \equiv 0(2)$  and  $r > 1$ .

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