# **ON MULTIPLE POSITIVE SOLUTIONS FOR A** <code>NONLINEAR ELLIPTIC PROBLEM IN  $R^{\scriptscriptstyle\wedge\ast\ast}$ </code>

YANG HAITAO\* WU SHAOPING\*

#### **Abstract**

By making use of variational method, the authors obtain some results about existence of multiple positive solutions and their asymptotic behavior as the parameter  $\lambda \to +\infty$  for a semilinear elliptic problem in *R<sup>N</sup>* .

**Keywords** Critical point, Asymptotic behavior, Concentration **1991 MR Subject Classification** 35A15, 35J05, 46N20 **Chinese Library Classification** O176.3, O175.25 **Document Code** A **Article ID** 0252-9599(2000)03-0351-08

## *§***1. Introduction**

Consider the semilinear elliptic problem

$$
\begin{cases}\n-\Delta u + (\lambda a(x) + 1)u = u^p, & x \in R^N, \\
u \in H^1(R^N), & u > 0 \text{ in } R^N,\n\end{cases}
$$
\n(1.1)

where  $p \in (1, \frac{N+2}{N-2})$  for  $N \ge 3$ , and  $p \in (1, +\infty)$  for  $N = 1, 2, 0 \le a(x) \in C(R^N)$ ,  $\lambda > 0$  is a real parameter.

The existence and uniqueness of solution for such problems have been considered by many authors recently (see [1–4] and references therein). In [3], T. Bartsch and Wang, Z. Q. proved that with more genaral nonlinearities  $(1.1)$  has at least one solution for  $\lambda$  large under some conditions on  $a(x)$ , one of which is that  $a^{-1}(0)$  has nonempty interior, and they put forward a question whether or not one can get rid of this assumption.

In this paper, we study the existence of multiple solutions for (1.1) and the asymptotic behavior of the solutions as  $\lambda \to +\infty$ . We only assume that  $a(x)$  has some flatness at its zero points, i.e.

(a<sub>1</sub>) 
$$
a \in C(R^N, R)
$$
 satisfies  $a \ge 0, a^{-1}(0) = \bigcup_{i=1}^K \{a^i\}$  and  $D^{\alpha}a(a^i) = 0, 1 \le |\alpha| \le k - 1$ ,

 $a(x + a^i) = a(x + a^j), i \neq j$ , x near zero point. Here K is a positive integer and  $k \geq 2$  will be determined later.

 $(a_2)$  There exists  $a_{\infty} > 0$  such that  $\liminf_{|x| \to \infty} a(x) \ge a_{\infty} > 0$ .

Manuscript received March 5, 1999. Revised January 5, 2000.

*<sup>∗</sup>*Department of Mathematics, Zhejiang University, Hangzhou 310027, China.

*<sup>∗∗</sup>*Project supported by the National Natural Science Foundation of China (No. 19771072) and Cao Guangbiao Fund of Zhejiang University.

In [5], D. M. Cao and E. S. Noussair gave a result about existence of multiple positive solutions of (1.1) with  $a(x) = 0$  and nonlinearity  $Q(\lambda x)|u|^{p-2}u$  instead of  $u^p$ . They were mainly interested in the effects of the "shape" of  $Q(x)$  while our focus is on  $a(x)$ . It is easily seen that if inf  $a(x) > 0$  and  $a(x)$  is bounded, one can get a similiar result completely parallel to [5]. But under conditions  $(a_1), (a_2)$ , it seems impossible to prove that the corresponding energy functional satisfies (PS) or local (PS) conditions by using concentration-compactness principle as in [5], and this gives rise to some difficulties in the proof of the existence of multiple solutions. By some delicate estimations, we noticed that the minimizing sequence concentrates at the zero points of  $a(x)$  for  $\lambda$  large enough (see Section 2), and then by making use of Ekeland's variational principle, we obtain the following results about the existence of multiple solutions of (1.1).

**Theorem 1.1.** *Suppose conditions*  $(a_1)$ *,*  $(a_2)$  *hold, and* 

$$
k > \max\left\{k', 4\left(\frac{p+1}{p-1} - \frac{N+1}{2}\right)\right\},\tag{1.2}
$$

*where*

$$
k' = \left\{ \begin{array}{ll} \frac{2}{p-1} & \text{if } N \geq 3, \\[1mm] \frac{4}{p-1} & \text{if } N = 2, \\[1mm] \frac{p^2+7}{2(p-1)} & \text{if } N = 1, \end{array} \right.
$$

*k* is as in (a<sub>1</sub>). Then there exists  $\lambda_0 \geq 1$  such that problem (1.1) has at least K positive *solutions*  $u^i(i = 1, \dots, K)$  *for each*  $\lambda \geq \lambda_0$ *.* 

By the proof of Theorem 1.1, we immediately know that the solutions concentrate at the zero points of  $a(x)$  in  $L^{p+1}$  norm as  $\lambda \to +\infty$ . Moreover, the solutions also concentrate in  $L^\infty$  norm. More precisely, we have

**Corollary 1.1.** *Under the conditions of Theorem 1.1, the solutions*  $u^{i}(i = 1, \dots, K)$ *obtained in Theorem* 1.1 *concentrate at*  $a^i$  *in the following sense: For any small*  $\delta > 0$ , *as*  $\lambda \rightarrow +\infty$ *,* 

$$
\begin{cases}\n\max_{\text{dist}(x,a^i)\geq \delta} u^i \to 0, \\
\max_{\text{dist}(x,a^i)<\delta} u^i \to +\infty.\n\end{cases}
$$
\n(1.3)

The paper is organized as follows. Section 2 contains some preliminary lemmas. The proofs of Theorem 1.1 and Corollary 1.1 are given in Section 3.

Throughout this paper,  $C, C_0, C_1, C_2, \cdots$  denote (possibly different) positive constants.

### *§***2. Notations and Some Lemmas**

As usual, we consider the functional

$$
I_{\lambda}(u) = \frac{1}{2} \int_{R^N} (|\nabla u|^2 + (\lambda a(x) + 1)u^2) dx - \frac{1}{p+1} \int_{R^N} |u|^{p+1} dx, \quad \lambda \ge 1
$$

on space

$$
E = \Big\{ u \in H^1(R^N) : ||u||^2 = \int_{R^N} (|\nabla u|^2 + (a(x) + 1)u^2) dx < +\infty \Big\}.
$$

Obviously,  $I_\lambda \in C^1(E, R^1)$  and a positive critical point of  $I_\lambda$  is a solution of Equation (1.1) (see [1,3]).

Let  $\delta_0 > 0, L > 0$  be such that

$$
a_{\delta_0}^i \cap a_{\delta_0}^j = \emptyset, \quad i \neq j \text{ and } \bigcup_{i=1}^K a_{\delta_0}^i \subset \prod_{i=1}^N (-L, L),
$$

where  $a_{\delta_0}^i = \{x \in R^N | \text{dist}(x, a^i) < \delta_0\}$ , and  $a^i$  is as in the condition  $(a_1)$ . Let  $\varphi \in C(R^1, R^1)$ be such that

$$
\varphi(t) = \begin{cases} 2L, & t > 2L, \\ t, & -L \le t \le L, \\ -2L, & t < -2L \end{cases} \tag{2.1}
$$

and define  $g \in C(E, R^N)$  by

$$
g(u) = (g^{i}(u))_{1 \leq i \leq N} = \left(\frac{\int_{R^N} \varphi(x_i)|u|^{p+1} dx}{\int_{R^N} |u|^{p+1} dx}\right)_{1 \leq i \leq N}.
$$
 (2.2)

Define

$$
M_{\lambda} = \{u \in E : u \neq 0, \langle I'_{\lambda}(u), u \rangle = 0\},
$$
  
\n
$$
M_{\lambda}^{i} = \{u \in M_{\lambda} : g(u) \in a_{\delta_{0}}^{i}\},
$$
  
\n
$$
O_{\lambda}^{i} = \{u \in M_{\lambda} : g(u) \in \partial a_{\delta_{0}}^{i}\},
$$

where  $1 \leq i \leq K$ , and  $M_{\lambda} \neq \emptyset, O_{\lambda}^{i} \neq \emptyset$  (see [5]). Let

$$
m^i_\lambda=\inf_{M^i_\lambda}I_\lambda,\quad \overline{m^i_\lambda}=\inf_{O^i_\lambda}I_\lambda.
$$

By  $(a_1)$ , it is not hard to prove that for  $i \neq j$ ,

$$
m_{\lambda}^{i} = m_{\lambda}^{j} \ge c_{1} > 0, \quad \overline{m_{\lambda}^{i}} = \overline{m_{\lambda}^{j}} \ge c_{2} > 0,
$$
\n(2.3)

where  $c_1, c_2$  are independent of  $\lambda$ .

In this section, we give the estimations of  $m_\lambda^i$  and  $m_\lambda^i$  for  $\lambda$  large, and then by making use of Ekeland-variational principle, we obtain a (PS) sequence.

**Lemma 2.1.** *Suppose the condition* (a<sub>1</sub>) *holds. Then for*  $\lambda \geq 1$  *large enough,* 

$$
m_\lambda^i \leq C \lambda^{\frac{2}{k+2}(-\frac{p+1}{p-1}-\frac{N}{2})},
$$

*where the constant*  $C > 0$  *is independent of*  $\lambda$ *, and*  $k$  *is as in*  $(a_1)$ *.*  $\mathbf{P}$ **r** 

$$
v = \begin{cases} 1 - \lambda^{\sigma} |x - a^i|, & |x - a^i| < \lambda^{-\sigma}, \\ 0, & |x - a^i| \ge \lambda^{-\sigma}, \end{cases}
$$

where  $\sigma > 0$  is a constant to be determined later.

It is easily seen that supp $v \,\subset a_{\delta_0}^i$  for  $\lambda$  large enough and there exists  $t_0 > 0$  such that  $u_0 = t_0 v \in M_\lambda^i$  and  $g(u_0) \in a_{\delta_0}^i$ . By computing, we have

$$
\int_{R^N} |v|^s = K_1 \lambda^{-\sigma N},\tag{2.4}
$$

where  $K_1 = \int_0^1 \int_{|\omega|=1} (1 - \rho)^s \rho^{N-1} d\rho d\omega$ , and

$$
\int_{R^N} |\nabla v|^2 = \omega_N \lambda^{2\sigma - N\sigma},\tag{2.5}
$$

where  $\omega_N$  is the area of the unit sphere in  $R^N$ , and

$$
\int_{R^N} |x - a^i|^k v^s = K_2 \lambda^{-\sigma N - k\sigma},\tag{2.6}
$$

where

$$
K_2 = \int_0^1 \int_{|\omega|=1} \rho^k (1-\rho)^s \rho^{N-1} d\rho d\omega.
$$

Let  $\sigma = \frac{1}{k+2}$ . By  $(a_1)$ , using Taylor expansion of  $a(x)$  about  $a^i$  and  $(2.4)$ – $(2.6)$ , we get

$$
m_{\lambda}^{i} \leq I_{\lambda}(u_{0}) = I_{\lambda}(t_{0}v)
$$
  
\n
$$
\leq \max_{t \geq 0} \left[ \frac{t^{2}}{2} \int_{R^{N}} (|\nabla v|^{2} + C_{1}\lambda |x - a^{i}|^{k} v^{2} + v^{2}) dx - \frac{t^{p+1}}{p+1} \int_{R^{N}} |v|^{p+1} dx \right]
$$
  
\n
$$
\leq \max_{t \geq 0} [C_{2}\lambda^{2\sigma - N\sigma} t^{2} - C_{3}\lambda^{-N\sigma} t^{p+1}]
$$
  
\n
$$
\leq C\lambda^{\frac{2}{k+2}(\frac{p+1}{p-1} - \frac{N}{2})}
$$
\n(2.7)

for  $\lambda \geq 1$  large enough, where  $C_i(i = 1, 2, 3)$  and  $C$  are positive constants independent of  $\lambda$ . **Lemma 2.2.** *Under the conditions of Theorem 1.1, for*  $\lambda$  *large enough,*  $m_{\lambda}^{i} > m_{\lambda}^{i}$ .

**Proof.** Let  $u_n \in O^i_\lambda$  be a minimizing sequence of  $\overline{m^i_\lambda}$ , i.e.  $g(u_n) \in \partial a^i_{\delta_0}$ ,

$$
\frac{1}{2} \int_{R^N} |\nabla u_n|^2 + (\lambda a(x) + 1) u_n^2 = \frac{1}{p+1} \int_{R^N} |u_n|^{p+1} + \overline{m_\lambda^i} + o(1) \text{ as } n \to \infty,
$$
\n(2.8)

$$
\int_{R^N} |\nabla u_n|^2 + (\lambda a(x) + 1)u_n^2 = \int_{R^N} |u_n|^{p+1}.
$$
\n(2.9)

By (2.8), (2.9), we get

$$
\int_{R^N} |\nabla u_n|^2 + (\lambda a(x) + 1)u_n^2 \le \frac{2(p+1)}{p-1} \overline{m}_\lambda^i + o(1) \quad \text{as } n \to \infty. \tag{2.10}
$$

Using Sobolev inequality in (2.9), one can prove that

$$
|u_n|_{L^{p+1}(R^N)}^{p+1} \ge C^* > 0,
$$
\n(2.11)

where  $C^*$  is independent of  $\lambda$ , *n* and  $\delta_0$ .

Let  $\delta < \delta_0$  and

$$
a_{\frac{\delta}{4}}^{-1}(0) = \bigcup_{i=1}^{K} a_{\frac{\delta}{4}}^{i} = \bigcup_{i=1}^{K} \left\{ x \in R^{N} \, \middle| \, \text{dist}(x, a^{i}) < \frac{\delta}{4} \right\}.
$$

By  $(a_2)$  and  $(2.10)$ , we have

$$
|u_n|^2_{L^2\left(R^N\setminus a_{\frac{\delta}{4}}^{-1}(0)\right)} \leq C \frac{\overline{m}_{\lambda}^i}{\lambda}.\tag{2.12}
$$

If  $N \geq 3$ , by setting  $\theta = \frac{N(p-1)}{2(p+1)}$ , the Gagliardo-Nirenberg inequality, (2.10) and (2.12) yield

$$
|u_{n}|_{L^{p+1}\left(R^{N}\setminus a_{\frac{1}{4}}^{-1}(0)\right)}^{p+1} \leq C|\nabla u_{n}|_{L^{2}\left(R^{N}\setminus a_{\frac{1}{4}}^{-1}(0)\right)}^{\theta(p+1)}|u_{n}|_{L^{2}\left(R^{N}\setminus a_{\frac{1}{4}}^{-1}(0)\right)}^{(1-\theta)(p+1)} \leq C\left(\frac{\overline{m}_{\lambda}^{i}}{\lambda^{1-\theta}}\right)^{\frac{p+1}{2}}.\tag{2.13}
$$

Similiarly, if  $N = 1, 2$ , let  $s_{\epsilon_0} > p$  be such that

$$
\frac{1}{p+1}=\frac{\theta_{\varepsilon_0}}{s_{\varepsilon_0}+1}+\frac{1-\theta_{\varepsilon_0}}{2},
$$

where  $\varepsilon_0 > 0$  is a small constant, and  $\theta_{\varepsilon_0} = 2(\frac{1}{2} - \frac{1}{p+1}) + \varepsilon_0$ . Then we also have

$$
|u_n|_{L^{p+1}\left(R^N \setminus a_{\frac{\delta}{4}}^{-1}(0)\right)}^{p+1} \le \left(\frac{\overline{m}_{\lambda}^i}{\lambda^{1-\theta_{\varepsilon_0}}}\right)^{\frac{p+1}{2}}.\tag{2.14}
$$

Now, we prove this lemma by contradiction. Suppose that  $\overline{m}_{\lambda}^{i} \leq m_{\lambda}^{i}$ . Then by Lemma 2.1, (2.13), (2.14) and the condition (1.2), it can be shown that as  $\lambda \to +\infty$ ,

$$
|u_n|_{L^{p+1}\left(R^N \setminus a_{\frac{\delta}{4}}^{-1}(0)\right)}^{p+1} = o(1) \tag{2.15}
$$

and then using (2.11), we get

$$
|u_n|_{L^{p+1}\left(a_{\frac{1}{4}}^{-1}(0)\right)}^{p+1} \ge \frac{C^*}{2} > 0
$$
\n(2.16)

for  $\lambda$  large enough. Since  $g(u_n) \in \partial a_{\delta_0}^i$ , (2.15), (2.16) imply that there is at least two points  $a^{j_1}, a^{j_2} \in a^{-1}(0)$  and  $C_1^* > 0, C_2^* > 0$  (independent of  $n, \lambda, \delta$ ) such that

$$
|u_n|_{L^{p+1}(a_{\frac{\hat{4}}{4}}^{j_1})}^{p+1} \geq C_1^* > 0, \quad |u_n|_{L^{p+1}(a_{\frac{\hat{4}}{4}}^{j_2})}^{p+1} \geq C_2^* > 0
$$

for  $\lambda$  large enough. In fact, if  $\{|u_n|_{L^{p+1}}^{p+1}\}\$  concentrates at any one zero point of  $a(x)$  in the sense of (2.15) and (2.16), then by the definition of *g*, we know that  $g(u_n) \in a_{\frac{\delta_0}{2}}^{i}$  or  $g(u_n) \in R^N \setminus \overline{a}_{\delta_0}^i$  for  $\lambda$  large enough, a contradiction.

By (2.3), we assume (without loss of generality) that  $\{|u_n|_{L^{p+1}}^{p+1}\}$  concentrates at  $a^i$  and  $a^j$ , i.e.

$$
|u_n|_{L^{p+1}\left(a_{\frac{\delta}{4}}^{i}\right)}^{p+1} \ge C_1^* > 0, \quad |u_n|_{L^{p+1}\left(a_{\frac{\delta}{4}}^{j}\right)}^{p+1} \ge C_2^* > 0,
$$
\n(2.17)

$$
|u_n|_{L^{p+1}\left(R^N\setminus\left(a_{\frac{\delta}{4}}^i\cup a_{\frac{\delta}{4}}^j\right)\right)}=o(1)\quad\text{as}\quad\lambda\to+\infty.\tag{2.18}
$$

Let  $v_n^i = u_n \psi_i$ ,  $v_n^j = u_n \psi_j$ , where  $\psi_i, \psi_j \in C^1(R^N)$  satisfy  $0 \le \psi_i \le 1$ ,  $0 \le \psi_j \le 1$  and

$$
\psi_i(x) = \begin{cases} 1, & x \in a^i_{\frac{\delta}{2}}, \\ 0, & x \in R^N \setminus a^i_{\delta}, \end{cases} \quad \psi_j(x) = \begin{cases} 1, & x \in a^j_{\frac{\delta}{2}}, \\ 0, & x \in R^N \setminus a^j_{\delta}. \end{cases}
$$

According to  $(2.10)$ , Lemma 2.1 and the condition  $(1.2)$ , we have

$$
\int_{R^N \setminus a_{\frac{\delta}{2}}^{-1}(0)} |u_n \nabla u_n| \le C \frac{\overline{m}_{\lambda}^i}{\lambda^{\frac{1}{2}}} \le C \frac{m_{\lambda}^i}{\lambda^{\frac{1}{2}}} \le C \frac{\lambda^{\frac{2}{k+2}(\frac{p+1}{p-1} - \frac{N}{2})}}{\lambda^{\frac{1}{2}}} = o(1) \text{ as } \lambda \to +\infty. \tag{2.19}
$$

Then by  $(2.9),(2.18)$  and  $(2.19)$ , one can easily prove that as  $\lambda \to +\infty$ ,

$$
A_i + A_j + B_i + B_j + C_{ij} = o(1),
$$
\n(2.20)

where

$$
A_i = \int_{R^N} |\nabla v_n^i|^2 + (\lambda a(x) + 1)|v_n^i|^2 - \int_{R^N} |v_n^i|^{p+1},
$$
  
\n
$$
A_j = \int_{R^N} |\nabla v_n^j|^2 + (\lambda a(x) + 1)|v_n^j|^2 - \int_{R^N} |v_n^j|^{p+1},
$$
  
\n
$$
B_i = 2 \int_{R^N} \psi_i (1 - \psi_i) |\nabla u_n|^2 + 2 \int_{R^N} (\lambda a(x) + 1) \psi_i (1 - \psi_j) u_n^2 \ge 0,
$$
  
\n
$$
B_j = 2 \int_{R^N} \psi_j (1 - \psi_j) |\nabla u_n|^2 + 2 \int_{R^N} (\lambda a(x) + 1) \psi_j (1 - \psi_j) u_n^2 \ge 0,
$$
  
\n
$$
C_{ij} = \int_{R^N} (1 - \psi_i - \psi_j)^2 (|\nabla u_n|^2 + (\lambda a(x) + 1) u_n^2) dx \ge 0.
$$

If  $A_i < o(1)$ , there exists  $t \in (0,1)$  such that  $tv_n^i \in M_\lambda$ , then  $tv_n^i \in M_\lambda^i$ . So by  $(2.18)$ - $(2.20)$ , we have that as  $n \to \infty, \lambda \to +\infty$ ,

$$
m_{\lambda}^{i} \geq m_{\lambda}^{i} = I_{\lambda}(u_{n}) + o(1)
$$
  
\n
$$
= \frac{1}{2} \int_{R^{N}} |\nabla u_{n}|^{2} + (\lambda a(x) + 1)u_{n}^{2} - \frac{1}{p+1} \int_{R^{N}} |u_{n}|^{p+1} + o(1)
$$
  
\n
$$
= I_{\lambda}(v_{n}^{i}) + I_{\lambda}(v_{n}^{j}) + \frac{1}{2}B_{i} + \frac{1}{2}B_{j} + \frac{1}{2}C_{ij} + o(1)
$$
  
\n
$$
\geq \left(\frac{1}{2} - \frac{1}{p+1}\right) \int_{R^{N}} |\nabla v_{n}^{i}|^{2} + (\lambda a(x) + 1)|v_{n}^{i}|^{2} + \frac{1}{p+1}A_{i}
$$
  
\n
$$
+ \frac{1}{2}A_{j} + \frac{1}{2}B_{i} + \frac{1}{2}B_{j} + \frac{1}{2}C_{ij} + o(1)
$$
  
\n
$$
\geq \left(\frac{1}{2} - \frac{1}{p+1}\right) \int_{R^{N}} |\nabla (tv_{n}^{i})|^{2} + (\lambda a(x) + 1)|tv_{n}^{i}|^{2} + \left(\frac{1}{p+1} - \frac{1}{2}\right)A_{i} + o(1)
$$
  
\n
$$
> m_{\lambda}^{i};
$$

A contradiction is obtained.

If  $A_j < o(1)$ , we can prove that  $m_\lambda^i \geq \overline{m_\lambda^i} > m_\lambda^j$  by above arguments; this contradicts  $(2.3).$ 

If  $A_i \geq o(1), A_j \geq o(1)$ , by (2.20) we know that

$$
A_i = o(1), A_j = o(1), B_i = o(1), B_j = o(1), C_{ij} = o(1).
$$

Then there exists  $t_{\lambda} = 1 + o(1)$  as  $\lambda \to +\infty$ , such that  $t_{\lambda}v_n^i \in M_{\lambda}^i$ . So

$$
I_{\lambda}(v_n^i) = \left(\frac{1}{2} - \frac{1}{p+1}\right) \int_{R^N} |\nabla v_n^i|^2 + (\lambda a(x) + 1)|v_n^i|^2 + o(1)
$$
  

$$
\geq \frac{1}{t_{\lambda}^2} m_{\lambda}^i + o(1) \text{ as } \lambda \to +\infty.
$$

Similarly,  $I_{\lambda}(v_n^j) \geq \frac{1}{t_{\lambda}^2} m_{\lambda}^j + o(1)$ . Hence

*mi*

$$
u_{\lambda}^{i} \geq \overline{m_{\lambda}^{i}} = I_{\lambda}(u_{n}) + o(1) = I_{\lambda}(v_{n}^{i}) + I_{\lambda}(v_{n}^{j}) + o(1)
$$
  

$$
\geq \frac{m_{\lambda}^{i} + m_{\lambda}^{j}}{t_{\lambda}^{2}} + o(1) > m_{\lambda}^{i} \text{ as } \lambda \to +\infty,
$$

a contradiction. The proof of Lemma 2.2 is completed.

Now, one can apply Ekeland Variational principle to the closed set  $M^i_\lambda \cup O^i_\lambda$  to set a minimizing sequence of  $m^i_\lambda$  which is a (PS) sequence.

**Lemma 2.3.** *Assume the conditions of Theorem* 1.1 *hold. Then for*  $i = 1, \dots, K$ *, there exists*  $\lambda_0 \geq 1$  *such that for each*  $\lambda > \lambda_0$ ,  $m_\lambda^i$  *has a minimizing sequence*  $\{u_n\} \subset M_\lambda^i$  *satisfing u<sup>n</sup> ≥* 0 *and*

$$
I_{\lambda}(u_n) \to m_{\lambda}^i
$$
,  $I'_{\lambda}(u_n) \to 0$  in  $H^{-1}$  as  $n \to \infty$ .

**Proof.** The proof of this lemma is similiar to [5], so it is omitted.

#### *§***3. Proof of the Results**

**Proof of Theorem 1.1 and Corollary 1.1.** Let  $\{u_n^i\}$  be the minimizing sequence of  $m^i_\lambda(1 \leq i \leq K)$  obtained in Lemma 2.3, i.e.  $\{u^i_n\} \subset M^i_\lambda$  satisfying

$$
\begin{cases} I_{\lambda}(u_n^i) \to m_{\lambda}^i, \\ I'_{\lambda}(u_n^i) \to 0 \text{ in } H^{-1} \end{cases} \text{ as } n \to +\infty. \tag{3.1}
$$

So as  $(2.10)$ , we have

$$
\int_{R^N} |\nabla u_n^i|^2 + (\lambda a(x) + 1)|u_n^i|^2 \le \frac{2(p+1)}{p-1} m_\lambda^i + o(1) \text{ as } n \to \infty.
$$
 (3.2)

Then there exists  $u^i \in E$  and a subsequence of  $\{u^i_n\}$  (still denoted by  $u^i_n$ ) such that

 $u_n^i \to u^i$  weakly in *E*.

Thus one may check that  $u^i$  is a solution of Equation  $(1.1)$ , and the result of Theorem 1.1 follows if we can show that  $u^i \neq 0$  and  $u^i \neq u^j$  for  $i \neq j, 1 \leq i, j \leq K$  for  $\lambda$  large enough. In fact, by the same arguments as in Lemma 2.2, we know that  $|u_n^i|_{L^{p+1}}$  concentrate at  $a^i$ , i.e. for any  $0 < \delta < \frac{\delta_0}{4}$ , as  $\lambda \to +\infty$ 

$$
\begin{cases} |u_n^i|_{L^{p+1}(R^N \setminus a_\delta^i)}^{p+1} = o(1), \\ |u_n^i|_{L^{p+1}(a_\delta^i)}^{p+1} \ge \frac{C^*}{2} > 0, \end{cases}
$$
 (3.3)

where  $C^*$  is independent of *n,*  $\lambda$  and  $\delta$ ,  $a^i_{\delta}$  is defined in Section 2. This implies that  $u^i \neq 0$ and  $u^i \neq u^j$  for  $\lambda$  large enough by making use of the Sobolev imbeding theorem. The proof of Therem 1.1 is completed.

Forthermore, by using Brezis-Lieb Lemma<sup>[7]</sup> to  $(3.2)$ , $(3.3)$ , we get

$$
|u^i|_{L^{p+1}(R^N \setminus a_\delta^i)}^{p+1} = o(1) \quad \text{as } \lambda \to +\infty,
$$
\n(3.4)

$$
\int_{R^N} |\nabla u^i|^2 + (\lambda a(x) + 1)|u^i|^2 \le \frac{2(p+1)}{p-1} m_\lambda^i.
$$
\n(3.5)

Then by Lemma 2.1 and (2.19), we have

$$
\int_{R^N \setminus a_{\frac{\delta}{2}}^i} |\nabla u^i \cdot u^i| = o(1) \text{ as } \lambda \to +\infty.
$$
 (3.6)

Let  $\psi u^i$  be a test-function for Equation (1.1), where  $0 \le \psi \le 1$ ,  $\psi = 1$  in  $R^N \setminus a_{\frac{3\delta}{4}}^i$ ,  $\psi = 0$  in  $a_{\frac{\delta}{2}}^{i}$  and  $|\nabla \psi| < \frac{4}{\delta}$ . Then by (3.4),(3.6), we get

$$
\int\limits_{R^N\setminus a_{\frac{3\delta}{4}}} |\nabla u^i|^2 + |u^i|^2 = o(1) \text{ as } \lambda \to +\infty.
$$

Note that  $u^i$  is a subsolution of  $\Delta u + c(x)u = 0$  with  $c(x) = |u^i|^{p-1}$ . By the one-sided Harnack inequality and the Sobolev inequality (see [2, 8]), we have

$$
\max_{R\setminus a^i_{\delta}}|u^i|\leq C\Big(\int_{R^N\setminus a^i_{\frac{3\delta}{4}}}|u^i|^{2^*}\Big)^{\frac{1}{2^*}}\leq C\int_{R^N\setminus a^i_{\frac{3\delta}{4}}}\vert\nabla u^i\vert^2+|u^i|^2=o(1)\quad \text{as }\lambda\to+\infty,
$$

where  $2^* = \frac{2N}{N-2}$  for  $N \ge 3$ ,  $2^* \in (1, +\infty)$  for  $N = 1, 2$ . So

$$
\max_{R^N \setminus a_\delta^i} |u^i| \to 0 \quad \text{as} \ \lambda \to +\infty.
$$

Since  $C^*$  in (3.3) is independent of  $\delta$ ,

$$
\max_{a^i_{\delta}} u^i \to +\infty \quad \text{as} \ \lambda \to \infty.
$$

This completes the proof of Corollary 1.1.

$$
m^i_\lambda \leq C < +\infty,
$$

and  $u_{\lambda} \to u_0$  in  $C^2_{loc}(R^N)$  as  $\lambda \to +\infty$ . Here  $u_0 \equiv 0$  in  $R^N \setminus B_1(0)$  and satisfies

$$
\begin{cases}\n-\Delta u_0 + u_0 = u_0^p, \\
u_0|_{\partial B_1(0)} = 0, \quad u_0 > 0 \quad \text{in } B_1(0).\n\end{cases}
$$

Moreover, by the results of [2],  $u_{\lambda}$  has only one local maximum point  $x_{\lambda}$  such that

$$
x_{\lambda} \to 0
$$
 as  $\lambda \to +\infty$ .

#### **REFERENCES**

- [1] Rabinowitz, P. H., On a class of nonlinear Schrödinger equations [J], *Z. Angew. Math. Phys.*, 43(1992), 270–291.
- [ 2 ] Wang, X., On concentration of positive bound states of nonlinear Schrodinger equations [J], *Comm. Math. Phys.,* **153**(1993), 229–244.
- [ 3 ] Bartsch, T. & Wang, Z. Q., Existence and multiplicity results for some superlinear elliptic problems on *R<sup>N</sup>* [J], *Comm. in P.D.E.,* **20**(1995), 1725–1741.
- [4] Kwong, M. K., Uniqueness of positive solution of  $\Delta u u + u^p = 0$  in  $R^N$  [J], *Arch. Rat. Mech. Anal.*, **105**(1989), 243–266.
- [5] Cao, D. M. & Noussair, E. S., Multiplicity of positive and nodal solutions for nonlinear elliptic problems in *R<sup>N</sup>* [J], *Ann. Inst. Henri Poincare,* **13**(1996), 567–588.
- [6] Chang, K. C., Critical point theory and its application [M], Shanghai Scientific and Technology Press, Shanghai, 1986.
- [ 7 ] Brezis, H. & Lieb, E., A relation between pointwise convergence of functions and convergence of functionals [J], *Proc. Amer. Math. Soc.,* **88** (1983), 486–490.
- [ 8 ] Trudinger, N. S., On Harnack type inequalities and their applications to quasilinear elliptic equations [J], *Comm. Pure Appl. Math.,* **20**(1967), 721–747.