

SCALAR CURVATURES ON NONCOMPACT RIEMANN MANIFOLDS**

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Abstract

The author obtains some theorems for a function to be the scalar curvature of some complete conformal metric of a noncompact complete Riemann manifold, and also presents a kind of manifolds on which Yamabe problem is unsolvable.

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§1. Introduction

A basic problem in Riemann geometry is studying the set of curvature functions that a manifold possesses. Let (M, g) be an n -dimensional Riemann manifold with scalar curvature $K(x)$ and let $\tilde{K}(x)$ be a given function on M . Does there exist a conformal metric \tilde{g} such that the scalar curvature of \tilde{g} is \tilde{K} ? When $n \geq 3$, the problem is equivalent to finding a positive solution to the equation

$$c_n \Delta_g u + \tilde{K}(x) u^{\frac{n+2}{n-2}} = K(x) u, \quad (1.1)$$

where

$$c_n = \frac{4(n-1)}{n-2}, \quad \tilde{g} = u^{\frac{4}{n-2}} g.$$

This problem has been extensively studied for compact manifolds with or without boundary (see [1, 5, 7, 11, 14]). The special case of deforming to constant scalar curvature is known as the Yamabe problem and has recently been completely resolved for compact manifolds by Schoen^[14].

If M is a complete noncompact Riemann manifold, very little is known. In the special case $M = R^n$ with Euclidean metric this problem has been studied by [8]. Recently Aviles and McOwen^[2] discussed this problem on noncompact Riemann manifolds in case $\tilde{K}(x) = -1$. The present paper is concerned with the general $\tilde{K}(x)$. This paper is organized as follows: In §2 we give a completeness theorem for conformal metrics and the existence of the complete metrics with the prescribed scalar curvature and in §3 we obtain a class of manifolds on which the Yamabe problem is unsolvable. Unless otherwise stated, (M, g) is always assumed to be a complete noncompact simply-connected Riemann manifold.

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§2. Existence Theorems

For a complete Riemann manifold (M, g) and a conformal metric $\tilde{g} = fg$, where $f > 0$ is a continuous function on M . A natural problem is under which conditions on f , \tilde{g} is also complete. If M is compact, the continuity of f can guarantee the completeness of \tilde{g} . So this problem is essential for noncompact Riemann manifolds. In what follows we give a completeness criterion which is almost optimal^[3]. We shall omit the proof since it is straightforward by using the Hopf-Rinow Theorem.

Theorem 2.1. *Let (M, g) be a complete noncompact Riemann manifold. Suppose that f is a positive continuous function on M and satisfies $f^{\frac{1}{2}}(x) \geq c(r(x))$ for $r(x) \geq r_0$, where r_0 is a positive constant and x_0 is a fixed point in M and $r(x) = d(x, x_0)$ and $c(t)$ is a real function subject to*

$$\int_0^{+\infty} c(t) dt = +\infty.$$

Then $\tilde{g} = fg$ is a complete metric on M .

Consider the following inequality

$$\Delta_g u \geq -\tilde{K}(x)u^\alpha + K(x)u, \quad (2.1)$$

where $\alpha > 1$, $\tilde{K}(x)$, $K(x)$ are continuous functions on M . Suppose that $\bar{\Omega}$ is a compact C^∞ Riemann manifold with boundary $\partial\Omega$ and interior $\Omega = \bar{\Omega} \setminus \partial\Omega$. Then we have

Lemma 2.1. *Let $\tilde{K}(x) < 0$, $K(x) \geq -K_0$, $\forall x \in \Omega$ for some constant K_0 . Then for any compact subset $X \subset \Omega$, there exists a constant $C_0 \geq 0$ such that any nonnegative continuous weak solution $u \in H_1^2(\Omega)$ of (2.1) satisfies*

$$\max_{x \in X} u(x) \leq C_0.$$

Proof. Since X is compact, there exists a positive constant $R > 0$ and $y_1, y_2, \dots, y_N \in X$ such that

$$\cup\{B_R(y_i) : i = 1, 2, \dots, N\} \supset X, \quad \bar{B}_{2R}(y_i) \subset \Omega.$$

From Theorem 8.17 in [6] we have for some i

$$\sup_{x \in X} u(x) \leq \sup_{x \in B_R(y_i)} u(x) \leq CR^{-\frac{n}{p}} \|u\|_{L^p(B_{2R}(y_i))},$$

where $p = \alpha + 1$ and the constant C depends on n, p, Ω and K_0 .

Let

$$\varphi \in C_0^\infty(\Omega), \quad \varphi|_{B_{2R}(y_i)} \equiv 1 \quad \text{and} \quad \varphi \geq 0.$$

Multiply both the sides of (2.1) by $u\varphi^q$ ($q = \frac{2(\alpha+1)}{\alpha-1}$) and integrate to obtain

$$\int_{\Omega} u\varphi^q \Delta_g u dv \geq - \int_{\Omega} \tilde{K}(x) u^{\alpha+1} \varphi^q dv + \int_{\Omega} K(x) u^2 \varphi^q dv.$$

Similar to the proof of Theorem 1.1 in [2] we have

$$- \int_{\Omega} \tilde{K}(x) u^{\alpha+1} \varphi^q dv \leq C_1,$$

where C_1 is a constant independent of u . Then

$$\int_{\Omega} u^{\alpha+1} \varphi^q dv \leq \max_{x \in \bar{\Omega}} \{(-\tilde{K}(x))^{-1}\} \int_{\Omega} (-\tilde{K}(x)) u^{\alpha+1} \varphi^q dv \leq C_2.$$

Thus

$$\|u\|_{L^p(B_{2R}(y_i))}^p \leq \int_{\Omega} u^{\alpha+1} \varphi^q dv \leq C_2$$

and this proves our lemma.

Lemma 2.2. Assume that $\tilde{K}(x)$, $K(x)$ are continuous functions and $\tilde{K}(x) < 0$. Then there is a positive C^∞ solution u of (1.1) if and only if there is a nonnegative continuous function $u_- \in (H_1^2)_{loc}$ satisfying $u_- \not\equiv 0$ and

$$c_n \Delta_g u_- + \tilde{K}(x) u_-^{\frac{n+2}{n-2}} \geq K(x) u_- . \quad (2.2)$$

Moreover $u \geq u_-$.

Proof. The necessity is obvious, we shall prove the sufficiency. Let $\Omega \subset M$ be a bounded subset. Then $K(x)$ is bounded below and we can define \bar{u} to be a constant such that $\bar{u} \geq \sup\{u_-(x) : x \in \Omega\}$ and

$$0 = c_n \Delta_g \bar{u} \leq -\tilde{K}(x) \bar{u}^{\frac{n+2}{n-2}} + K(x) \bar{u} . \quad (2.3)$$

One can find a positive constant A such that

$$f(x, u) = \tilde{K}(x) u^{\frac{n+2}{n-2}} - K(x) u + Au$$

is monotone increasing with respect to $u \in [u_-, \bar{u}]$. Let u_1 be the solution of following problem

$$-c_n \Delta_g u_1 + Au_1 = f(x, u_-), \quad (2.4)$$

$$u_1|_{\partial\Omega} = u_-|_{\partial\Omega} . \quad (2.5)$$

Then

$$-c_n \Delta_g (u_1 - u_-) + A(u_1 - u_-) \geq 0, \quad (2.6)$$

$$-c_n \Delta_g (\bar{u} - u_1) + A(\bar{u} - u_1) \geq f(x, \bar{u}) - f(x, u_-) \geq 0, \quad (2.7)$$

$$\bar{u} - u_1|_{\partial\Omega} \geq u_1 - u_-|_{\partial\Omega} = 0. \quad (2.8)$$

It follows from (2.6), (2.7), (2.8) and the maximum principle that

$$0 \leq u_- \leq u_1 \leq \bar{u}.$$

Similarly we define u_m for $m = 1, 2, \dots$,

$$-c_n \Delta_g u_m + Au_m = f(x, u_{m-1}),$$

$$u_m|_{\partial\Omega} = u_{m-1}|_{\partial\Omega} .$$

Then

$$0 \leq u_- \leq u_1 \leq u_2 \leq \dots \leq u_m \leq \bar{u}.$$

From the monotone iteration scheme^[1] we know that there exists a weak solution u of (1.1) on Ω such that $u_- \leq u \leq \bar{u}$. The standard elliptic theory shows that $u \in C^\infty(\Omega)$. Then exhausting M by a sequence of bounded domains Ω_k we can show that the sequence of solutions $\{u_k\}$ of (1.1) on each Ω_k has a subsequence which converges to the solution of (1.1) on M . The idea is similar to that of [8], so we omit the details.

Now let us prove the existence theorems.

Theorem 2.2. Suppose that $\tilde{K}(x)$, $K(x)$ are continuous function on M and $K(x) \leq 0$, $\tilde{K}(x) < 0$, $\forall x \in M$. If there exists a constant $\epsilon > 0$ such that

$$K(x)/\tilde{K}(x) \geq \epsilon, \quad x \in M \setminus M_0,$$

where M_0 is a compact subset of M , then there exists a conformal complete metric \tilde{g} with scalar curvature $\tilde{K}(x)$.

Proof. By Lemma 2.2 it suffices to find a positive subsolution of (1.1). From [2] we can suppose that the conditions hold for all $x \in M$. Set $u_- = c > 0$ such that

$$c^{\frac{4}{n-2}} \leq \epsilon \leq \frac{K(x)}{\tilde{K}(x)}.$$

Then

$$0 = c_n \Delta_g u_- \geq -\tilde{K}(x) u_-^{\frac{n+2}{n-2}} + K(x) u_-,$$

namely, u_- is a subsolution of (1.1); this proves our theorem.

In order to prove our next two theorems we need the following lemma.

Lemma 2.3.^[12] Let (M, g) be a complete Riemann manifold. Let us denote by $r(x) = d(x, x_0)$ the distance function to some fixed point $x_0 \in M$. If

$$\text{Ric}\left(\frac{\partial}{\partial r}, \frac{\partial}{\partial r}\right) \geq -c(r),$$

then in the distributional sense

$$\Delta_g r \leq \frac{n-1}{r} + \frac{1}{r^2} \int_0^r t^2 c(t) dt.$$

Lemma 2.4. Let (M, g) be a complete Riemann manifold with nonnegative scalar curvature $K(x)$, $r(x) = d(x, x_0)$. If there exists a constant $R > 0$ such that in distributional sense

$$2(n-1)\Delta_g r + \frac{2(n-1) - \tilde{K}(x)}{r} \leq -rK(x) \quad \text{as } r(x) \geq R, \quad (2.9)$$

then there exists a conformal complete metric \tilde{g} whose scalar curvature is $\tilde{K}(x)$.

Proof. Let

$$u_-(x) = (r^2 + b)^{-\frac{n-2}{4}},$$

where b is a positive constant. Then

$$\begin{aligned} u_-^{\frac{n+2}{n-2}}(x) &= (r^2 + b)^{-\frac{n+2}{4}}, \\ \Delta_g u &= \frac{n^2 - 4}{4} r^2 (r^2 + b)^{-\frac{n+6}{4}} - \frac{n-2}{2} (r^2 + b)^{-\frac{n+2}{4}} r \Delta_g r \\ &\quad - \frac{n-2}{2} (r^2 + b)^{-\frac{n+2}{4}}, \\ c_n \frac{\Delta_g u_-}{u_-} &= \frac{(n-1)(n+2)r^2}{(r^2 + b)^2} - \frac{2(n-1)r \Delta_g r}{r^2 + b} - \frac{2(n-1)}{r^2 + b}. \end{aligned}$$

(2.9) implies

$$\frac{(n-1)(n+2)r^2}{r^2 + b} - 2(n-1)r \Delta_g r - 2(n-1) \geq -\tilde{K}(x) + K(x)(r^2 + b).$$

Then we have

$$c_n \frac{\Delta_g u_-}{u_-} \geq -\tilde{K}(x) u_-^{\frac{4}{n-2}} + K(x) u_-,$$

namely, we have obtained a subsolution of (1.1) and from Lemma 2.2 we know that (1.1) possesses a positive solution $u \geq u_-$.

Since

$$u^{\frac{4}{n-2}}(x) \geq u_-^{\frac{4}{n-2}}(x) \geq \frac{c}{r^2 + b},$$

by Theorem 2.1 we know that the metric $\tilde{g} = u^{\frac{4}{n-2}}g$ is a complete metric on M .

Theorem 2.3. Let (M, g) be a noncompact complete Riemann manifold with the scalar curvature $K(x) < 0$. For a fixed point $x_0 \in M$ and $r(x) = d(x, x_0)$

$$\text{Ric}\left(\frac{\partial}{\partial r}, \frac{\partial}{\partial r}\right) \geq -c(r)$$

for some function $c(r)$. If there exists a constant $R > 0$ such that

$$0 > \tilde{K}(x) \geq 2n(n-1) + r^2 K(x) - \frac{2(n-1)}{r(x)} \int_0^{r(x)} t^2 c(t) dt \text{ as } r(x) \geq R,$$

then there exists a conformal complete metric \tilde{g} whose scalar curvature is $\tilde{K}(x)$.

The proof of this theorem is straightforward from Lemma 2.3 and Lemma 2.4.

§3. Nonexistence Results

Definition 3.1. Let M be an n -dimensional complete Riemann manifold

$$V(r) = \text{vol}(B(x_0, r)).$$

The order of M is defined to be

$$O(M) = \inf\{k \mid \lim_{r \rightarrow +\infty} V(r)/r^k < \infty\}.$$

Theorem 3.1. Let (M, g) be a complete Riemann manifold with $O(M) \leq 2$ and scalar curvature $K(x) < 0$. Then any continuous function $\tilde{K}(x) \geq 0$ cannot be the scalar curvature of a conformal metric of g .

Proof. Assume on the contrary that equation (1.1) possesses a positive solution u . Let $f = -u$. Then $f(x) < 0$ for any $x \in M$ and

$$-c_n \Delta_g f = -\tilde{K}(x)(-f)^{\frac{n+2}{n-2}} - K(x)f.$$

So $-\Delta_g f < 0$. Hence f is a negative subharmonic function on M . This contradicts a theorem of Cheng and Yau in [4].

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