

# A NOTE ON THE RELATIVE CANONICAL IMAGE OF A NON-HYPERELLIPTIC FIBRATION OF GENUS 4\*\*

CHEN ZHIJIE\*

## Abstract

This paper investigates the relative 1-canonical images of non-hyperelliptic fibrations of genus 4. It is proved that if a fibre of the relative 1-canonical image  $\Sigma$  is not a complete intersection in  $\mathbb{P}^3$ , then the variety  $\Sigma$  cannot be smooth on this fibre. Moreover, two examples are given to show the occurrence of such cases.

**Keywords** Genus, Non-hyperelliptic fibration, Canonical image, Algebraic variety.  
**1991 MR Subject Classification** 14D, 14H.

The relative canonical maps and relative canonical images have been successfully used by Horikawa<sup>[2]</sup> and Xiao<sup>[5]</sup> in studying the fibrations of genus 2. As the canonical curve plays an important role in the investigation of non-hyperelliptic curves, it seems natural that the relative 1-canonical images might be useful in studying the non-hyperelliptic fibrations. The genus 3 fibrations have been studied by Lopes<sup>[3]</sup>. In this paper we will investigate relative 1-canonical images of non-hyperelliptic fibrations of genus 4. We will prove that if a fibre of the relative 1-canonical image  $\Sigma$  is not a complete intersection in  $\mathbb{P}^3$ , then the variety  $\Sigma$  cannot be smooth on this fibre (Theorem 1). Finally two examples are given to show the occurrence of such cases.

The base field is the complex number field  $\mathbb{C}$ . A fibration  $f : S \rightarrow C$  is a surjective morphism with connected fibres, where  $S$  is a smooth projective surface,  $C$  is a smooth projective curve. The genus  $g$  of a general fibre of  $f$  is called the genus of  $f$ . We always assume that  $f$  is a relative minimal fibration, i.e., none of its fibres contains  $(-1)$ -curves. The invertible sheaf  $\omega_{S/C} = \omega_S \otimes f^* \omega_C^\vee$  on  $S$  is called the dualising sheaf of  $f$ . Let  $\mathcal{L}$  be a sufficiently ample sheaf on  $C$ . The natural morphism  $f^* \mathcal{E} = f^* f_* \omega_{S/C} \otimes f^* \mathcal{L} \rightarrow \omega_{S/C} \otimes f^* \mathcal{L}$  induces a rational map  $\Phi$ :

$$\begin{array}{ccc} S & \xrightarrow{\quad \Phi \quad} & P = \mathbf{P}(\mathcal{E}) \\ f \swarrow & & \searrow \pi \\ & C & \end{array}$$

The rational map  $\Phi$  is called a relative canonical map, the closed subvariety  $\Sigma = \overline{\Phi(S)}$  is called a relative 1-canonical image.

Manuscript received February 18, 1992. Revised May 2, 1992.

\*Department of Mathematics, East China Normal University, Shanghai 200062, China.

\*\*Project supported by the National Natural Science Foundation of China.

A fibration  $f$  is non-hyperelliptic if its general fibre is a non-hyperelliptic curve with  $g \geq 3$ .

From now on, we assume  $g = 4$ .

**Proposition 1.** *Let  $f: S \rightarrow C$  be a non-hyperelliptic fibration of genus 4. When the invertible sheaf  $\mathcal{L}$  on  $C$  is sufficiently ample, then for any point  $p \in C$  there exists a relative quadratic hypersurface  $Q$  and a relative cubic hypersurface  $V$  in the projective space bundle  $P = \mathbf{P}(f_*\omega_{S/C} \otimes \mathcal{L})$  such that the relative 1-canonical image  $\Sigma \subseteq Q \cap V$  and  $Q_p \not\subseteq V_p$ .*

**Proof.** We set  $\mathcal{E} = f_*\omega_{S/C} \otimes \mathcal{L}$ . Let  $\mathcal{I}$  be the ideal sheaf of  $\Sigma$ . Consider the exact sequence

$$0 \rightarrow \mathcal{I} \rightarrow \mathcal{O}_P \rightarrow \mathcal{O}_\Sigma \rightarrow 0.$$

Tensoring this sequence with  $\mathcal{O}_P(2)$  and taking direct image, we obtain

$$0 \rightarrow \pi_*\mathcal{I}(2) \rightarrow \pi_*\mathcal{O}_P(2) \rightarrow \pi_*\mathcal{O}_\Sigma(2).$$

Denote the invertible sheaf  $\pi_*\mathcal{I}(2)$  by  $\mathcal{M}$ . Then we have an inclusion

$$0 \rightarrow \mathcal{O}_P(-2) \otimes \pi^*\mathcal{M} \rightarrow \mathcal{I}. \quad (1)$$

Since  $\mathcal{I} \subset \mathcal{O}_P$ , we have

$$0 \rightarrow \mathcal{O}_P \rightarrow \mathcal{O}_P(2) \otimes \pi^*\mathcal{M}^{-1}.$$

Denote the image of  $1 \in H^0(\mathcal{O}_P)$  by  $q \in H^0(\mathcal{O}_P(2) \otimes \pi^*\mathcal{M}^{-1})$  and let  $Q = \text{Div } q$ . Then  $Q$  is a relative quadratic hypersurface in  $P$  and  $\mathcal{O}_P(-Q) = \mathcal{O}_P(-2) \otimes \pi^*\mathcal{M}$ . Hence  $\Sigma \subset Q$ .

On the other hand, tensoring the sequence (1) by  $\mathcal{O}_P(3)$ , we obtain another inclusion

$$0 \rightarrow \mathcal{O}_P(1) \otimes \pi^*\mathcal{M} \rightarrow \mathcal{I}(3).$$

So the sequence

$$0 \rightarrow \mathcal{E} \otimes \mathcal{M} \rightarrow \pi_*\mathcal{I}(3)$$

is exact as well. Denote the quotient sheaf by  $\mathcal{Q}$ , i.e.,

$$0 \rightarrow \mathcal{E} \otimes \mathcal{M} \xrightarrow{\alpha} \pi_*\mathcal{I}(3) \xrightarrow{\beta} \mathcal{Q} \rightarrow 0.$$

Since the invertible sheaf  $\mathcal{L}$  is sufficiently ample, we may assume that  $H^1(\mathcal{E} \otimes \mathcal{M}) = 0$ ,  $H^0(\mathcal{Q}) \neq 0$ , and  $\mathcal{Q}$  is generated by global sections. So we can find a global section  $s \in H^0(\mathcal{Q})$  such that  $s_p \neq 0$ . Let  $\beta^*(s) \in H^0(\pi_*\mathcal{I}(3))$  be the inverse image of  $s$ . Then  $\beta^*(s) \notin \alpha(H^0(\mathcal{E} \otimes \mathcal{M}))$  and  $\beta^*(s)$  defines an inclusion

$$0 \rightarrow \mathcal{O}_C \rightarrow \pi_*\mathcal{I}(3).$$

This morphism induces an inclusion

$$0 \rightarrow \mathcal{O}_P \rightarrow \mathcal{O}_P(3).$$

Let  $t \in H^0(\mathcal{O}_P(3))$  be the image of  $1 \in H^0(\mathcal{O}_P)$  and let  $V = \text{Div } t$  which is a relative cubic hypersurface. Then we have  $\Sigma \subset V$  and  $Q_p \not\subseteq V_p$  because  $\beta^*(s)_p \notin \alpha_p((\mathcal{E} \otimes \mathcal{M})_p)$ .

In fact, for a fixed  $\mathcal{L}$ , the relative quadric  $Q$  is unique, but the relative cubic  $V$  need not be unique just like in the case of canonical curves of genus 4.

**Corollary 1.** *If  $Q_p$  is irreducible, then  $\Sigma_p$  is a complete intersection in  $P_p \cong \mathbb{P}^3$ .*

**Theorem 1.** *If the fibre  $\Sigma_p$  of the relative 1-canonical image is not a complete intersection in  $P_p \cong \mathbb{P}^3$ , then the variety  $\Sigma$  cannot be smooth on this fibre.*

**Proof.** Let  $(\Delta, u) \subset C$  be an analytic neighborhood centered at  $p$ . Denote the homogeneous coordinates of the projective space  $\mathbb{P}_\Delta^3 \cong \pi^{-1}(\Delta)$  by  $x = (x_1 : x_2 : x_3 : x_4)$ . Then  $q|_{\mathbb{P}_\Delta^3} = q(x, u)$ ,  $t|_{\mathbb{P}_\Delta^3} = t(x, u)$ , and the greatest common divisor  $(q(x, 0), t(x, 0))$  is a homogeneous linear polynomial. There are two possibilities for the linear factors of  $q(x, 0)$ .

**Case I.** The quadratic polynomial  $q(x, 0)$  has two different linear factors. By coordinate transformation, we can assume

$$q(x, u) = x_1 x_2 + \sum_{i \geq 1} a_i(x) u^i,$$

$$t(x, u) = x_1 b_0(x) + \sum_{i \geq 1} b_i(x) u^i,$$

where  $x_2$  and  $b_0(x)$  are coprime. All the homogeneous polynomials  $a_i(x)$  (resp.  $b_i(x)$ ) are of degree 2 (resp. 3). Let  $I_p$  denote the homogeneous ideal of  $\Sigma_p$  in  $\mathbb{P}^3$ . Then  $x_1 x_2$ ,  $x_1 b_0(x) \in I_p$ . If there are homogeneous polynomials (with respect to  $x$ )

$$\alpha(x, u) = \sum_{k=0}^{m-1} \alpha_k(x) u^k,$$

$$\beta(x, u) = \sum_{k=0}^{m-1} \beta_k(x) u^k,$$

such that

$$u^m p(x, u) = q(x, u) \alpha(x, u) - t(x, u) \beta(x, u),$$

and  $p(x, 0) \neq 0$ , then we have  $p(x, 0) \in I_p$ . It is not difficult to see that in this case there are polynomials  $\gamma_k(x)$  ( $k = 0, \dots, m-1$ ) such that for  $k = 0, \dots, m-1$ ,

$$x_1^k \alpha_k(x) = \sum_{i=0}^{k-1} x_1^{k-i-1} b_{k-i} \gamma_i(x) + b_0 \gamma_k(x),$$

$$x_1^k \beta_k(x) = \sum_{i=0}^{k-1} x_1^{k-i-1} a_{k-i} \gamma_i(x) + x_2 \gamma_k(x),$$

$$p(x, 0) = \sum_{i=0}^{m-1} (b_0(x) a_{m-i}(x) - x_2 b_{m-i}(x)) \gamma_i(x) / x_1^i.$$

Hence any element in  $I_p$  can be generated by  $x_1$ ,  $x_2$  and  $b_0(x)$ . This implies that any point  $(x_1 : x_2 : x_3 : x_4, u) \in \mathbb{P}_\Delta^3$  satisfying  $x_1 = x_2 = u = b_0(x) = 0$  must be in  $\Sigma$ . Moreover, since  $\Sigma_p$  must be connected, we can always find a  $p(x, u)$  such that  $\Sigma$  is defined by  $q(x, u)$ ,  $t(x, u)$  and  $p(x, u)$ .

Now let us suppose

$$q(x, u) = x_1(x_2 + u^{n_1} f_1(x, u)) + u^{m_1} f_2(x_2, x_3, x_4, u), \quad (2)$$

$$t(x, u) = x_1(g(x_1, x_3, x_4) + u^{n_2} h_1(x, u)) + u^{m_2} h_2(x_2, x_3, x_4, u), \quad (3)$$

where  $m_1, m_2 > 0$  and either  $f_1(x, u) = 0$  (resp.  $h_1(x, u) = 0$ ) or  $n_1 > 0$ ,  $f_1(x, 0) \neq 0$  (resp.  $n_2 > 0$ ,  $h_1(x, 0) \neq 0$ ).

We distinguish four cases.

(i)  $m_1, m_2 > 1$ . Then the jacobian matrix

$$\left. \frac{\partial(q, t)}{\partial(x, u)} \right|_{x_1=x_2=u=g(x)=0} = (0).$$

Thus  $\Sigma$  is singular on these points.

(ii)  $m_2 = 1$  and  $m_1 > 1$ . If  $\deg(g(0, x_3, x_4), h_2(0, x_3, x_4, 0)) > 0$ , then

$$\left. \frac{\partial(q, t)}{\partial(x, u)} \right|_{x_1=x_2=u=g(x)=h_2(x,0)=0} = (0).$$

In this case, the third equation is

$$p(x, u) = x_2 h_2(x, u) + u^{n_1} h_2(x, u) f_1(x, u) - u^{m_1-1} f_2(x, u) g(x) - u^{m_1+n_2-1} f_2(x, u) h_1(x, u).$$

If  $m_1 > 2$ , then

$$\left. \frac{\partial(q, p)}{\partial(x, u)} \right|_{x_1=x_2=u=h_2(x,0)=0} = (0).$$

If  $m_1 = 2$  and  $\deg(f_2(0, x_3, x_4, 0), h_2(0, x_3, x_4, 0)) > 0$ , then

$$\left. \frac{\partial(q, p)}{\partial(x, u)} \right|_{x_1=x_2=u=f_2(x,0)=h_2(x,0)=0} = (0).$$

(iii)  $m_1 = 1$  and  $m_2 \geq 1$ . If  $\deg(g(0, x_3, x_4), f_2(0, x_3, x_4, 0)) > 0$ , then

$$\left. \frac{\partial(q, t)}{\partial(x, u)} \right|_{x_1=x_2=u=g(x)=f_2(x,0)=0} = (0).$$

Now assume  $(g(0, x_3, x_4), f_2(0, x_3, x_4, 0)) = 1$ , hence  $g(0, x_3, x_4) \neq 0$ . In this case, the third equation is

$$p(x, u) = g(x) f_2(x, u) - u^{m_2-1} x_2 h_2(x, u) - u^{n_2} f_2(x, u) h_1(x, u) - u^{m_2+n_1-1} h_2(x, u) f_1(x, u). \quad (4)$$

If  $f_2(x, 0)$  has a singularity at any point satisfying  $x_1 = x_2 = u = f_2(x, 0) = 0$ , then

$$\left. \frac{\partial(q, p)}{\partial(x, u)} \right|_{x_1=x_2=u=f_2(x,0)=0} = (0).$$

If  $g(x)$  has a singularity at any point satisfying  $x_1 = x_2 = u = g(x) = 0$ , then

$$\left. \frac{\partial(t, p)}{\partial(x, u)} \right|_{x_1=x_2=u=g(x)=0} = (0).$$

(iv)  $m_1 = m_2 = 1$ . If

$$g(0, x_3, x_4) f_2(x_2, x_3, x_4, 0) - x_2 h_2(x_2, x_3, x_4, 0) = 0,$$

then we have  $x_2 \mid f_2(x_2, x_3, x_4, 0)$  and  $g(0, x_3, x_4) \mid h_2(x_2, x_3, x_4, 0)$ . Hence

$$\left. \frac{\partial(q, t)}{\partial(x, u)} \right|_{x_1=x_2=u=g(x)=0} = (0).$$

Now assume

$$g(0, x_3, x_4) f_2(x_2, x_3, x_4, 0) - x_2 h_2(x_2, x_3, x_4, 0) \neq 0.$$

Then the third equation is

$$p(x, u) = g(x) f_2(x, u) - x_2 h_2(x, u) + u^{n_2} f_2(x, u) h_1(x, u) - u^{n_1} f_1(x, u) h_2(x, u). \quad (5)$$

If  $g(x)f_2(x,0) - x_2h_2(x,0)$  has a singularity at any point satisfying  $x_1 = x_2 = u = g(x) = 0$ , then

$$\text{rank } \frac{\partial(q, t, p)}{\partial(x, u)} \Big|_{x_1=x_2=u=g(x)=0} \leq 1.$$

Therefore three cases remain to be checked. Suppose that  $\Sigma$  is smooth at any point of  $\Sigma_p$ . Then the corresponding fibre  $S_p$  of  $f$  is a blowing down of  $\Sigma_p$ .

(a)  $m_1 = 2, m_2 = 1$  and

$$(g(0, x_3, x_4), h_2(0, x_3, x_4, 0)) = 1.$$

Let  $\Gamma_1, \Gamma_2$  and  $\Gamma_3$  denote the curves defined by the following equations respectively

$$\begin{cases} x_2 = u = 0, \\ g = 0, \end{cases} \quad \begin{cases} x_1 = u = 0, \\ h_2 = 0, \end{cases} \quad \begin{cases} u = 0, \\ x_1 = x_2 = 0. \end{cases}$$

By the assumption, we have

$$\Sigma_p = \Gamma_1 + \Gamma_2 + \Gamma_3,$$

and

$$\Gamma_1\Gamma_3 = 2, \quad \Gamma_2\Gamma_3 = 3, \quad \Gamma_1\Gamma_2 = 0.$$

Since  $\Gamma_3\Sigma_p = 0$  and  $p_a(\Gamma_3) = 0$ , we obtain

$$\Gamma_3^2 = -5, \quad K_{\Sigma_p}\Gamma_3 = 3.$$

Moreover, as  $\Gamma_1^2 = -2, \Gamma_2^2 = -3$ , if  $\Sigma_p$  is reduced, then  $\Sigma_p = S_p$ . If  $\Sigma_p$  is 2-connected, then the canonical divisor  $K_S$  is base-point-free on  $S_p = \Sigma_p$  (see e.g. [3]). Hence  $K_S\Gamma_3 = 1$ , a contradiction. This means  $\Sigma_p$  is not reduced. Since  $\Gamma_1^2 = -2$  and  $\Gamma_2^2 = -3$ , these components are not multiples. Thus the only possibility is  $\Gamma_2 = 2\Gamma'_2 + \Gamma''_2, \Gamma'_2 \neq \Gamma''_2$ . Since  $\Gamma_2'^2 = -1, \Gamma_2''^2 = -5, p_a(\Gamma'_2) = p_a(\Gamma''_2) = 0$ , we get  $K_{\Sigma_p}\Gamma'_2 = -1, K_{\Sigma_p}\Gamma''_2 = 0$ . As  $K_{\Sigma_p}\Gamma_1 = 0$ , we get  $K_{\Sigma_p}\Sigma_p = 2 \neq 6$ , a contradiction.

(b)  $m_1 = 1, m_2 > 1, g(x)$  is smooth at points  $x_1 = x_2 = u = g(x) = 0, f_2(x, 0)$  is smooth at points  $x_1 = x_2 = u = f_2(x, 0) = 0$ , and

$$(f_2(0, x_3, x_4, 0), g(0, x_3, x_4)) = 1.$$

In this case the third equation  $p(x, u)$  is given in formula (4). Let  $\Gamma_1, \Gamma_2$  and  $\Gamma_3$  denote the curves defined by the following equations respectively

$$\begin{cases} x_2 = u = 0, \\ g = 0, \end{cases} \quad \begin{cases} x_1 = u = 0, \\ g = 0, \end{cases} \quad \begin{cases} x_1 = u = 0, \\ f_2 = 0. \end{cases}$$

By the assumption, we have

$$\Sigma_p = \Gamma_1 + \Gamma_2 + \Gamma_3$$

and

$$\Gamma_1\Gamma_2 = 2, \quad \Gamma_2\Gamma_3 = 4, \quad \Gamma_1\Gamma_3 = 0.$$

By the assumption,  $g(x)$  is not a square of a linear polynomial. Therefore  $\Gamma_1$  and  $\Gamma_2$  are reduced,  $p_a(\Gamma_1) = p_a(\Gamma_2) = 0$ . An easy computation yields  $\Gamma_1^2 = -2, \Gamma_2^2 = -6, \Gamma_3^2 = -4$ . If  $\Sigma_3$  is reduced, then  $S_p = \Sigma_p$ . Since  $K_{\Sigma_p}\Gamma_2 = 4, \Sigma_p$  cannot be 2-connected. This implies

$\Gamma_3 = 2\Gamma'_3$ . By computation we get  $K_{\Sigma_p}\Gamma'_3 = -1$ ,  $K_{\Sigma_p}\Gamma_1 = 0$ . Thus  $K_{\Sigma_p}\Sigma_p = 2 \neq 6$ , a contradiction.

(c)  $m_1 = m_2 = 1$ ,  $g(x)f_2(x, 0) - x_2h_2(x, 0)$  is smooth at points  $x_1 = x_2 = u = g(x) = 0$ . In this case the third equation  $p(x, u)$  is given in formula (5). Let  $\Gamma_1, \Gamma_2$  denote the curves defined by the following equations respectively

$$\begin{cases} x_2 = u = 0, \\ g = 0, \end{cases} \quad \begin{cases} x_1 = u = 0, \\ gf_2 - x_2h_2 = 0. \end{cases}$$

Then

$$\Sigma_p = \Gamma_1 + \Gamma_2, \quad \Gamma_1\Gamma_2 = 2, \quad \Gamma_1^2 = \Gamma_2^2 = -2.$$

If the divisor  $\Sigma_p$  is reduced, then  $S_p = \Sigma_p$  and  $\Sigma_p$  is 2-connected. That means  $K_S\Gamma_1 = 2$ ,  $K_S\Gamma_2 = 4$ . But  $p_a(\Gamma_1) = 1 + (K_S\Gamma_1 + \Gamma_1^2)/2 = 1$ , a contradiction.

Now suppose that  $\Sigma_p$  is not reduced. Since  $\Gamma_1^2 = \Gamma_2^2 = -2$ , the divisors  $\Gamma_1$  or  $\Gamma_2$  cannot be multiple divisors. Assume  $\Gamma_2 = 3\Gamma'_2 + \Gamma''_2$ . Then

$$\Gamma_2^2 = 9\Gamma'^2_2 + \Gamma''^2_2 + 6\Gamma'_2\Gamma''_2 = 9\Gamma'^2_2 + \Gamma''^2_2 + 6 \leq -4,$$

which is impossible.

If  $\Gamma_2 = 2\Gamma'_2 + \Gamma''_2 + \Gamma'''_2$  with  $\Gamma''_2 \neq \Gamma'''_2$ , then

$$\Gamma_2^2 = 4\Gamma'^2_2 + \Gamma''^2_2 + \Gamma'''^2_2 + 10 = -2.$$

Since  $p_a(\Gamma'_2) = p_a(\Gamma''_2) = p_a(\Gamma'''_2) = 0$ , in any case we cannot obtain the equality  $K_{\Sigma_p}\Sigma_p = 6$ .

If  $\Gamma_2 = 2\Gamma'_2 + \Gamma''_2$ , then the equality

$$\Gamma_2^2 = 4\Gamma'^2_2 + \Gamma''^2_2 + 8 = -2$$

also yields a contradiction.

**Case II.** The quadratic polynomial  $q(x, 0)$  is a square. By coordinate transformation, we can assume

$$\begin{aligned} q(x, u) &= x_1(x_1 + u^{n_1}f_1(x, u)) + u^{m_1}f_2(x_2, x_3, x_4, u), \\ t(x, u) &= x_1(g(x_2, x_3, x_4) + u^{n_2}h_1(x, u)) + u^{m_2}h_2(x_2, x_3, x_4, u). \end{aligned}$$

Then we distinguish two cases.

(i)  $m_1 \leq m_2$ . In this case the third equation is

$$\begin{aligned} p(x, u) &= f_2(x_2, x_3, x_4, u)g(x_2, x_3, x_4) - u^{m_2-m_1}x_1h_2(x, u) \\ &\quad + u^{n_2}h_1(x, u)f_2(x, u) - u^{n_1+m_2-m_1}f_1(x, u)h_2(x, u). \end{aligned}$$

If  $m_2 > 1$ , we have

$$\left. \frac{\partial(q, t)}{\partial(x, u)} \right|_{x_1=u=g(x)=f_2(x,0)=0} = (0).$$

If  $m_1 = m_2 = 1$  and  $\deg d(x_2, x_3, x_4) > 0$ , where  $d(x) = (g(x_2, x_3, x_4), f_2(x_2, x_3, x_4, 0))$ , then

$$\left. \frac{\partial(q, t)}{\partial(x, u)} \right|_{x_1=u=d(x)=h_2(x,0)=0} = (0).$$

(ii)  $m_1 > m_2$ . In this case, we can show that any homogeneous polynomial  $r(x, 0)$  satisfying

$$u^m r(x, u) = q(x, u)\alpha(x, u) - t(x, u)\beta(x, u)$$

with  $m < m_2$  must be generated by  $x_1^2$  and  $x_1g(x)$ . When  $m = m_2$ , we obtain

$$r(x, u) = (x_1h_2(x, u) + u^{n_1}h_2(x, u)f_1(x, u) - u^{m_1-m_2}f_2(x, u)g(x) - u^{n_2+m_1-m_2}f_2(x, u)h_1(x, u))\gamma(x).$$

Thus we can see that the elements of the ideal  $I_p$  can be generated by  $x_1$ ,  $g(x)$  and  $h_2(x, 0)$ . This implies that any point  $(x_1 : x_2 : x_3 : x_4, u) \in \mathbb{P}_\Delta^3$  satisfying  $x_1 = u = g(x) = h_2(x, 0) = 0$  must be in  $\Sigma$ . Moreover, since  $\Sigma_p$  is connected, we can always find a  $p(x, u)$  such that  $\Sigma$  is defined by  $q(x, u)$ ,  $t(x, u)$  and  $p(x, u)$ . The following equality

$$\frac{\partial(q, t)}{\partial(x, u)} \Big|_{x_1=u=g(x)=h_2(x,0)=0} = (0)$$

shows that  $\Sigma$  cannot be smooth in this case.

Now there remains only one case to be checked. That is,  $m_1 = m_2 = 1$  and

$$(g(x_2, x_3, x_4), f_2(x_2, x_3, x_4, 0)) = 1.$$

In this case, the third equation is

$$p(x, u) = g(x_2, x_3, x_4)f_2(x_2, x_3, x_4, u) - x_1h_2(x, u) + u^{n_2}h_1(x, u)f_2(x, u) - u^{n_1}f_1(x, u)h_2(x, u).$$

Let  $\Gamma_1$  and  $\Gamma_2$  denote the curves defined by the following equations respectively

$$\begin{cases} x_1 = u = 0, \\ g = 0, \end{cases} \quad \begin{cases} x_1 = u = 0, \\ f_2 = 0. \end{cases}$$

By the assumption, we have

$$\Sigma_p = 2\Gamma_1 + \Gamma_2,$$

and

$$\Gamma_1\Gamma_2 = 4, \quad \Gamma_1^2 = -2, \quad \Gamma_2^2 = -8.$$

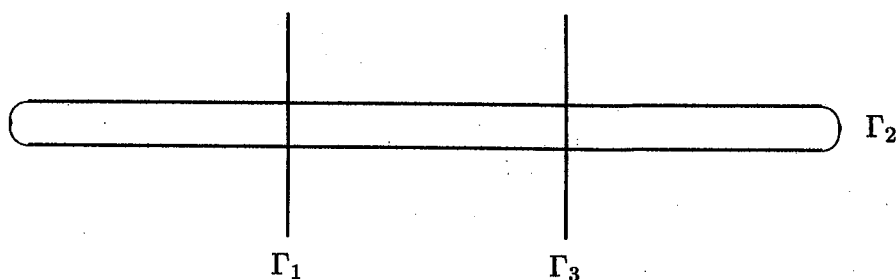
Hence  $\Gamma_1$  and  $\Gamma_2$  cannot be multiple divisors. Then we can check that  $S_p = \Sigma_p$  is 2-connected. But  $K_S\Gamma_1 = 0$  and  $K_S\Gamma_2 = -6$ , this contradicts  $K_S S_p = 6$ .

Now we will give two examples showing that  $\Sigma_p$  need not be a complete intersection in  $P_p \cong \mathbb{P}^3$ .

**Example 1.** Let  $C = \mathbb{P}^1 = \mathbb{C} \cup \{\infty\}$ ,  $\mathcal{E} = \mathcal{O}_C^{\oplus 4}$ . Then  $P = \mathbb{P}(\mathcal{E}) = \mathbb{P}^3 \times \mathbb{P}^1$  and  $\pi : P \rightarrow C$  is the projection. Let  $p = 0 \in C$ . The following global sections:

$$\begin{aligned} q(x, u) &= x_1x_2 + u(x_1^2 + x_3^2 + x_4^2) \in H^0(\mathcal{O}_P(2) \otimes \pi^*\mathcal{O}_C(p)), \\ t(x, u) &= x_1x_3^2 + ux_2(2x_2^2 + x_4^2) \in H^0(\mathcal{O}_P(3) \otimes \pi^*\mathcal{O}_C(p)), \\ h(x) &= x_2^2(2x_2^2 + x_4^2) - x_3^2(x_1^2 + x_3^2 + x_4^2) \in H^0(\mathcal{O}_P(4)), \end{aligned}$$

define a subvariety  $\Sigma \subseteq P$ . Outside the singular line  $u = x_2 = x_4 = 0$ , the projective variety  $\Sigma$  is smooth. After desingularization, we obtain a non-hyperelliptic fibration of genus 4  $f : S \rightarrow C$  whose relative 1-canonical image is  $\Sigma$ . The singular fibre  $S_p$  has the configuration



where

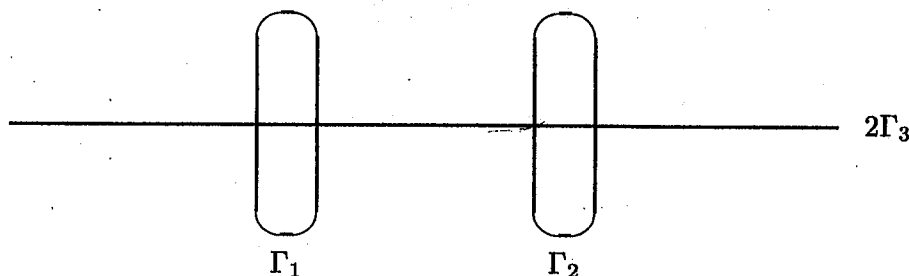
$$\begin{aligned} K_S \Gamma_1 &= 4, & \Gamma_1^2 &= -2, & p_a(\Gamma_1) &= 2, \\ K_S \Gamma_2 &= 2, & \Gamma_2^2 &= -4, & p_a(\Gamma_2) &= 0, \\ K_S \Gamma_3 &= 0, & \Gamma_3^2 &= -2, & p_a(\Gamma_3) &= 0. \end{aligned}$$

Note that the general fibre of  $f$  is a non-hyperelliptic curve with two  $g_3^1$ 's.

**Example 2.** The notations are the same as in Example 1. We take global sections

$$\begin{aligned} q(x, u) &= x_1 x_2 + u(x_1^2 + x_3^2) \in H^0(\mathcal{O}_P(2) \otimes \pi^* \mathcal{O}_C(p)), \\ t(x, u) &= x_1 x_4^2 + u(x_1^2 x_2 + x_2^3 + x_4^3) \in H^0(\mathcal{O}_P(3) \otimes \pi^* \mathcal{O}_C(p)), \\ h(x) &= x_2(x_1^2 x_2 + x_2^3 + x_4^3) - x_4^2(x_1^2 + x_3^2) \in H^0(\mathcal{O}_P(4)). \end{aligned}$$

These global sections define a subvariety  $\Sigma \subseteq P$ . The only singular line of  $\Sigma$  is  $u = x_2 = x_4 = 0$ . After desingularization, a non-hyperelliptic fibration of genus 4  $f : S \rightarrow C$  is obtained and the relative 1-canonical image of  $f$  is  $\Sigma$ . The singular fibre  $S_p$  looks like



where

$$\begin{aligned} K_S \Gamma_1 &= 4, & \Gamma_1^2 &= -4, & p_a(\Gamma_1) &= 1, \\ K_S \Gamma_2 &= 2, & \Gamma_2^2 &= -4, & p_a(\Gamma_2) &= 0, \\ K_S \Gamma_3 &= 0, & \Gamma_3^2 &= -2, & p_a(\Gamma_3) &= 0. \end{aligned}$$

Note that the general fibre of  $f$  is a non-hyperelliptic curve with one  $g_3^1$ .

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