

Stability of Multiplier Ideal Sheaves*

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Abstract In the present article, the authors find and establish stability of multiplier ideal sheaves, which is more general than strong openness.

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1 Introduction

Multiplier ideal sheaves have been playing an important role in several complex variables and complex geometry, since its introduction in 1990's (e.g., see [4, 17, 23–24]). The basic properties of a multiplier ideal sheaf include its coherence, integral closedness and Nadel's vanishing theorem.

Later on, Demailly (see [4–5]) proposed the strong openness conjecture which asserts that a multiplier ideal sheaf satisfies the strong openness property. The conjecture was also stated by Siu, Y.-T. in [22] and many others. In 2013, the first author and the third author solved the conjecture (see [13]).

In this paper, we study a more general openness property called stability for a multiplier ideal sheaf. The paper was posted on arXiv (see [8]).

Let $D \subset \mathbb{C}^n$ be a bounded pseudoconvex domain, $o \in D$ be the origin of $\mathbb{C}^n$ and $\varphi \in \text{Psh}(D)$ be a plurisubharmonic function on D . The multiplier ideal sheaf $\mathcal{I}(\varphi)$ consists of germs of holomorphic functions f such that $|f|^2 e^{-\varphi}$ is locally integrable, which is a coherent sheaf of ideals (see [5]).

Demailly's strong openness conjecture (SOC for short) (see [4]) If $(f, o) \in \mathcal{I}(\varphi)_o$, then there exists $\delta > 0$ such that $(f, o) \in \mathcal{I}((1 + \delta)\varphi)_o$.

Here δ seems to be dependent on the germ (f, o) , however, by the coherence of the multiplier ideal sheaf, δ is actually independent of the germ (f, o) .

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Note that $\mathcal{I}(\varphi)_o$ is finitely generated by $(f_j)_{j=1,\dots,k_0}$. Let $\mathcal{I}(\varphi)_o = (f_1, \dots, f_{k_0})$. The truth of SOC implies that there exists $\delta_j > 0$ such that $(f_j, o) \in \mathcal{I}((1 + \delta_j)\varphi)_o$ for any $1 \leq j \leq k_0$. Then, SOC is equivalent to the equality $\mathcal{I}(\varphi)_o = \mathcal{I}((1 + \delta_0)\varphi)_o$, where $\delta_0 = \min_{1 \leq j \leq k_0} \{\delta_j\}$ which is independent of the germ (f, o) .

As $\mathcal{I}((1 + \delta_0)\varphi)_o \subset \mathcal{I}_+(\varphi)_o := \bigcup_{\delta > 0} \mathcal{I}((1 + \delta)\varphi)_o \subset \mathcal{I}(\varphi)_o$, SOC is also equivalent to the equality $\mathcal{I}(\varphi)_o = \mathcal{I}_+(\varphi)_o$.

Another reformulation of the strong openness conjecture is that $\{p \in \mathbb{R} : |f|^2 e^{-p\varphi} \text{ is locally integrable}\}$ is open. When $\mathcal{I}(\varphi)_o$ is trivial, the so-called openness conjecture was solved by Berndtsson in [2]. Such assertions originate from the fundamental fact in calculus: the set $\{p \in \mathbb{R} : \frac{1}{|x|^{pc}} = e^{-p\varphi} \text{ is locally integrable at the origin}\}$ is open, which is actually $\{p < \frac{1}{c}\}$, where $\varphi = c \log |x|$, $c > 0$. This explains why openness and strong openness are named so.

In [13], Guan and Zhou proved the above SOC. Moreover, they also established an effectiveness lower bound for δ in the conjecture in [14].

In the present article, we obtain the following stability of multiplier ideal sheaves.

Theorem 1.1 *Let $(\varphi_j)_{j \in \mathbb{N}^+}$ be a sequence of negative plurisubharmonic functions on D , which is convergent to $\varphi \in \text{Psh}(D)$ in Lebesgue measure, and $\mathcal{I}(\varphi_j)_o \subset \mathcal{I}(\varphi)_o$. Let $(F_j)_{j \in \mathbb{N}^+}$ be a sequence of holomorphic functions on D with $(F_j, o) \in \mathcal{I}(\varphi)_o$, which is compactly convergent to a holomorphic function F . Then, $|F_j|^2 e^{-\varphi_j}$ converges to $|F|^2 e^{-\varphi}$ in the L^1 norm near o . In particular, there exists $\varepsilon_0 > 0$ such that $\mathcal{I}(\varphi_j)_o = \mathcal{I}((1 + \varepsilon_0)\varphi_j)_o = \mathcal{I}(\varphi)_o$ for any large enough j .*

The last conclusion in the above theorem can be obtained by [14, Proposition 1.8] and by the finite generation of $\mathcal{I}(\varphi)_o$.

The following proposition can be deduced from [16, Theorem 4.1.8]. Here we give another proof by using our main theorem.

Proposition 1.1 *Let $(\varphi_j)_{j \in \mathbb{N}^+}$ be a sequence of negative plurisubharmonic functions on D . If φ_j is convergent to $\varphi \in \text{Psh}(D)$ in Lebesgue measure, then φ_j converges to φ in the L^p_{loc} ($0 < p < \infty$) norm.*

Proof It suffices to prove when $p \in \mathbb{N}^+$. By scaling, we can assume the Lelong number $\nu(\varphi, o) < 1$. Thus, $\mathcal{I}(\varphi_j)_o \subset \mathcal{I}(\varphi)_o = \mathcal{O}_o$. Then, the desired result follows from Theorem 1.1 and the inequality

$$\frac{1}{p!} \int_D |\varphi_j - \varphi|^p d\lambda_n \leq \int_D |e^{-\varphi_j} - e^{-\varphi}| d\lambda_n,$$

which follows from the inequality $\frac{1}{p!}(a - b)^p \leq (e^{a-b} - 1)e^b$ for any $a \geq b \geq 0$.

As an application of Theorem 1.1, we can conclude the following semi-continuity of complex singularity exponents.

Corollary 1.1 (see [6, Main Theorem 0.2]) *Let X be a complex manifold, $K \subset X$ be a compact subset and φ be a plurisubharmonic function on X . If $c < c_K(\varphi)$ (complex singularity exponent of φ on K) and (φ_j) is a sequence of plurisubharmonic functions on X which is con-*

vergent to φ in L^1_{loc} norm, then $e^{-2c\varphi_j}$ converges to $e^{-2c\varphi}$ in L^1 norm over some neighborhood U of K .

Indeed, by subtracting a constant, we can assume that φ is negative on K . As $\int_K \varphi_j d\lambda_n \leq \int_K |\varphi - \varphi_j| d\lambda_n + \int_K \varphi d\lambda_n$, we obtain that φ_j is also negative on K . Then, Corollary 1.1 is a special case of Theorem 1.1 when $\mathcal{I}(\varphi)_o = \mathcal{O}_o$.

With the additional conditions $\varphi_j \leq \varphi$ and $F_j = F$, Theorem 1.1 reduces to the main result in [18].

If $\varphi = \log |g|$ with $|g|^2 := |g_1|^2 + \cdots + |g_J|^2$ for holomorphic functions $g_1, \dots, g_J$ on a concentric polydisk $\Delta^n \times \Delta$, then it follows that the following holds.

Corollary 1.2 (see [19, Main Theorem]) *Assume that $\int_{\Delta^n} |g(z, 0)|^{-\delta} < \infty$. Then there exists a smaller concentric polydisk $\Delta'^n \times \Delta'$ so that the function $c \mapsto \int_{\Delta'^n} |g(z, c)|^{-\delta}$ is finite and continuous for $c \in \Delta' \subset \subset \Delta$.*

2 Lemmas Used in the Proof of Main Results

Let $L^2_{\mathcal{O}}(D)$ be the Hilbert space of homomorphic functions on D with finite L^2 norm, i.e.,

$$L^2_{\mathcal{O}}(D) := \left\{ f \in \mathcal{O}(D) \mid \|f\|_D^2 = \int_D |f|^2 d\lambda_n < \infty \right\},$$

whose inner product is defined to be $(f, g) = \int_D f \cdot \bar{g} d\lambda_n$, $\forall f, g \in L^2_{\mathcal{O}}(D)$.

We are now in a position to prove the following lemma.

Lemma 2.1 *Let $I \subset \mathcal{O}_o$ be an ideal and $(e_k)_{k \in \mathbb{N}^+}$ be an orthonormal basis of*

$$\mathcal{H}_I := \{f \in L^2_{\mathcal{O}}(D) \mid (f, o) \in I\},$$

a closed subspace of $L^2_{\mathcal{O}}(D)$. Then, there exist a neighborhood $U_0 \subset \subset D$ of o , an integer $k_0 > 0$ and some constant $C_0 > 1$ such that

$$\sum_{k=1}^{\infty} |e_k|^2 \leq C_0 \cdot \sum_{k=1}^{k_0} |e_k|^2 \quad \text{on } U_0.$$

Proof It follows from the strong Noetherian property of coherent analytic sheaves that the sequence of ideal sheaves generated by the holomorphic functions

$$(e_k(z) \overline{e_k(\bar{w})})_{k \leq N}, \quad N = 1, 2, \dots$$

on $D \times D^*$ is locally stationary, where $D^* := \{\bar{w} \mid w \in D\}$.

Let $B \subset \subset D$ be a ball centered at o . Then there exists $k_0 > 0$ such that for any $N \geq k_0$, we have $(e_k(z) \overline{e_k(\bar{w})})_{k \leq N} = (e_k(z) \overline{e_k(\bar{w})})_{k \leq k_0}$ on $B \times B$.

Complete (e_k) to an orthonormal basis $(\tilde{e}_\alpha)$ of $L^2_{\mathcal{O}}(D)$. Then, $\sum_{k=1}^{\infty} |e_k(z)|^2$ is a subsum of $\sum_{\alpha=1}^{\infty} |\tilde{e}_\alpha(z)|^2$, which is known to converge uniformly on compact subsets by the theory of

Bergman kernel. Thus, it follows from the inequality

$$\left| \sum_{k=p}^q e_k(z) \overline{e_k(w)} \right| \leq \left(\sum_{k=p}^q |e_k(z)|^2 \sum_{k=p}^q |e_k(w)|^2 \right)^{\frac{1}{2}}$$

that $\sum_{k=1}^{\infty} e_k(z) \overline{e_k(w)}$ is uniformly convergent on every compact subset of $D \times D^*$.

By the closedness of the sections of coherent analytic sheaves under the topology of compact convergence (see [7]), $\sum_{k=1}^{\infty} e_k(z) \overline{e_k(w)}$ is a section of the coherent ideal sheaf generated by $(e_k(z) \overline{e_k(w)})_{k \leq k_0}$ over $B \times B$. Then, there exist a smaller ball $B_0 \subset\subset B$ centered at o and functions $a_k(z, w) \in \mathcal{O}(\overline{B_0 \times B_0})$, $1 \leq k \leq k_0$, such that on $\overline{B_0 \times B_0}$,

$$\sum_{k=1}^{\infty} e_k(z) \overline{e_k(w)} = \sum_{k=1}^{k_0} a_k(z, w) e_k(z) \overline{e_k(w)}.$$

Finally, by restricting to the conjugate diagonal $w = \bar{z}$, we get

$$\sum_{k=1}^{\infty} |e_k|^2 \leq C_0 \cdot \sum_{k=1}^{k_0} |e_k|^2 \quad \text{on } B_0.$$

In order to prove Theorem 1.1, we also need the following lemma (see the Appendix 4 for a proof), whose various forms already appear in [10–12, 14].

Lemma 2.2 *Let $B \in (0, +\infty)$ be arbitrarily given and t_0 be a positive number. Let $D \subset \mathbb{C}^n$ be a bounded pseudoconvex domain containing the origin o . Let ψ be a negative plurisubharmonic function on D such that $\psi(o) = -\infty$ and φ be a plurisubharmonic function on D . Then, for any bounded holomorphic function F on $\{\psi < -t_0\}$ satisfying*

$$\int_D \frac{1}{B} \mathbb{1}_{\{-t_0-B < \psi < -t_0\}} |F|^2 e^{-\varphi} d\lambda_n \leq C_1 < +\infty, \quad (2.1)$$

there exists a holomorphic function $\tilde{F}$ on D such that

$$\int_D |\tilde{F} - b(\psi)F|^2 e^{-\varphi + v(\psi)} d\lambda_n \leq (1 - e^{-(t_0+B)}) C_1, \quad (2.2)$$

which implies

$$(\tilde{F} - F, o) \in \mathcal{J}(\varphi)_o,$$

where $C_1 > 0$ is a constant, $b(t) = 1 - \int_{-\infty}^t \frac{1}{B} \mathbb{1}_{\{-t_0-B < s < -t_0\}} ds$ and $v(t) = \int_0^t (1 - b(s)) ds$.

It is clear that $\mathbb{1}_{\{-t_0 < t < +\infty\}} \leq 1 - b(t) \leq \mathbb{1}_{\{-t_0-B < t < +\infty\}}$ and $\max\{t, -t_0 - B\} \leq v(t) \leq \max\{t, -t_0\}$.

The following lemma is well known in real analysis (see the proof of [15, Theorem 13.44]).

Lemma 2.3 *Let $(f_j)_{j \in \mathbb{N}^+}$ be a sequence of functions in $L_{\text{loc}}^p(D)$ ($p > 1$), which is convergent to f in Lebesgue measure. If there exists some constant $M > 0$ such that*

$$\left(\int_D |f_j|^p d\lambda_n \right)^{\frac{1}{p}} < M,$$

then

$$\int_D |f_j - f| d\lambda_n \rightarrow 0, \quad j \rightarrow \infty.$$

3 Proof of Main Result

Let $I \subset \mathcal{O}_o$ be an ideal and φ be a negative plurisubharmonic function on some bounded pseudoconvex domain $D \subset \mathbb{C}^n$ with $\varphi(o) = -\infty$. Choose e_k, k_0, U_0, C_0 as in Lemma 2.1, C_1 as in Lemma 2.2 and put

$$C = C_\varepsilon(\varphi) := \left[(k_0(1 - e^{-\varepsilon(t_0+1)})C_0C_1)^{-\frac{1}{2}} - 1 - \left(\frac{\sum_{k=1}^{k_0} \int_D \mathbb{1}_{\{\varphi < -t_0\}} |e_k|^2 d\lambda_n}{k_0(1 - e^{-\varepsilon(t_0+1)})C_1} \right)^{\frac{1}{2}} \right]^{-1},$$

where $\varepsilon \in (0, 1]$ and t_0 are two positive numbers. When choosing t_0 large enough and ε small enough, C could be positive.

At first, we obtain the following estimation of the weighted L^2 norm near the singularities of plurisubharmonic weight related to SOC.

Proposition 3.1 *Assume that $\mathcal{I}(\varphi)_o \subset I \subset \mathcal{O}_o$. If $C > 0$, then*

$$\int_{U_0 \cap \{\varphi < -(t_0+1)\}} \left(\sum_{k=1}^{\infty} |e_k|^2 \right) e^{-\varphi} d\lambda_n \leq C^2.$$

Proof Thanks to the strong openness, replacing B, t_0, φ, ψ by $\varepsilon, \varepsilon t_0, (1+\varepsilon)\varphi, \varepsilon\varphi$ respectively for small enough $\varepsilon > 0$ (shrinking D if necessary) in Lemma 2.2, it follows that, for any $1 \leq k \leq k_0$, there exists a holomorphic function $F_k \in \mathcal{O}(D)$ such that

$$\int_D |F_k - b(\varepsilon\varphi)e_k|^2 e^{-\varphi} d\lambda_n \leq (1 - e^{-\varepsilon(t_0+1)})C_1. \quad (3.1)$$

By Minkowski's inequality, we obtain

$$\begin{aligned} & \left(\sum_{k=1}^{k_0} \int_D |F_k|^2 d\lambda_n \right)^{\frac{1}{2}} \\ & \leq \left(\sum_{k=1}^{k_0} \int_D |F_k - b(\varepsilon\varphi)e_k|^2 e^{-\varphi} d\lambda_n \right)^{\frac{1}{2}} + \left(\sum_{k=1}^{k_0} \int_D |b(\varepsilon\varphi)e_k|^2 d\lambda_n \right)^{\frac{1}{2}}. \end{aligned} \quad (3.2)$$

It follows from (3.1) and $0 \leq b(\varepsilon\varphi) \leq \mathbb{1}_{\{\varphi < -t_0\}}$ that

$$\begin{aligned} & \left(\sum_{k=1}^{k_0} \int_D |F_k|^2 d\lambda_n \right)^{\frac{1}{2}} \\ & \leq (k_0(1 - e^{-\varepsilon(t_0+1)})C_1)^{\frac{1}{2}} + \left(\sum_{k=1}^{k_0} \int_D \mathbb{1}_{\{\varphi < -t_0\}} |e_k|^2 d\lambda_n \right)^{\frac{1}{2}}. \end{aligned} \quad (3.3)$$

By Lemma 2.2, we know that $(F_k - e_k, o) \in \mathcal{I}((1+\varepsilon)\varphi)_o \subset \mathcal{I}(\varphi)_o \subset I$ and $(F_k, o) \in I$.

Hence, we have

$$F_k = \sum_{j=1}^{\infty} a_k^j e_j, \quad a_k^j \in \mathbb{C}, \quad 1 \leq k \leq k_0$$

and

$$\int_D |F_k|^2 d\lambda_n = \sum_{j=1}^{\infty} |a_k^j|^2, \quad 1 \leq k \leq k_0.$$

Thus, we deduce from Lemma 2.1 that, on U_0 ,

$$\begin{aligned} & \left(\sum_{k=1}^{k_0} |F_k - e_k|^2 \right)^{\frac{1}{2}} \geq \left(\sum_{k=1}^{k_0} |e_k|^2 \right)^{\frac{1}{2}} - \left(\sum_{k=1}^{k_0} |F_k|^2 \right)^{\frac{1}{2}} \\ & \geq \left(\frac{1}{C_0} \right)^{\frac{1}{2}} \left(\sum_{k=1}^{\infty} |e_k|^2 \right)^{\frac{1}{2}} - \left(\sum_{k=1}^{k_0} \sum_{j=1}^{\infty} |a_k^j|^2 \right)^{\frac{1}{2}} \left(\sum_{k=1}^{\infty} |e_k|^2 \right)^{\frac{1}{2}} \\ & = \left(\left(\frac{1}{C_0} \right)^{\frac{1}{2}} - \left(\sum_{k=1}^{k_0} \int_D |F_k|^2 d\lambda_n \right)^{\frac{1}{2}} \right) \left(\sum_{k=1}^{\infty} |e_k|^2 \right)^{\frac{1}{2}} \\ & \geq \left(\left(\frac{1}{C_0} \right)^{\frac{1}{2}} - (k_0(1 - e^{-\varepsilon(t_0+1)})C_1)^{\frac{1}{2}} \right. \\ & \quad \left. - \left(\sum_{k=1}^{k_0} \int_D \mathbb{1}_{\{\varphi < -t_0\}} |e_k|^2 d\lambda_n \right)^{\frac{1}{2}} \right) \left(\sum_{k=1}^{\infty} |e_k|^2 \right)^{\frac{1}{2}}. \end{aligned} \quad (3.4)$$

Denote by

$$A := \left(\frac{1}{C_0} \right)^{\frac{1}{2}} - (k_0(1 - e^{-\varepsilon(t_0+1)})C_1)^{\frac{1}{2}} - \left(\sum_{k=1}^{k_0} \int_D \mathbb{1}_{\{\varphi < -t_0\}} |e_k|^2 d\lambda_n \right)^{\frac{1}{2}}.$$

Since $C_\varepsilon(\varphi) > 0$ and

$$A \cdot C_\varepsilon(\varphi) = (k_0(1 - e^{-\varepsilon(t_0+1)})C_1)^{\frac{1}{2}} > 0,$$

it follows that $A > 0$.

Then from (3.4) we obtain

$$\begin{aligned} & A^2 \cdot \left(\int_{\{\varphi < -(t_0+1)\} \cap U_0} \left(\sum_{k=1}^{\infty} |e_k|^2 \right) e^{-\varphi} d\lambda_n \right) \\ & \leq \int_{\{\varphi < -(t_0+1)\} \cap U_0} \left(\sum_{k=1}^{k_0} |F_k - e_k|^2 \right) e^{-\varphi} d\lambda_n \\ & = \sum_{k=1}^{k_0} \int_{\{\varphi < -(t_0+1)\} \cap U_0} |F_k - e_k|^2 e^{-\varphi} d\lambda_n. \end{aligned} \quad (3.5)$$

Note that

$$\sum_{k=1}^{k_0} |F_k - b(\varepsilon\varphi)e_k|^2 \Big|_{\{\varphi < -(t_0+1)\} \cap U_0} = \sum_{k=1}^{k_0} |F_k - e_k|^2.$$

It follows from Lemma 2.2 that

$$\begin{aligned} & \sum_{k=1}^{k_0} \int_{\{\varphi < -(t_0+1)\} \cap U_0} |F_k - e_k|^2 e^{-\varphi} d\lambda_n \\ & \leq \sum_{k=1}^{k_0} \int_D |F_k - b(\varepsilon\varphi)e_k|^2 e^{-\varphi} d\lambda_n \end{aligned}$$

$$\leq k_0(1 - e^{-\varepsilon(t_0+1)})C_1. \quad (3.6)$$

Combining inequalities (3.5) and (3.6), we have

$$\begin{aligned} & \int_{\{\varphi < -(t_0+1)\} \cap U_0} \left(\sum_{k=1}^{\infty} |e_k|^2 \right) e^{-\varphi} d\lambda_n \leq \frac{k_0(1 - e^{-\varepsilon(t_0+1)})C_1}{A^2} \\ &= \left[(k_0(1 - e^{-\varepsilon(t_0+1)})C_0C_1)^{-\frac{1}{2}} - 1 - \left(\frac{\sum_{k=1}^{k_0} \int_D \mathbb{1}_{\{\varphi < -t_0\}} |e_k|^2 d\lambda_n}{k_0(1 - e^{-\varepsilon(t_0+1)})C_1} \right)^{\frac{1}{2}} \right]^{-2} \\ &= C_\varepsilon^2(\varphi). \end{aligned} \quad (3.7)$$

For our proof of Theorem 1.1, it is necessary to prove the following result.

If $I = \mathcal{I}(\varphi)_o$ for some negative plurisubharmonic function φ on D , then any orthonormal basis of the L^2 space $\mathcal{H}^2(D, \varphi)$, which consists of holomorphic functions f on D such that $|f|^2 e^{-\varphi}$ is integrable on D , is contained in $\mathcal{H}_I$.

Since $\mathcal{I}(\varphi)$ is generated by any orthonormal basis of $\mathcal{H}^2(D, \varphi)$ (see [5, Proposition 5.7]), we may assume that e_k ($1 \leq k \leq k_0$) are the generators of $I = \mathcal{I}(\varphi)_o$ and bounded on D in Lemma 2.1 (shrinking D and B_0 if necessary).

Lemma 3.1 *Let e_k ($1 \leq k \leq k_0$) be generators of $I = \mathcal{I}(\varphi)_o$ with bounded $\sum_{k=1}^{k_0} |e_k|$ on D , which is in the unit ball $B(o; 1)$ and*

$$\sum_{k=1}^{k_0} \int_D |e_k|^2 e^{-(1+\varepsilon_0)\varphi} d\lambda_n < \infty.$$

Then, for any $M > 0$, there exists $t_0 \gg 0$ such that for any negative plurisubharmonic function ψ on D with $\mathcal{I}(\psi)_o \subset \mathcal{I}(\varphi)_o$ and

$$\sum_{k=1}^{k_0} \int_D \mathbb{1}_{\{\tilde{\psi} < -t_0\}} |e_k|^2 d\lambda_n \leq 2 \sum_{k=1}^{k_0} \int_D \mathbb{1}_{\{\tilde{\varphi} < -t_0\}} |e_k|^2 d\lambda_n, \quad (3.8)$$

we have

$$\int_{U_0 \cap \{z|z| < e^{-\frac{(1+\varepsilon_0)(1+\frac{\varepsilon_0}{2})(t_0+1)}{\frac{\varepsilon_0}{2}}}\}} \left(\sum_{k=1}^{\infty} |e_k|^2 \right) e^{-\tilde{\psi}} d\lambda_n < M,$$

where

$$\tilde{\varphi} = \varphi + \frac{\frac{\varepsilon_0}{2}}{(1+\varepsilon_0)(1+\frac{\varepsilon_0}{2})} \frac{\log|z|}{2}, \quad \tilde{\psi} = \psi + \frac{\frac{\varepsilon_0}{2}}{(1+\varepsilon_0)(1+\frac{\varepsilon_0}{2})} \frac{\log|z|}{2}.$$

Proof By Hölder inequality, we have

$$\begin{aligned} & \int_U |F|^2 e^{-(1+\frac{\varepsilon_0}{2})\tilde{\varphi}} d\lambda_n \\ & \leq \left(\int_U |F|^2 e^{-(1+\varepsilon_0)\varphi} d\lambda_n \right)^{\frac{1+\frac{\varepsilon_0}{2}}{1+\varepsilon_0}} \left(\int_U |F|^2 e^{-\frac{\log|z|}{2}} d\lambda_n \right)^{\frac{\frac{\varepsilon_0}{2}}{1+\varepsilon_0}}, \end{aligned}$$

which implies $\mathcal{J}((1+\varepsilon_0)\varphi)_o \subset \mathcal{J}\left(\left(1+\frac{\varepsilon_0}{2}\right)\tilde{\varphi}\right)_o \subset \mathcal{J}(\tilde{\varphi})_o \subset \mathcal{J}(\varphi)_o$, i.e.,

$$\mathcal{J}\left(\left(1+\frac{\varepsilon_0}{2}\right)\tilde{\varphi}\right)_o = \mathcal{J}(\tilde{\varphi})_o = \mathcal{J}(\varphi)_o.$$

As

$$\sum_{k=1}^{k_0} \int_D |e_k|^2 e^{-(1+\varepsilon_0)\varphi} d\lambda_n < \infty,$$

there exists $t_0 \gg 0$ such that $0 < C_{\frac{\varepsilon_0}{2}}(\tilde{\varphi}) < \frac{\sqrt{M}}{2}$, and $0 < C_{\frac{\varepsilon_0}{2}}(\tilde{\psi}) \leq 2 \cdot C_{\frac{\varepsilon_0}{2}}(\tilde{\varphi})$ by (3.8).

Since $\tilde{\psi} \leq \frac{\frac{\varepsilon_0}{2}}{(1+\varepsilon_0)(1+\frac{\varepsilon_0}{2})} \log |z|$ on D , we have

$$\{|z| < e^{-\frac{(1+\varepsilon_0)(1+\frac{\varepsilon_0}{2})(t_0+1)}{\frac{\varepsilon_0}{2}}}\} \subset \{\tilde{\psi} < -(t_0+1)\}.$$

Then, by Proposition 3.1, we obtain that

$$\begin{aligned} & \int_{U_0 \cap \{|z| < e^{-\frac{(1+\varepsilon_0)(1+\frac{\varepsilon_0}{2})(t_0+1)}{\frac{\varepsilon_0}{2}}}\}} \left(\sum_{k=1}^{\infty} |e_k|^2 \right) e^{-\tilde{\psi}} d\lambda_n \\ & \leq \int_{U_0 \cap \{\tilde{\psi} < -(t_0+1)\}} \left(\sum_{k=1}^{\infty} |e_k|^2 \right) e^{-\tilde{\psi}} d\lambda_n \leq C_{\frac{\varepsilon_0}{2}}^2(\tilde{\psi}) < M. \end{aligned}$$

Proof of Theorem 1.1 As every sequence which is convergent in Lebesgue measure has a subsequence which is convergent almost everywhere, it is sufficient to prove the result for the case that φ_j is convergent to φ almost everywhere.

By the truth of SOC, there exists $\varepsilon_0 > 0$ such that $\mathcal{J}(\varphi) = \mathcal{J}((1+\varepsilon_0)\varphi)$ on a neighborhood D of o . Without loss of generality, we may assume that the unit ball $B(o; 1) \supset D$.

Since F_j is compactly convergent to a holomorphic function F , by shrinking D , we can assume that $\int_D |F_j|^2 d\lambda_n$ is bounded with respect to j .

Let e_k , $1 \leq k \leq k_0$, be as in Lemma 3.1. Then, we infer from $(F_j, o) \in \mathcal{J}(\varphi)_o$ and Lemma 2.1 that there exist complex numbers a_j^k such that $F_j = \sum_{k=1}^{\infty} a_j^k e_k$, and $\sum_{k=1}^{\infty} |a_j^k|^2 = \int_D |F_j|^2 d\lambda_n$ is bounded with respect to j .

Since φ_j is convergent to φ almost everywhere, it follows from the dominated convergence theorem that

$$\sum_{k=1}^{k_0} \int_D \mathbb{1}_{\{\tilde{\varphi}_j < -t_0\}} |e_k|^2 d\lambda_n \leq 2 \sum_{k=1}^{k_0} \int_D \mathbb{1}_{\{\tilde{\varphi} < -t_0\}} |e_k|^2 d\lambda_n,$$

where $\tilde{\varphi}_j = \varphi_j + \frac{\frac{\varepsilon_0}{2}}{(1+\varepsilon_0)(1+\frac{\varepsilon_0}{2})} \log |z|$.

By Lemma 3.1, there exists a neighborhood $V_0 \subset \subset D$ of o and $M > 0$ such that

$$\int_{V_0} \sum_{k=1}^{\infty} |e_k|^2 e^{-\varphi_j} d\lambda_n < M.$$

Let $\varepsilon \in (0, \varepsilon_0)$. By replacing φ with $(1 + \frac{\varepsilon}{2})\varphi$ and φ_j with $(1 + \frac{\varepsilon}{2})\varphi_j$, we have

$$\int_{\tilde{V}_0} \sum_{k=1}^{\infty} |e_k|^2 e^{-(1+\frac{\varepsilon}{2})\varphi_j} d\lambda_n < \tilde{M}$$

for some neighborhood $\tilde{V}_0 \supset o$ and some constant $\tilde{M}$ which are independent of φ_j .

As $\sum_{k=1}^{\infty} |a_j^k|^2$ is bounded with respect to j , by Schwarz inequality, it follows that

$$\int_{\tilde{V}_0} |F_j|^2 e^{-(1+\frac{\varepsilon}{2})\varphi_j} d\lambda_n \leq \int_{\tilde{V}_0} \left(\sum_{k=1}^{\infty} |a_j^k|^2 \right) \cdot \left(\sum_{k=1}^{\infty} |e_k|^2 \right) e^{-(1+\frac{\varepsilon}{2})\varphi_j} d\lambda_n$$

is bounded with respect to j .

Then, by Lemma 2.3, we obtain that $F_j e^{-\varphi_j}$ converges to $F e^{-\varphi}$ in the L^1_{loc} norm on $\tilde{V}_0$, as j goes to infinity.

By replacing φ_j with $(1 + \varepsilon_0)\varphi_j$, we obtain the second assertion from the first one.

4 Appendix

For the sake of completeness, this section is devoted to the proof of Lemma 2.2.

4.1 L^2 estimates for some $\bar{\partial}$ equations

For the sake of convenience, we will recall some known facts on L^2 estimates for some $\bar{\partial}$ equations. Here, $\bar{\partial}^*$ means the Hilbert adjoint operator of $\bar{\partial}$.

Lemma 4.1 (see [20], see also [1]) *Let $\Omega \subset \subset \mathbb{C}^n$ be a domain with C^∞ boundary $b\Omega$, $\Phi \in C^\infty(\bar{\Omega})$, Let ρ be a C^∞ defining function for Ω such that $|\mathrm{d}\rho| = 1$ on $b\Omega$. Let η be a smooth function on $\bar{\Omega}$. For any $(0, 1)$ -form $\alpha = \sum_{j=1}^n \alpha_{\bar{j}} d\bar{z}^j \in \text{Dom}_\Omega(\bar{\partial}^*) \cap C^\infty_{(0,1)}(\bar{\Omega})$,*

$$\begin{aligned} & \int_{\Omega} \eta |\bar{\partial}^* \alpha|^2 e^{-\Phi} d\lambda_n + \int_{\Omega} \eta |\bar{\partial} \alpha|^2 e^{-\Phi} d\lambda_n \\ &= \sum_{i,j=1}^n \int_{\Omega} \eta |\bar{\partial}_j \alpha_{\bar{i}}|^2 d\lambda_n + \sum_{i,j=1}^n \int_{b\Omega} \eta (\partial_i \bar{\partial}_j \rho) \alpha_{\bar{i}} \overline{\alpha_{\bar{j}}} e^{-\Phi} dS \\ &+ \sum_{i,j=1}^n \int_{\Omega} \eta (\partial_i \bar{\partial}_j \Phi) \alpha_{\bar{i}} \overline{\alpha_{\bar{j}}} e^{-\Phi} d\lambda_n + \sum_{i,j=1}^n \int_{\Omega} -(\partial_i \bar{\partial}_j \eta) \alpha_{\bar{i}} \overline{\alpha_{\bar{j}}} e^{-\Phi} d\lambda_n \\ &+ 2\text{Re}(\bar{\partial}^* \alpha, \alpha_{\bar{\cdot}} (\bar{\partial} \eta)^{\sharp})_{\Omega, \Phi}, \end{aligned} \quad (4.1)$$

where $d\lambda_n$ is the Lebesgue measure on $\mathbb{C}^n$, and $\alpha_{\bar{\cdot}} (\bar{\partial} \eta)^{\sharp} = \sum_j \alpha_{\bar{j}} \partial_j \eta$.

The symbols and notations can be referred to [26] (also [20–21, 25]).

Lemma 4.2 (see [1], see also [26]) *Let $\Omega \subset \subset \mathbb{C}^n$ be a strictly pseudoconvex domain with C^∞ boundary $b\Omega$ and $\Phi \in C^\infty(\bar{\Omega})$. Let λ be a $\bar{\partial}$ closed smooth form of bidgree $(n, 1)$ on $\bar{\Omega}$. Assume the inequality*

$$|(\lambda, \alpha)_{\Omega, \Phi}|^2 \leq C \int_{\Omega} |\bar{\partial}^* \alpha|^2 \frac{e^{-\Phi}}{\mu} d\lambda_n < \infty,$$

where $\frac{1}{\mu}$ is an integrable positive function on Ω and C is a constant, holds for all $(n, 1)$ -form $\alpha \in \text{Dom}_\Omega(\bar{\partial}^*) \cap \text{Ker}(\bar{\partial}) \cap C^\infty_{(n,1)}(\bar{\Omega})$. Then there is a solution u to the equation $\bar{\partial} u = \lambda$ such that

$$\int_{\Omega} |u|^2 \mu e^{-\Phi} d\lambda_n \leq C.$$

4.2 Proof of Lemma 2.2

For the sake of completeness, let us recall some steps in our proof in [11] (see also [10, 12]) with some slight modifications for a proof of Lemma 2.2.

It suffices to consider the case that D is strongly pseudoconvex domain, φ, ψ are plurisubharmonic functions on an open set U containing $\overline{D}$ and F is a bounded holomorphic function on $U \cap \{\psi < -t_0\}$. Then it follows from inequality (2.1) that

$$\int_{\{\psi < -t_0\} \cap D} |F|^2 \leq \int_{\{\psi < -t_0 - \frac{B}{2}\} \cap D} |F|^2 + \int_{\{-t_0 - B < \psi < -t_0\} \cap D} |F|^2 < +\infty. \quad (4.2)$$

Then it follows from method of convolution (see e.g. [3]) that there exist smooth plurisubharmonic functions ψ_m and φ_m on an open set $U \subset \overline{D}$ decreasing convergent to ψ and φ respectively, such that

$$\sup_m \sup_D \psi_m < 0 \quad \text{and} \quad \sup_m \sup_D \varphi_m < +\infty.$$

Let $\varepsilon \in (0, \frac{1}{8}B)$ and $\{v_\varepsilon\}_{\varepsilon \in (0, \frac{1}{8}B)}$ be a family of smooth increasing convex functions on $\mathbb{R}$, which are continuous functions on $\mathbb{R} \cup \{-\infty\}$ such that

- (1) $v_\varepsilon(t) = t$ for $t \geq -t_0 - \varepsilon$, $v_\varepsilon(t) = \text{constant}$ for $t < -t_0 - B + \varepsilon$;
- (2) $v_\varepsilon''(t)$ are pointwise convergent to $\frac{1}{B} \mathbb{1}_{\{-t_0 - B, -t_0\}}$, when $\varepsilon \rightarrow 0$ and

$$0 \leq v_\varepsilon''(t) \leq \frac{2}{B} \mathbb{1}_{\{-t_0 - B + \varepsilon, -t_0 - \varepsilon\}}$$

for any $t \in \mathbb{R}$;

(3) $v_\varepsilon'(t)$ are pointwise convergent to $1 - b(t)$, which is a continuous function on $\mathbb{R} \cup \{-\infty\}$, when $\varepsilon \rightarrow 0$ and $0 \leq v_\varepsilon'(t) \leq 1$ for any $t \in \mathbb{R}$.

One can construct the family $\{v_\varepsilon\}_{\varepsilon \in (0, \frac{1}{8}B)}$ by setting

$$\begin{aligned} v_\varepsilon(t) := & \int_{-\infty}^t \left(\int_{-\infty}^{t_1} \left(\frac{1}{B - 4\varepsilon} \mathbb{1}_{\{-t_0 - B + 2\varepsilon, -t_0 - 2\varepsilon\}} * \rho_{\frac{1}{4}\varepsilon} \right)(s) ds \right) dt_1 \\ & - \int_{-\infty}^0 \left(\int_{-\infty}^{t_1} \left(\frac{1}{B - 4\varepsilon} \mathbb{1}_{\{-t_0 - B + 2\varepsilon, -t_0 - 2\varepsilon\}} * \rho_{\frac{1}{4}\varepsilon} \right)(s) ds \right) dt_1, \end{aligned} \quad (4.3)$$

where $\rho_{\frac{1}{4}\varepsilon}$ is the kernel of convolution satisfying $\text{Supp}(\rho_{\frac{1}{4}\varepsilon}) \subset (-\frac{1}{4}\varepsilon, \frac{1}{4}\varepsilon)$. Then it follows that

$$v_\varepsilon''(t) = \frac{1}{B - 4\varepsilon} \mathbb{1}_{\{-t_0 - B + 2\varepsilon, -t_0 - 2\varepsilon\}} * \rho_{\frac{1}{4}\varepsilon}(t)$$

and

$$v_\varepsilon'(t) = \int_{-\infty}^t \left(\frac{1}{B - 4\varepsilon} \mathbb{1}_{\{-t_0 - B + 2\varepsilon, -t_0 - 2\varepsilon\}} * \rho_{\frac{1}{4}\varepsilon} \right)(s) ds.$$

It suffices to consider the case that

$$\int_D \frac{1}{B} \mathbb{1}_{\{-t_0 - B < \psi < -t_0\}} |F|^2 e^{-\psi - \varphi} d\lambda_n < +\infty. \quad (4.4)$$

Let $\eta = s(-v_\varepsilon(\psi_m))$ and $\phi = u(-v_\varepsilon(\psi_m))$, where $0 \leq s \in C^\infty((0, +\infty))$ and $u \in C^\infty((0, +\infty))$ with $\lim_{t \rightarrow +\infty} u(t) = 0$ satisfy $u''s - s'' > 0$ and $s' - u's = 1$. It follows from $\sup_m \sum_D \psi_m < 0$

that $\phi = u(-v_\varepsilon(\psi_m))$ are uniformly bounded on D with respect to m and ε , and $u(-v_\varepsilon(\psi))$ are uniformly bounded on D with respect to ε .

Now, put $\Phi = \phi + \varphi_{m'}$ and let $\alpha = \sum_{j=1}^n \alpha_j d\bar{z}^j \in \text{Dom}_D(\bar{\partial}^*) \cap \text{Ker}(\bar{\partial}) \cap C_{(0,1)}^\infty(\bar{D})$. By Cauchy-Schwarz inequality, it follows that

$$\begin{aligned} 2\text{Re}(\bar{\partial}_\Phi^* \alpha, \alpha_\perp (\bar{\partial}\eta)^\sharp)_{D,\Phi} &\geq - \int_D g^{-1} |\bar{\partial}_\Phi^* \alpha|^2 e^{-\Phi} d\lambda_n \\ &\quad + \sum_{j,k=1}^n \int_D (-g(\partial_j \eta) \bar{\partial}_k \eta) \alpha_{\bar{j}} \bar{\alpha}_{\bar{k}} e^{-\Phi} d\lambda_n. \end{aligned} \quad (4.5)$$

Using Lemma 4.1 and inequality (4.5), since $s \geq 0$ and ψ_m is a plurisubharmonic function on $\bar{D}_v$, we get

$$\begin{aligned} \int_D (\eta + g^{-1}) |\bar{\partial}_\Phi^* \alpha|^2 e^{-\Phi} d\lambda_n &\geq \sum_{j,k=1}^n \int_D (-\partial_j \bar{\partial}_k \eta + \eta \partial_j \bar{\partial}_k \Phi - g(\partial_j \eta) \bar{\partial}_k \eta) \alpha_{\bar{j}} \bar{\alpha}_{\bar{k}} e^{-\Phi} d\lambda_n \\ &\geq \sum_{j,k=1}^n \int_D (-\partial_j \bar{\partial}_k \eta + \eta \partial_j \bar{\partial}_k \phi - g(\partial_j \eta) \bar{\partial}_k \eta) \alpha_{\bar{j}} \bar{\alpha}_{\bar{k}} e^{-\Phi} d\lambda_n, \end{aligned} \quad (4.6)$$

where g is a positive continuous function on D . Next, we need some calculations to determine g .

Since

$$\partial_j \bar{\partial}_k \eta = -s'(-v_\varepsilon(\psi_m)) \partial_j \bar{\partial}_k (v_\varepsilon(\psi_m)) + s''(-v_\varepsilon(\psi_m)) \partial_j v_\varepsilon(\psi_m) \bar{\partial}_k v_\varepsilon(\psi_m) \quad (4.7)$$

and

$$\partial_j \bar{\partial}_k \phi = -u'(-v_\varepsilon(\psi_m)) \partial_j \bar{\partial}_k v_\varepsilon(\psi_m) + u''(-v_\varepsilon(\psi_m)) \partial_j v_\varepsilon(\psi_m) \bar{\partial}_k v_\varepsilon(\psi_m) \quad (4.8)$$

for any $1 \leq j, k \leq n$, we have

$$\begin{aligned} &\sum_{1 \leq j, k \leq n} (-\partial_j \bar{\partial}_k \eta + \eta \partial_j \bar{\partial}_k \phi - g(\partial_j \eta) \bar{\partial}_k \eta) \alpha_{\bar{j}} \bar{\alpha}_{\bar{k}} \\ &= (s' - su') \sum_{1 \leq j, k \leq n} \partial_j \bar{\partial}_k v_\varepsilon(\psi_m) \alpha_{\bar{j}} \bar{\alpha}_{\bar{k}} \\ &\quad + ((u''s - s'') - gs'^2) \sum_{1 \leq j, k \leq n} \partial_j (-v_\varepsilon(\psi_m)) \bar{\partial}_k (-v_\varepsilon(\psi_m)) \alpha_{\bar{j}} \bar{\alpha}_{\bar{k}} \\ &= (s' - su') \sum_{1 \leq j, k \leq n} (v'_\varepsilon(\psi_m) \partial_j \bar{\partial}_k \psi_m + v''_\varepsilon(\psi_m) \partial_j (\psi_m) \bar{\partial}_k (\psi_m)) \alpha_{\bar{j}} \bar{\alpha}_{\bar{k}} \\ &\quad + ((u''s - s'') - gs'^2) \sum_{1 \leq j, k \leq n} \partial_j (-v_\varepsilon(\psi_m)) \bar{\partial}_k (-v_\varepsilon(\psi_m)) \alpha_{\bar{j}} \bar{\alpha}_{\bar{k}}. \end{aligned} \quad (4.9)$$

We omit composite item $-v_\varepsilon(\psi_m)$ after $s' - su'$ and $(u''s - s'') - gs'^2$ in the above equalities.

Let $g = \frac{u''s - s''}{s'^2}(-v_\varepsilon(\psi_m))$. It follows that $\eta + g^{-1} = (s + \frac{s'^2}{u''s - s''})(-v_\varepsilon(\psi_m))$. By inequalities (4.6), we infer from $v'_\varepsilon \geq 0$ and $s' - su' = 1$ that

$$\int_D (\eta + g^{-1}) |\bar{\partial}_\Phi^* \alpha|^2 e^{-\Phi} d\lambda_n \geq \int_D (v''_\varepsilon \circ \psi_m) |\alpha_\perp (\bar{\partial}\psi_m)^\sharp|^2 e^{-\Phi} d\lambda_n. \quad (4.10)$$

As F is holomorphic on $\{\psi < -t_0\} \supset \supset \text{Supp}(v'_\varepsilon(\psi_m))$, then $\lambda := \bar{\partial}[(1 - v'_\varepsilon(\psi_m))F]$ is well-defined and smooth on D . Combining the definition of contraction with Cauchy-Schwarz inequality and inequality (4.10), it follows that

$$\begin{aligned} |(\lambda, \alpha)_{D, \Phi}|^2 &= |(v''_\varepsilon(\psi_m)\bar{\partial}\psi_m F, \alpha)_{D, \Phi}|^2 \\ &= |(v''_\varepsilon(\psi_m)F, \alpha_\perp(\bar{\partial}\psi_m)^\#)_{D, \Phi}|^2 \\ &\leq \int_D v''_\varepsilon(\psi_m)|F|^2 e^{-\Phi} d\lambda_n \int_D v''_\varepsilon(\psi_m)|\alpha_\perp(\bar{\partial}\psi_m)^\#|^2 e^{-\Phi} d\lambda_n \\ &\leq \left(\int_D v''_\varepsilon(\psi_m)|F|^2 e^{-\Phi} d\lambda_n \right) \left(\int_D (\eta + g^{-1})|\bar{\partial}_\Phi^* \alpha|^2 e^{-\Phi} d\lambda_n \right). \end{aligned} \quad (4.11)$$

Let $\mu := (\eta + g^{-1})^{-1}$. Using Lemma 4.2, we have locally L^1 functions $u_{m, m', \varepsilon}$ on D such that $\bar{\partial}u_{m, m', \varepsilon} = \lambda$ and

$$\int_D |u_{m, m', \varepsilon}|^2 (\eta + g^{-1})^{-1} e^{-\Phi} d\lambda_n \leq \int_D (v''_\varepsilon(\psi_m))|F|^2 e^{-\Phi} d\lambda_n. \quad (4.12)$$

Assume that we can choose η and ϕ such that $e^{v_\varepsilon \circ \psi_m} e^\phi = (\eta + g^{-1})^{-1}$. Then inequality (4.12) becomes

$$\int_D |u_{m, m', \varepsilon}|^2 e^{v_\varepsilon(\psi_m) - \varphi_{m'}} d\lambda_n \leq \int_D v''_\varepsilon(\psi_m)|F|^2 e^{-\phi - \varphi_{m'}} d\lambda_n. \quad (4.13)$$

Let $F_{m, m', \varepsilon} := -u_{m, m', \varepsilon} + (1 - v'_\varepsilon(\psi_m))F$. Then inequality (4.13) becomes

$$\begin{aligned} &\int_D |F_{m, m', \varepsilon} - (1 - v'_\varepsilon(\psi_m))F|^2 e^{v_\varepsilon(\psi_m) - \varphi_{m'}} d\lambda_n \\ &\leq \int_D (v''_\varepsilon(\psi_m))|F|^2 e^{-\phi - \varphi_{m'}} d\lambda_n. \end{aligned} \quad (4.14)$$

Considering a compactly convergent subsequence of $F_{m, m', \varepsilon}$ (also denoted by $F_{m, m', \varepsilon}$), and taking limits

$$\lim_{m' \rightarrow +\infty} \lim_{\varepsilon \rightarrow 0+0} \lim_{m \rightarrow +\infty} F_{m, m', \varepsilon}$$

(denoted by $\tilde{F}$), one can obtain

$$\int_D |\tilde{F} - b(\psi)F|^2 e^{v(\psi) - \varphi} d\lambda_n \leq \left(\sup_D e^{-u(-v(\psi))} \right) C_1. \quad (4.15)$$

4.3 ODE system

It suffices to find η and ϕ such that $(\eta + g^{-1}) = e^{-\psi_m} e^{-\phi}$ on D . As $\eta = s(-v_\varepsilon(\psi_m))$ and $\phi = u(-v_\varepsilon(\psi_m))$, we have $(\eta + g^{-1})e^{v_\varepsilon(\psi_m)} e^\phi = (s + \frac{s'^2}{u''s - s''})e^{-t} e^u \circ (-v_\varepsilon(\psi_m))$.

Summarizing the above discussion about s and u , we are naturally led to a system of ODEs (see [9–12]):

$$\begin{aligned} \left(s + \frac{s'^2}{u''s - s''} \right) e^{u-t} &= 1, \\ s' - su' &= 1, \end{aligned} \quad (4.16)$$

where $t \in [0, +\infty)$ and $\mathbf{C} = 1$.

It is not hard to solve the ODE system (4.16) and get $u = -\log(1 - e^{-t})$ and $s = \frac{t}{1-e^{-t}} - 1$. It follows that $s \in C^\infty((0, +\infty))$ satisfies $s \geq 0$, $\lim_{t \rightarrow +\infty} u(t) = 0$ and $u \in C^\infty((0, +\infty))$ satisfies $u''s - s'' > 0$.

As $u = -\log(1 - e^{-t})$ is decreasing with respect to t , then it follows from $0 \geq v(t) \geq \max\{t, -t_0 - B_0\} \geq -t_0 - B_0$ for any $t \leq 0$ that

$$\sup_D e^{-u(-v(\psi))} \leq \sup_{t \in (0, t_0 + B]} e^{-u(t)} = \sup_{t \in (0, t_0 + B]} (1 - e^{-t}) = 1 - e^{-(t_0 + B)}. \quad (4.17)$$

Thus, we conclude the proof of Lemma 2.2.

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