

BRILL-NOETHER MATRIX FOR RANK TWO VECTOR BUNDLES**

TAN XIAOJIANG*

Abstract

Let X be an arbitrary smooth irreducible complex projective curve, $E \mapsto X$ a rank two vector bundle generated by its sections. The author first represents E as a triple $\{D_1, D_2, f\}$, where D_1, D_2 are two effective divisors with $d = \deg(D_1) + \deg(D_2)$, and $f \in H^0(X, [D_1] \mid_{D_2})$ is a collection of polynomials. E is the extension of $[D_2]$ by $[D_1]$ which is determined by f . By using f and the Brill-Noether matrix of $D_1 + D_2$, the author constructs a $2g \times d$ matrix W_E whose zero space gives $\text{Im}\{H^0(X, [D_1]) \mapsto H^0(X, [D_1] \mid_{D_1})\} \oplus \text{Im}\{H^0(X, E) \mapsto H^0(X, [D_2]) \mapsto H^0(X, [D_2] \mid_{D_2})\}$. From this and $H^0(X, E) = H^0(X, [D_1]) \oplus \text{Im}\{H^0(X, E) \mapsto H^0(X, [D_2])\}$, it is got in particular that $\dim H^0(X, E) = \deg(E) - \text{rank}(W_E) + 2$.

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§1. Introduction

Let X be a smooth projective curve of genus g over C , $D = n_1p_1 + \cdots + n_kp_k$ a given effective divisor with $d = \deg(D) = n_1 + \cdots + n_k$. For $i = 1, \cdots, k$, let z_i be a local coordinate at p_i with $z_i(p_i) = 0$. Let $\mu = \{\mu_i = \sum_{k=-n_i}^{-1} b_{ik}z_i^k\}$ be a given collection of Laurent tails (or principal parts). The Mittag-Leffler problem is to ask which collections of Laurent tails come from a global meromorphic function on X . Let w be a holomorphic form on X , assume at p_i , $w = f_i(z_i)dz_i$, then the residue of $\mu \cdot w$ at p_i is defined to be

$$\text{Res}_{p_i}(\mu \cdot w) = \frac{1}{2\pi i} \int_{\gamma} \mu_i \cdot w,$$

where γ is any curve homotopic to $\{|z_i| = \epsilon\}$ in a small neighborhood of p_i .

The residue of $\mu \cdot w$ on X is defined to be

$$\text{Res}(\mu \cdot w) = \sum_{i=1}^k \text{Res}_{p_i}(\mu \cdot w).$$

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*School of Mathematical Sciences, Peking University, Beijing 100871, China.

E-mail: tan@math.pku.edu.cn

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It is well known that μ comes from a global meromorphic function if and only if $\operatorname{Re} s(\mu \cdot w) = 0$ for all holomorphic forms w on X .

Now let $\{w_1, \dots, w_g\}$ be a linear basis of the space of all holomorphic forms on X , for each i assume at p_i , $w_t(z_i) = f_{ti}(z_i)dz_i$ for $t = 1, \dots, g$, let W_D be the matrix of the restrictions of $\{w_1, \dots, w_g\}$ on D , that is,

$$W_D = \begin{bmatrix} w_1|_D \\ w_2|_D \\ \vdots \\ w_g|_D \end{bmatrix} = \begin{bmatrix} f_{11}(p_1) & \cdots & \frac{1}{(n_1-1)!}f_{11}^{(n_1-1)}(p_1) & f_{12}(p_2) & \cdots & \frac{1}{(n_2-1)!}f_{12}^{(n_2-1)}(p_2) & \cdots \\ \vdots & \ddots & \vdots & \vdots & \ddots & \vdots & \vdots \\ f_{g1}(p_1) & \cdots & \frac{1}{(n_g-1)!}f_{g1}^{(n_g-1)}(p_1) & f_{g2}(p_2) & \cdots & \frac{1}{(n_2-1)!}f_{g2}^{(n_2-1)}(p_2) & \cdots \end{bmatrix}.$$

For a collection of Laurent tails $\mu = \{\mu_i = \sum_{k=-n_i}^{-1} b_{ik}z_i^k\}$, we denote it as a d -dimensional vector

$$\mu = (b_{1-1}, b_{1-2}, \dots, b_{1-n_1}, b_{2-1}, \dots, b_{2-n_2}, \dots) \in C^d.$$

Then μ comes from a global meromorphic function if and only if $W_D \cdot \mu^t = 0$. From this one can get Riemann-Roch theorem easily.

The matrix W_D is called the Brill-Noether matrix of D . It plays a key role in the study of Brill-Noether theory for special divisors (or special line bundles).

Now if we let $[D]$ be the line bundle defined by D , let $[D]|_D$ be the skyscraper sheaf of the restriction of $[D]$ on D , $H^0(X, [D])$ be the space of holomorphic sections of $[D]$, then the Mittag-Leffler problem could be given equivalently that a vector $\mu \in H^0(X, [D]|_D) = C^d$ is in the image of the restriction map $H^0(X, [D]) \mapsto H^0(X, [D]|_D)$ if and only if $W_D \cdot \mu^t = 0$, or the same, we have

$$\operatorname{Ker}(W_D) = \{\mu \mid \mu \in C^d, W_D \cdot \mu^t = 0\} \cong \operatorname{Im}\{H^0(X, [D]) \mapsto H^0(X, [D]|_D)\} \quad (*)$$

and in particular, we get

$$\dim H^0(X, [D]) = \deg(D) - \operatorname{rank}(W_D) + 1. \quad (**)$$

An effective divisor D is called special if $H^1(X, [D]) \neq 0$, or if $\dim H^0(X, [D]) > \deg(D) - g + 1$. Let X^d be the d -fold symmetric product of X , X^d is a d -dimensional complex manifold, and it is the parameter space of all effective divisors of degree d . To study special divisors for given d and r , one defines

$$C_d^r = \{D \in X^d \mid \dim H^0(X, [D]) \geq r + 1\}.$$

It is called Brill-Noether variety. By (**), locally it can be given by

$$C_d^r = \{D \in X^d \mid \operatorname{rank}(W_D) \leq d - r\}.$$

This means C_d^r is a determinantal variety. From it, one gets the expected dimension of C_d^r is $\rho(g, d, r) + r = g - (r + 1)(g - d + r) + r$. It was conjectured by Brill-Noether and proved by Griffiths-Harris that for generic X , C_d^r do have the expected dimension.

We refer to [1] for details of the Brill-Noether matrix and its applications in the study of Brill-Noether theory for special line bundles.

A vector bundle E on X is called special if both $H^0(X, E)$ and $H^1(X, E)$ are non-zero. To study the Brill-Noether theory for special vector bundles, it is nature to ask in what sense we can construct a Brill-Noether matrix W_E for E . In this paper, for rank two vector bundles E generated by its sections (E is then called effective vector bundle), we define a matrix W_E for which W_E shares the same properties $(*)$ and $(**)$ for E as W_D for line bundle $[D]$.

Before giving our main theorem, we will first introduce some basic notations. Let E be a rank two vector bundle on X with $H^0(X, E) \neq 0$, let $s \in H^0(X, E)$ be a non-zero section, L_1 be the line subbundle of E generated by s , let $L_2 = E/L_1$. we then have a splitting of E ,

$$0 \mapsto L_1 \mapsto E \mapsto L_2 \mapsto 0.$$

If $\text{Im}\{H^0(X, E) \mapsto H^0(X, L_2)\} = 0$, then $H^0(X, E) = H^0(X, L_1)$, and the study of $\dim H^0(X, E)$ is reduced to the study of line bundles. So to study the Brill-Noether for rank two vector bundles, we need only to consider those vector bundles E that $\text{Im}\{H^0(X, E) \mapsto H^0(X, L_2)\} \neq 0$.

Definition 1.1.^[2] A rank two vector bundle E is said to be generated by its sections if there exists a splitting of E ,

$$0 \mapsto L_1 \mapsto E \mapsto L_2 \mapsto 0,$$

such that L_1 and L_2 are line bundles and both $H^0(X, L_1) \neq 0$ and

$$\text{Im}\{H^0(X, E) \mapsto H^0(X, L_2)\} \neq 0.$$

Now let E be a rank two vector bundle generated by its sections, let

$$0 \mapsto L_1 \mapsto E \mapsto L_2 \mapsto 0$$

be a given splitting of E . E is then an extension of L_2 by L_1 , it is determined by an element $e \in H^1(X, L_1 \otimes L_2^*)$. Now let $s_1 \in H^0(X, L_1)$, $s_2 \in \text{Im}\{H^0(X, E) \mapsto H^0(X, L_2)\}$ with both $s_1 \neq 0$ and $s_2 \neq 0$. Assume $D_1 = \text{div}(s_1) = m_1 p_1 + \cdots + m_t p_t + \cdots + m_s p_s$, $D_2 = \text{div}(s_2) = n_t p_t + \cdots + n_s p_s + \cdots + n_k p_k$, $d_1 = \deg(D_1)$, $d_2 = \deg(D_2)$ and let $D = D_1 + D_2$, $d = d_1 + d_2 = \deg(E)$. Choose a local coordinate cover $\mathcal{U} = \{U_i, z_i\}_{i=1}^n$ such that $U_i = \{|z_i| < 1\}_{i=1}^n$, and for $i = 1, \cdots, k$, we have $p_i \in U_i$, with $z_i(p_i) = 0$. Since s_2 can be lifted to a section of E , we have $s_2 \cdot e = 0$, that is, $e \in \text{Im}\{H^0(X, L_1|_{D_2}) \mapsto H^1(X, L_1 \otimes L_2^*)\}$, where $L_1|_{D_2}$ is the skyscraper sheaf of the restriction of L_1 on D_2 , and the map is induced from sequence

$$0 \mapsto L_1 \otimes L_2^* \xrightarrow{s_2} L_1 \mapsto L_1|_{D_2} \mapsto 0.$$

Let e be the image of some $f \in H^0(X, L_1|_{D_2})$. f is then determined uniquely up to $\text{Im}\{H^0(X, L_1) \mapsto H^0(X, L_1|_{D_2})\}$. It can be represented as a collection of polynomials $f = \{f_i(z_i)\}_{i=t}^k$, where $f_i(z_i)$ is a polynomial of z_i and $\deg(f_i(z_i)) < n_i$. So from E we get a triple $\{D_1, D_2, f\}$. Conversely, for a given triple $\{D_1, D_2, f\}$, where D_1 and D_2 are two effective divisors and $f \in H^0(X, [D_1]|_{D_2})$, let $e \in H^1(X, [D_1 - D_2])$ be the image of f , and E be the extension of $[D_2]$ by $[D_1]$ which is determined by e . E is then a rank two vector bundle generated by its sections (by s_{D_1} and s_{D_2} , where s_{D_1} and s_{D_2} are the canonical sections of $[D_1]$ and $[D_2]$). So to give a rank two vector bundle E generated by its sections will be the same as to give a triple $\{D_1, D_2, f\}$. From now on we will write E as $E = \{D_1, D_2, f\}$.

Now let $D_1 = m_1 p_1 + \cdots + m_t p_t + \cdots + m_s p_s$, $D_2 = n_t p_t + \cdots + n_s p_s + \cdots + n_k p_k$, $d_1 = \deg(D_1)$, $d_2 = \deg(D_2)$ and let $D = D_1 + D_2$, $d = d_1 + d_2 = \deg(E)$. Choose a local

coordinate cover $\mathcal{U} = \{U_i, z_i\}_{i=1}^n$ such that $U_i = \{|z_i| < 1\}$, and for $i = 1, \dots, k$ we have $p_i \in U_i$, with $z_i(p_i) = 0$. Assume L_1 and L_2 are two given line bundles, $L_1|_{D_1}$ and $L_2|_{D_2}$ are the skyscraper sheaves of the restrictions of L_1 on D_1 and L_2 on D_2 .

Definition 1.2. If $g = \{g_i(z_i)\}_{i=1}^s \in H^0(X, L_1|_{D_1})$, and $f = \{f_i(z_i)\}_{i=t}^k \in H^0(X, L_2|_{D_2})$, we define $g + f = h \in H^0(X, (L_1 \otimes L_2)|_{D_1+D_2})$ to be an element $g + f = h = \{h_i(z_i)\}_{i=1}^k$ such that

$$h_i(z_i) = g_i(z_i)$$

if $1 \leq i \leq t-1$;

$$h_i(z_i) = g_i(z_i) + z_i^{m_i} \cdot f_i(z_i)$$

if $t \leq i \leq s$; and

$$h_i(z_i) = f_i(z_i)$$

if $s+1 \leq i \leq k$. (Note here $g + f$ may not equal $f + g$).

From this definition, we get

$$H^0(X, (L_1 \otimes L_2)|_{D_1+D_2}) = H^0(X, L_1|_{D_1}) \oplus H^0(X, L_2|_{D_2}).$$

That means for any $v \in H^0(X, (L_1 \otimes L_2)|_{D_1+D_2})$, we can find uniquely $v_1 \in H^0(X, L_1|_{D_1})$ and $v_2 \in H^0(X, L_2|_{D_2})$ such that $v = v_1 + v_2$.

Definition 1.3. From above direct sum decomposition, we define two projection maps

$$P_1 : H^0(X, (L_1 \otimes L_2)|_{D_1+D_2}) \mapsto H^0(X, L_1|_{D_1}),$$

$$P_2 : H^0(X, (L_1 \otimes L_2)|_{D_1+D_2}) \mapsto H^0(X, L_2|_{D_2})$$

to be

$$P_1(v) = v_1, P_2(v) = v_2.$$

Main theorem of this paper is

Theorem 1.1. Let $E = \{D_1, D_2, f\}$ be a rank two vector bundle generated by its sections. By using the Brill-Noether matrix W_D of $D = D_1 + D_2$ and the polynomials of f , we can construct a matrix W_E such that

$$\text{Ker}(W_E) \cong P_1(\text{Ker}\{P_2 : \text{Ker}(W_E) \mapsto H^0(X, [D_2]|_{D_2})\})$$

$$\oplus \text{Im}\{P_2 : \text{Ker}(W_E) \mapsto H^0(X, [D_2]|_{D_2})\} \cong \text{Im}\{H^0(X, [D_1])$$

$$\mapsto H^0(X, [D_1]|_{D_1})\} \oplus \text{Im}\{H^0(X, E) \mapsto H^0(X, [D_2]) \mapsto H^0(X, [D_2]|_{D_2})\},$$

and since $H^0(X, E) = H^0(X, [D_1]) \oplus \text{Im}\{H^0(X, E) \mapsto H^0(X, [D_2])\}$, we get in particular that

$$\dim H^0(X, E) = \deg(E) - \text{rank}(W_E) + 2.$$

Here $\text{Ker}(W_E) = \{\mu \in C^d = H^0(X, ([D_1 + D_2]|_{D_1+D_2}) \mid W_E \cdot \mu^t = 0\}$ and $d = \deg(D)$.

§2. Proof of Theorem 1.1

Let $D = n_1 p_1 + \dots + n_k p_k$ be a given effective divisor, $d = \deg(D) = n_1 + \dots + n_k$. For each i , assume z_i is a local coordinate at p_i with $z_i(p_i) = 0$. If w is a holomorphic form on X , supposing at p_i , $w = f_i(z_i) dz_i$, we then use

$$w|_D = \left(f_1(p_1), \dots, \frac{1}{(n_1-1)!} f_1^{(n_1-1)}(p_1), f_2(p_2), \dots, \frac{1}{(n_2-1)!} f_2^{(n_2-1)}(p_2), \dots \right)$$

to denote the restriction of w on D . But for a line bundle L , if $s \in H^0(X, L)$ and at p_i , $s = f_i(z_i)$ for $i = 1, \dots, k$, then the restriction of s on D will be given by

$$s|_D = \left(\frac{1}{(n_1-1)!} f_1^{(n_1-1)}(p_1), \dots, f_1(p_1), \frac{1}{(n_2-1)!} f_2^{(n_2-1)}(p_2), \dots, f_2(p_2), \dots \right).$$

Also if L_1 and L_2 are two line bundles, $f = \{f_i(z_i)\}_{i=1}^k \in H^0(X, L_1|_D)$, $g = \{g_i(z_i)\}_{i=1}^k \in H^0(X, L_2|_D)$, we define $f \cdot g \in H^0(X, L_1 \otimes L_2|_D)$ by

$$f \cdot g = \{f_i(z_i)g_i(z_i) \pmod{(z_i^{n_i})}\}_{i=1}^k.$$

For a polynomial $p(z)$ of degree n with $p(z) = a_0 + a_1z + \dots + a_nz^n$, we define an $(n+1) \times (n+1)$ matrix N_p to be

$$N_p = \begin{bmatrix} a_0 & a_1 & \cdots & a_n \\ 0 & a_0 & \cdots & a_{n-1} \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & a_0 \end{bmatrix};$$

for a collection of polynomials $h = \{h_i(z_i)\}_{i=1}^k \in H^0(X, L|_D)$, we define a $d \times d$ matrix N_h to be

$$N_h = \begin{bmatrix} N_{h_1} & 0 & \cdots & 0 \\ 0 & N_{h_2} & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & N_{h_k} \end{bmatrix}.$$

Now let $E = \{D_1, D_2, f\}$ be a given rank two vector bundle generated by its sections, and assume $D_1 = m_1p_1 + \dots + m_tp_t + \dots + m_sp_s$, $D_2 = n_tp_t + \dots + n_sp_s + \dots + n_kp_k$ with $p_i \neq p_j$ if $i \neq j$. For $i = 1, \dots, k$, let z_i be a local coordinate at p_i with $z_i(p_i) = 0$. Let $f = \{f_i(z_i)\}_{i=1}^k \in H^0(X, [D_1]|_{D_2})$. Now corresponding to each i , for $i = 1, \dots, k$, we define, from m_i, n_i and $f_i(z_i)$, two matrixes M_i, N_i to be

$$M_i = [0]_{m_i \times m_i}, \quad N_i = [I]_{m_i \times m_i},$$

if $1 \leq i \leq t-1$;

$$M_i = \begin{bmatrix} 0_{m_i \times m_i} & 0_{m_i \times n_i} \\ 0_{n_i \times m_i} & I_{n_i \times n_i} \end{bmatrix}, \quad N_i = \begin{bmatrix} I_{m_i \times m_i} & 0_{m_i \times n_i} \\ 0_{n_i \times m_i} & N_{f_i} \end{bmatrix},$$

if $t \leq i \leq s$;

$$M_i = [I]_{n_i \times n_i}, \quad N_i = N_{f_i},$$

if $s+1 \leq i \leq k$.

From M_i and N_i we define two $d \times d$ matrixes M_E, N_E to be

$$M_E = \begin{bmatrix} M_1 & 0 & \cdots & 0 \\ 0 & M_2 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & M_k \end{bmatrix}, \quad N_E = \begin{bmatrix} N_1 & 0 & \cdots & 0 \\ 0 & N_2 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & N_k \end{bmatrix}.$$

Now let W_D be the Brill-Noether matrix for $D = D_1 + D_2$. We define W_E by

$$W_E = \begin{bmatrix} W_D \cdot M_E \\ W_D \cdot N_E \end{bmatrix}.$$

W_E is a $2g \times d$ matrix, we claim W_E is the Brill-Noether matrix for E , that is, it satisfies our main Theorem.

A special and interesting case is $D_1 = 0$; in this case $M_E = I_{d \times d}$, $N_E = N_f$, and our theorem can be given as

Theorem 2.1. *Let $E = \{D_1, D_2, f\}$ be a given rank two vector bundle generated by its sections, assume $D_1 = 0$, and let W_D be the Brill-Noether matrix for divisor D_2 . Then a vector $\mu \in H^0(X, [D_2] |_{D_2}) \cong C^d$ is in the image of map $H^0(X, E) \mapsto H^0(X, [D_2]) \mapsto H^0(X, [D_2] |_{D_2})$ if and only if*

$$\begin{bmatrix} W_D \\ W_D \cdot N_f \end{bmatrix} \mu^t = 0,$$

that is,

$$\text{Im}\{H^0(X, E) \mapsto H^0(X, [D_2]) \mapsto H^0(X, [D_2] |_{D_2})\} \cong \text{Ker}\left(\begin{bmatrix} W_D \\ W_D \cdot N_f \end{bmatrix}\right),$$

and we get in particular that

$$\dim H^0(X, E) = \deg(E) - \text{rank} \begin{bmatrix} W_D \\ W_D \cdot N_f \end{bmatrix} + 2.$$

We start our proof from some very basic lemmas.

First let $E = \{D_1, D_2, f\}$ be a given rank two vector bundle generated by its sections, and let $e \in H^1(X, [D_1 - D_2])$ be the image of f .

Lemma 2.1.^[3] *A section $s \in H^0(X, [D_2])$ can be lifted to a section of E if and only if $s \cdot e = 0$.*

Proof. It is well known.

Lemma 2.2.^[4] *A section $s \in H^0(X, [D_2])$ can be lifted to a section of E if and only if*

$$s |_{D_2} \cdot f \in \text{Im}\{H^0(X, [D_1 + D_2]) \mapsto H^0(X, [D_1 + D_2] |_{D_2})\}.$$

Proof. From the following commutative diagram

$$\begin{array}{ccccccc} 0 & \mapsto & [D_1 - D_2] & \xrightarrow{\cdot s_2} & [D_1] & \mapsto & [D_1] |_{D_2} \mapsto 0 \\ & & \downarrow \cdot s & & \downarrow \cdot s & & \downarrow \cdot s |_{D_2} \\ 0 & \mapsto & [D_1] & \xrightarrow{\cdot s_2} & [D_1 + D_2] & \mapsto & [D_1 + D_2] |_{D_2} \mapsto 0 \end{array}$$

we get the following commutative diagram

$$\begin{array}{ccccccc} \mapsto & H^0(X, [D_1]) & \mapsto & H^0(X, [D_1] |_{D_2}) & \mapsto & H^1(X, [D_1 - D_2]) & \mapsto \\ & \downarrow \cdot s & & \downarrow \cdot s |_{D_2} & & \downarrow \cdot s & \\ \mapsto & H^0(X, [D_1 + D_2]) & \mapsto & H^0(X, [D_1 + D_2] |_{D_2}) & \mapsto & H^1(X, [D_1]) & \mapsto \end{array}$$

$s \cdot e = 0$ means exactly $s |_{D_2} \cdot f \in \text{Im}\{H^0(X, [D_1 + D_2]) \mapsto H^0(X, [D_1 + D_2] |_{D_2})\}$.

Proof of Theorem 1.1. Let $C^d = H^0(X, ([D_1 + D_2]) |_{D_1 + D_2})$, and

$$H^0(X, ([D_1 + D_2]) |_{D_1 + D_2}) = H^0(X, [D_1] |_{D_1}) \oplus H^0(X, [D_2] |_{D_2})$$

be the direct sum decomposition given in Definition 1.3. For

$$\mu = \{h_i(z_i)\}_{i=1}^k \in H^0(X, ([D_1 + D_2]) |_{D_1 + D_2}),$$

by definition, we have $\deg(h_i(z_i)) = m_i - 1$ if $1 \leq i \leq t - 1$; $\deg(h_i(z_i)) = m_i + n_i - 1$, if

$t \leq i \leq s$; and $\deg(h_i(z_i)) = n_i - 1$ if $s + 1 \leq i \leq k$. We denote μ as a d -dimensional vector

$$\begin{aligned} \mu = & \left(\frac{1}{(m_1 - 1)!} h_1^{(m_1 - 1)}(p_1), \dots, h_1(p_1), \dots, \frac{1}{(m_{s-1} - 1)!} h_{s-1}^{(m_{s-1} - 1)}(p_{s-1}), \dots, \right. \\ & h_{s-1}(p_{s-1}), \frac{1}{(m_s + n_s - 1)!} h_s^{(m_s + n_s - 1)}(p_s), \dots, \\ & h_s(p_s), \dots, \frac{1}{(m_t + n_t - 1)!} h_t^{(m_t + n_t - 1)}(p_t), \dots, \\ & h_t(p_t), \dots, \frac{1}{(n_{t+1} - 1)!} h_{t+1}^{(n_{t+1} - 1)}(p_{t+1}), \dots, \\ & \left. h_{t+1}(p_{s-1}), \dots, \frac{1}{(n_k - 1)!} h_k^{(n_k - 1)}(p_k), \dots, h_k(p_k) \right). \end{aligned}$$

Then the projections

$$\begin{aligned} P_1 : H^0(X, ([D_1 + D_2]) \mid_{D_1 + D_2}) &\mapsto H^0(X, [D_1] \mid_{D_1}), \\ P_2 : H^0(X, ([D_1 + D_2]) \mid_{D_1 + D_2}) &\mapsto H^0(X, [D_2] \mid_{D_2}), \end{aligned}$$

which are defined in Definition 1.3, could be given by

$$\begin{aligned} P_1(\mu) = & \left(\frac{1}{(m_1 - 1)!} h_1^{(m_1 - 1)}(p_1), \dots, h_1(p_1), \dots, \frac{1}{(m_{s-1} - 1)!} h_{s-1}^{(m_{s-1} - 1)}(p_{s-1}), \dots, \right. \\ & h_{s-1}(p_{s-1}), \frac{1}{(m_s - 1)!} h_s^{(m_s - 1)}(p_s), \dots, h_s(p_s), \dots, \\ & \left. \frac{1}{(m_t - 1)!} h_t^{(m_t - 1)}(p_t), \dots, h_t(p_t) \right) \in C^{d_1} = H^0(X, [D_1] \mid_{D_1}), \\ P_2(\mu) = & \left(\frac{1}{(m_s + n_s - 1)!} h_s^{(m_s + n_s - 1)}(p_s), \dots, \frac{1}{m_s!} h_s^{(m_s)}(p_s), \dots, \right. \\ & \frac{1}{(m_t + n_t - 1)!} h_t^{(m_t + n_t - 1)}(p_t), \dots, \frac{1}{m_t!} h_t^{(m_t)}(p_t), \dots, \\ & \dots, \frac{1}{(n_{t+1} - 1)!} h_{t+1}^{(n_{t+1} - 1)}(p_{t+1}), \dots, h_{t+1}(p_{t+1}), \\ & \left. \dots, \frac{1}{(n_k - 1)!} h_k^{(n_k - 1)}(p_k), \dots, h_k(p_k) \right) \in C^{d_2} = H^0(X, [D_2] \mid_{D_2}). \end{aligned}$$

Now let

$$\ker(W_E) = \{\mu \in H^0(X, [D_1 + D_2] \mid_{D_1 + D_2}) \mid W_E \cdot \mu^t = 0\}.$$

For $\mu \in \ker(W_E)$, from $W_E \cdot \mu^t = 0$, we need to show

$$P_2(\mu) \in \text{Im}\{H^0(X, E) \mapsto H^0(X, [D_2]) \mapsto H^0(X, [D_2] \mid_{D_2})\}.$$

But $W_E \cdot \mu^t = 0$ means exactly $W_D \cdot M_E \cdot \mu^t = 0$ and $W_D \cdot N_E \cdot \mu^t = 0$.

First from the definition of M_E , it is easy to see that $M_E \cdot \mu^t = P_2(\mu)$, so $W_E \cdot M_E \cdot \mu^t = 0$ is the same as $W_{D_2} \cdot P_2(\mu)^t = 0$, where W_{D_2} is the Brill-Noether matrix of D_2 . But by the definition of Brill-Noether matrix, $W_{D_2} \cdot P_2(\mu) = 0$ means

$$P_2(\mu) \in \text{Im}\{H^0(X, [D_2]) \mapsto H^0(X, [D_2] \mid_{D_2})\}.$$

Let $P_2(\mu)$ be the image of some $s \in H^0(X, [D_2])$, that is, $P_2(\mu) = s \mid_{D_2}$. But $W_D \cdot N_E \cdot \mu^t = 0$ means exactly

$$N_E \mu^t \in \text{Im}\{H^0(X, [D_1 + D_2]) \mapsto H^0(X, [D_1 + D_2] \mid_{D_1 + D_2})\}.$$

Let it be the image of $t \in H^0(X, [D_1 + D_2])$. Then by the definition of N_E , we have

$$P_2(N_E \mu^t) = \begin{bmatrix} N_{f_s} & 0 & \cdots & 0 \\ 0 & N_{f_{s+1}} & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & N_{f_k} \end{bmatrix} \cdot P_2(\mu)^t;$$

this means exactly that we have $\{P_2(\mu) \cdot f\} = \{s|_{D_2} \cdot f\}$. What we get now is that

$$\{s|_{D_2} \cdot f\} = P_2(N_E \cdot \mu^t) = P_2(t|_{D_2}),$$

that is, $\{s|_{D_2} \cdot f\}$ is the restriction of t on D_2 . By Lemma 2.2, this means s can be lifted to a section E . We get

$$P_2(\mu) = s|_{D_2} \in \text{Im}\{H^0(X, E) \mapsto H^0(X, [D_2]) \mapsto H^0(X, [D_2]|_{D_2})\}.$$

Conversely, if $v \in \text{Im}\{H^0(X, E) \mapsto H^0(X, [D_2]) \mapsto H^0(X, [D_2]|_{D_2})\}$, let it be the image of $s \in H^0(X, [D_2])$, i.e. $v = s|_{D_2}$. Then s can be lifted to a section of E , by Lemma 2.2, this means $\{s|_{D_2} \cdot f\}$ is the restriction on D_2 of some $t \in H^0(X, [D_1 + D_2])$, i.e., $\{s|_{D_2} \cdot f\} = t|_{D_2}$. Let $\mu = P_1(t|_{D_1+D_2}) + v$, where the $+$ is defined in Definition 1.3. Then since $v \in \{H^0(X, [D_2]) \mapsto H^0(X, [D_2]|_{D_2})\}$, and $W_D \cdot M_E \cdot \mu^t = 0$ is equivalent to $W_{D_2} v^t = 0$, we get $W_D \cdot M_E \cdot \mu^t = 0$. Since $N_E \cdot \mu^t = t|_{D_1+D_2}$, so $W_D \cdot N_E \cdot \mu^t = 0$, we then get $\mu \in \text{Ker}(W_E)$ and $v = P_2(\mu)$. From this, we get

$$P_2(\text{Ker}(W_E)) = \text{Im}\{H^0(X, E) \mapsto H^0(X, [D_2]) \mapsto H^0(X, [D_2]|_{D_2})\}.$$

Now assume $\mu \in \text{Ker}(P_2(\text{Ker}(W_E)))$, $v = P_1(\mu)$, and let 0 be the zero element in $H^0(X, [D_2]|_{D_2})$. Then $\mu = v + 0$ as defined in Definition 1.3. In this case, $W_E \cdot \mu^t = 0$ is exactly $W_{D_1} \cdot v^t = 0$, that means $v \in \text{Im}\{H^0(X, [D_1]) \mapsto H^0(X, [D_1]|_{D_1})\}$. We get

$$P_1(\text{Ker}(P_2(\text{Ker}(W_E)))) = \text{Im}\{H^0(X, [D_1]) \mapsto H^0(X, [D_1]|_{D_1})\}.$$

This gives

$$\begin{aligned} P_1(\text{Ker}(P_2(\text{Ker}(W_E)))) \oplus P_2(\text{Ker}(W_E)) &= \text{Im}\{H^0(X, [D_1]) \mapsto H^0(X, [D_1]|_{D_1})\} \\ &\oplus \text{Im}\{H^0(X, E) \mapsto H^0(X, [D_2]) \mapsto H^0(X, [D_2]|_{D_2})\}. \end{aligned}$$

Since

$$\begin{aligned} H^0(X, E) &= \text{Ker}\{H^0(X, E) \mapsto H^0(X, [D_2])\} \oplus \text{Im}\{H^0(X, E) \mapsto H^0(X, [D_2])\} \\ &= H^0(X, [D_1]) \oplus \text{Im}\{H^0(X, E) \mapsto H^0(X, [D_2])\}, \end{aligned}$$

we get in particular that $\dim H^0(X, E) = d - \text{rank}(W_E) + 2$. This completes the proof.

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