

# ON PSEUDO-HOLOMORPHIC CURVES IN COMPLEX GRASSMANNIANS\*\*

SHEN YIBING\*     DONG YUXING\*

## Abstract

Further geometry and topology for pseudo-holomorphic curves in complex Grassmannians  $G_m(\mathbb{C}^N)$  are studied. Some curvature pinching theorems for pseudo-holomorphic curves with constant Kähler angles in  $G_m(\mathbb{C}^N)$  are obtained, so that the corresponding results for pseudo-holomorphic curves in complex projective spaces are generalized.

**Keywords** Pseudo-holomorphic curve, Complex Grassmannian, Kähler angle,  
Curvature pinching

**1991 MR Subject Classification** 53C42, 53C55

**Chinese Library Classification** O186.16

## §0. Introduction

As is well known, begining with a harmonic map  $f : M \rightarrow G_m(\mathbb{C}^N)$  from a Riemann surface to a complex Grassmannian, one can obtain the harmonic sequences by using the  $\partial$ -transform and  $\bar{\partial}$ -transform.<sup>[1]</sup> When  $f$  is holomorphic, each element in the harmonic sequence is called a pseudo-holomorphic curve and the corresponding map is called a pseudo-holomorphic map.<sup>[2]</sup> The importance of such maps comes from the fact that any harmonic map  $\phi : M \rightarrow \mathbb{C}P^n = G_1(\mathbb{C}^{n+1})$  is pseudo-holomorphic if  $|\deg(\phi)| > n(g-1)$  where  $\deg(\phi)$  is the degree of  $\phi$  and  $g$  is the genus of  $M$ .<sup>[3,4]</sup>

In [5] J. Bolton et al. gave some curvature pinching theorems for pseudo-holomorphic curves in  $\mathbb{C}P^n$ . Then Y. Zheng<sup>[6]</sup> generalized these results to pseudo-holomorphic curves in  $G_m(\mathbb{C}^N)$ . The first named author of this paper has obtained further pinching theorems for pseudo-holomorphic curves in  $\mathbb{C}P^n$  with constant Kähler angles.<sup>[7]</sup> Some similar results were shown by T. Ogata independently.<sup>[8]</sup> Very recently, Li<sup>[9]</sup> studied holomorphic  $S^2$  in  $G_m(\mathbb{C}^N)$  with constant curvature with respect to the induced metric.

The purpose of the present paper is to generalize the results of [7] to pseudo-holomorphic curves in  $G_m(\mathbb{C}^N)$  so that some theorems of [6] are improved. In §1 some necessary preliminaries for this paper are given (see [1, 6] for details). Then a general curvature pinching (Theorem 2.1) for pseudo-holomorphic curves with constant Kähler angles in  $G_m(\mathbb{C}^N)$  will be shown in §2. In §3 we study the pseudo-holomorphic curves that generate the Frenet

---

Manuscript received January 20, 1998. Revised November 25, 1998.

\*Department of Mathematics, West Brook Campus, Zhejiang University, Hangzhou 310028, China.

**E-mail:** ybshen@tiger.hzuniv.edu.cn

\*\*Project supported by the National Natural Science Foundation of China and the Zhejiang Provincial Natural Science Foundation of China.

harmonic sequences. For such curves, a topological restriction (Theorem 3.1) and a further curvature pinching (Theorem 3.2) will be given, so that the corresponding results of [7] are extended. Finally, some examples are constructed in §4.

### §1. Preliminaries

Let  $\mathbb{C}^N$  be endowed with the usual Hermitian inner product  $\langle \cdot, \cdot \rangle$ . We shall use the following notations

$$z_{\bar{A}} = \bar{z}_A, \quad \sigma_{\bar{A}B} = \bar{\sigma}_{A\bar{B}}, \quad \text{etc.} \quad (1 \leq A, B, C \leq N). \quad (1.1)$$

Let  $Z = \{Z_A\}$  be a unitary frame in  $\mathbb{C}^N$  so that  $\langle Z_A, Z_B \rangle = \delta_{AB}$ . The space of unitary frames may be identified with the unitary group  $U(N)$ . The Maurer-Cartan forms  $\omega_{A\bar{B}}$  of  $U(N)$  satisfy

$$d\omega_{A\bar{B}} = \sum_C \omega_{A\bar{C}} \wedge \omega_{C\bar{B}}, \quad \omega_{A\bar{B}} + \omega_{\bar{B}A} = 0. \quad (1.2)$$

An element of a complex Grassmannian  $G_m(\mathbb{C}^N)$  can be expressed by the multivector  $Z_1 \wedge \cdots \wedge Z_m \neq 0$ , defined up to a nonzero factor. Thus,  $G_m(\mathbb{C}^N)$  may be realized as a homogeneous space  $U(N)/U(m) \times U(N-m)$ . The positive definite Hermitian form

$$ds_G^2 = \sum_{i,\alpha} \omega_{i\bar{\alpha}} \omega_{\bar{i}\alpha} \quad (1 \leq i \leq m; m+1 \leq \alpha \leq N) \quad (1.3)$$

defines a Kähler metric on  $G_m(\mathbb{C}^N)$ ; when  $m=1$  and  $N=n+1$ , this is the Fubini-Study metric on  $\mathbb{C}P^n$  with constant holomorphic sectional curvature 4.

Let  $M$  be a Riemann surface and  $f: M \rightarrow G_m(\mathbb{C}^N)$  be a smooth map. The Riemannian metric of  $M$  may be written as  $ds_M^2 = \varphi \bar{\varphi}$ , where  $\varphi$  is a complex-valued 1-form defined up to a factor of norm one. For the smooth map  $f$ , we choose a local field of unitary frames  $\{Z_A\}$  along  $f$  such that  $\{Z_i\}$  span  $f$ . Then we have<sup>[1]</sup>

$$[f^* \omega_{A\bar{B}}] = \Phi = \begin{bmatrix} \Phi_{11} & A\varphi + B\bar{\varphi} \\ -{}^t \bar{A}\bar{\varphi} - {}^t \bar{B}\varphi & \Phi_{22} \end{bmatrix}, \quad (1.4)$$

where  $A, B \in \mathfrak{M}_{m \times (N-m)}$ ,  $\Phi_{11} \in u(m) \otimes T^*M$  and  $\Phi_{22} \in u(N-m) \otimes T^*M$ . Here and from now on, we denote by  $\mathfrak{M}_{m_1 \times m_2}$  the set of all locally defined  $m_1 \times m_2$ -matrix-valued smooth functions and by  $u(n) \otimes T^*M$  the set of all locally defined  $u(n)$ -valued smooth 1-forms, where  $u(n)$  is the Lie algebra of  $U(n)$ .

Thus,  $f$  is an isometric immersion if and only if

$$\text{tr}(A^t \bar{B}) = 0, \quad |A|^2 + |B|^2 = 1, \quad (1.5)$$

where the norm of a matrix  $Q$  is defined as  $|Q|^2 = \text{tr}(Q^t \bar{Q})$  in a standard manner. In such a case we can introduce the Kähler angle  $\alpha_f$  of  $f$  which is defined to be the angle between  $Jdf(e_1)$  and  $df(e_2)$  for  $0 \leq \alpha_f \leq \pi$ , where  $\{e_1, e_2\}$  is an orthonormal basis on  $M$  and  $J$  stands for the natural complex structure of  $G_m(\mathbb{C}^N)$ . It was pointed out in [1] that the Kähler angle  $\alpha_f$  is a smooth real function on  $M$  except for at most isolated points. By the geometric interpretation of  $\alpha_f$ , we have from (1.4)

$$\cos \alpha_f \varphi \wedge \bar{\varphi} = \sum (f^* \omega_{i\bar{\alpha}}) \wedge (f^* \omega_{\bar{i}\alpha}) = (|A|^2 - |B|^2) \varphi \wedge \bar{\varphi},$$

from which it follows that

$$|A|^2 - |B|^2 = \cos \alpha_f. \quad (1.6)$$

By (1.5) and (1.6) we obtain

$$|A|^2 = \frac{1}{2}(1 + \cos \alpha_f), \quad |B|^2 = \frac{1}{2}(1 - \cos \alpha_f). \quad (1.7)$$

For a harmonic map  $f : M \rightarrow G_m(\mathbb{C}^N)$ , by making use of the  $\partial$ -transform (resp.  $\bar{\partial}$ -transform), Chern-Wolfson<sup>[1]</sup> gave the new harmonic map  $\partial f : M \rightarrow G_{m_1}(\mathbb{C}^N)$  (reps.  $\bar{\partial} f : M \rightarrow G_{m_{-1}}(\mathbb{C}^N)$ ), where  $m_1$  (resp.  $m_{-1}$ ) is the rank of  $\partial f$  (resp.  $\bar{\partial} f$ ). By successive applications of the  $\partial$ -transform, we can obtain a sequence of harmonic maps

$$f \equiv f_0 \xrightarrow{\partial} f_1 \xrightarrow{\partial} f_2 \xrightarrow{\partial} \cdots, \quad (1.8)$$

which is called the harmonic sequence generated by  $f$ , where  $f_j \equiv \partial f_{j-1} : M \rightarrow G_{m_j}(\mathbb{C}^N)$  with rank  $m_j$  for  $j \geq 1$ . There is a similar statement for the  $\bar{\partial}$ -transform. If for all  $x \in M$ ,  $f_j(x) \perp f_k(x)$  as linear subspaces in  $\mathbb{C}^N$  with respect to the Hermitian inner product, then we say  $f_j$  and  $f_k$  are orthogonal. The sequence (1.8) is said to be orthogonal if  $f_j \perp f_k$  for all  $j \neq k$ , so that the length of (1.8) is finite. When  $f$  is holomorphic, the harmonic sequence (1.8) must be orthogonal and hence be finite. In such a case each element  $f_j$  ( $j \geq 1$ ) in (1.8) is called a pseudo-holomorphic curve with position  $j$  generated by the directrix  $f_0$ .

When we concentrate on a special pseudo-holomorphic curve  $f : M \rightarrow G_m(\mathbb{C}^N)$  with position  $r$ , we may rewrite the sequence (1.8) as

$$f_{-r} \xrightarrow{\partial} \cdots \xrightarrow{\partial} f_{-1} \xrightarrow{\partial} f_0 \equiv f \xrightarrow{\partial} f_1 \xrightarrow{\partial} \cdots \xrightarrow{\partial} f_s \quad (1.9)$$

for some nonnegative integer  $s$ , where the directrix  $f_{-r}$  is holomorphic and  $f_s$  is anti-holomorphic so that  $m_{s+1} = 0$ . In addition, if  $m_j$ 's ( $-r \leq j \leq s$ ) are equal to some  $m$ , then we have

$$f_{-r} \rightleftharpoons \cdots \rightleftharpoons f_{-1} \rightleftharpoons f_0 \equiv f \rightleftharpoons f_1 \cdots \rightleftharpoons f_s, \quad (1.10)$$

which is called a Frenet harmonic sequence of rank  $m$ .

## §2. Curvature Pinchings for Pseudo-Holomorphic Curves with Constant Kähler Angles

Let  $M$  be a compact Riemann surface and  $f : M \rightarrow G_m(\mathbb{C}^N)$  be a pseudo-holomorphic curve with position  $r$ . Then,  $f$  generates an orthogonal harmonic sequence (1.9). Denote by  $\alpha_f$  and  $K_f$  the Kähler angle and the Gauss curvature of  $f$  relative to the metric induced by  $f$ , respectively. We begin with the following

**Proposition 2.1.** *If  $f$  is not anti-holomorphic and  $K_f \geq 2(1 + \cos \alpha_f)/(\text{rank } \partial f)$  on  $M$  pointwisely, then  $K_f = 2(1 + \cos \alpha_f)/(\text{rank } \partial f)$ . Similarly, if  $f$  is not holomorphic and  $K_f \geq 2(1 - \cos \alpha_f)/(\text{rank } \bar{\partial} f)$  on  $M$  pointwisely, then  $K_f = 2(1 - \cos \alpha_f)/(\text{rank } \bar{\partial} f)$ .*

**Proof.** Assume that  $f$  is not anti-holomorphic so that  $\text{rank } \partial f = k_1 \neq 0$ . Choose a local unitary frame  $Z = \{Z_1, \dots, Z_N\}$  along  $f$  such that  $Z_1, \dots, Z_m$  span  $f$ ,  $Z_{m+1}, \dots, Z_{m+k_1}$  span  $\partial f$  and  $Z_{k_1+1}, \dots, Z_m$  span the kernel of the  $\partial$ -transform. The pull back of the Maurer-Cartan forms of  $U(N)$  by  $Z$  is then (1.4) with

$$A = \begin{bmatrix} A_{11} & 0 \\ 0 & 0 \end{bmatrix} \quad \text{and} \quad B = \begin{bmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{bmatrix},$$

where

$$\begin{aligned} A_{11}, B_{11} &\in \mathfrak{M}_{k_1 \times k_1}, & B_{12} &\in \mathfrak{M}_{k_1 \times (N-m-k_1)}, \\ B_{21} &\in \mathfrak{M}_{(m-k_1) \times k_1}, & B_{22} &\in \mathfrak{M}_{(m-k_1) \times (N-m-k_1)}. \end{aligned}$$

It follows that

$$|A|^2 = |A_{11}|^2, \quad |B|^2 = |B_{11}|^2 + |B_{12}|^2 + |B_{21}|^2 + |B_{22}|^2. \quad (2.1)$$

By making use of Proposition 1.1 in [6], the harmonicity condition of  $f$  gives (see the proof of Theorem 2.1 of [6] for details)

$$\begin{aligned} \Delta \log |\det A_{11}| &\geq k_1 K_f + 2(2|B_{11}|^2 + |B_{12}|^2 + |B_{21}|^2 - 2|A_{11}|^2) \\ &\geq k_1 K_f - 4|A_{11}|^2. \end{aligned} \quad (2.2)$$

From (1.7), (2.1) and (2.2) we have

$$\Delta \log |\det A_{11}| \geq k_1 K_f - 2(1 + \cos \alpha_f). \quad (2.3)$$

As is pointed out in [6],  $|\det A_{11}|$  is a globally defined nonnegative invariant on  $M$ . Since  $K_f \geq 2(1 + \cos \alpha_f)/k_1$  everywhere, we have  $\Delta \log |\det A_{11}| \geq 0$ , which holds on the compact surface  $M$  except for at most finitely many points. By the maximum principle of subharmonic functions,  $|\det A_{11}|$  must be constant on  $M$ . Thus, by (2.3) and the continuity of  $\alpha_f$ ,  $K_f = 2(1 + \cos \alpha_f)/k_1$ . The first claim of the Proposition is proved.

The proof of the second case in the proposition is similar and we omit it here.

For a pseudo-holomorphic curve  $f : M \rightarrow G_m(\mathbb{C}^N)$  with position  $r$ , which generates the harmonic sequence (1.9), we write

$$\text{rank}(f_{j_0}) = m_0 \text{ for } 0 \leq j_0 \leq k_0; \text{ rank}(f_{j_t}) = m_t \text{ for } \sum_{i=0}^{t-1} k_i + 1 \leq j_t \leq \sum_{i=0}^t k_i,$$

where  $1 \leq t \leq p$  and  $\sum_{i=0}^p k_i = s$ .

**Theorem 2.1.** *Let  $M$  be a compact Riemann surface and  $f : M \rightarrow G_m(\mathbb{C}^N)$  be the pseudo-holomorphic curve as above. If the Kähler angle  $\alpha_f$  is constant and the Gauss curvature  $K_f$  is not less than the constant*

$$c_f = \frac{2 \prod_{t=1}^p (k_t + 1) (1 + (2k_0 + 1) \cos \alpha_f)}{\sum_{t=0}^p \prod_{j=t}^p (k_j + 1) k_t m_t}, \quad (2.4)$$

then  $K_f = c_f$ .

**Proof.** Choose suitably a smooth unitary frame  $Z = \{Z_A\}$  such that the pull back  $\Phi$  of the Maurer-Cartan forms by  $Z$  is

$$\begin{bmatrix} \Phi_0 & A_0 \varphi & & 0 & & B_1 \bar{\varphi} & B_2 \bar{\varphi} \\ -{}^t \bar{A}_0 \bar{\varphi} & \Phi_1 & A_1 \varphi & & & & \\ & 0 & & & & 0 & \\ & & -{}^t \bar{A}_{j-1} \bar{\varphi} & \Phi_j & A_j \varphi & & \\ -{}^t \bar{B}_1 \varphi & & & & -{}^t \bar{A}_{s-1} \bar{\varphi} & \Phi_s & 0 \\ -{}^t \bar{B}_2 \varphi & & 0 & & & 0 & \Phi_{s+1} \end{bmatrix},$$

where  $B_1 \in \mathfrak{M}_{m_0 \times m_t}$ ;  $B_2 \in \mathfrak{M}_{m_0 \times (N - \sum_{i=0}^p k_i m_i - m_0)}$ ;  $A_j \in \mathfrak{M}_{m_t \times m_t}$  for  $\sum_{i=-1}^{t-1} k_i < j < \sum_{i=-1}^t k_i$  and  $0 \leq t \leq p$ ;  $A_s \in \mathfrak{M}_{m_s \times (N - \sum_{i=0}^p k_i m_i - m_0)}$ ;  $\Phi_0 \in u(m_0) \otimes T^*M$ ;  $\Phi_j \in u(m_t) \otimes T^*M$  for  $\sum_{i=-1}^{t-1} k_i < j < \sum_{i=-1}^t k_i$  and  $0 \leq t \leq p$ ;  $\Phi_{s+1} \in u(N - \sum_{i=0}^p k_i m_i - m_0) \otimes T^*M$ . Here we set  $k_{-1} = 0$  for notational convenience.

Now (1.7) becomes

$$|A_0|^2 = \frac{1}{2}(1 + \cos \alpha_f), \quad |B_1|^2 + |B_2|^2 = \frac{1}{2}(1 - \cos \alpha_f). \quad (2.5)$$

By the same manner as in the proof of Proposition 2.1, we have<sup>[6]</sup>

$$\Delta \log |\det A_0| = m_0 K_f + 2(|A_1|^2 - 2|A_0|^2 + |B_1|^2 + |B_2|^2) \quad (2.6)$$

for  $k_0 > 0$ ;

$$\Delta \log |\det A_j| = m_t K_f + 2(|A_{j-1}|^2 - 2|A_j|^2 + |A_{j+1}|^2) \quad (2.7)$$

for  $\sum_{i=-1}^{t-1} k_i < j < \sum_{i=-1}^t k_i$ ,  $0 \leq t \leq p$ , and  $j \neq s-1$ ; and

$$\Delta \log |\det A_{s-1}| = m_p K_f + 2(|A_{s-2}|^2 - 2|A_{s-1}|^2 + |A_s|^2 + |B_1|^2). \quad (2.8)$$

Moreover, we can require

$$A_{k_0+\dots+k_t} = \begin{bmatrix} 0 \\ \tilde{A}_{k_0+\dots+k_t} \end{bmatrix} \quad \text{for } 0 \leq t < p,$$

where  $\tilde{A}_{k_0+\dots+k_t} \in \mathfrak{M}_{m_{t+1} \times m_{t+1}}$ . Thus, we may write

$$\det A_{k_0+\dots+k_t} = \det \tilde{A}_{k_0+\dots+k_t},$$

even though the first one does not make sense. By the same computation as in [6], we have

$$\Delta \log |\det A_{k_0+\dots+k_t}| \geq m_{t+1} K_f + 2(|A_{k_0+\dots+k_{t+1}}|^2 - 2|A_{k_0+\dots+k_t}|^2) \quad (2.9)$$

for  $0 \leq t \leq p-1$  and  $k_0 > 0$ , where it is used that  $|\tilde{A}_{k_0+\dots+k_t}|^2 = |A_{k_0+\dots+k_t}|^2$ . If  $k_0 = 0$ , then

$$\Delta \log |\det \tilde{A}_0| \geq m_1 K_f + 2(|A_1|^2 - 2|A_0|^2). \quad (2.10)$$

For  $0 \leq t \leq p$ , we put

$$F_t = \sum_{j=0}^{k_t-1} \left( \sum_{i=k_{-1}+k_0+\dots+k_{t-1}}^{k_{-1}+k_0+\dots+k_{t-1}+j} \Delta \log |\det A_i| \right),$$

$$F = F_p + \sum_{t=1}^p \left( \prod_{j=t}^p (k_j + 1) \right) F_{t-1}.$$

Then,  $F_t$  as well as  $F$  is a well-defined continuous function on  $M$ .<sup>[6]</sup> By (2.5)–(2.10), a careful computation gives

$$F \geq \frac{1}{2} \sum_{t=0}^p \prod_{j=t}^p (k_j + 1) k_t m_t K_f - \left( \prod_{t=1}^p (k_t + 1) \right) [1 + (2k_0 + 1) \cos \alpha_f]. \quad (2.11)$$

It follows from (2.11) that  $F \geq 0$  provided that  $K_f \geq c_f$ , where  $c_f$  is defined by (2.4). Now, an argument similar to that of [6] shows that  $K_f = c_f$ .

**Remark 2.1.** There is a similar statement for the harmonic sequence

$$\cdots \xleftarrow{\bar{\partial}} f_{-2} \xleftarrow{\bar{\partial}} f_{-1} \xleftarrow{\bar{\partial}} f_0 \equiv f$$

generated by  $f$  via  $\bar{\partial}$ -transforms (cf. Proposition 2.1). When  $\cos \alpha_f = 1$ , i.e.,  $f$  is holomorphic, the pinching constant  $c_f$  was obtained by Y. Zheng.<sup>[6]</sup>

An interesting situation is when the pseudo-holomorphic curve  $f$  generates a Frenet harmonic sequence (1.10) of rank  $m$ . In such a case, we have obviously

$$m_0 = m, \quad k_0 = p = s, \quad k_1 = k_2 = \cdots = 0.$$

Noting that  $\text{rank} \bar{\partial} f_j = \text{rank} \partial f_{j-1} = m$  for the Frenet sequence, from Theorem 2.1 and Remark 2.1 we have immediately

**Corollary 2.1.** *Let  $f : M \rightarrow G_m(\mathbb{C}^N)$  be a pseudo-holomorphic curve with constant Kähler angle  $\alpha_f$ , which generates a Frenet harmonic sequence of rank  $m$ . If the Gauss curvature  $K_f$  relative to the metric induced by  $f$  satisfies*

$$K_f \geq \frac{2[1 \pm (2s+1) \cos \alpha_f]}{s(s+1)m}, \quad (2.12)$$

then  $K_f = 2[1 \pm (2s+1) \cos \alpha_f]/s(s+1)m$ .

**Remark 2.2.** A further pinching theorem will be given in next section. The case that  $m = 1$  has been given in [7].

### §3. Frenet Harmonic Sequences

Let  $M$  be a compact Riemann surface with genus  $g$  and  $f : M \rightarrow G_m(\mathbb{C}^N)$  a pseudo-holomorphic map with position  $r$  which generates a Frenet harmonic sequence (1.10) of rank  $m$ . Denote by  $V_i$  the vector bundle over  $M$  induced by  $f_i$  for  $-r \leq i \leq s$ . For each  $i$  there is a holomorphic bundle map  $\partial_i : V_i \rightarrow V_{i+1} \otimes TM^{(1,0)}$ , where  $TM^{(1,0)}$  denotes the cotangent bundle on  $M$  of type  $(1,0)$ . By taking the  $m$ th exterior power of each bundle, we obtain the holomorphic bundle map  $\det \partial_i : \wedge^m V_i \rightarrow \wedge^m V_{i+1} \otimes (TM^{(1,0)})^m$ . Then, from (1.10) we have the following sequence of line bundles (see [10] for details):

$$\wedge^m V_{-r} \xrightarrow{\det \partial_{-r}} \cdots \xrightarrow{\det \partial_{-2}} \wedge^m V_{-1} \xrightarrow{\det \partial_{-1}} \wedge^m V_0 \xrightarrow{\det \partial_0} \wedge^m V_1 \xrightarrow{\det \partial_1} \cdots \xrightarrow{\det \partial_{s-1}} \wedge^m V_s.$$

Moreover, denoting by  $c_1(\wedge^m V_i)$  the first Chern number of the line bundle  $\wedge^m V_i$ , we have the following Plücker formula<sup>[10]</sup>

$$c_1(\wedge^m V_{i+1}) = c_1(\wedge^m V_i) + \delta_i - 2m(g-1), \quad (3.1)$$

where  $\delta_i$  stands for the ramification index of  $\det \partial_i$ .

A pseudo-holomorphic map  $f : M \rightarrow G_m(\mathbb{C}^N)$  is said to be totally unramified if all  $\delta_i \equiv 0$  for  $-r \leq i \leq s$ . We have the following

**Theorem 3.1.** *Let  $M$  be a compact Riemann surface with genus  $g$  and  $f : M \rightarrow G_m(\mathbb{C}^N)$  a pseudo-holomorphic curve which generates a Frenet harmonic sequence. If  $f$  is totally unramified, then  $g = 0$ , namely,  $M$  is homeomorphic to  $S^2$ .*

**Proof.** Denote by  $E(\partial_i)$  (resp.  $E(\bar{\partial}_i)$ ) the  $\partial$ -energy (resp.  $\bar{\partial}$ -energy) of the map  $M \rightarrow G_m(\mathbb{C}^N)$  determined by  $V_i$ . We have<sup>[10]</sup>

$$c_1(\wedge^m V_i) = \frac{1}{\pi} E(\partial_{i-1}) - \frac{1}{\pi} E(\partial_i). \quad (3.2)$$

It follows from (3.1) and (3.2) that

$$\delta_i = \frac{1}{\pi} \{-E(\partial_{i+1}) + 2E(\partial_i) - E(\partial_{i-1})\} - 2m(1-g) \quad (3.3)$$

for  $-r \leq i \leq s-1$ . Applying (3.3) inductively gives

$$\begin{aligned} \frac{1}{\pi} E(\partial_i) &= (i+r+1) \left[ \frac{1}{\pi} E(\partial_{-r}) - m(i+r)(1-g) \right] - \sum_{j=-r}^{i-1} (i-j) \delta_j, \\ \sum_{j=-r}^{s-1} (s-j) \delta_j &= \frac{1}{\pi} (r+s+1) E(\partial_{-r}) - m(r+s)(r+s+1)(1-g). \end{aligned}$$

From these we deduce

$$\frac{1}{\pi} E(\partial_i) = m(s-i)(r+1+i)(1-g) + \frac{s-i}{r+s+1} \sum_{j=-r}^{i-1} (j+r+1) \delta_j + \frac{r+1+i}{r+s+1} \sum_{j=i}^{s-1} (s-j) \delta_j.$$

The assumption of Theorem 3.1 implies that  $E(\partial_i) = \pi m(s-i)(r+1+i)(1-g)$ , so that

$$E(\partial_{-1}) + E(\partial_0) = \pi m(2rs + r + s)(1-g). \quad (3.4)$$

On the other hand, by the definition of the energy of  $V_i$ , we have

$$E(\partial_{-1}) + E(\partial_0) = E(\bar{\partial}_0) + E(\partial_0) = E(V_0) > 0,$$

which together with (3.4) yields  $g = 0$ . This completes the proof.

Noting that the degree of  $\det \partial_i$  is  $\deg(\det \partial_i) = -c_1(\wedge^m V_i)$ , we have directly

**Corollary 3.1.**<sup>[10]</sup> *If a pseudo-holomorphic curve  $f : T^2 \rightarrow G_m(\mathbb{C}^N)$  from a torus  $T^2$  generates a Frenet harmonic sequence, then there exists at least an element with nonzero degree in the sequence of corresponding line bundles.*

Now, we consider the further curvature pinching for the pseudo-holomorphic curve  $f : S^2 \rightarrow G_m(\mathbb{C}^N)$  with position  $r$  which generates a Frenet harmonic sequence of rank  $m$ . Without loss of generality, assume that  $f$  is full, i.e., the image  $f(S^2)$  does not lie in any linear subspace of  $\mathbb{C}^N$ . In such a case, we can choose suitably a smooth unitary frame  $Z = \{Z_A\}$  such that the pull back  $\Phi$  of the Maurer-Cartan forms by  $Z$  is<sup>[2]</sup>

$$\begin{bmatrix} \Phi_{-r} & A_{-r}\varphi & & & & 0 \\ & & -{}^t\bar{A}_{-1}\bar{\varphi} & \Phi_0 & A_0\varphi & 0 \\ & 0 & -{}^t\bar{A}_0\bar{\varphi} & \Phi_1 & A_1\varphi & \\ & & & & & \\ 0 & & & & -{}^t\bar{A}_{s-1}\bar{\varphi} & \Phi_s \end{bmatrix},$$

where  $\Phi_j \in u(m) \otimes T^*S^2$  for  $-r \leq j \leq s$ ;  $A_j \in \mathfrak{M}_{m \times m}$  for  $-r \leq j \leq s-1$ . Similar to (2.10), we then have

$$\Delta \log |\det A_j| = mK_f + 2(|A_{j-1}|^2 - 2|A_j|^2 + |A_{j+1}|^2) \quad (3.5)$$

with  $A_{-r-1} = A_s = 0$ . Moreover, (1.7) reduces

$$|A_0|^2 = \frac{1}{2}(1 + \cos \alpha_f), \quad |A_{-1}|^2 = \frac{1}{2}(1 - \cos \alpha_f). \quad (3.6)$$

From (3.5) and (3.6) it follows that

$$\Delta \log |\det A_0| = mK_f - 2 \cos \alpha_f + 2(|A_1|^2 - |A_0|^2), \quad (3.7)$$

$$\Delta \log |\det A_{-1}| = mK_f + 2 \cos \alpha_f + 2(|A_{-2}|^2 - |A_{-1}|^2). \quad (3.8)$$

It is pointed out in [2] that all  $|\det A_j|$  are globally defined invariants of analytic type on  $S^2$  vanishing only at isolated points. By (3.5), (3.7) and (3.8), a direct computation gives

$$\frac{1}{2} \Delta \log \prod_{k=1}^{p-1} \prod_{j=0}^{k-1} |\det A_j| = \frac{1}{4} mp(p+1)K_f - \frac{1}{2}[1 + (2p+1)\cos \alpha_f] + |A_p|^2 \quad (3.9)$$

for  $1 \leq p \leq s$ , and

$$\frac{1}{2} \Delta \log \prod_{k=-1}^{-p} \prod_{j=-1}^k |\det A_j| = \frac{1}{4} mp(p+1)K_f - \frac{1}{2}[1 - (2p+1)\cos \alpha_f] + |A_{-p-1}|^2 \quad (3.10)$$

for  $1 \leq p \leq r$ .

**Theorem 3.2.** *Let  $f : S^2 \rightarrow G_m(\mathbb{C}^N)$  be a full pseudo-holomorphic curve with constant Kähler angle  $\alpha_f$ , which is not  $\pm$ holomorphic and generates the Frenet harmonic sequence of rank  $m$ . If the Gauss curvature  $K_f$  of  $f$  satisfies*

$$\frac{2[1 \pm (2p+1)\cos \alpha_f]}{mp(p+1)} \leq K_f \leq \frac{2[1 \pm (2p-1)\cos \alpha_f]}{mp(p-1)} \quad (3.11)$$

for some integer  $p \geq 2$ , and if the well-defined invariants  $|\det A_j| \neq 0$  for  $j = 0, \dots, p-2$  (resp.  $|\det A_{-j}| \neq 0$  for  $j = 1, \dots, p-1$ ), then  $K_f$  is a constant with the end-values of (3.11).

**Proof.** We consider two cases separately.

Case (i)  $|A_{p-1}|^2 \equiv 0$ . By the definition of the ramification index  $\delta_i$  in (3.1) and Lemma 4.1 of [11], it is easy to see that

$$\int_{S^2} \Delta \log |\det A_j|^2 * 1 = -2\pi\delta_j.$$

Thus, by integrating (3.9), we obtain

$$0 \geq \int_{S^2} \{mp(p+1)K_f - 2[1 + (2p+1)\cos \alpha_f]\} * 1,$$

which together with the left hand side of (3.11) yields  $K_f = 2[1 + (2p+1)\cos \alpha_f]/mp(p+1)$ .

Case (ii)  $|A_{p-1}|^2 \equiv 0$ . By a formula similar to (3.9), we have

$$\Delta \log \prod_{k=1}^{p-2} \prod_{j=0}^{k-1} |\det A_j| = \frac{1}{2} mp(p-1)K_f - [1 + (2p-1)\cos \alpha_f],$$

from which it follows that

$$0 = \int_{S^2} \{mp(p-1)K_f - 2[1 + (2p-1)\cos \alpha_f]\} * 1 \quad (3.12)$$

because, by the assumption,  $|\det A_j| > 0$  for  $j = 0, \dots, p-2$ . Combining (3.12) with the right hand side of (3.11) gives  $K_f = 2[1 + (2p-1)\cos \alpha_f]/mp(p-1)$ .

In the same way, the other curvature pinching case of the theorem can follow from (3.10). Hence, the theorem is proved completely.

**Corollary 3.2.** *Under the same hypothesis as in Theorem 3.2, if*

$$K_f \geq 2[1 \pm (2p+1)\cos \alpha_f]/mp(p+1) \quad (3.13)$$

for some integer  $p \geq 2$  and  $|A_{p-1}|^2 \equiv 0$  (resp.  $|A_{-p}|^2 \equiv 0$ ), then  $K_f = 2[1 \pm (2p+1)\cos \alpha_f]/mp(p+1)$ .

**Remark 3.1.** When  $m = 1$ , the results in this section have been given in [7].



#### §4. Some Examples

**Example 4.1.** As is well known, a harmonic two-sphere  $\phi : S^2 \rightarrow \mathbb{C}P^n = G_1(\mathbb{C}^{n+1})$  is pseudo-holomorphic. In particular, if the Gauss curvature of the metric induced by  $\phi$  is constant, then, up to a holomorphic isometry of  $\mathbb{C}P^n$ ,  $\phi$  generates the Veronese harmonic sequence.<sup>[5]</sup>

Let

$$\phi_0 \xrightarrow{\partial} \cdots \xrightarrow{\partial} \phi_p \xrightarrow{\partial} \cdots \xrightarrow{\partial} \phi_n \quad (4.1)$$

be the Veronese sequence in  $\mathbb{C}P^n$ . We have<sup>[5]</sup>

$$|\partial\phi_p|^2 = |\bar{\partial}\phi_{p+1}|^2 = \frac{(p+1)(n-p)}{(1+|z|^2)^2} \quad (4.2)$$

for  $0 \leq p \leq n$ , where  $z = z_1/z_0$  and  $[z_0, z_1] \in \mathbb{C}P^1 = S^2$ . The metric induced by  $\phi_p$  is

$$ds_p^2 = (|\partial\phi_p|^2 + |\bar{\partial}\phi_p|^2) |dz|^2 = \frac{n+2p(n-p)}{(1+|z|^2)^2} |dz|^2,$$

whose curvature is

$$K_p = \frac{4}{n+2p(n-p)} = \frac{2[1 - (1+2p)\cos\alpha_p]}{p(p+1)},$$

where  $\alpha_p$  is the Kähler angle of  $\phi_p$  satisfying  $\cos\alpha_p = (n-2p)/[n+2p(n-p)]$ .

By [12], we can construct a harmonic map  $f_{p,m} : S^2 \rightarrow G_m(\mathbb{C}^{n+1})$  by  $f_{p,m} = \phi_p \perp \cdots \perp \phi_{p+m-1}$  for  $m \leq n+1-p$ , where  $\perp$  denotes the orthogonal direct sum. It is clear that  $\partial f_{p,m} = \partial\phi_{p+m-1}$  and  $\bar{\partial} f_{p,m} = \bar{\partial}\phi_p$ . Thus, by (4.2), we have

$$ds_f^2 = (|\partial f_{p,m}|^2 + |\bar{\partial} f_{p,m}|^2) |dz|^2 = \frac{(2p+m)(n+1-p) - m(p+m)}{(1+|z|^2)^2} |dz|^2. \quad (4.3)$$

The constant Kähler angle  $\alpha_f$  of  $f_{p,m}$  is determined by

$$\cos\alpha_f = \frac{|\partial f_{p,m}|^2 - |\bar{\partial} f_{p,m}|^2}{|\partial f_{p,m}|^2 + |\bar{\partial} f_{p,m}|^2} = \frac{m(n+1-2p-m)}{(2p+m)(n+1-p) - m(p+m)}. \quad (4.4)$$

Then the curvature  $K_f$  of the metric (4.3) is

$$K_f = \frac{4}{(2p+m)(n+1-p) - m(p+m)} = \frac{2[m - (2p+m)\cos\alpha_f]}{pm(p+m)}. \quad (4.5)$$

Hence,  $f_{p,m} : S^2 \rightarrow G_m(\mathbb{C}^{n+1})$  is a pseudo-holomorphic curve with constant Kähler angle and constant curvature in  $G_m(\mathbb{C}^{n+1})$ .

For examples of holomorphic 2-spheres with constant curvature in  $G_m(\mathbb{C}^N)$ , see [9].

**Example 4.2.** For any positive integer  $m$ , consider  $m$  copies of the Veronese sequence in  $\mathbb{C}P^n$ :

$$\phi_0^{(i)} \xrightarrow{\partial} \cdots \xrightarrow{\partial} \phi_p^{(i)} \xrightarrow{\partial} \cdots \xrightarrow{\partial} \phi_n^{(i)}$$

for  $1 \leq i \leq m$ . We now construct a harmonic map  $F_p : S^2 \rightarrow G_m(\mathbb{C}^{m(n+1)})$  defined by  $F_p = \phi_p^{(1)} \perp \cdots \perp \phi_p^{(m)}$ , which generates the following harmonic sequence

$$F_0 \rightleftharpoons \cdots \rightleftharpoons F_p \rightleftharpoons \cdots \rightleftharpoons F_n.$$

Clearly, it is a Frenet harmonic sequence of rank  $m$ . By [12], it is easy to see that

$$\begin{aligned} |\partial F_p|^2 &= \sum_{i=1}^m |\partial \phi_p^{(i)}|^2 = \frac{m(p+1)(n-p)}{(1+|z|^2)^2}, \\ |\bar{\partial} F_p|^2 &= \sum_{i=1}^m |\bar{\partial} \phi_p^{(i)}|^2 = \frac{mp(n+1-p)}{(1+|z|^2)^2}. \end{aligned}$$

Thus, the metric induced by  $F_p$  is

$$ds_F^2 = \frac{m[n+2p(n-p)]}{(1+|z|^2)^2} |dz|^2. \quad (4.6)$$

The constant Kähler angle  $\alpha_F$  of  $F_p$  is determined by

$$\cos \alpha_F = \frac{n-2p}{m[n+2p(n-p)]}. \quad (4.7)$$

Then the curvature  $K_F$  of the metric (4.6) is

$$K_F = \frac{4}{m\{n+2p(n-p)\}} = \frac{2[1-(2p+1)\cos \alpha_F]}{mp(p+1)}. \quad (4.8)$$

Hence,  $F_p : S^2 \rightarrow G_m(\mathbb{C}^{m(n+1)})$  is a pseudo-holomorphic curve with constant Kähler angle and constant curvature in  $G_m(\mathbb{C}^{m(n+1)})$ , which generates the Frenet harmonic sequence of rank  $m$ .

#### REFERENCES

- [1] Chern, S. S. & Wolfson, J. G., Harmonic maps of the two-sphere into a complex Grassmann manifold, II, *Ann. of Math.*, **125**(1987), 301–335.
- [2] Chi, Q. S. & Zheng, Y., Rigidity of pseudo-holomorphic curves of constant curvature in Grassmann manifolds, *Trans. Amer. Math. Soc.*, **313**(1989), 393–406.
- [3] Liao, R., Cyclic properties of the harmonic sequence of surfaces in  $CP^n$ , *Math. Ann.*, **296**(1993), 363–384.
- [4] Dong, Y. X., On the isotropy of harmonic maps from surfaces to complex projective spaces, *Inter. J. Math.*, **3**(1992), 165–177.
- [5] Bolton, J., Jensen, G. R., Rigoli, M. & Woodward, L. M., On conformal minimal immersions of  $S^2$  into  $CP^n$ , *Math. Ann.*, **279**(1988), 599–620.
- [6] Zheng, Y., Quantization of curvature of harmonic two-spheres in Grassmann manifolds, *Trans. Amer. Math. Soc.*, **316**(1989), 193–214.
- [7] Shen, Y. B., The Riemannian geometry of superminimal surfaces in complex space forms, *Acta Math. Sinica (N.S.)*, **12**(1996), 298–313.
- [8] Ogata, T., Curvature pinching theorem for minimal surfaces with constant Kaehler angle in complex projective spaces I; II, *Tôhoku Math. J.*, **43;45**(1991;1993), 361–374;271–283.
- [9] Li, Z. Q., Holomorphic 2-spheres in Grassmann manifolds, Ph. D. Thesis, Fudan Univ., Shanghai, China, 1997.
- [10] Wolfson, J. G., Harmonic sequences and harmonic maps of surfaces into complex Grassmann manifolds, *J. Diff. Geom.*, **27**(1988), 161–178.
- [11] Eschenburg, J. H., Guadalupe, I. V. & Tribuzy, R. A., The fundamental equations of minimal surfaces in  $CP^2$ , *Math. Ann.*, **270**(1985), 571–598.
- [12] Burstall, F. E. & Wood, J. C., The construction of harmonic maps into complex Grassmannians, *J. Diff. Geom.*, **23**(1986), 285–297.