

# ON COMPLETE SPACE-LIKE SUBMANIFOLDS WITH PARALLEL MEAN CURVATURE VECTOR\*\*

SHEN YIBING\*    DONG YUXING\*

## Abstract

Let  $M^n$  be a complete space-like submanifold with parallel mean curvature vector in an indefinite space form  $N_p^{n+p}(c)$ . A sharp estimate for the upper bound of the norm of the second fundamental form of  $M^n$  is obtained. A generalization of this result to complete space-like hypersurfaces with constant mean curvature in a Lorentz manifold is given. Moreover, harmonic Gauss maps of  $M^n$  in  $N_p^{n+p}(c)$  in a generalized sense are considered.

**Keywords** Pseudo-Riemannian manifold, Space-like submanifolds, Parallel mean curvature vector, Second fundamental form

**1991 MR Subject Classification** 53C40, 53C42

**Chinese Library Classification** O186.16

## §1. Introduction

Let  $N_p^{n+p}$  be an  $(n+p)$ -dimensional connected pseudo-Riemannian manifold of index  $p$ . If  $N_p^{n+p}$  is complete and has constant sectional curvature  $c$ , then it is called the indefinite space form, denoted by  $N_p^{n+p}(c)$ . The indefinite space forms  $N_1^{n+1}(c)$  of index 1 are called the Lorentz space forms, which contain the de Sitter space  $S_1^{n+1}(c)$ , where  $c > 0$ , the Minkowski space  $R_1^{n+1}$  and the anti-de Sitter space  $H_1^{n+1}(c)$ , where  $c < 0$ .

Generalizing Cheng-Yau's result<sup>[4]</sup>, Ishihara<sup>[8]</sup> and Nishikawa<sup>[11]</sup> have shown the Bernstein-type property for maximal space-like submanifolds in  $N_p^{n+p}(c)$  with  $c \geq 0$  and for maximal space-like hypersurfaces in a locally symmetric  $N_1^{n+1}$ , respectively. An entire space-like hypersurface with constant mean curvature in  $R_1^{n+1}$  is investigated respectively by Goddard<sup>[7]</sup>, Treibergs<sup>[17]</sup> and Choi-Treibergs<sup>[3]</sup>. For a complete space-like hypersurface  $M$  in  $S_1^{n+1}(c)$  with constant mean curvature  $H$ , it is seen by Akutagawa<sup>[1]</sup> and Ramanathan<sup>[14]</sup> that  $M$  is totally umbilical if either  $H^2 \leq c$  for  $n = 2$  or  $n^2 H^2 < 4(n-1)c$  for  $n \geq 3$ . This statement has been generalized by Q. Cheng<sup>[2]</sup> to complete space-like submanifolds in  $N_p^{n+p}(c)$  with parallel mean curvature vector.

A pseudo-hyperbolic space form  $H_p^{n+p}(c)$  of constant negative curvature  $c(< 0)$  and of index  $p$  can be realized as a hyperquadric in a pseudo-Euclidean  $(n+p+1)$ -space  $R_{p+1}^{n+p+1}$

---

Manuscript received September 4, 1995. Revised April 6, 1996.

\*Department of Mathematics, Hangzhou University, Hangzhou 310028, China.

\*\*Project supported by the National Natural Science Foundation of China and the Natural Science Foundation of Zhejiang Province.

of index  $p+1$  given by

$$H_p^{n+p}(c) = \left\{ x \in R_{p+1}^{n+p+1} \mid \langle x, x \rangle \equiv \sum_{i=1}^n x_i^2 - \sum_{\alpha=n+1}^{n+p+1} x_\alpha^2 = \frac{1}{c} \right\}. \quad (1.1)$$

Let  $H^k(c_k)$ ,  $c_k < 0$ , be the component of  $H_0^k(c_k)$  through  $(0, \dots, 0, \frac{1}{\sqrt{-c_k}})$ . Suppose that  $(k_1, \dots, k_{p+1})$  are positive integers satisfying  $\sum_{i=1}^{p+1} k_i = n$ . Let  $x_i$  be the point of  $H^{k_i}(\frac{nc}{k_i})$  for  $c < 0$  and  $1 \leq i \leq p+1$ . Then,  $x = (x_1, \dots, x_{p+1})$  is a point in  $R_{p+1}^{n+p+1}$  with  $\langle x, x \rangle = \frac{1}{c}$ . The following product manifold<sup>[8]</sup>

$$H_{k_1 \dots k_{p+1}}(c) = H^{k_1}\left(\frac{nc}{k_1}\right) \times \dots \times H^{k_{p+1}}\left(\frac{nc}{k_{p+1}}\right) \quad (1.2)$$

is a maximal space-like submanifold of dimension  $n$  in  $H_p^{n+p}(c)$ . It is proved in [8] that the square  $S$  of the norm of the second fundamental form of a complete maximal space-like submanifold  $M^n$  in  $H_p^{n+p}(c)$  satisfies  $0 \leq S \leq -pnc$ . Moreover, the submanifolds  $H_{k_1 \dots k_{p+1}}(c)$  described as in (1.2) are the only complete connected maximal space-like submanifolds in  $H_p^{n+p}(c)$  with  $S = -pnc$ .

A hyperbolic cylinder in  $N_1^{n+1}(c)$  is defined as a product manifold  $H^1(c_1) \times M^{n-1}(c_2)$  where  $M^{n-1}(c_2)$  is a sphere  $S^{n-1}(c_2)$ , a Euclidean space  $R^{n-1}$ , or a hyperbolic space  $H^{n-1}(c_2)$ , according to  $c > 0$ ,  $c = 0$  or  $c < 0$  (see [10]). Here it is satisfied that  $\frac{1}{c_1} + \frac{1}{c_2} = \frac{1}{c}$  when  $c \neq 0$ . Clearly, such hyperbolic cylinders are space-like hypersurfaces in  $N_1^{n+1}(c)$  and have constant mean curvature  $H = \pm(\sqrt{c-c_1} \pm (n-1)\sqrt{c-c_2})/n$  for  $c \neq 0$  and  $H = \pm\sqrt{-c_1}/n$  for  $c = 0$ . It is easy to check that the square of the norm of the second fundamental form of a hyperbolic cylinder in  $N_1^{n+1}(c)$  is equal to

$$S_{H,1} = -nc + \frac{n}{2(n-1)} \{n^2 H^2 + (n-2) |H| \sqrt{n^2 H^2 - 4(n-1)c}\}. \quad (1.3)$$

In [10] it is shown that  $S \leq S_{H,1}$  where  $S$  stands for the square of the norm of the second fundamental form of a complete space-like hypersurface  $M^n$  in  $N_1^{n+1}(c)$  with constant mean curvature  $H$ . Moreover, hyperbolic cylinders are the only complete space-like hypersurfaces with constant mean curvature in  $N_1^{n+1}(c)$  satisfying  $S = S_{H,1}$ .

In this paper, we shall firstly extend the above results to higher codimension. We will put

$$S_{H,p} = pS_{H,1} - (p-1)nH^2, \quad (1.4)$$

where the constant  $S_{H,1}$  is defined by (1.3). Then, we shall prove the following

**Theorem 1.1.** *Let  $M^n$  be an  $n$ -dimensional complete space-like submanifold in  $N_p^{n+p}(c)$  with parallel mean curvature vector  $\mathfrak{h}$ ,  $|\mathfrak{h}|^2 = H^2$ . If one of the following cases occurs:*

- (1)  $c \leq 0$ ,
- (2)  $c > 0$ ,  $n = 2$  and  $H^2 > c$ ,
- (3)  $c > 0$ ,  $n \geq 3$  and  $n^2 H^2 \geq 4(n-1)c$ ,

*then the square  $S$  of the norm of the second fundamental form of  $M^n$  satisfies  $S \leq S_{H,p}$ , where the constant  $S_{H,p}$  is defined by (1.4). Moreover, the equality holds everywhere if and only if either*

- (1)  $H = 0$  and  $M^n = H_{k_1 \dots k_{p+1}}(c)$  given by (1.2); or
- (2)  $H \neq 0$ ,  $p = 1$  and  $M^n$  is a hyperbolic cylinder in  $N_1^{n+1}(c)$ .

By this theorem and the Gauss equation of  $M^n$  in  $N_p^{n+p}(c)$ , we have immediately the following

**Corollary 1.1.** *If  $M^n$  is a complete space-like  $n$ -submanifold in  $N_p^{n+p}(c)$  with parallel mean curvature vector  $\mathfrak{h}$ ,  $|\mathfrak{h}|^2 = H^2$ , then the scalar curvature  $\rho$  of  $M^n$  satisfies*

$$n(n-1)(c-H^2) \leq \rho \leq n(n-1)c - n^2H^2 + S_{H,p}, \quad (1.5)$$

where the first equality in (1.5) holds if and only if  $M^n$  is totally umbilical in  $N_p^{n+p}(c)$ .

The proof of Theorem 1.1 will be completed in §3. In §4 we shall give a generalization of Theorem 1.1 to space-like hypersurfaces with constant mean curvature in a locally symmetric Lorentz manifold, so that the results of [1, 10, 14] are involved. Finally, in §5, we shall study harmonic Gauss maps of space-like submanifolds in  $N_p^{n+p}(c)$  in a generalized sense. This is similar to the Riemannian case<sup>[9]</sup> and extends Theorem 1.2 of [3].

## §2. Basic Formulas and Lemmas

Let  $M^n$  be an  $n$ -dimensional connected space-like submanifold isometrically immersed in an  $(n+p)$ -dimensional pseudo-Riemannian manifold  $N_p^{n+p}$  of index  $p$ . We choose a local field of pseudo-Riemannian orthonormal frames  $e_1, \dots, e_{n+p}$  in  $N_p^{n+p}$  such that, restricted to  $M^n$ , the vectors  $e_1, \dots, e_n$  are tangent to  $M^n$ . We shall make use of the following convention on the ranges of indices unless otherwise stated:

$$n+1 \leq \alpha, \beta, \dots \leq n+p.$$

For each  $\alpha$ , we denote by  $A_\alpha : T_x M^n \rightarrow T_x M^n$  the Weingarten endomorphism with respect to the normal  $e_\alpha$  at  $x \in M^n$ . The square  $S$  of the norm of the second fundamental form and the mean curvature vector  $\mathfrak{h}$  for  $M^n$  are defined respectively by

$$S = \sum_{\alpha} \operatorname{tr}(A_\alpha^2), \quad \mathfrak{h} = \frac{1}{n} \sum_{\alpha} (\operatorname{tr} A_\alpha) e_\alpha. \quad (2.1)$$

If  $\mathfrak{h} = 0$  identically, then  $M^n$  is said to be maximal in  $N_p^{n+p}$ . Instead of the maximal condition, a more general assumption is to require the submanifold to have parallel mean curvature vector, namely  $\nabla^\perp \mathfrak{h} = 0$ . This implies that the quantity

$$H^2 := |\mathfrak{h}|^2 = \sum_{\alpha} \left( \frac{1}{n} \operatorname{tr} A_\alpha \right)^2 \quad (2.2)$$

is constant on  $M^n$ , where  $H$  is called the mean curvature of  $M^n$ . In the case that  $H \neq 0$ , we can choose a local field of pseudo-Riemannian orthonormal frames in such a way that  $e_{n+1} = \mathfrak{h}/H$ . With this choice, we introduce linear maps  $B_\alpha : T_x M^n \rightarrow T_x M^n$  given by

$$B_{n+1} = A_{n+1} - HI, \quad B_\beta = A_\beta \quad (\beta > n+1), \quad (2.3)$$

where  $I$  denotes the identity. It is easy to check that each map  $B_\alpha$  is traceless and that

$$\sigma := \sum_{\alpha} \operatorname{tr}(B_\alpha^2) = S - nH^2. \quad (2.4)$$

Clearly,  $\sigma$  is nonnegative and  $\sigma$  vanishes identically if and only if  $M^n$  is totally umbilical in  $N_p^{n+p}$ . Note that the following quantity

$$\sigma_{\mathfrak{h}} := \operatorname{tr}(B_{n+1}^2) = \operatorname{tr}(A_{n+1}^2) - nH^2 \quad (2.5)$$

is independent of the choice of the frame field and is a function globally defined on  $M^n$ .

Now assume that the ambient space is  $N_p^{n+p}(c)$ . Let  $\Delta$  be the Laplacian on  $M^n$ . By using (2.1)–(2.5), a straightforward computation gives the following (see [2, 15])

**Lemma 2.1.** *Let  $M^n$  be a space-like  $n$ -submanifold in  $N_p^{n+p}(c)$  with parallel mean curvature vector  $\mathfrak{h}$ . Then we have*

$$\begin{aligned} \frac{1}{2}\Delta\sigma_{\mathfrak{h}} = & |\nabla B_{n+1}|^2 + \sigma_{\mathfrak{h}}(\sigma_{\mathfrak{h}} + nc - nH^2) - nH(\text{tr}B_{n+1}^3) \\ & + \sum_{\beta > n+1} (\text{tr}(B_{n+1}B_{\beta}))^2, \end{aligned} \quad (2.6)$$

$$\begin{aligned} \frac{1}{2}\Delta\sigma = & \sum_{\alpha} |\nabla B_{\alpha}|^2 + n(c - H^2)\sigma - nH \sum_{\alpha} \text{tr}(B_{n+1}B_{\alpha}^2) \\ & + \sum_{\alpha, \beta} (\text{tr}(B_{\alpha}B_{\beta}))^2 - \sum_{\alpha, \beta > n+1} \text{tr}([B_{\alpha}, B_{\beta}])^2, \end{aligned} \quad (2.7)$$

where  $[B_{\alpha}, B_{\beta}] = B_{\alpha}B_{\beta} - B_{\beta}B_{\alpha}$ .

In order to estimate the right hand sides of above formulas, we need the following algebraic lemma due to Santos.

**Lemma 2.2.**<sup>[15]</sup> *Let  $A, B : R^n \rightarrow R^n$  be symmetric linear maps such that  $[A, B] = 0$  and  $\text{tr}A = \text{tr}B = 0$ . Then*

$$-\frac{n-2}{\sqrt{n(n-1)}}(\text{tr}A^2)(\text{tr}B^2)^{1/2} \leq \text{tr}A^2B \leq \frac{n-2}{\sqrt{n(n-1)}}(\text{tr}A^2)(\text{tr}B^2)^{1/2},$$

where the equality holds on the right (resp. left) hand side if and only if  $(n-1)$  of the eigenvalues  $\lambda_i$  of  $A$  and the corresponding eigenvalues  $\mu_i$  of  $B$  satisfy

$$|\lambda_i| = \frac{(\text{tr}A^2)^{1/2}}{\sqrt{n(n-1)}}, \quad \lambda_i\lambda_j \geq 0, \quad \mu_i = \frac{(\text{tr}B^2)^{1/2}}{\sqrt{n(n-1)}} \quad \left( \text{resp. } -\frac{(\text{tr}B^2)^{1/2}}{\sqrt{n(n-1)}} \right).$$

We now want to establish the following analytic lemma used below.

**Lemma 2.3.** *Let  $M$  be a complete Riemannian manifold with Ricci curvature bounded from below and  $f$  be a nonnegative  $C^2$ -function on  $M$ . If  $f$  satisfies*

$$\Delta f \geq a_0 f^{1+r} + \text{finite terms as } \{a_i f^{r_i}\}, \quad (2.8)$$

where  $a_0$  and  $r$  are positive real numbers,  $r_i$ 's are nonnegative real numbers less than  $1+r$ , and  $a_i$ 's are arbitrary real numbers, then  $\sup_M f = f_0 < +\infty$  and  $f_0$  satisfies

$$0 \geq a_0 f_0^{1+r} + \text{finite terms as } \{a_i f_0^{r_i}\}.$$

**Proof.** Consider the function  $F$  on  $M$  defined by

$$F = (f+1)^{-r/2}, \quad (2.9)$$

which is bounded on  $M$  and, in fact,  $0 \leq F \leq 1$ . Since the Ricci curvature of  $M$  is bounded from below, we can apply the generalized maximal principle (see, e.g., [5]) to the function  $F$  bounded from below. Namely, for any given number  $\varepsilon > 0$ , there exists a point  $x \in M$  such that

$$|\nabla F(x)| < \varepsilon, \quad \Delta F(x) > -\varepsilon, \quad F(x) < \inf F + \varepsilon. \quad (2.10)$$

Consequently, by (2.9) and (2.10), it is easy to see that

$$r^2 F^{2(1+r)/r}(x) \Delta f(x) < 2(2+r)\varepsilon^2 + 2rF(x)\varepsilon. \quad (2.11)$$

Thus, for any convergent sequence  $\{\varepsilon_m > 0\}$  such that  $\varepsilon_m \rightarrow 0$  ( $m \rightarrow \infty$ ), there exists a point sequence  $\{x_m\}$  so that the sequence  $\{F(x_m)\}$  satisfies (2.10) and converges to  $F_0 = \inf F$  by taking a subsequence, if necessary. It implies that  $f(x_m) \rightarrow f_0 = \sup f$  according to (2.9). Since  $F$  is bounded on  $M$ , (2.11) implies that for any positive number  $\varepsilon$  ( $< a_0$ ) there is a sufficiently large number  $m$  such that

$$F^{2(1+r)/r}(x_m)\Delta f(x_m) < \varepsilon. \quad (2.12)$$

This inequality and (2.8) yield

$$f^{1+r}(x_m)[a_0 - \varepsilon(1 + f^{-1}(x_m))^{1+r}] + \text{finite terms as } \{a_i f^{r_i}(x_m)\} < 0,$$

which implies that the sequence  $\{f(x_m)\}$  is bounded because  $\varepsilon < a_0$  and  $r_i < 1 + r$ . Thus,  $f_0 < +\infty$  and  $F_0 \neq 0$ . Consequently, it follows from (2.12) that

$$\lim_{m \rightarrow \infty} \Delta f(x_m) \leq 0.$$

This and (2.8) complete the proof of the lemma.

**Lemma 2.4.** *Let  $M^n$  be an  $n$ -dimensional space-like submanifold in  $N_p^{n+p}(c)$  with constant mean curvature  $H$ . Then the Ricci curvature of  $M^n$  satisfies  $\text{Ric}(M^n) \geq (n-1)c - n^2 H^2/4$ , and the equality holds everywhere if and only if either  $n = 2$  and  $M^n$  is totally umbilical or  $n \geq 3$  and  $M^n$  is totally geodesic.*

**Proof.** It follows directly from the Gauss equation of  $M^n$  in  $N_p^{n+p}(c)$ .

**Remark.** When  $H = 0$ , this lemma is due to Ishihara (see. [8, Proposition 2.1]).

### §3. Proof of Theorem 1.1

If  $H = 0$  or  $p = 1$ , then we have nothing to prove, because these cases reduce to the results of [8] and [10]. In sequence, we shall consider the only case that  $H \neq 0$  and  $p \geq 2$ .

Firstly, under the hypothesis as in Theorem 1.1, the numbers  $S_{H,1}$  and  $S_{H,p}$  are well-defined by (1.3) and (1.4). Moreover, it is easy to see that

$$\begin{aligned} S_{H,1} - nH^2 &= \frac{n}{4(n-1)} \left[ (n-2) |H| + \sqrt{n^2 H^2 - 4(n-1)c} \right]^2 \\ &= \frac{1}{p} (S_{H,p} - nH^2). \end{aligned} \quad (3.1)$$

Next, applying Lemma 2.2 with  $A = B$  to the estimate of  $\text{tr}(B_{n+1}^3)$ , we have from (2.6)

$$\frac{1}{2} \Delta \sigma_{\mathfrak{h}} \geq \sigma_{\mathfrak{h}} \left( \sigma_{\mathfrak{h}} - \frac{n(n-2)}{\sqrt{n(n-1)}} |H| \sigma_{\mathfrak{h}}^{1/2} + nc - nH^2 \right). \quad (3.2)$$

Since  $M^n$  is space-like, by Lemma 2.4 we can apply Lemma 2.3 to the nonnegative function  $\sigma_{\mathfrak{h}}$ . It follows from (3.2) that

$$(\sup \sigma_{\mathfrak{h}}) \left\{ (\sup \sigma_{\mathfrak{h}}) - \frac{n(n-2)}{\sqrt{n(n-1)}} |H| (\sup \sigma_{\mathfrak{h}})^{1/2} + nc - nH^2 \right\} \leq 0. \quad (3.3)$$

By considering the second factor of the left hand side of (3.3) as the quadratic function in  $(\sup \sigma_{\mathfrak{h}})^{1/2}$ , we can easily see that

$$\sigma_{\mathfrak{h}} \leq \sup \sigma_{\mathfrak{h}} \leq S_{H,1} - nH^2 \quad (3.4)$$

according to (3.1).

In order to estimate the right hand side of (2.7), we note the following facts:

$$-\sum_{\alpha,\beta} \operatorname{tr}([B_\alpha, B_\beta])^2 \geq 0, \quad \sum_{\alpha} (\operatorname{tr} B_\alpha^2)^2 \geq \frac{1}{p} \sigma^2. \quad (3.5)$$

Since  $M^n$  has parallel mean curvature vector  $\mathfrak{h}$ , we have  $[B_{n+1}, B_\alpha] = 0$  when  $e_{n+1} = \mathfrak{h}/H$ . Thus, we can apply Lemma 2.2 to estimate  $\operatorname{tr}(B_{n+1} B_\alpha^2)$ . By this and (3.5), it follows from (2.7) that

$$\frac{1}{2} \Delta \sigma \geq \sigma \left\{ \frac{1}{p} \sigma - \frac{n(n-2)}{\sqrt{n(n-1)}} |H| \sigma_{\mathfrak{h}}^{1/2} + nc - nH^2 \right\}. \quad (3.6)$$

On the other hand, by (3.1) and (3.4), the following relationship

$$\frac{n(n-2)}{\sqrt{n(n-1)}} |H| \sigma_{\mathfrak{h}}^{1/2} - nc + nH^2 \leq S_{H,1} - nH^2 = \frac{1}{p} (S_{H,p} - nH^2) \quad (3.7)$$

can be derived by a simple calculation. Combining (3.6) with (3.7) yields

$$\frac{1}{2} \Delta \sigma \geq \frac{1}{p} \sigma (\sigma + nH^2 - S_{H,p}). \quad (3.8)$$

Applying Lemma 2.3 to the nonnegative function  $\sigma$ , we obtain immediately

$$\sigma \leq \sup \sigma \leq S_{H,p} - nH^2,$$

namely,  $S \leq S_{H,p}$  by (2.4). This completes the proof of the first part of Theorem 1.1.

Assume now that  $S = S_{H,p}$  on  $M^n$  everywhere. Then,  $\Delta \sigma = 0$  and this implies that all estimates used to obtain (3.2) and (3.8) are equalities. Thus, from these equalities and Lemma 2.2 we have

$$\begin{aligned} |\nabla B_\alpha|^2 &= 0, \\ \operatorname{tr}(B_{n+1} B_\alpha^2) &= \pm \frac{n-2}{\sqrt{n(n-1)}} (\operatorname{tr} B_\alpha^2) (\operatorname{tr} B_{n+1}^2)^{1/2}, \end{aligned} \quad (3.9)$$

$$\operatorname{tr} B_\alpha^2 = \operatorname{tr} B_{n+1}^2 = \sigma_{\mathfrak{h}} = S_{H,1} - nH^2,$$

$$\operatorname{tr}([B_\alpha, B_\beta])^2 = 0. \quad (3.10)$$

Let  $(B_\alpha)$  denote the matrices which define the maps  $B_\alpha$ 's. By the equality part of Lemma 2.2, (3.9) implies that there exists an orthonormal frame  $e_1, \dots, e_n$  of  $TM^n$  such that, in this frame,  $(B_\alpha)$  has the following form:

$$(B_\alpha) = \lambda_\alpha \begin{pmatrix} 1 & & & & \\ & \cdot & & & 0 \\ & & \cdot & & \\ & & & \cdot & \\ 0 & & & & 1 \\ & & & & & -(n-1) \end{pmatrix}, \quad \lambda_\alpha = \pm \sqrt{\frac{S_{H,1} - nH^2}{n(n-1)}}. \quad (3.11)$$

This shows that the first normal space of  $M^n$  in  $N_p^{n+p}(c)$ , namely

$$T_1^\perp(x) = \operatorname{span} \left\{ \sum_{\alpha} \langle A_\alpha(X), Y \rangle e_\alpha, \quad X, Y \in T_x M^n \right\}$$

has constant dimension in  $M^n$ . It is easy to see that  $\dim T_1^\perp(x) \leq 2$  for all  $x \in M^n$ . The formula (3.10) implies that the normal connection of  $M^n$  is flat, i.e.,  $R^\perp = 0$ . Since  $\nabla^\perp \mathfrak{h} = 0$  in  $M^n$ , the first normal bundle  $T_1^\perp$  is a parallel normal subbundle (see [6, 15]). Let  $T_2^\perp$  be

the orthogonal complement of  $T_1^\perp$  in the normal bundle of  $M^n$ , which is also a parallel normal subbundle. By the definition of  $T_1^\perp$ ,  $M^n$  is totally geodesic with respect to the normal subbundle  $T_2^\perp$ . So, it is possible to reduce the codimension of  $M^n$  to two (see [18, Theorem 1]). Thus, we can regard  $M^n$  as a space-like submanifold in  $N_2^{n+2}(c) \hookrightarrow N_p^{n+p}(c)$  with  $S = S_{H,p}$ .

We now will prove that  $M^n$  has a parallel umbilic direction in  $N_2^{n+2}(c)$ . In fact, if we choose a new pseudo-Riemannian orthonormal frame  $\{e'_{n+1}, e'_{n+2}\}$  of  $T^\perp M^n$  given by

$$e'_{n+k} = \frac{1}{\sqrt{\lambda_{n+1}^2 + \lambda_{n+2}^2}} \{\lambda_{n+2}e_{n+1} + (-1)^{k-1}\lambda_{n+1}e_{n+2}\}, \quad k = 1, 2, \quad (3.12)$$

then  $e'_{n+1}, e'_{n+2}$  are parallel in  $T^\perp M^n$  and  $e'_{n+2}$  is an umbilic direction. Hence, there exists a totally umbilical hypersurface  $N_1^{n+1}(\tilde{c})$  in  $N_2^{n+2}(c)$  such that  $M^n$  lies in  $N_1^{n+1}(\tilde{c})$  as a space-like hypersurface and  $M^n$  is not totally umbilical in  $N_1^{n+1}(\tilde{c})$  because  $S = S_{H,p}$ . Namely, we have the following composition:

$$M^n \hookrightarrow N_1^{n+1}(\tilde{c}) \hookrightarrow N_2^{n+2}(c) \hookrightarrow N_p^{n+p}(c).$$

Let  $h_k$ ,  $k = 1, 2$ , be the mean curvature of  $M^n$  in  $N_2^{n+2}(c)$  with respect to the normal  $e'_{n+k}$ . By (3.11) and (3.12), it is easy to see that

$$h_1^2 = h_2^2 = \frac{1}{2}H^2. \quad (3.13)$$

By the Gauss equation of  $N_1^{n+1}(\tilde{c})$  in  $N_2^{n+2}(c)$ , we then have

$$\tilde{c} = c - h_2^2 = c - \frac{1}{2}H^2. \quad (3.14)$$

Since  $M^n$  is not totally umbilical in  $N_1^{n+1}(\tilde{c})$ , then, by [1] or [14], it would be satisfied that

$$\begin{aligned} h_1^2 &> \tilde{c} \quad \text{for } n = 2, \\ n^2 h_1^2 &\geq 4(n-1)\tilde{c} \quad \text{for } n \geq 3. \end{aligned} \quad (3.15)$$

By (3.13) and (3.14), it follows from (3.15) that

$$n^2 H^2 - \frac{1}{2}(n-2)^2 H^2 \geq 4(n-1)c. \quad (3.16)$$

On the other hand, let  $S'$  and  $S_{h_1,1}$  denote respectively the square of the norm of the second fundamental form of  $M^n$  in  $N_1^{n+1}(\tilde{c})$  and the constant defined as in (1.2) where  $H$  is replaced by  $h_1$ . By [10], we would have

$$S' \leq S_{h_1,1}. \quad (3.17)$$

Let  $S''$  denote the square of the norm of the second fundamental form of  $M^n$  in  $N_2^{n+2}(c)$  with respect to the normal  $e'_{n+2}$ . Since  $e'_{n+2}$  is an umbilic direction, we have  $S'' = nh_2^2 = nh_1^2$ . Thus, by (3.13) and (3.1), we have

$$S' = S - S'' = S_{H,p} - \frac{1}{2}nH^2 = p(S_{H,1} - nH^2) + \frac{1}{2}nH^2.$$

This together with (3.17) yields

$$2(S_{H,1} - nH^2) \leq p(S_{H,1} - nH^2) \leq S_{h_1,1} - nh_1^2.$$

By the formulas (3.1) and (3.13), the inequality above can be reduced to

$$(n-2) |H| + 2\sqrt{n^2 H^2 - 4(n-1)c} \leq \sqrt{n^2 H^2 + 4(n-1)(H^2 - 2c)},$$

namely,

$$(n-2) |H| \sqrt{n^2 H^2 - 4(n-1)c} \leq 2(n-1)c - (n^2 - 2n + 2)H^2. \quad (3.18)$$

By combining (3.18) with (3.16), we obtain a contradiction when  $H \neq 0$  and  $n \neq 2$ . If  $n = 2$ , then (3.18) becomes  $c \geq H^2$ , i.e.,  $\tilde{c} \geq h_1^2$  according to (3.13) and (3.14). This contradicts (3.15)<sub>1</sub>.

Hence, to sum up, there is no any complete space-like submanifold  $M^n$  in  $N_p^{n+p}(c)$  with nonzero parallel mean curvature vector such that  $p \geq 2$  and  $S = S_{H,p}$  everywhere. This proves Theorem 1.1 completely.

**Remark.** A submanifold is said to be pseudo-umbilical if its mean curvature vector is an umbilic direction everywhere. From the proof of the first part of Theorem 1.1 we have the following: Let  $M^n$  be a complete space-like  $n$ -submanifold in  $N_p^{n+p}(c)$  with parallel mean curvature vector  $\mathfrak{h}$ ,  $|\mathfrak{h}|^2 = H^2$ . If  $M^n$  is pseudo-umbilical, then  $M^n$  is a maximal space-like submanifold in a totally umbilical hypersurface  $N_{p-1}^{n+p-1}(c')$  of  $N_p^{n+p}(c)$  with  $c' = c - H^2$ , so that either  $M^n$  is totally umbilical in  $N_p^{n+p}(c)$  for  $c \geq H^2$ , or the square  $S$  of the norm of the second fundamental form of  $M^n$  in  $N_p^{n+p}(c)$  satisfies  $S \leq n(p+1)H^2 - npc$  for  $c < H^2$ .

#### §4. A Generalization to Lorentz Manifolds

In this section we prove the following

**Theorem 4.1.** Let  $N_1^{n+1}$  be a locally symmetric Lorentz manifold whose sectional curvature  $K_N$  is pinched by  $c_2 \leq K_N \leq c_1$  for some two real numbers  $c_1$  and  $c_2$ . Let  $M^n$  be a complete space-like hypersurface in  $N_1^{n+1}$  with constant mean curvature  $H$ . Put  $c = (5c_2 - 3c_1)/2$  and  $\sigma = S - nH^2$  where  $S$  stands for the square of the norm of the second fundamental form of  $M^n$ . Then, we have the following estimates for  $\sigma$ :

$$\sigma \leq \frac{2n(n-1)(c_1 - c_2)H^2}{4(n-1)c - n^2H^2} \quad \text{for } n^2H^2 < 4(n-1)c; \quad (4.1)$$

$$\sigma \leq \left( \sqrt{a} + \frac{n-2}{2} \sqrt{c/n} \right)^2 \quad \text{for } n^2H^2 = 4(n-1)c, \quad (4.2)$$

where

$$a = (n-2)^2 \frac{c}{4n} + \sqrt{2(n-1)(c_1 - c_2)c};$$

$$\sigma \leq \frac{1}{4} \left( a + \sqrt{a^2 + 2n |H| \sqrt{2(c_1 - c_2)}} \right)^2 \quad \text{for } n^2H^2 > 4(n-1)c, \quad (4.3)$$

where

$$a = \frac{1}{2} \sqrt{\frac{n}{n-1}} \left\{ (n-2) |H| + \sqrt{n^2H^2 - 4(n-1)c} \right\}.$$

**Proof.** We choose a local field of Lorentzian orthonormal frames  $e_1, \dots, e_n, e_{n+1}$  in  $N_1^{n+1}$  such that, restricted to  $M^n$ , the vector  $e_{n+1}$  is time-like so that  $e_{n+1}$  is normal to  $M^n$ . Furthermore, the tangent vectors  $e_1, \dots, e_n$  can be chosen in such a way that

$$A(e_i) = \lambda_i e_i \quad (1 \leq i, j, \dots \leq n),$$

where  $A$  is the Weingarten endomorphism of  $M^n$ . Denote by  $K(e_i \wedge e_a)$  the sectional curvature of  $N_1^{n+1}$  with respect to the nondegenerate 2-plane spanned by vectors  $e_i$  and



$e_a$  in  $TN_1^{n+1}$  for  $1 \leq a \leq n+1$ . Without loss of generality, we may assume that the mean curvature  $H = (\sum_i \lambda_i)/n$  is nonnegative. Let  $\Delta$  be the Laplacian on  $M^n$ . By the computation as in [11], it is not hard to see that

$$\begin{aligned} \frac{1}{2} \Delta S = & |\nabla A|^2 + nH \sum_i \lambda_i K(e_i \wedge e_{n+1}) - S \sum_i K(e_i \wedge e_{n+1}) \\ & + \sum_{i,j} (\lambda_i - \lambda_j)^2 K(e_i \wedge e_j) - nH(\text{tr} A^3) + S^2, \end{aligned} \quad (4.4)$$

where  $S = \text{tr} A^2$ .

Since the sectional curvature of  $N_1^{n+1}$  is pinched, we have the following estimates:

$$\begin{aligned} & nH \sum_i \lambda_i K(e_i \wedge e_{n+1}) - S \sum_i K(e_i \wedge e_{n+1}) \\ = & -\frac{1}{2} \sum_{i,j} (\lambda_i - \lambda_j)^2 K(e_i \wedge e_{n+1}) - \frac{1}{2} S \sum_i K(e_i \wedge e_{n+1}) + \frac{n}{2} \sum_i \lambda_i^2 K(e_i \wedge e_{n+1}) \\ \geq & -nc_1(S - nH^2) - \frac{n}{2}(c_1 - c_2)S, \end{aligned} \quad (4.5)$$

$$\sum_{i,j} (\lambda_i - \lambda_j)^2 K(e_i \wedge e_j) \geq 2nc_2(S - nH^2). \quad (4.6)$$

Substituting (4.5) and (4.6) into (4.4) yields

$$\frac{1}{2} \Delta S \geq n(2c_2 - c_1)(S - nH^2) - \frac{n}{2}(c_1 - c_2)S - nH(\text{tr} A^3) + S^2. \quad (4.7)$$

By introducing the linear map  $B = A - HI$  and putting  $f^2 = \text{tr} B^2 = \sigma$ , we have from (4.7)

$$\frac{1}{2} \Delta f^2 \geq f^4 + n(c - H^2)f^2 - nH(\text{tr} B^3) - \frac{1}{2}(c_1 - c_2)n^2H^2, \quad (4.8)$$

where  $c = (5c_2 - 3c_1)/2$ . Since the map  $B$  is traceless, we can apply Lemma 2.2 to estimate  $\text{tr} B^3$  in (4.8) and obtain

$$\frac{1}{2} \Delta f^2 \geq f^4 - \frac{n(n-2)}{\sqrt{n(n-1)}} H f^3 + n(c - H)f^2 - \frac{1}{2}(c_1 - c_2)n^2H^2. \quad (4.9)$$

Since the sectional curvature of  $N_1^{n+1}$  is bounded, the Ricci curvature of  $M^n$  is bounded from below according to the Gauss equation of  $M^n$ . Thus, applying Lemma 2.3 to the function  $f^2$ , we have from (4.9)

$$\begin{aligned} 0 & \geq f_0^4 - \frac{n(n-2)}{\sqrt{n(n-1)}H} f_0^3 + n(c - H^2)f_0^2 - \frac{1}{2}(c_1 - c_2)n^2H^2 \\ & = f_0^2 \left( f_0 - \frac{n-2}{2} \sqrt{\frac{n}{n-1}} H \right)^2 + \frac{n}{4(n-1)} \{4(n-1)c - n^2H^2\} f_0^2 \\ & \quad - \frac{1}{2}(c_1 - c_2)n^2H^2, \end{aligned} \quad (4.10)$$

where  $f_0 = \sup_M f < +\infty$ .

We now consider three cases separately.

**Case (i)**  $n^2H^2 < 4(n-1)c$ . In such a case we have from the second part of (4.10)

$$0 \geq \frac{1}{2(n-1)} \{4(n-1)c - n^2H^2\} f_0^2 - (c_1 - c_2)nH^2,$$

from which (4.1) follows directly.

**Case (ii)**  $n^2 H^2 = 4(n-1)c$ . It follows from the second part of (4.10) that

$$f_0^2 \left( f_0 - \frac{n-2}{2} \sqrt{\frac{n}{n-1}} H \right)^2 \leq \frac{1}{2} (c_1 - c_2) n^2 H^2. \quad (4.11)$$

If  $f_0 \geq \frac{n-2}{2} \sqrt{\frac{n}{n-1}} H = (n-2) \sqrt{c/n}$ , then (4.11) implies that

$$f_0^2 - (n-2) \sqrt{c/n} f_0 - \sqrt{2(n-1)(c_1 - c_2)} c \leq 0,$$

from which (4.2) follows. If  $f_0 < (n-2) \sqrt{c/n}$ , then (4.2) holds naturally.

**Case (iii)**  $n^2 H^2 > 4(n-1)c$ . In such a case the first part of (4.10) can be reduced as

$$0 \geq f_0^2 (f_0 - a)^2 + f_0^2 (f_0 - a) \sqrt{\frac{n}{n-1} \{n^2 H^2 - 4(n-1)c\}} - \frac{1}{2} (c_1 - c_2) n^2 H^2, \quad (4.12)$$

where  $a$  is given as in (4.3). If  $f_0 < a$ , then (4.3) is trivial. If  $f_0 \geq a$ , then it follows from (4.12) that

$$f_0^2 (f_0 - a)^2 \leq \frac{1}{2} (c_1 - c_2) n^2 H^2.$$

This implies that

$$f_0^2 - a f_0 - \frac{n}{\sqrt{2}} \sqrt{c_1 - c_2} H \leq 0,$$

from which (4.3) follows immediately.

**Remark.** If  $N_1^{n+1}$  is a Lorentz space form, i.e.,  $c_1 = c_2$ , then (4.1) with (4.2) implies the result of [1] or [14], and (4.3) with (4.2) implies Theorem 1 of [10]. On the other hand, for complete maximal space-like hypersurfaces  $M^n$  in  $N_1^{n+1}$ , it is easy to see from (4.1) and (4.2) that  $M^n$  is totally geodesic if  $5c_2 - 3c_1 \geq 0$ . This has been shown in [11].

## §5. Harmonic Gauss Maps

In this section, we assume that  $N_p^{n+p}(c)$  is simply connected and  $p \geq 1$ . Denote by  $O_p(m)$  the pseudo-orthogonal group which is the set of all matrices  $A \in GL(m, R)$  that preserve the pseudo-Euclidean inner product of  $R_p^m$  (see [12]).

The bundle  $F(N_p^{n+p}(c))$  of the pseudo-orthonormal frames on  $N_p^{n+p}(c)$  can be identified with the group  $G(n+p)$  which is one of the following:

- (i)  $O_p(n+p+1)$  for  $c > 0$ ;
- (ii)  $O_p(n+p)$  for  $c = 0$ ;
- (iii)  $O_{p+1}(n+p+1)$  for  $c < 0$ .

Let  $\theta_{A'B'}$  be the Maurer-Cartan forms of  $G(n+p)$ , where from now on we agree with the following ranges of indices:

$$\begin{aligned} 0 \leq A', B', \dots \leq n+p, & \quad 1 \leq A, B, \dots \leq n+p, \\ 1 \leq i, j, \dots \leq n, & \quad n+1 \leq \alpha, \beta, \dots \leq n+p. \end{aligned}$$

Then  $\theta_{A'B'}$  satisfy the structure equations:

$$d\theta_{A'B'} = \sum_{C'} \varepsilon_{C'} \theta_{A'C'} \wedge \theta_{C'B'}, \quad \theta_{A'B'} + \theta_{B'A'} = 0, \quad (5.1)$$

where  $\varepsilon_i = 1$ ,  $\varepsilon_\alpha = -1$  and

$$\varepsilon_0 = \begin{cases} 1 & \text{for } c > 0, \\ 0 & \text{for } c = 0, \\ -1 & \text{for } c < 0. \end{cases}$$

On putting

$$\theta_A = \frac{1}{\sqrt{\varepsilon_0 c}} \theta_{0A} \quad \text{for } c \neq 0, \quad (5.2)$$

the pseudo-Riemannian metric of  $N_p^{n+p}(c)$  is given by

$$ds_N^2 = \sum_A \varepsilon_A \theta_A^2$$

and (5.1) becomes

$$\begin{aligned} d\theta_A &= \sum_B \varepsilon_B \theta_{AB} \wedge \theta_B, \\ d\theta_{AB} &= \sum_C \varepsilon_C \theta_{AC} \wedge \theta_{CB} - c\theta_A \wedge \theta_B, \end{aligned} \quad (5.3)$$

which is the structure equation of  $N_p^{n+p}(c)$ .

Let  $M^n$  be a space-like  $n$ -submanifold in  $N_p^{n+p}(c)$  and  $F(M^n)$  the bundle of orthonormal frames on  $M^n$ . If  $P$  is the set of elements  $\mathbf{p} = (x, e_1, \dots, e_{n+p}) \in F(N_p^{n+p}(c))$  such that  $x \in M^n$  and  $(x, e_1, \dots, e_n) \in F(M^n)$ , then  $\pi : P \rightarrow M^n$  can be viewed as a principal bundle with the fibre  $G(n) \times O_p(p)$  and  $i : P \rightarrow F(N_p^{n+p}(c))$  is the natural identification.

Let  $Q$  be the set of all totally geodesic space-like  $n$ -spaces in  $N_p^{n+p}(c)$ , which is identified with a pseudo-Grassmannian  $G(n+p)/G(n) \times O_p(p)$ . By means of forms  $\theta_{A'B'}$  of  $G(n+p)$  we can introduce a pseudo-Riemannian metric on  $Q$ :

$$ds_Q^2 = \varepsilon_0 \sum_{\alpha} \varepsilon_{\alpha} (\theta_{0\alpha})^2 + \sum_{i,\alpha} \varepsilon_i \varepsilon_{\alpha} (\theta_{i\alpha})^2, \quad (5.4)$$

which is invariant under the action of  $G(n+p)$ .

As a natural generalization of the Gauss maps of Riemannian submanifolds described as in [13], the Gauss map for  $M^n$  of  $N_p^{n+p}(c)$  in a generalized sense may be defined as the map  $\mathbf{g} : M^n \rightarrow Q$  such that  $\mathbf{g}(x)$ ,  $x \in M^n$ , is a totally geodesic space-like  $n$ -space in  $N_p^{n+p}(c)$  which is tangent to  $M^n$  at  $x$ . Then, we have the following commutative diagram:

$$\begin{array}{ccc} P & \xrightarrow{i} & F(N_p^{n+p}(c)) = G(n+p) \\ \pi \downarrow & & \downarrow \pi \\ M^n & \xrightarrow[\mathbf{g}]{} & Q = G(n+p)/G(n) \times O_p(p) \end{array} \quad (5.5)$$

where  $\pi$  is the natural projection.

By using the same method as in [9] or [16], from (5.1)–(5.5) we can give easily the following theorem whose proof is omitted here.

**Theorem 5.1.** *Let  $M^n$  be a space-like  $n$ -submanifold in  $N_p^{n+p}(c)$ . Then, the Gauss map  $\mathbf{g} : M^n \rightarrow G(n+p)/G(n) \times O_p(p)$  is harmonic if and only if*

- (i)  $M^n$  has parallel mean curvature vector when  $c = 0$ ; or
- (ii)  $M^n$  is a maximal submanifold when  $c \neq 0$ .

**Remark.** When  $c = 0$  and  $p = 1$ , the proof of the theorem was given in [3]. This theorem provides a class of harmonic maps from Riemannian manifolds to pseudo-Riemannian manifolds.

## REFERENCES

- [1] Akutagawa, K., On space-like hypersurfaces with constant mean curvature in the de Sitter space, *Math. Z.*, **196** (1987), 13–19.
- [2] Cheng, Q. M., Complete space-like submanifolds in a de Sitter space with parallel mean curvature vector, *Math. Z.*, **206** (1991), 333–339.
- [3] Choi, H. I. & Treibergs, A. E., Gauss maps of spacelike constant mean curvature hypersurfaces of a Minkowski space, *J. Diff. Geom.*, **32** (1990), 775–817.
- [4] Cheng, S. Y. & Yau, S. T., Maximal space-like hypersurfaces in the Lorentz-Minkowski space, *Ann. of Math.*, **104** (1976), 407–419.
- [5] Cheng, S. Y. & Yau, S. T., Differential equations on Riemannian manifolds and their geometric applications, *Comm. Pure Appl. Math.*, **28** (1975), 333–354.
- [6] Dajczer, M., Reduction of codimension of regular isometric immersion, *Math. Z.*, **179** (1982), 263–286.
- [7] Gorrard, A. J., Some remarks on the existence of space-like hypersurfaces of constant mean curvature, *Math. Proc. Cambridge Philos. Soc.*, **82** (1977), 489–495.
- [8] Ishihara, T., Maximal spacelike submanifolds of a pseudo-Riemannian space of constant curvature, *Michigan Math. J.*, **35** (1988), 345–352.
- [9] Ishihara, T., The harmonic Gauss maps in a generalized sense, *J. London Math. Soc.*, **26** (1982), 104–112.
- [10] Ki, U. H., Kim, H. J. & Nakagawa, H., On space-like hypersurfaces with constant mean curvature of a Lorentz space form, *Tokyo J. Math.*, **14** (1991), 205–215.
- [11] Nishikawa, S., On maximal spacelike hypersurfaces in a Lorentzian manifold, *Nagoya Math. J.*, **95** (1984), 117–124.
- [12] O'Neill, B., *Semi-Riemannian geometry*, Academic Press, New York, London, 1983.
- [13] Obata, M., The Gauss map of immersions of Riemannian manifolds in spaces of constant curvature, *J. Diff. Geom.*, **2** (1968), 217–223.
- [14] Ramanathan, J., Complete spacelike hypersurfaces of constant mean curvature in a de Sitter space, *Indiana Univ. Math. J.*, **36** (1987), 349–359.
- [15] Santos, W., Submanifolds with parallel mean curvature vector in spheres, *Tôhoku Math. J.*, **46** (1994), 405–415.
- [16] Shen, Y. B., On the tension field of the generalized Gauss map, *J. Hangzhou Univ. (N.S.)*, **11** (1984), 311–315.
- [17] Treibergs, A. E., Entire hypersurfaces of constant mean curvature in Minkowski space, *Invent. Math.*, **66** (1982), 39–56.
- [18] Yau, S. T., Submanifolds with constant mean curvature I, *Amer.J. Math.*, **96** (1974), 346–366.