

## GOPPA CODES FROM ARTIN-SCHREIER FUNCTION FIELDS

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### Abstract

A class of Goppa codes is constructed by using Artin-Schreier function fields, of which the number of prime divisors of degree one is obtained for some cases, and their minimum distance, duality and self-duality are discussed. At last the subfield subcode of Artin-Schreier code is investigated, the true dimension under certain conditions is given and the covering radius and minimum distance are estimated.

**Keywords** Artin-Schreier function field, Goppa code, Self-duality.

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### §0. Introduction

Algebraic geometric codes, which we always call Goppa codes, were first introduced by Goppa and proved to be better than the Gilbert-Varshamov bound. Many good expositions about this topic can be found in [5,6,7,24,25]. New codes were constructed from Goppa's idea by using special curves such as elliptic curves. Hermitian curves, and their parameters can be given for partial cases.

In the present paper, we investigate the parameters of the algebraic geometric codes from Artin-Schreier curves first introduced by Stichtenoth<sup>[19]</sup>. The arrangement follows on this line. Section 1 gives the basic facts about Artin-Schreier function fields, e.g. the number of the prime divisors of degree one, the base and dimension of the function space  $L(mQ)$ , etc. In Section 2, we construct Artin-Schreier codes and obtain their parameters for many cases which generalize the results of [20]. In Section 3, we discuss the parameters of the subfield subcodes of Artin-Schreier codes using Bombier's results about exponential sums. This method was used by Helleseth, Teitavainen, Moreno and Moreno to get the parameters of long BCH codes and Goppa codes such as their precise dimension and bounds on the covering radius and minimum distance.

### §1. Basic Facts About Artin-Schreier Function Fields

Suppose  $f(x) = \sum_{i=0}^n a_i x^i \in GF(p)(x)$ . We associate it with a polynomial of the form  $f^*(x) = \sum_{i=0}^n a_i x^{p^i}$ , the so-called  $p$ -polynomial,  $f(x)$  and  $f^*(x)$  are called  $p$ -associated of each other. The polynomial  $F(x, y) = x^r - f^*(y)$  is absolutely irreducible and the affine curve  $C : x^r = f^*(y)$  is called Artin-Schreier curve. For our consideration,  $C$  is defined over

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$K = GF(p^{2ab})$ ,  $r|p^a + 1$  and  $f(x)|x^{2ab} - 1$ . The function field  $K(C)$  of  $C$  is the finite separate extension  $K(x, y)$  of the single variable rational function field  $K(x)$ .  $K(C)$  is called Artin-Schreier function field. The genus of  $K(C)$  is  $g(K(C)) = (r-1)(p^n-1)/2$ .

In this section, we always assume  $f(x) = (x^{2ab} - 1)/G(x)$ . In [8], the author obtained the number of the roots  $g^*(x^r)$  in  $K$  if  $r|p^a + 1$ . From this result we can get the number of the  $K$ -rational points of Artin-Schreier curve. For this reason, we need the following lemma.

**Lemma 1.1.**<sup>[8]</sup>  $R(g^*(x)) = f^*(K)$ . Here  $R(g^*(x))$  is the root set of  $g^*(x)$  in  $K$ ;  $f^*(K)$  is the image set of  $f^*(x)$  over  $K$ .

Later we suppose  $R_r(g^*(x)) = \{\alpha \in K | \text{there exists } \beta \in R(g^*(x)) \text{ such that } \beta = \alpha^r\}$ .

**Theorem A.**<sup>[8]</sup> Suppose  $\deg(f(x)) = n$ , the order of  $f(x)$  is  $e$ , i.e., the least integer such that  $f(x)|x^e - 1$ ,  $(f(x), g(x)) = 1$ ,  $r|p^a + 1$ . If  $r|p^{2ab} - 1/p^e - 1$ , then the number of the roots  $g^*(x^r)$  in  $K$  is

$$\#R_r(g^*(x)) = p^{2ab-n} + (-1)^{b-1}\delta(r, b)p^{ab-n}(p^n - 1),$$

where

$$\delta(r, b) = \begin{cases} r-1 & \text{if } r \text{ odd or } p^a + 1/r \text{ even or } b \text{ even,} \\ -1 & \text{if } r \text{ even, } p^a + 1/r \text{ odd and } b \text{ odd.} \end{cases}$$

**Theorem B.**<sup>[8]</sup> Suppose  $\deg(f(x)) = n$ , the suborder of  $f(x)$  is  $e$ , i.e., the least integer such that  $f(x)|x^e - 1$ ,  $(f(x), g(x)) = 1$ ,  $r|p^a + 1$ . If  $r|p^{2ab} - 1/p^e - 1$ , then the number of the roots  $g^*(x^r)$  in  $K$  is

$$\#R_r(g^*(x)) = p^{2ab-n} + (-1)^{b-1}\varepsilon(r, b)p^{ab-n}(p^n - 1),$$

where if  $r|p^{2ab} - 1/2(p^e - 1)$ ,  $\varepsilon(r, b) = \delta(r, b)$ ; if  $r \nmid p^{2ab} - 1/2(p^e - 1)$ ,

$$\varepsilon(r, b) = \begin{cases} -1 & \text{if } r \text{ odd or } p^a + 1/r \text{ even or } b \text{ even,} \\ r-1 & \text{if } r \text{ even, } p^a + 1/r \text{ odd and } b \text{ odd.} \end{cases}$$

Now we can get the number of the rational points of Artin-Schreier curve from the above two theorems. If  $(x, y)$  is a solution of the equation  $x^r = f^*(y)$ , then  $g^*(x^r) = 0$  by Lemma 1.1. For one such  $x$ , there are  $p^{2ab-n}y$ 's such that  $x^r = g^*(y)$ . Therefore  $\#C = p^n \#R_r(g^*(x))$ . From this we prove the following two theorems analogous to Theorems A and B.

**Theorem 1.1.** Let the affine curve  $C : x^r = f^*(y)$  be Artin-Schreier curve defined over  $K$ ,  $f(0) \neq 0$ , the order of  $f(y)$  be  $e$ ,  $(f(y), g(y)) = 1$ ,  $f(y)g(y) = y^{2ab} - 1$ ,  $r|p^a + 1$ . If  $r|p^{2ab} - 1/p^e - 1$ , then the number of the rational points of  $C$  over  $K$  is

$$\#C = p^{2ab} + (-1)^{b-1}\delta(r, b)p^{ab}(p^n - 1),$$

where

$$\delta(r, b) = \begin{cases} r-1 & \text{if } r \text{ odd or } p^a + 1/r \text{ even or } b \text{ even,} \\ -1 & \text{if } r \text{ even, } p^a + 1/r \text{ odd and } b \text{ odd.} \end{cases}$$

**Theorem 1.2.** Let the affine curve  $C : x^r = f^*(y)$  be Artin-Schreier curve defined over  $K$ ,  $f(0) \neq 0$ , the suborder of  $f(y)$  be  $e$ ,  $(f(y), g(y)) = 1$ ,  $f(y)g(y) = y^{2ab} - 1$ ,  $r|p^a + 1$ . If  $r|p^{2ab} - 1/p^e - 1$ , then the number of the rational points of  $C$  over  $K$  is

$$\#C = p^{2ab} + (-1)^{b-1}\varepsilon(r, b)p^{ab}(p^n - 1),$$

where if  $r|p^{2ab} - 1/2(p^e - 1)$ ,  $\varepsilon(r, b) = \delta(r, b)$ ; if  $r \nmid p^{2ab} - 1/2(p^e - 1)$ ,

$$\varepsilon(r, b) = \begin{cases} -1 & \text{if } r \text{ odd or } p^a + 1/r \text{ even or } b \text{ even,} \\ r-1 & \text{if } r \text{ even, } p^a + 1/r \text{ odd and } b \text{ odd.} \end{cases}$$

**Remark 1.1.** Later we always denote the number of the rational points of (affine curve)  $C$  by  $N$  which can be obtained from the above two theorems.

Since in  $K(C)$  the infinite prime divisor of  $K(x) = \infty = (1/x)$  is totally ramified,  $K(C)$  has only one infinite prime divisor  $Q$  of degree one and we have  $(1/x) = p^n Q$ . Hence there are  $N + 1$  prime divisors of degree one in  $K(C)$  and we can conclude for which  $r$  and  $f$ ,  $K(C)$  has the maximal number (attaining the Hasse-Weil bound) of prime divisors of degree one from Theorem 1.1 and Theorem 1.2.

Let  $(\alpha, \beta) \in C$ , and  $P_{(\alpha, \beta)}$  be the prime divisor of degree one of  $K(C)$  which is corresponding to the common zero of  $x - \alpha$  and  $y - \beta$ . Every finite prime divisors of degree one of  $K(C)$  has the form  $P_{(\alpha, \beta)}$  and we can get the following decompositions of the principal divisors.

$$(x - \alpha) = \sum_{\alpha^r = f^*(\beta)} P_{(\alpha, \beta)} - p^n Q,$$

$$(y - \beta) = \begin{cases} rP_0 - rQ & \text{if } f^*(\beta) = 0, \\ \sum_{\alpha^r = f^*(\beta)} P_{(\alpha, \beta)} - rQ & \text{if } f^*(\beta) \neq 0. \end{cases}$$

Given a divisor  $G$  defined over  $K$ , the function space  $L(G)$  is defined by  $L(G) = \{t \in K(C) | (t) \geq -G\}$ . It is well known that  $L(G)$  is a finite dimensional vector space over  $K$ ; the dimension is denoted by  $l(G)$ . For Artin-Schreier function field, we have the following result.

**Proposition 1.1.** Let  $m$  be an integer greater than or equal to 0.

(i) The set  $\{h_i(x)k_j(y) | 0 \leq i; 0 \leq j \leq p^n - 1; ip^n + jr \leq m, h_i(x) \in K(x), \deg(h_i) = i, k_j(y) \in K(y), \deg(k_j) = j\}$  is a base of  $L(mQ)$  over  $K$ .

(ii)

$$l(mQ) = \begin{cases} m - g + 1 & \text{if } N > m > 2g - 2, \\ \sum_{j=0}^c ((m - jr)/p^n) + c & \text{if } m \leq 2g - 2, \end{cases}$$

where  $c = [m/r]$ ,  $[ ]$  denotes the integer part.

**Proof.** Since  $\{x^i y^j | 0 \leq i; 0 \leq j \leq p^n - 1; ip^n + jr \leq m\}$  is a base of  $L(mQ)$ , it is easily seen that (i) holds. For (ii), the first is by Riemann-Roch theorem and the second by counting the base set of (i).

Let  $\Omega$  be the differential space of  $K(C)$  over  $K$ ,  $G$  a divisor of  $K(C)$ . The subspace of  $\Omega(G)$  is defined by  $\Omega(G) = \{\omega \in \Omega | (\omega) \geq G\}$ . Let  $z = g^*(x^r)/x^{r-1}$ . Then  $(z) = D - (N)Q$ , where  $D = \sum P_i$  is the sum of all finite prime divisors  $P_i$  ( $i = 1, 2, \dots, N$ ) of degree one of  $K(C)$ . Thus  $dz = (2g - 2)Q$ . For differential  $\eta = dz/z$ , we have

$$(\eta) = (dz) - (z) = (2g - 2)Q - D + (N)Q = (N + 2g - 2)Q - D.$$

If  $P$  is a simple zero, then  $P$  is a simple pole of  $dz/z$  and the residue is 1. We have

**Proposition 1.2.** *The conditions as above,  $\text{Res}_{P_i}(\eta) = 1$ ,  $i = 1, 2, \dots, N$ .*

## §2. Construction of Goppa Codes by Artin-Schreier Function Fields

Let  $D = P_1 + P_2 + \dots + P_s$  be a divisor of  $K(C)$  over  $K$ , where  $P_i$  is the prime divisor of degree one of  $K(C)$ . Let  $G$  be an arbitrary divisor of  $K(C)$ , whose support is disjoint from that of  $D$ . Then the codes  $C(G, D)$  and  $C^*(G, D)$  are defined by

$$C(G, D) = \{(f(P_1), f(P_2), \dots, f(P_s)) | f \in L(G)\},$$

$$C^*(G, D) = \{(\text{Res}_{P_1}(\omega), \text{Res}_{P_2}(\omega), \dots, \text{Res}_{P_s}(\omega)) | \omega \in \Omega(D - G)\}.$$

Later we assume  $G = mQ$  and  $D = \sum P_i$ . Now we can obtain the following results which is a modification of [5].

**Theorem 2.1.**  *$C(mQ, D)$  and  $C((N + 2g - 2 - m)Q, D)$  are dual to each other for any  $m \in \mathbb{Z}$ . In particular, if  $p = 2$  and  $m = (N + 2g - 2)/2$ ,  $C(mQ, D)$  is self-dual.*

**Proof.** We know that  $C(mQ, D)$  and  $C^*(mQ, D)$  are dual to each other. Since  $\eta$  is a base of  $\Omega$ , we have

$$\begin{aligned} u\eta \in \Omega(D - G) &\Leftrightarrow (u) + (\eta) \geq D - G \\ &\Leftrightarrow (u) \geq D - G - (\eta) = (N + 2g - 2)Q \\ &\Leftrightarrow u \in L((N + 2g - 2)Q). \end{aligned}$$

By Proposition 1.2, we have

$$\begin{aligned} &(\text{Res}_{P_1}(u\eta), \text{Res}_{P_2}(u\eta), \dots, \text{Res}_{P_s}(u\eta)) \\ &= (u(P_1)\text{Res}_{P_1}(\eta), u(P_2)\text{Res}_{P_2}(\eta), \dots, u(P_N)\text{Res}_{P_s}(\eta)) \\ &= (u(P_1), u(P_2), \dots, u(P_N)). \end{aligned}$$

Hence  $C(mQ, D) = C((N + 2g - 2)Q, D)$ . The theorem is proved.

Next we consider the dimension of  $C(mQ, D)$ . It is easily seen that  $\dim C(mQ, D) = 0$  if  $m < 0$  and  $\dim C(mQ, D) = N - 1$  if  $m > N + 2g - 2$ . For other  $m$ , we have

**Theorem 2.2.** *Suppose  $0 \leq m \leq N + 2g - 2$ . Then*

$$\dim C(mQ, D) = \begin{cases} l(mQ) & \text{if } m \leq 2g - 2, \\ m + l - g & \text{if } 2g - 2 < m < N, \\ N - l((N + 2g - 2)Q) & \text{if } m \geq N. \end{cases}$$

Here  $l(mQ)$  is given by Proposition 1.1.

**Proof.** The first is by Proposition 1.1, the second by Riemann-Roch theorem, the last by Theorem 2.1.

Now we discuss the minimum distance of  $C(mQ, D)$ . As in [18], we define

$$A(m) = \{0 \leq l \leq m \mid \text{there are } i \geq 0 \text{ and } 0 \leq j \leq p^n - 1 \text{ such that } l = ip^n + jr\},$$

$$m^\sim = \max\{l \mid l \in A(m)\}.$$

Then we have  $A(m) = A(m^\sim)$  and  $C(mQ, D) = C(m^\sim Q, D)$ . For the general minimum distance  $d(C(mQ, D))$  of Goppa code  $C(mQ, D)$ , from [7, 20] we have

**Theorem 2.3.** *Let  $0 \leq m \leq N$ .  $d(C(mQ, D)) \geq N - m^\sim$ .*

In general, the bound above is not tight, but we can try to obtain some cases with equality. For this reason, we construct some special rational functions in  $L(mQ)$  which have the zeros in  $C$  as many as possible.

**Proposition 2.1.** *If one of the following conditions holds, then there exists a rational function  $u \in L(mQ)$  with exactly  $m$  distinct zeros.*

(i)  $m = ip^n \leq N$ .

(ii)  $m = ip^n + jr < N - (r-1)p^n$ ,  $0 \leq i$ ,  $0 \leq j \leq p^n - 1$ .

**Proof.** (i) Let  $S$  be a subset of  $R_r(g^*(x))$  such that  $\#S = i$ . Then  $\prod_{\alpha \in S} (x - \alpha) \in L(mQ)$  and  $\prod (x - \alpha)$  has  $ip^n$  zeros.

(ii) Let  $\lambda \in R_r(g^*(x)) \setminus \{0\}$ ,

$$A(\lambda) = \{\beta \in K \mid f^*(\beta) = \lambda^r\} \text{ and } A = \{\alpha \in K \mid \alpha^r \neq \lambda^r\}.$$

Then  $\#A(\lambda) = p^n$  and  $\#A = \#(R_r(g^*(x)) - r)$ . Since  $m < N - (r-1)p^n$  and  $N = p^n \#R_r(g^*(x))$ ,  $i \leq \#A$ . Take a subset  $S_1$  of  $A(\lambda)$  such that  $\#S_1 = j$  and a subset  $S_2$  of  $A$  such that  $\#S_2 = i$ . The rational function  $t_1 = \prod_{\beta \in S_1} (y - \beta)$  has  $jr$  different zeros;  $t_2 = \prod_{\alpha \in S_2} (x - \alpha)$  has  $ip^n$  different zeros. We see that the zeros of  $t_1$  are different from those of  $t_2$ . Hence  $t_1 t_2$  has  $ip^n + jr$  distinct zeros.

**Theorem 2.4.** *Let  $m = ip^n + jr \leq N - 1$ ,  $0 \leq i$ ,  $0 \leq j \leq p^n - 1$ . If  $j = 0$  or  $m < N - (r-1)p^n$ , then  $d(C(mQ, D)) = N - m$ .*

**Proof.** Take  $t \in L(mQ)$  such that  $t$  has  $m$  distinct zeros. The weight of the vector  $(u(P_1), u(P_2), \dots, u(P_N))$  is  $N - m$ . By Theorem 2.1,  $d(C(mQ, D)) = N - m$ .

**Corollary 2.1.** *If  $(p^n - 1)r \leq m < N - (r-1)p^n$ , then  $d(C(mQ, D)) = N - m$ .*

**Proof.** Since  $(r, p^n) = 1$ ,  $\{m - jr \mid 0 \leq j \leq p^n - 1\}$  is a residue system modulo  $p^n$ . Hence there exists  $j$  such that  $p^n \mid m - jr$ . Let  $i = (m - jr)/p^n$ . Then  $i \geq 0$  and  $m = ip^n + jr$ . By Theorem 2.4, the corollary holds.

At last we give a generator matrix and a parity check matrix of  $C_m$ . Let  $\{h_i(x)g_j(y)\}$  be a base of  $L(mQ)$  from Proposition 1.1 and  $u_{ij}$  be the row vector  $(h_i(\alpha)g_j(\beta))$  where  $(\alpha, \beta)$  runs through all rational points of  $C$ . We use the  $M_n$  to denote the matrix with row vector  $u_{ij}$ . Then we have

**Theorem 2.5.** (i) *If  $0 \leq m \leq N - 1$ ,  $M_m$  is a generator matrix of  $C(mQ, D)$ .*

(ii) *If  $2g - 2 < n \leq N + 2g - 2$ ,  $M_{N+2g-2}$  is a parity check matrix of  $C(mQ, D)$ .*

**Remark 2.1.** By the proof of Proposition 2.1, we can use Proposition 1.1 to make  $M_m$  simpler.

**Proof.** Directly by Proposition 1.1 and Theorem 2.1.

### §3. Subfield Subcode of Artin-Schreier Code Over $GF(p)$

In this second, we discuss the parameters of the subfield subcode, which is denoted by  $C_p^*(mQ, D)$ , of  $C^*(mQ, D)$  over  $GF(p)$ . First of all, we give a generalization of Delsarte's result about the dual of the subfield subcode of Goppa code.

**Theorem 3.1.**<sup>[2]</sup> *The dual of the subfield subcode of  $C^*(mQ, G)$  is given by  $C_p^*(mQ, D) = \sigma(C(mQ, D))$  where  $\sigma(x) = x + x^p + x^{p^2} + \dots + x^{p^{2ab-1}}$  for  $x \in K$  is the absolute trace function*

of  $K$  to  $GF(p)$  and

$$\sigma(C(mQ, D)) = \{(\sigma(f(P_1)), \sigma(f(P_2)), \dots, \sigma(f(P_s))) | f \in L(mQ)\}.$$

In [17], [27], a few estimates about the parameters of the subfield subcode of Goppa code are obtained, which have been generalized in [9]. Now we use the method of [9], which is a combination of [17] and [27], to get the parameters of  $C_p^*(G, D)$ . First we need the following estimate based on Bombieri's results<sup>[1]</sup>.

We say  $f \in K(C)$  satisfies condition (B) if

(B)  $f \neq h^p - h$  for any  $h \in K(C)$ , where  $\bar{K}$  is the algebraic closure of  $K$ .

**Theorem 3.2.** If  $f \in L(mQ)$  and  $f$  satisfies condition (B), then

$$\left| \sum_{i=1}^N \Psi(\sigma(f(P_i))) \right| \leq (m + 2g - 1)p^{ab},$$

where  $\Psi(x) = e^{2\pi x/p}$  is the canonical additive character of  $GF(p)$ .

**Remark 3.1.** In Bombieri's original result,  $C$  is complete nonsingular. In our cases,  $C$  is not always complete nonsingular. But for the complete nonsingular model of  $C$ , the image of  $f$  under the birational isomorphism only has the possible pole corresponding to  $Q$  of order  $\leq m$ . Thus Bombieri's statement still holds.

Next we always assume  $2g - 2 \leq m \leq N - 1$  and in Theorem 2.6 we take  $h_i(x) = x^i$  and  $g_j(y) = y^j$ . Then the corresponding matrix  $M_T$  is a parity check matrix of  $C^*(mQ, D)$  ( $T = N + 2g - 2 - m$ ) by Theorem 2.2 and hence  $M_T$  is a parity check matrix  $C_p^*(mQ, D)$ . Next we want to simplify the parity check matrix  $M_T$ . For this reason let

$$A'(m) = \{(i, j) \in A(m) | p \nmid g.c.d(i, j)\} \text{ and } A_p(m) = \{(i, j) \in A(m) | p | g.c.d(i, j)\},$$

where  $g.c.d(i, j)$  denotes the greatest common divisor of  $i, j$ ,  $A(m) = A'(m) \cup A_p(m)$ . Now we delete the rows  $u_{i,j}$  of  $M_T$  such that  $(i, j) \in A_p(m)$ ,  $(i, j) \neq (0, 0)$ , to get a matrix  $M'_T$  and use  $L'(mQ)$  to denote the subspace of  $L(mQ)$  generated by  $\{x^i y^j | (i, j) \in A'(m)\}$ .

**Theorem 3.3.** (i)  $M'_T$  is a parity check matrix of  $C_p^*(mQ, D)$ .

(ii) If  $f \in L'(mQ)$ , then  $f$  satisfies condition (B) and the dimension of  $L'(mQ)$  is  $l'(mQ) = l(mQ) - l([m/p]Q)$ .

**Proof.** (i) Let  $c = (c_1, c_2, \dots, c_{N-1}) \in C_p^*(mQ, D)$ . If  $i = pi', j = pj'$ ,  $i > 0, j > 0$ , then

$$u_{i,j} c^t = 0 \Leftrightarrow u_{i',j'}^p c^t = 0 \Leftrightarrow (u_{i',j'} c^t)^p = 0 \Leftrightarrow u_{i',j'} c^t = 0.$$

Therefore we can delete the row  $u_{ij}$  in  $M_T$  to get a matrix which is also a parity check matrix. From this (i) holds.

(ii) At this time we take  $L(mQ)$  and  $L'(mQ)$  to be the vector space over the algebraic closure  $\bar{K}$  of  $K$ . It is familiar that  $\{u_{ij}\}$  is still a base of  $L(mQ)$ . Let  $f = \sum_{(i,j) \in A'(m)} f_{i',j'} x^{i'} y^{j'}$

be non-constant and in  $L'(mQ)$ ,  $f_{i',j'} \in \bar{K}$ . If  $f$  does not satisfy condition (B), suppose  $f = h^p - h$ ,  $h \in \bar{K}(C)$  in  $L'(mQ)$ ,  $f_{i',j'} \in \bar{K}$ . Then  $h \in L'([m/p]Q)$ , suppose  $h = \sum h_{ij} x^i y^j$  and  $(i_m, j_m)$  be the pair such that  $(i, j) \in A([m/p]Q)$ ,  $h_{ij} \neq 0$  and  $ip^n + jr$  is largest. We have

$$f = \sum_{(i,j) \in A'(m)} f_{i',j'} x^{i'} y^{j'} = h_{i_m j_m}^p x^{pi_m} y^{pj_m} + \sum \dots$$

But this will produce  $h_{i_m j_m} = 0$  since  $\{x^i y^j | (i, j) \in A(m)\}$  is a base of  $L(mQ)$ .

It is a contradiction. So  $f$  satisfies condition (B).

It is easily seen that  $\#A_p(m) = \#A([m/p])$ , hence

$$l'(m) = \#A_p(m) = l(mQ) - l([m/p]Q).$$

Now we can give a result on the minimum distance of  $\sigma(C(mQ, D))$ . But we first need a simple fact: if  $c \in GF(p)$ , then

$$\sum_{s=0}^{p-1} e^{2\pi i cs/p} = \begin{cases} p & \text{if } c = 0, \\ 0 & \text{if } c \neq 0. \end{cases}$$

From now on we denote the Hamming weight of a codeword  $V$  by  $w(V)$ .

**Theorem 3.4.** *The minimum distance of  $\sigma(C(mQ, D))$  is at least*

$$\{N - (m + 2g - 1)p^{ab}\}(p - 1)/p.$$

**Proof.** Let  $V \in \sigma(C(mQ, D))$ . Then  $V = (\sigma(f(P_1)), \sigma(f(P_2)), \dots, \sigma(f(P_N)))$  for  $f \in L(mQ)$ . If  $f$  is a constant, the weight of  $V$  is 0 or  $N$ . If  $f = h^p - h$  for some  $h \in K(C)$ , then  $V = 0$ . Therefore we can assume that  $f$  is non-constant and satisfies condition (B). At this time we have

$$\begin{aligned} N - w(V) &= (1/p) \sum_{i=0}^N \sum_{s=0}^{p-1} \Psi(s\sigma(f(P_i))) \\ &= (1/p) \sum_{s=0}^{p-1} \sum_{i=0}^N \Psi(\sigma(sf(P_i))) \\ &= (1/p) \left\{ N + \sum_{s=1}^{p-1} \sum_{i=1}^N \Psi(\sigma(sf(P_i))) \right\}. \end{aligned}$$

By Theorem we have the following estimate.

$$\left| \sum_{s=1}^{p-1} \sum_{i=1}^N \Psi(\sigma(sf(P_i))) \right| \leq (p-1)(m+2g-1)p^{ab}.$$

So we get

$$w(V) \geq \{N - (2m + 2g)p^{ab}\}(p - 1)/p.$$

Now we deal with the dimension, covering radius and minimum distance of  $C_p^*(mQ, D)$ . The following discussions are similar to [15]. Later we denote the base  $\{u_{i'j'}\}$  of  $L'(mQ)$  by  $\{u_1, u_2, \dots, u_{l'}\}$ , where  $l' = l'(mQ)$ . Then

$$M'_T = \begin{pmatrix} 1 & 1 & \dots & 1 \\ u_1(P_1) & u_1(P_2) & \dots & u_1(P_N) \\ u_2(P_1) & u_2(P_2) & \dots & u_2(P_N) \\ \dots & \dots & \dots & \dots \\ u_{l'}(P_1) & u_{l'}(P_2) & \dots & u_{l'}(P_N) \end{pmatrix}.$$

It is well known that we can get a parity check matrix  $H$  of  $C_p^*(mQ, D)$  over  $GF(p)$  from  $M'_T$ . The dimension of  $C_p^*(mQ, D)$  equals to  $N - r(H)$ ,  $r(H)$  is the rank of  $H$ . For arbitrary given  $b_1, b_2, \dots, b_w \in K$ ,  $c_1, c_2, \dots, c_w \in GF(p)$ ,  $c_i \neq 0$ ,  $i = 1, 2, \dots, w$ , we consider the

solvability of the following system for the variable  $X_i$  in  $C$

$$(*) \quad \begin{cases} c_1 u_1(X_1) + c_2 u_1(X_2) + \cdots + c_w u_1(X_w) = b_1, \\ c_1 u_2(X_1) + c_2 u_2(X_2) + \cdots + c_w u_2(X_w) = b_2, \\ \dots\dots\dots \\ c_1 u_{l'}(X_1) + c_2 u_{l'}(X_2) + \cdots + c_w u_{l'}(X_w) = b_{l'}. \end{cases}$$

We can conclude that if there exists always a solution  $X = (X_1, X_2, \dots, X_w) \in C^w$  of (\*) for some integer  $w > 0$ , the rank of  $H'$  must be  $abl'$ , where  $H'$  is the submatrix of  $H$  corresponding to  $M''T$  which is obtained by deleting the first row of  $M'_T$ .

Next we use the techniques of character sum to investigate when (\*) has a solution. First let  $C_i = 1$ . We recall the following orthogonal relation

$$\sum_{\alpha \in K} \Psi(\sigma(\alpha c)) = \begin{cases} p^{2ab} & \text{if } \alpha \neq 0, \\ 0 & \text{if } \alpha = 0. \end{cases}$$

We use  $N_w$  to denote the number of the solutions  $X = (X_1, X_2, \dots, X_w)$  of (\*) in  $C^*$ . Then

$$\begin{aligned} p^{abl'} N_w &= \sum_{X \in C^w} \prod_{i=1}^{l'} \sum_{\alpha_i \in K} \Psi(\alpha_i (u_i(X_1) + u_i(X_2) + \cdots + u_i(X_w))) \\ &= \sum_{\substack{\alpha_i \in K, \\ 0 \leq i \leq l'}} \prod_{i=1}^w \sum_{X_i \in K} \Psi(\alpha_i (u_1(X_i) + u_2(X_i) + \cdots + u_{l'}(X_i))) \\ &= \sum_{\substack{\alpha_i \in K, \\ 0 \leq i \leq l'}} \sum_{X \in K} \Psi(\alpha_1 (u_1(X) + u_2(X) + \cdots + u_{l'}(X)))^w. \end{aligned}$$

Because of the choice of  $u_i$ , we know that except  $\alpha_1 = \alpha_2 = \cdots = \alpha_{l'} = 0$ ,  $u_1(X) + u_2(X) + \cdots + u_{l'}(X)$  is non-constant and satisfies condition (B). So we have the following estimate

$$|q^{l'} N_w - N^w| \leq (q^{l'} - 1)(A\sqrt{q})^w,$$

where  $A = m + 2g - 1$ . If  $N_w = 0$ , then

$$N^w < q^{l'} A^w (\sqrt{q})^w \quad \text{and} \quad A > N\sqrt{q}(q^{-l'/w}).$$

Therefore if we take  $A \leq N\sqrt{q}(q^{-l'/w})$ ,  $N_w > 0$ . But for any

$$b_1, b_2, \dots, b_N \in K, \quad c_1, c_2, \dots, c_w \in GF(p), \quad c_i \neq 0, \quad i = 1, 2, \dots, w$$

we can take arbitrary large  $w$  to get a solution  $X = (X_1, X_2, \dots, X_w)$ . Hence if  $A < N(\sqrt{q}) - 1$ , (\*) always has a solution and  $r(H') = 2abl'$ . At last since  $c_1, c_2, \dots, c_N$  are arbitrarily given, we must have  $r(H) = r(H') + 1 = 2abl' + 1$ . The following result is proved.

**Theorem 3.5.** *If  $m + 2g - 1 < N(\sqrt{q}) - 1$ , the dimension of  $C_p^*(mQ, D)$  is  $N - 2abl' - 1$ .*

Now we consider the covering radius. The character sum trick is used to investigate the covering radius of long BCH code first by Herlleseth, then by Tietavainen, further by Moreno and Moreno for Goppa codes. Our discussion is similar. It is well known that estimating the covering radius of a linear code with parity check matrix  $H'$  is equivalent to finding the least integer  $w$  such that the system of equation  $M''_T c^t = b^t$  is solvable with any

$$b = (b_1, b_2, \dots, b_{l'}) \in K^{l'}, \quad c = (c_1, c_2, \dots, c_w) \in GF(p)^w, \quad c_i \neq 0.$$



By the previous discussion,  $M_T'' c^t = b^t$  is solvable if  $A \leq N\sqrt{q}(q^{-l'/w})$ , i.e.,

$$w \geq l' \ln q / \ln(n) - \ln(A) = (\ln(q)/2).$$

At last considering the solvability of equation  $c_1 + c_2 + \dots + c_w = b_0$  for any  $b_0 \in GF(p)$  such that  $c_i \neq 0$ ,  $i = 1, 2, \dots, w$ , we prove

**Theorem 3.6.** *Let  $t$  be the covering radius of  $C_p^*(mQ, D)$ . Then*

$$t \leq l' \ln(q) / (\ln(N) - \ln(A) - (\ln(q)/2)) + p - 1.$$

**Corollary 3.1.** *If  $N \geq A^{4l'+2}$ , we have  $t \leq 2m - 2g + p - 1$ .*

At last we will give an upper bound of the minimum distance of  $C_p^*(mQ, D)$ . To do this, in the system of equation (\*) let  $w$  be an integer and  $c_1 = c_2 = \dots = c_{w-1} = 1$ ,  $c_w = -w + 1$ ;  $\beta_1 = \beta_2 = \dots = \beta_w = 0$ . The solutions of (\*) may produce the trivial codeword  $(0, 0, \dots, 0)$ , the number of those solution is  $N^{\lceil w/p \rceil}$ , where  $\lceil w/p \rceil$  is the least integer which is greater than or equal to  $w/p$ . If there are only such that solutions, by the inequality before we have

$$|N^w - q^{l'} N^{\lceil w/p \rceil}| < q^{l'} A^w q^{w/2}.$$

If  $W_m$  denotes the least integer  $w$  such that the above inequality is not satisfied, i.e.,

$$|N^w - q^{l'} N^{\lceil w/p \rceil}| \geq q^{l'} A^w q^{w/2},$$

then we have

**Theorem 3.7.** *Let  $d$  be the minimum distance of  $C_p^*(mQ, D)$ . Then*

$$m + \mu(m) - 2g + 2 \leq d \leq W_m,$$

where

$$\mu(m) = \begin{cases} 1, & \text{if } m \equiv p-1 \pmod{p}, \\ 0, & \text{otherwise.} \end{cases}$$

**Remark 3.1.** The first inequality is from [27].

**Corollary 3.2.** *If  $N \geq A^{2l'+2}$ ,  $W_m \leq 2l' + 2$ .*

**Proof.** Take  $w = 2l' + 2$ . Since  $N > q + 1$ ,  $N^{w-1} - q^{l'} N^{w/2} \geq q^{l'+w/2}$ . Then

$$N \leq (N^w - q^{l'} N^{w/2}) / q^{l'+w/2} \leq (N^w - q^{l'} N^{w/p}) / q^{l'+w/2}.$$

Therefore if  $N \geq A^{2l'+2}$ ,

$$N^w - q^{l'} N^{w/p} \geq N q^{l'+w/2} \geq q^{l'} A^w q^{w/2}.$$

We get  $W_m \leq 2l' + 2$ .

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