

关于 Varma 的缺插值样条函数

陈 天 平

(复旦大学)

最近, A. K. Varma 在^[1,2]中讨论了五次、六次缺插值样条函数.

设 $n=2m+1$, $x_i = \frac{i}{2m}$, $i=0, 2, \dots, 2m$. 用 $S_n^{(2)}(x)$ 表示在 $[0, 1]$ 上满足下列条件的五次样条函数

(1) $S_n(x) \in C^2[0, 1]$,

(2) $S_n(x)$ 在 $[x_{2i}, x_{2i+2}]$ 上, $i=0, 1, \dots, m-1$, 是五次多项式.

Varma 在^[1]中证明了

定理 1 设 $n=2m+1$, $x_i = \frac{i}{2m}$, $i=0, 2, \dots, 2m$, $t_{2i} = x_{2i} + \frac{2}{3}h$, $i=0, 1, \dots, m-1$, $2h = \frac{1}{m}$. 给定 $f(x_0), f(x_2), \dots, f(x_{2m}); f(t_0), f(t_2), \dots, f(t_{2(m-1)}); f''(t_2), \dots, f''(t_{2(m-1)}); f'(x_0), f'(x_{2m})$. 则存在着唯一的五次样条函数 $S_n(x) \in C^2[0, 1]$, 满足

$$\begin{cases} S_n(x_{2i}) = f(x_{2i}), i=0, 1, \dots, m, \\ S_n(t_{2i}) = f(t_{2i}), S_n''(t_{2i}) = f''(t_{2i}), i=0, 1, \dots, m-1, \\ S_n'(x_0) = f'(x_0), S_n'(x_{2m}) = f'(x_{2m}) \end{cases}$$

定理 2 设 $f(x) \in C^2[0, 1]$, $S_n(x)$ 是满足定理 1 中条件的五次样条函数, 则

$$\|S_n^{(p)}(x) - f^{(p)}(x)\| \leq 89 n^{p-2} \omega_2\left(\frac{1}{m}\right), p=0, 1, 2,$$

这里 $\omega_2(\delta)$ 是 $f''(x)$ 的连续模.

定理 3 设 $f(x) \in C^4[0, 1]$, $S_n(x)$ 是满足定理 1 中条件的五次样条函数, 则

$$\|S_n^{(p)}(x) - f^{(p)}(x)\| \leq 103 \cdot m^{p-4} \omega_4\left(\frac{1}{m}\right) + 8 m^{p-4} \|f^{(4)}\|_\infty, p=0, 1, 2,$$

这里 $\omega_4(\cdot)$ 表示 $f^{(4)}(x)$ 的连续模.

我们首先指出, Varma 的证明过程是错误的. ^[1]中式(5.2)是不成立的. 本文给出正确的证明, 并改进定理的结果.

1. 预备知识^[1] 如果 $P(x)$ 是 $[0, 1]$ 上的五次多项式, 则

$$\begin{aligned} P(x) = & P(0)A_0(x) + P\left(\frac{1}{3}\right)A_1(x) + P(1)A_2(x) + P'(0)B_0(x) \\ & + P'(1)B_1(x) + P''\left(\frac{1}{3}\right)C_1(x), \end{aligned} \quad (1)$$

其中

$$\left. \begin{aligned} C_1(x) &= \frac{9}{8} x^2(x-1)^2(3x-1), & B_2(x) &= \frac{1}{8} x^2(x-1)(3x-1)(9x-5), \\ B_0(x) &= x(x-1)^2(1-3x), & A_2(x) &= x^2(3x-1) \left[\frac{1}{2} - \frac{7}{4}(x-1) - \frac{99}{16}(x-1)^2 \right] \\ A_1(x) &= \frac{81}{16} x^2(x-1)^2(1+9x), & A_0(x) &= (x-1)^2(1-3x)(1+5x+9x^2) \end{aligned} \right\} \quad (2)$$

在[1]中还给出 $A_0(x)$, $A_1(x)$, $A_2(x)$, $B_0(x)$, $B_2(x)$, $C_1(x)$ 各阶导数在 $x=0, \frac{1}{3}, 1$ 的数值.

满足定理 1 中条件的样条函数可表成

$$\begin{aligned} S_n(x) &= f(x_{2i}) A_0\left(\frac{x-2ih}{2h}\right) + f(x_{2i+2}) A_2\left(\frac{x-2ih}{2h}\right) + f(t_{2i}) A_1\left(\frac{x-2ih}{2h}\right) \\ &\quad + 2h S'_n(x_{2i}) B_0\left(\frac{x-2ih}{2h}\right) + 2h S'_n(x_{2i+2}) B_2\left(\frac{x-2ih}{2h}\right) \\ &\quad + 4h^2 f''(t_{2i}) C_1\left(\frac{x-2ih}{2h}\right) \quad (2ih < x < (2i+2)h) \end{aligned} \quad (3)$$

其中 $S'_n(x_{2i})$ 满足

$$\begin{aligned} \frac{5}{2} h S'_n(x_{2i+2}) + 43h S'_n(x_{2i}) - 8h S'_n(x_{2i-2}) &= \frac{93}{4} f(x_{2i}) + \frac{63}{8} f(x_{2i+2}) \\ &\quad + 60f(x_{2i-2}) + \frac{81}{8} f(t_{2i}) - \frac{405}{4} f(t_{2i-2}) - 9h^2 f''(t_{2i}) - 18h^2 f''(t_{2i-2}), \\ &\quad (i=1, 2, \dots, m-1) \end{aligned} \quad (4)$$

$$\begin{aligned} 4h^2 S''_n(x_{2i}) &= -18f(x_{2i}) + \frac{63}{8} f(x_{2i+2}) + \frac{81}{8} f(t_{2i}) - 20h S'_n(x_{2i}) \\ &\quad - \frac{5}{2} h S'_n(x_{2i+2}) - 9h^2 f''(t_{2i}). \end{aligned} \quad (5)$$

当 $f(x) \in C^r[0, 1]$ 时,

$$\left. \begin{aligned} f^{(p)}(x_{2i+2}) &= f^{(p)}(x_{2i}) + \sum_{k=p+1}^{r-1} \frac{f^{(k)}(x_{2i})}{(k-p)!} (2h)^k + \frac{f^{(r)}(\theta_{p,r,2i})}{(r-p)!} (2h)^{r-p}, \\ f^{(p)}(x_{2i-2}) &= f^{(p)}(x_{2i}) + \sum_{k=p+1}^{r-1} \frac{f^{(k)}(x_{2i})}{(k-p)!} (-2h)^k + \frac{f^{(r)}(\xi_{p,r,2i})}{(r-p)!} (-2h)^{r-p}, \\ f^{(p)}(t_{2i}) &= f^{(p)}(x_{2i}) + \sum_{k=p+1}^{r-1} \frac{f^{(k)}(x_{2i})}{(k-p)!} \left(\frac{2}{3}h\right)^k + \frac{f^{(r)}(\eta_{p,r,2i})}{(r-p)!} \left(\frac{2}{3}h\right)^{r-p}, \quad (0 \leq p \leq r) \\ f^{(p)}(t_{2i-2}) &= f^{(p)}(x_{2i}) + \sum_{k=p+1}^{r-1} \frac{f^{(k)}(x_{2i})}{(k-p)!} \left(-\frac{4}{3}h\right)^k + \frac{f^{(r)}(\zeta_{p,r,2i})}{(r-p)!} \left(-\frac{4}{3}h\right)^{r-p} \end{aligned} \right\} \quad (6)$$

2. 定理 2 的证明 首先指出, [1] 中等式(原文中式(5.2))

$$\begin{aligned} S''_n(x) &= f''(x_{2k}) A_0\left(\frac{x-2kh}{2h}\right) + f''(x_{2k+2}) A_2\left(\frac{x-2kh}{2h}\right) + f''(t_{2k}) A_1\left(\frac{x-2kh}{2h}\right) \\ &\quad + 2h S''_n(x_{2k+2}) B_0\left(\frac{x-2kh}{2h}\right) + 2h S''_n(x_{2k+2-}) B_2\left(\frac{x-2kh}{2h}\right) \\ &\quad + 4h^2 S_n^{(4)}(t_{2k}) C_1\left(\frac{x-2kh}{2h}\right) \end{aligned} \quad (*)$$

是不正确的.

事实上, 由于在 $x_{2k} \leq x \leq x_{2k+2}$ 中, $S_n''(x)$ 是一个次数低于 5 的多项式, 由 (1) 得

$$\begin{aligned} S_n''(x) = & S_n''(x_{2k})A_0\left(\frac{x-2kh}{2h}\right) + S_n''(x_{2k+2})A_2\left(\frac{x-2kh}{2h}\right) + S_n''(t_{2k})A_1\left(\frac{x-2kh}{2h}\right) \\ & + 2hS_n'''(x_{2k}+)B_0\left(\frac{x-2kh}{2h}\right) + 2hS_n'''(x_{2k+2}-)B_2\left(\frac{x-2kh}{2h}\right) \\ & + 4h^2S_n^{(4)}(t_{2k})C_1\left(\frac{x-2kh}{2h}\right), \end{aligned}$$

而 $A_0(x)$, $A_1(x)$, $A_2(x)$, $B_0(x)$, $B_2(x)$, $C_1(x)$ 是线性无关的函数. 如果 (*) 式成立, 则必须有

$$S_n''(x_{2k}) = f''(x_{2k}), \quad S_n''(x_{2k+2}) = f''(x_{2k+2}),$$

而这是不可能的.

为了证明定理 2, 我们需要几个引理.

引理 1^[1] 设 $f(x) \in C^2[0, 1]$ 令

$$M_{2m} = \max_{1 \leq i \leq m-1} |S_n'(x_{2i}) - f'(x_{2i})|,$$

则

$$M_{2m} \leq \frac{138}{65m} \omega_2\left(\frac{1}{m}\right),$$

其中 $\omega_2(\delta)$ 是 $f''(x)$ 的连续模.

引理 2^[1] 设 $f(x) \in C^2[0, 1]$, 则

$$|S_n'''(x_{2i}+)| \leq \frac{102}{h} \omega_2\left(\frac{1}{m}\right),$$

$$|S_n'''(x_{2i}-)| \leq \frac{244}{h} \omega_2\left(\frac{1}{m}\right),$$

$$|S_n^{(4)}(t_{2i})| \leq \frac{70}{h^2} \omega_2\left(\frac{1}{m}\right).$$

今后, 我们用 C_t 表示仅依赖于 t 的常数.

引理 3 设 $f(x) \in C^2[0, 1]$, 则

$$\max_{1 \leq i \leq m-1} |S_n''(x_{2i}) - f''(x_{2i})| \leq C_2 \omega_2\left(\frac{1}{m}\right).$$

证 把 (6) 式代入 (5) 式得

$$\begin{aligned} 4h^2S_n''(x_{2i}) = & 20h[f'(x_{2i}) - S_n'(x_{2i})] + \frac{5}{2}h[f'(x_{2i}) - f'(x_{2i+2})] \\ & + \frac{5}{2}h[f'(x_{2i+2}) - S_n'(x_{2i+2})] + \frac{63}{4}h^2f''(\theta_{0,2,2i}) \\ & + \frac{9}{4}h^2f''(\eta_{0,2,2i}) - 9h^2f''(t_{2i}) \\ = & 20h[f'(x_{2i}) - S_n'(x_{2i})] + \frac{5}{2}h[f'(x_{2i+2}) - S_n'(x_{2i+2})] \\ & - 5h^2f''(\theta_{1,2,2i}) + \frac{63}{4}h^2f''(\theta_{0,2,2i}) + \frac{9}{4}h^2f''(\eta_{0,2,2i}) - 9h^2f''(t_{2i}), \end{aligned}$$

因此

$$\begin{aligned}
4h^2[S_n'''(x_{2i}) - f''(x_{2i})] &= 20h[f'(x_{2i}) - S_n'(x_{2i})] + \frac{5}{2}h[f'(x_{2i+2}) - S_n'(x_{2i+2})] \\
&\quad + 5h^2[f''(\theta_{0,2,2i}) - f''(\theta_{1,2,2i})] + 9h^2[f''(\theta_{0,2,2i}) - f''(t_{2i})] \\
&\quad + \frac{7}{4}h^2[f''(\theta_{0,2,2i}) - f''(x_{2i})] + 2h^2[f''(\eta_{0,2,2i}) - f''(x_{2i})] \\
&\quad + \frac{1}{4}h^2[f''(\eta_{0,2,2i}) - f''(x_{2i})].
\end{aligned}$$

利用引理 1 及连续模的性质即可证得引理.

现在可以证明定理 2. 在 $[x_{2k}, x_{2k+2}]$ 中, $S_n''(x)$ 是一个三次多项式, 因此成立

$$\begin{aligned}
S_n''(x) &= S_n'''(x_{2k}+) \frac{(x_{2k+2}-x)^2(x-x_{2k})}{(2h)^2} - S_n'''(x_{2k+2}-) \frac{(x-x_{2k})^2(x_{2k+2}-x)}{(2h)^2} \\
&\quad + S_n''(x_{2k}) \frac{(x-x_{2k+2})^2[2(x-x_{2k})+2h]}{(2h)^3} \\
&\quad + S_n''(x_{2k+2}) \frac{(x-x_{2k})^2[2(x_{2k+2}-x)+2h]}{(2h)^3},
\end{aligned}$$

且
$$\frac{(x-x_{2k+2})^2[2(x-x_{2k})+2h]}{(2h)^3} + \frac{(x-x_{2k})^2[2(x_{2k+2}-x)+2h]}{(2h)^3} = 1,$$

于是
$$\begin{aligned}
S_n''(x) - f''(x) &= S_n'''(x_{2k}+) \frac{(x_{2k+2}-x)^2(x-x_{2k})}{(2h)^2} - S_n'''(x_{2k+2}-) \frac{(x-x_{2k})^2(x_{2k+2}-x)}{(2h)^2} \\
&\quad + [S_n''(x_{2k}) - f''(x_{2k})] \frac{(x_{2k+2}-x)^2[2(x-x_{2k})+2h]}{(2h)^3} \\
&\quad + [S_n''(x_{2k+2}) - f''(x_{2k+2})] \frac{(x-x_{2k})^2[2(x_{2k+2}-x)+2h]}{(2h)^3} \\
&\quad + [f''(x_{2k}) - f''(x)] \frac{(x_{2k+2}-x)^2[2(x-x_{2k})+2h]}{(2h)^3} \\
&\quad + [f''(x_{2k+2}) - f''(x)] \frac{(x-x_{2k})^2[2(x_{2k+2}-x)+2h]}{(2h)^3}.
\end{aligned}$$

利用引理 1、2、3, 以及连续模的性质可知

$$|S_n''(x) - f''(x)| \leq C_2 \omega_2\left(\frac{1}{m}\right).$$

对于 $p=0, 1$, 由通常方法可证.

我们把定理 3 改进成

定理 4 设 $f(x) \in C^4[0, 1]$, 则

$$\|S_n^{(p)}(x) - f^{(p)}(x)\| \leq C_p m^{p-4} \omega_4\left(\frac{1}{m}\right), \quad (p=0, 1, 2, 3, 4)$$

这里 $\omega_4(\cdot)$ 是 $f^{(4)}(x)$ 的连续模.

证明还需要 [1] 中的一个引理.

引理 4 设 $f(x) \in C^4[0, 1]$, 则

$$|f'(x_{2i}) - S_n'(x_{2i})| \leq \frac{272}{195} h^3 \omega_4\left(\frac{1}{m}\right),$$

$$|S_n'''(x_{2i}+) - f'''(x_{2i})| \leq 35h \omega_4\left(\frac{1}{m}\right), \quad |S_n'''(x_{2i}-) - f'''(x_{2i})| \leq 82h \omega_4\left(\frac{1}{m}\right),$$

$$|S_n^{(4)}(x_{2i}+) - f'''(t_{2i})| \leq 78h\omega_4\left(\frac{1}{m}\right), \quad |S_n^{(4)}(x_{2i}-) - f^{(4)}(x_{2i})| \leq 165\omega_4\left(\frac{1}{m}\right),$$

$$|S_n'''(t_{2i}) - f'''(t_{2i})| \leq 13h\omega_4\left(\frac{1}{m}\right), \quad |S_n^{(5)}(t_{2i})| \leq \frac{47}{h}\omega_4\left(\frac{1}{m}\right).$$

3. 定理 4 的证明 令

$$P(x) = 3(x-1)\left(x-\frac{1}{3}\right), \quad Q(x) = \frac{3}{2}x\left(x-\frac{1}{3}\right), \quad R(x) = -\frac{9}{2}x(x-1).$$

容易验证, 当 $x_{2k} \leq x \leq x_{2k+2}$ 时, 对于二次多项式 $S_n'''(x)$ 成立着

$$S_n'''(x) = S_n'''(x_{2k}+)P\left(\frac{x-x_{2k}}{2h}\right) + S_n'''(x_{2k+2}-)Q\left(\frac{x-x_{2k}}{2h}\right) + S_n'''(t_{2k})R\left(\frac{x-x_{2k}}{2h}\right),$$

且

$$P\left(\frac{x-x_{2k}}{2h}\right) + Q\left(\frac{x-x_{2k}}{2h}\right) + R\left(\frac{x-x_{2k}}{2h}\right) \equiv 1,$$

$$(x-x_{2k})P\left(\frac{x-x_{2k}}{2h}\right) + (x-x_{2k+2})Q\left(\frac{x-x_{2k}}{2h}\right) + (x-t_{2k})R\left(\frac{x-x_{2k}}{2h}\right) \equiv 0.$$

因此 $S_n'''(x) - f'''(x) = [S_n'''(x_{2k}+) - f'''(x)]P\left(\frac{x-x_{2k}}{2h}\right)$

$$\begin{aligned} &+ [S_n'''(x_{2k+2}-) - f'''(x)]Q\left(\frac{x-x_{2k}}{2h}\right) \\ &+ [S_n'''(t_{2k}) - f'''(x)]R\left(\frac{x-x_{2k}}{2h}\right) \\ &= [S_n'''(x_{2k}+) - f'''(x_{2k})]P\left(\frac{x-x_{2k}}{2h}\right) \\ &+ [S_n'''(x_{2k+2}-) - f'''(x_{2k+2})]Q\left(\frac{x-x_{2k}}{2h}\right) \\ &+ [S_n'''(t_{2k}) - f'''(t_{2k})]R\left(\frac{x-x_{2k}}{2h}\right) \\ &+ [f'''(x_{2k}) - f'''(x)]P\left(\frac{x-x_{2k}}{2h}\right) \\ &+ [f'''(x_{2k+2}) - f'''(x)]Q\left(\frac{x-x_{2k}}{2h}\right) \\ &+ [f'''(t_{2k}) - f'''(x)]R\left(\frac{x-x_{2k}}{2h}\right) \\ &= \Sigma_1 + \Sigma_2, \end{aligned}$$

其中

$$\begin{aligned} \Sigma_1 &= [S_n'''(x_{2k}+) - f'''(x_{2k})]P\left(\frac{x-x_{2k}}{2h}\right) + [S_n'''(x_{2k+2}-) \\ &- f'''(x_{2k+2})]Q\left(\frac{x-x_{2k}}{2h}\right) + [S_n'''(t_{2k}) - f'''(t_{2k})]R\left(\frac{x-x_{2k}}{2h}\right), \end{aligned}$$

由引理 4, 得

$$|\Sigma_1| \leq C_3 h \omega_4\left(\frac{1}{m}\right) \leq C_3 m^{-1} \omega_4\left(\frac{1}{m}\right)$$

利用

$$\begin{aligned} f'''(x) &= f'''(x_{2k}) + f^{(4)}(\xi_{1,2k})(x-x_{2k}), \\ f'''(x) &= f'''(x_{2k+2}) + f^{(4)}(\xi_{2,2k})(x-x_{2k+2}), \\ f'''(x) &= f'''(t_{2k}) + f^{(4)}(\xi_{3,2k})(x-t_{2k}), \quad (x_{2k} \leq x \leq x_{2k+2}) \end{aligned}$$

我们得到 $\Sigma_2 = [f'''(x_{2k}) - f'''(x)]P\left(\frac{x-x_{2k}}{2h}\right) + [f'''(x_{2k+2}) - f'''(x)]Q\left(\frac{x-x_{2k}}{2h}\right)$

$$+ [f'''(t_{2k}) - f'''(x)]R\left(\frac{x-x_{2k}}{2h}\right) = -f^{(4)}(\zeta_{1,2k})(x-x_{2k})P\left(\frac{x-x_{2k}}{2h}\right)$$

$$- f^{(4)}(\zeta_{2,2k})(x-x_{2k+2})Q\left(\frac{x-x_{2k}}{2h}\right) - f^{(4)}(\zeta_{3,2k})(x-t_{2k})R\left(\frac{x-x_{2k}}{2h}\right)$$

$$= [f^{(4)}(x_{2k}) - f^{(4)}(\zeta_{1,2k})](x-x_{2k})P\left(\frac{x-x_{2k}}{2h}\right)$$

$$+ [f^{(4)}(x_{2k}) - f^{(4)}(\zeta_{2,2k})](x-x_{2k+2})Q\left(\frac{x-x_{2k}}{2h}\right)$$

$$+ [f^{(4)}(x_{2k}) - f^{(4)}(\zeta_{3,2k})](x-t_{2k})R\left(\frac{x-x_{2k}}{2h}\right).$$

利用连续模的性质,得

$$|\Sigma_2| \leq C_3 h \omega_4\left(\frac{1}{m}\right).$$

由是 $|S_n'''(x) - f'''(x)| \leq |\Sigma_1| + |\Sigma_2| \leq C_3 h \omega_4\left(\frac{1}{m}\right) \leq C_3 m^{-1} \omega_4\left(\frac{1}{m}\right).$

利用罗尔定理可以证得当 $p=0, 1, 2$ 时,有

$$\|S_n^{(p)}(x) - f^{(p)}(x)\| \leq C_p m^{p-4} \omega_4\left(\frac{1}{m}\right).$$

对于 $p=4$, 由引理 4, 得

$$|S_n^{(4)}(x_{2i}+) - f^{(4)}(x_{2i})| \leq 78 \omega_4\left(\frac{1}{m}\right), \quad |S_n^{(4)}(x_{2i}-) - f^{(4)}(x_{2i}-)| \leq 165 \omega_4\left(\frac{1}{m}\right).$$

并注意到当 $x_{2k} \leq x \leq x_{2k+2}$ 时, $S_n^{(4)}(x)$ 是一线性函数, 因此

$$|S_n^{(4)}(x) - S_n^{(4)}(x_{2k}+)| \leq |S_n^{(4)}(x_{2k+2}-) - S_n^{(4)}(x_{2k}+)|$$

$$\leq |S_n^{(4)}(x_{2k+2}-) - f^{(4)}(x_{2k+2})| + |f^{(4)}(x_{2k+2}) - f^{(4)}(x_{2k})| + |f^{(4)}(x_{2k}) - S_n^{(4)}(x_{2k}+)|$$

$$\leq C_4 \omega_4\left(\frac{1}{m}\right),$$

$$|S_n^{(4)}(x) - f^{(4)}(x)| \leq |S_n^{(4)}(x) - S_n^{(4)}(x_{2k}+)| + |S_n^{(4)}(x_{2k}+) - f^{(4)}(x_{2k})|$$

$$+ |f^{(4)}(x_{2k}) - f^{(4)}(x)| \leq C_4 \omega_4\left(\frac{1}{m}\right).$$

证毕.

4. 进一步的推广 用定理 2, 定理 4 类似方法可以证得

定理 5 设 $f(x) \in C^3[0, 1]$, 则

$$\|f^{(p)}(x) - S_n^{(p)}(x)\| \leq C_p n^{p-3} \omega\left(f^{(3)}; \frac{1}{n}\right), \quad p=0, 1, 2, 3.$$

定理 6 设 $f(x) \in C^5[0, 1]$, 则

$$\|f^{(p)}(x) - S_n^{(p)}(x)\| \leq C_p n^{p-5} \omega\left(f^{(5)}; \frac{1}{n}\right), \quad p=0, 1, 2, 3, 4, 5.$$

我们还可用二阶连续模来估计收敛速度.

定理 7 设 $f(x) \in C^r[0, 1] (2 \leq r \leq 4)$, 则

$$\|S_n^{(p)}(x) - f^{(p)}(x)\| \leq C_{p,r} \omega_2\left(f^{(r)}; \frac{1}{m}\right), \quad (0 \leq p \leq r).$$

其中 $\omega_2(f^{(r)}; \delta) = \sup_{\substack{x, x \pm h \in [0, 1] \\ |h| \leq \delta}} |f^{(r)}(x+h) + f^{(r)}(x-h) - 2f^{(r)}(x)|$ 是 $f^{(r)}(x)$ 的二阶连续模.

为了证明, 我们需要几个引理.

引理 5 设 $f(x)$ 是以 2π 为周期的周期连续函数, $S_{n,m}(x, f; \Delta_m)$ 是以 $\Delta_m: 0 = x_0^{(m)} < x_1^{(m)} < \dots < x_m^{(m)} = 2\pi$ 为样条节点的 n 次样条函数. 如果满足

(i) 对一切 $f \in C_{2\pi}$, 当 $\|\Delta_m\| = \max_{1 \leq i \leq m} (x_i^{(m)} - x_{i-1}^{(m)}) \rightarrow 0$ 时, $\|f(x) - S_{n,m}(x, f; \Delta_m)\| = O(1)$,

(ii) 当 $\|f^{(n+1)}(x)\| \leq M$ 时, 有

$$\|f(x) - S_{n,m}(x, f; \Delta_m)\| \leq C \|\Delta_m\|^{n+1} \|f^{(n+1)}(x)\|,$$

则对一切 $f \in C_{2\pi}$,

$$\|f(x) - S_{n,m}(x, f; \Delta_m)\| = O(\omega_{n+1}(x, f; \|\Delta_m\|)),$$

这里 $\omega_{n+1}(f; \delta)$ 是 $f(x)$ 的 $n+1$ 阶连续模.

证明过程类似于[4]中定理 5, 不再赘述.

引理 6^[5] 设 $f(x) \in C[0, 1]$, 则周期函数

$$g(x) = \begin{cases} g(-x), & x \in [-1, 0], \\ g(x-k), & x \in [k, k+1], k = \pm 1, \pm 2, \dots \end{cases}$$

满足 $\omega_2(g; \delta) \leq 5\omega_2(f; \delta)$.

利用引理 5、引理 6 以及定理 2、4、6 容易得到定理 7.

最后, 我们叙述 $S_n(x)$ 逼近 $f(x)$ 的逆命题和饱和问题. 我们需要[3]中的定理.

定理 7 设 $\varepsilon_n \searrow 0$, $S_{k,n}(x)$ 是以 $\Delta_n: x_\nu^{(n)} = \frac{\nu}{n}, \nu = 0, 1, \dots, n$ 为节点的逐段 k 次多项

式, 若

$$\|S_{k,n}(x) - f(x)\| \leq \varepsilon_n,$$

则

$$\omega_{k+1}\left(f; \frac{1}{n}\right) = O(\varepsilon_n).$$

应用到本文讨论的缺插值样条函数 $S_n(x)$, 我们得到

定理 8 设 $\varepsilon_n \searrow 0$, 若对某一 $p (= 0, 1, 2, 3, 4, 5)$

$$\|S_n^{(p)}(x) - f^{(p)}(x)\| \leq \varepsilon_n,$$

则

$$\omega_{6-p}\left(f^{(p)}; \frac{1}{n}\right) = O(\varepsilon_n),$$

如对某一 p ,

$$\|S_n^{(p)}(x) - f^{(p)}(x)\| = O(n^{p-6}),$$

则 $f(x)$ 是一五次多项式.

Varma 在[2]中讨论的二种缺插值样条函数也有同样问题, 这里不再重复.

参 考 文 献

- [1] A. K. Varma, Lacunary interpolation by Spline I., *Acta Math. Sci. Hungary*. **31**: 3-4 (1978), 185—192.
- [2] A. K. Varma, Lacunary interpolation by Spline II., *Acta Math. Sci. Hungary* **31**: 3-4 (1978), 193—202.
- [3] 陈天平, 关于样条函数, 应用数学学报, **3**:1(1980), 41—49.
- [4] С. В. Стечкин, О приближении периодических функций суммами Фейера, Труды математического института имени В. А. Стеклова, LXII, (1961).
- [5] А. Ф. Тиман, Теория приближения Функций действительного переменного, Москва (1960).

ON VARMA'S LACUNARY INTERPOLATION BY SPLINES

CHEN TIANPING

(Fudan University)

ABSTRACT

In articles [1], [2], A. K. Varma investigated some lacunary interpolations by spline. In this article we point out a mistake in the proof of Theorem 2 in [1] and give a new proof. Moreover, the results obtained in [1], [2] are improved.