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# The Double Ringel-Hall Algebras of Valued Quivers\*\*\*

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**Abstract** This paper is devoted to the study of the structure of the double Ringel-Hall algebra  $\mathcal{D}(\Lambda)$  for an infinite dimensional hereditary algebra  $\Lambda$ , which is given by a valued quiver  $\Gamma$  over a finite field, and also to the study of the relations of  $\mathcal{D}(\Lambda)$ -modules with representations of valued quiver  $\Gamma$ .

Keywords Ringel-Hall algebras, Generalized Kac-Moody algebras, Drinfeld double 2000 MR Subject Classification 16G10, 17B37, 17B67

## 1 Introduction

The Ringel-Hall algebra of a finite dimensional hereditary algebra  $\Lambda$  together with its torus algebra can be endowed with a Hopf algebra structure [7, 19]. The double composition algebra of the Ringel-Hall algebra is the quantized enveloping algebra of the corresponding Kac-Moody algebra [7, 15, 14]. In [17, 4], it was shown that the Drinfeld double  $\mathcal{D}(\Lambda)$  of a Ringel-Hall algebra of any finite dimensional hereditary algebra  $\Lambda$  is the quantized enveloping algebra of a generalized Kac-Moody algebra.

In this paper we consider the situation for the infinite dimensional hereditary algebra  $\Lambda$ , which is the tensor algebra of a k-species S of a valued quiver  $\Gamma$  of any type. For the Ringel-Hall algebra of  $\Lambda$ , the double composition algebra  $C(\Lambda)$  is the quantized enveloping algebra of a generalized Kac-Moody algebra  $\mathfrak{g}$  defined by a Borcherds-Cartan matrix A obtained from  $\Gamma$ . Also by decomposing the double Ringel-Hall algebra  $\mathcal{D}(\Lambda)$ , we can show that  $\mathcal{D}(\Lambda)$  itself is also the quantized enveloping algebra of a (bigger) generalized Kac-Moody algebra. Moreover, we obtain the Weyl-Kac character formula for the irreducible highest weight module with dominant highest weight, and then we prove the Kac theorem for infinite dimensional hereditary algebras by applying the Ringel-Hall algebra approach (see [5]). And as a corollary, we get that for any indecomposable representation, there exists a nilpotent indecomposable representation such that they have the same dimension vector.

## 2 Preliminaries

## 2.1 Valued quiver

According to Dlab-Ringel [6], a valued graph  $\Gamma$  is a graph together with positive integer  $\varepsilon_i$  for each vertex *i* and a pair of nonnegative integers  $(d_{ij}^{\rho}, d_{ji}^{\rho})$  for each edge  $\rho$  between *i* and *j* 

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such that  $d_{ij}^{\rho} \varepsilon_j = d_{ji}^{\rho} \varepsilon_i$ . A valued quiver is an oriented valued graph. We do not exclude loops or multiple arrows in a valued quiver. We denoted by  $\Gamma_0$  the set of vertices and by  $\Gamma_1$  the set of arrows. We assume that the valued graph is connected.

Let  $k = \mathbb{F}_q$  be a finite field of q elements,  $\mathbb{F} = \overline{\mathbb{F}}_q$  be the fixed algebraic closure of  $\mathbb{F}_q$ .

Let  $\Gamma = (\Gamma_0, \Gamma_1)$  be a valued quiver, and let  $\mathcal{S} = (F_i, ({}_iM_j^{\rho}, {}_jM_i^{\rho}))$  be a k-species of the valued quiver  $\Gamma$ . A k-representation  $V = (V_i, {}_j\varphi_i^{\rho})$  of  $\mathcal{S}$  consists of an  $F_i$ -vector space  $V_i$  for each  $i \in \Gamma_0$  and an  $F_i$ -linear map

$$_{j}\varphi_{i}^{\rho}: V_{i}\otimes_{F_{i}} {}_{i}M_{j}^{\rho} \to V_{j}$$

for each  $\rho: i \to j$  in  $\Gamma_1$ .  $\underline{\dim}V = (\dim_{F_i} V_i)_{i \in \Gamma_0} \in \mathbb{N}^{\Gamma_0}$  is the dimension vector of V. Denote by rep- $\mathcal{S}$  the category of k-representations of  $\mathcal{S}$ . Let  $\Lambda$  be the tensor algebra of  $\mathcal{S}$ . Then the category rep- $\mathcal{S}$  is equivalent to the category mod- $\Lambda$  of finite dimensional left  $\Lambda$ -modules. If  $\Gamma$ has loops or oriented cycles, the tensor algebra  $\Lambda$  is an infinite dimensional hereditary algebra [20].

Now we assume that the valued quiver  $\Gamma = (\Gamma_0, \Gamma_1)$  is finite, and let  $\mathcal{S}$  be a k-species of  $\Gamma$ . Given  $V, W \in \operatorname{rep} - \mathcal{S}$ , we define Euler form

$$\langle \underline{\dim} V, \underline{\dim} W \rangle = \sum_{i \in \Gamma_0} \varepsilon_i a_i b_i - \sum_{\rho: i \to j} d^{\rho}_{ij} \varepsilon_j a_i b_j,$$

and symmetric Euler form

$$(\underline{\dim}V,\underline{\dim}W) = \langle \underline{\dim}V,\underline{\dim}W \rangle + \langle \underline{\dim}W,\underline{\dim}W \rangle,$$

where  $\underline{\dim} V = (a_1, a_2, \cdots)$ ,  $\underline{\dim} W = (b_1, b_2, \cdots)$  are in  $\mathbb{N}^{\Gamma_0}$ . These two bilinear forms are well defined on  $\mathbb{Z}^{\Gamma_0}$ . By [16], it is known that

$$\langle \underline{\dim} V, \underline{\dim} W \rangle = \underline{\dim}_k \operatorname{Hom}_{\Lambda}(V, W) - \underline{\dim}_k \operatorname{Ext}_{\Lambda}^1(V, W)$$

#### 2.2 Borcherds-Cartan matrix and Borcherds datum

Let I be a finite index set. A real matrix  $A = (a_{ij})_{i,j\in I}$  is called a Borcherds-Cartan matrix if it satisfies (1)  $a_{ii} = 2$  or  $a_{ii} \leq 0$  for all  $i \in I$ , (2)  $a_{ij} \leq 0$  if  $i \neq j$ , and  $a_{ij} \in \mathbb{Z}$  if  $a_{ii} = 2$ , (3)  $a_{ij} = 0$  if and only if  $a_{ji} = 0$  for all  $i \neq j$ . If there is a diagonal matrix  $D = \text{diag}(s_i \in \mathbb{Z}_{>0} \mid i \in I)$  such that DA is symmetric, then we say that A is symmetrizable. Let  $I^{\text{re}} = \{i \in I \mid a_{ii} = 2\}$  and  $I^{\text{im}} = \{i \in I \mid a_{ii} \leq 0\}$ .

If a symmetric bilinear form  $\bullet : \mathbb{Z}[I] \times \mathbb{Z}[I] \to \mathbb{Z}$  and a set of positive integers  $d = \{d_i\}_{i \in I}$ satisfy (1)  $\frac{i \bullet i}{2d_i} \in \{1, 0, -1, -2, \cdots\}$  for all  $i \in I$ , (2)  $\frac{i \bullet j}{d_i} \in \{0, -1, -2, \cdots\}$  for all  $i \neq j$  in I, then we call  $(I, \bullet, d)$  a Borcherds datum.

By [18], Any symmetrizable Borcherds-Cartan matrix A with integer entries and even diagonal entries is associated with a Borcherds datum, which is called the Borcherds datum of A. And there exists a k-species S of a valued quiver  $\Gamma$  such that the symmetric Euler form of S is the Borcherds datum of A. Moreover, we can get a Borcherds-Cartan matrix  $A_{\Gamma} = (a_{ij})_{i,j\in\Gamma_0}$ from a valued quiver of any type.  $A_{\Gamma}$  is symmetrizable with  $D = \text{diag}(\varepsilon_i)$ , and it has integer entries and even diagonal entries. So in this paper, we assume that Borcherds-Cartan matrix A is symmetrizable with integer entries and even diagonal entries.

In [18], by using the Frobenius morphism and  $\sigma$ -quiver theory (see [3]), we get the following results.

**Theorem 2.1** (See [18]) Let  $M_{\sigma}(\alpha, q)$  (resp.,  $I_{\sigma}(\alpha, q)$ ) be the number of the isomorphic classes of the representations (resp., indecomposable representations) of valued quiver  $\Gamma$  of dimension vector  $\alpha$  over the finite field  $\mathbb{F}_q$ . Then it is a polynomial in q with rational coefficients and is independent of the orientation of the valued quiver.

#### 2.3 Simple representations and nilpotent representations

For a valued quiver  $\Gamma$  without oriented cycles, the set  $\{S(i) \mid i \in \Gamma_0\}$  is the complete set of simple representations which we call as the standard simple representations.

Under the correspondence between the valued quiver  $\Gamma$  and the Borcherds-Cartan matrix A, we set  $\Gamma_0^{\rm re} = \{i \in \Gamma_0 \mid a_{ii} = 2\}$  and  $\Gamma_0^{\rm im} = \{i \in \Gamma_0 \mid a_{ii} \leq 0\}$ . Then  $\{S(i) \mid i \in \Gamma_0^{\rm re}\}$  is the set of the standard real simple representations, and  $\{S(i) \mid i \in \Gamma_0^{\rm im}\}$  is the set of the standard imaginary simple representations. Set  $\underline{\dim}S(i) = e_i \ (i \in \Gamma_0)$ . For a non-standard simple representation V, we have the following proposition.

**Proposition 2.1** Let  $V = (V_i, {}_j \varphi_i^{\rho})$  be a non-standard simple representation of the valued quiver  $\Gamma$ . Then the dimension vector  $\underline{\dim} V = \alpha$  of V satisfies:

- (1) The support supp  $\alpha$  of  $\alpha$  is connected.
- (2) Under the Euler form  $\langle -, \rangle$ , we have  $\langle \alpha, e_i \rangle \leq 0$  and  $\langle e_i, \alpha \rangle \leq 0$  for all  $i \in \Gamma_0$ .

Let  $\Gamma = (\Gamma_0, \Gamma_1)$  and  $\mathcal{S} = (F_i, iM_j)$  be as above, and let  $F_{ij}$  be the extension field of k of degree  $d_{ij}^{\rho} \varepsilon_j$ . A k-representation  $V = (V_i, \psi_{ij})$  of  $\mathcal{S}$  is equivalent to a set of  $F_i$ -vector space  $V_i$  for each  $i \in \Gamma_0$ , together with  $F_{ij}$ -linear map

$$\psi_{ij}: \quad V_i \otimes_{F_i} {}_i M_j \to V_j \otimes_{F_j} {}_j M_i$$

for each arrow  $\rho: i \to j$  in  $\Gamma_1$ .

A k-representation  $V = (V_i, \psi_{ij})$  is called nilpotent if collection of linear maps

$$\{\psi_{ij}: V_i \otimes_{F_i} iM_j \to V_j \otimes_{F_j} jM_i \mid \text{ for all } \rho: i \to j \text{ in } \Gamma_1\}$$

satisfy the following conditions: for any  $i_1 \in \Gamma_0$ , there exists  $r \in \mathbb{N}$  such that  $\psi_{i_{r-1}i_r} \cdots \psi_{i_2i_3} \psi_{i_1i_2} = 0$  for any  $i_2, \cdots, i_r \in \Gamma_0$ .

Let Nrep-S be the full subcategory of rep-S containing all nilpotent representations of S. Then this category is closed under taking extensions and finite number of direct sums. It is an Abelian category. The following is easy.

**Lemma 2.1** A k-representation  $V = (V_i, \psi_{ij})$  is nilpotent if and only if its composition factors belong to the set  $\{S(i) \mid i \in \Gamma_0^{\text{re}}\}$ .

#### 2.4 Borcherds class

Now let C = (I, (-, -), d) be a Borcherds datum. We choose R to be a commutative integral domain of characteristic zero and choose v to be an invertible element of R.

Let  $\mathcal{T}$  be the torus of C defined by the generators  $\{K_{\alpha} \mid \alpha \in \mathbb{Z}[I]\}$ . We say that a skew-Hopf pairing  $(A^+, A^-, \varphi)$  (see [19] for the details) belongs to the Borcherds datum C or  $(A^+, A^-, \varphi)$  is a member of the Borcherds class  $\mathcal{L}(C)$  if the following conditions are satisfied:

(A<sup>+</sup>1)  $A^+ = \bigoplus_{\nu \in \mathbb{N}[I]} A^+_{\nu}$  is an  $\mathbb{N}[I]$ -graded associated *R*-algebra generated by  $x_i^+ \in A_i^+$   $(i \in I)$  and by  $A_0^+ = \mathcal{T}$ , such that  $K_{\alpha} x_i^+ = v^{(\alpha,i)} x_i^+ K_{\alpha}$  for all  $i \in I$ ,  $\alpha \in \mathbb{Z}[I]$ .

(A<sup>-</sup>1)  $A^- = \bigoplus_{\nu \in \mathbb{N}[I]} A^-_{\nu}$  is an  $\mathbb{N}[I]$ -graded associated *R*-algebra generated by  $x_i^- \in A^-_i$   $(i \in I)$ 

and by  $A_0^- = \mathcal{T}$ , such that  $K_{\alpha} x_i^- = v^{-(\alpha,i)} x_i^- K_{\alpha}$  for all  $i \in I, \ \alpha \in \mathbb{Z}[I]$ .

(A2) 
$$\Delta_{A^+}(x_i^+) = x_i^+ \otimes 1 + K_i \otimes x_i^+, \quad \Delta_{A^+}(K_\alpha) = K_\alpha \otimes K_\alpha.$$
  
 $\Delta_{A^-}(x_i^-) = x_i^- \otimes K_{-i} + 1 \otimes x_i^-, \quad \Delta_{A^-}(K_\alpha) = K_\alpha \otimes K_\alpha.$ 

(A3) 
$$\varphi(x_i^+, x_j^-) = 0$$
 for  $i \neq j$  in  $I$ .  $\varphi(x_i^+, x_i^-) \neq 0$  for  $i \in I$ .  
 $\varphi(K_\alpha, K_\beta) = v^{-(\alpha, \beta)}, \quad \varphi(x_i^+, K_\alpha) = 0 = \varphi(K_\alpha, x_i^-)$  for  $i \in I, \ \alpha, \beta \in \mathbb{Z}[I]$ .

If restricted form  $\varphi : \mathfrak{a}^+ \times \mathfrak{a}^- \to R$  is non-degenerate, then we say that  $(A^+, A^-, \varphi)$  is a restricted non-degenerate member of  $\mathcal{L}(C)$ , where  $\mathfrak{a}^+$  (resp.,  $\mathfrak{a}^-$ ) is the subalgebra of  $A^+$  (resp.,  $A^-$ ) generated by  $x_i^+$  (resp.,  $x_i^-$ )  $(i \in I)$ .

Two skew-Hopf pairings  $(A^+, A^-, \varphi)$  and  $(B^+, B^-, \varphi)$  in  $\mathcal{L}(C)$  are said to be canonically isomorphic if there are Hopf algebra isomorphisms  $f: A^+ \to B^+$  and  $f: A^- \to B^-$  such that  $f(x_i^{\pm}) = y_i^{\pm}$  for all  $i \in I$  and f preserves  $\mathcal{T} = A_0^{\pm} = B_0^{\pm}$  elementwise, where  $x_i^{\pm}$  (resp.,  $y_i^{\pm}$ )  $(i \in I)$  are the generators of  $A^{\pm}$  (resp.,  $B^{\pm}$ ).

Analogously to [7] (see also [19]), we have the following

**Proposition 2.2** Let C = (I, (-, -), d) be a Borcherds datum. Then any two restricted non-degenerate skew-Hopf pairings in  $\mathcal{L}(C)$  are canonically isomorphic.

#### 2.5 Quantum generalized Kac-Moody algebra

In this part, we assume that R is a field of characteristic 0, and v in R is not a root of unity. Let  $A = (a_{ij})_{i,j \in I}$  be a symmetrizable Borcherds-Cartan matrix with integer entries and even diagonal entries with the symmetrizer  $D = \text{diag}\{s_i \mid i \in I\}$ , and let  $\mathfrak{g} = \mathfrak{g}(A)$  be the generalized Kac-Moody algebra associated with A generated by the elements  $h_i, d_i$   $(i \in I), e_i, f_i$   $(i \in I)$ with the relations as in [12, 13]. Then relative definitions, such as Cartan subalgebra  $\mathfrak{h}$ ,  $\mathbb{Z}$ -lattice  $P^{\vee}$ , weight lattice P, simple reflection  $\{r_i \mid i \in I^{\text{re}}\}$  and Weyl group W etc., can be defined as in [12, 13].

The quantum generalized Kac-Moody algebra  $U = U_v(\mathfrak{g})$  associated with a symmetrizable Borcherds-Cartan matrix A is an associative algebra with 1 over R generated by the elements  $e_i, f_i$   $(i \in I)$  and  $K_\alpha$   $(\alpha \in \mathbb{Z}[I])$  with the defining relations:

(R1)  $K_0 = 1$ ,  $K_{\alpha}K_{\beta} = K_{\alpha+\beta}$ ,  $\alpha, \beta \in \mathbb{Z}[I]$ ,

(R2) 
$$K_{\alpha}e_i = v^{(\alpha,\alpha_i)}e_iK_{\alpha}, \quad K_{\alpha}f_i = v^{-(\alpha,\alpha_i)}f_iK_{\alpha}, \quad \alpha \in \mathbb{Z}[I], \ i \in I,$$

(R3) 
$$e_i f_j - f_j e_i = \delta_{ij} \frac{K_i - K_{-i}}{v^{s_i} - v^{-s_i}},$$

(R4) 
$$\sum_{s+t=1-a_{ij}} (-1)^s e_i^{(s)} e_j e_i^{(t)} = 0 \quad \text{if } a_{ii} = 2, \ i \neq j,$$
$$\sum_{i=1}^{n} (-1)^s f_i^{(s)} f_i f_i^{(t)} = 0 \quad \text{if } a_{ii} = 2, \ i \neq j.$$

 $\sum_{\substack{s+t=1-a_{ij}\\ i}} (-1)^s f_i^{(s)} f_j f_i^{(t)} = 0 \quad \text{if } a_{ii} = 2, \ i \neq j,$ where  $e_i^{(n)} = e_i^n / [n]_i!$  and  $f_i^{(n)} = f_i^n / [n]_i!$ ,

(R5)  $e_i e_j - e_j e_i = 0 = f_i f_j - f_j f_i$  if  $a_{ij} = 0$ .

The algebra U has a Hopf algebra structure with comultiplication  $\Delta$ , counit  $\varepsilon$  and antipode

S being given by

$$\begin{aligned} \Delta(K_{\alpha}) &= K_{\alpha} \otimes K_{\alpha}, \quad \varepsilon(K_{\alpha}) = 1, \quad S(K_{\alpha}) = K_{-\alpha}, \\ \Delta(e_i) &= e_i \otimes 1 + K_i \otimes e_i, \quad \varepsilon(e_i) = 0, \quad S(e_i) = -K_i^{-1}e_i, \\ \Delta(f_i) &= f_i \otimes K_{-i} + 1 \otimes f_i, \quad \varepsilon(f_i) = 0, \quad S(f_i) = -f_i K_i, \quad S^{-1}(f_i) = -K_i f_i \end{aligned}$$

for  $\alpha \in \mathbb{Z}[I], i \in I$ .

We denote by  $U^{\geq 0}$  (resp.,  $U^{\leq 0}$ ) the subalgebra of U generated by  $K_{\alpha}$  ( $\alpha \in \mathbb{Z}[I]$ ) and  $e_i$  (resp.,  $f_i$ ) for  $i \in I$ . For  $\beta \in Q_+$ , set

$$U_{\pm\beta}^{\pm} = \{ x \in U^{\pm} \mid K_{\alpha} x K_{-\alpha} = v^{\pm\beta(h)} x \text{ for all } \alpha \in \mathbb{Z}[I] \}.$$

By [13], there exists a bilinear form  $\psi$  on  $U^{\geq 0} \times U^{\leq 0}$  which is given by  $\psi(x, y) = \xi(y)(x)$ for  $x \in U^{\geq 0}, y \in U^{\leq 0}$ , where  $\xi : U^{\leq 0} \to (U^{\geq 0})^*$  defined by  $\xi(K^{\alpha}) = \phi_{\alpha}, \ \xi(f_i) = -\frac{1}{v^{s_i} - v^{-s_i}} \psi_i$ for  $\alpha \in \mathbb{Z}[I], \ i \in I$  is an algebra homomorphism and the linear functionals  $\phi_{\alpha}, \psi_i \in (U^{\geq 0})^*$  are given by

$$\begin{cases} \phi_{\alpha}(xK_{\beta}) = \varepsilon(x)v^{-(\alpha,\beta)} & \text{for } x \in U^{+}, \ \beta \in \mathbb{Z}[I], \\ \psi_{i}(xK_{\alpha}) = 0 & \text{for } x \in U_{\beta}^{+}, \ \beta \in \mathbb{Z}[I] \setminus \{\alpha_{i}\}, \\ \psi_{i}(e_{i}K_{\alpha}) = 1. \end{cases}$$

And by the properties  $\psi$  (see [13]), we can show that  $(U^{\geq 0}, U^{\leq 0}, \psi)$  is a restricted non-degenerate member of  $\mathcal{L}(C_A)$  where  $C_A = (I, (-, -), d)$  is the Borcherds datum of A.

For  $\lambda \in \mathfrak{h}^*$ , let  $M(\lambda)$  be the Verma U-module and  $L(\lambda)$  be the corresponding irreducible quotient module. Let T denote the set of all imaginary simple roots  $\alpha_i$   $(i \in I^{\text{im}})$ . We have

**Proposition 2.3** (See [1, 13]) For  $\lambda \in \mathfrak{h}^*$ , if  $(\lambda, \alpha_i) \geq 0$  for  $i \in I$ , and  $(\lambda, \alpha_i) \in \mathbb{Z}$  for  $i \in I^{re}$ , then we have

$$\operatorname{ch} M(\lambda) = \frac{e(\lambda)}{\prod\limits_{\alpha \in \Delta_{+}} (1 - e(-\alpha))^{\dim \mathfrak{g}_{\alpha}}} = e(\lambda) \sum\limits_{\beta \in Q_{+}} (\dim U_{-\beta}^{-}) e(-\beta),$$
$$\operatorname{ch} L(\lambda) = \frac{\sum\limits_{w \in W, F \subset T} (-1)^{\ell(w) + |F|} e(w(\lambda + \rho + s(F)) - \rho)}{\prod\limits_{\alpha \in \Delta_{+}} (1 - e(-\alpha))^{\dim \mathfrak{g}_{\alpha}}},$$

where  $\Delta_+$  denotes the set of positive roots of  $\mathfrak{g}$ ,  $\mathfrak{g}_{\alpha}$  denotes the root space, and F runs over all finite subsets of T such that  $(\lambda, \alpha_i) = 0$  for  $\alpha_i \in F$  and  $(\alpha_i, \alpha_j) = 0$  for  $\alpha_i, \alpha_j \in F$  with  $i \neq j$ . |F| denotes the number of elements in F and s(F) denotes the sum of elements in F.

## 3 Double Ringel-Hall Algebra and Its Decomposition

In this part, we assume that  $k = \mathbb{F}_q$  is a finite field of q elements, and set  $v = \sqrt{q}$ . Let  $\Gamma$  be any valued quiver, and S be a k-species of  $\Gamma$  with tensor algebra  $\Lambda$ .

#### 3.1 Ringel-Hall algebra and its Drinfeld double

We denote by  $\mathcal{P}$  the set of isomorphic classes of finite k-representations of  $\mathcal{S}$ , and denote by  $I \subset \mathcal{P}$  the set of isomorphic classes of simple k-representations of  $\mathcal{S}$ . Set  $\mathcal{P}_1 = \mathcal{P} \setminus \{0\}$ . For each  $\alpha \in \mathcal{P}$ , let  $V_{\alpha}$  be the representative in the isoclass  $\alpha$ . And in particular, we denote by  $V_i$ the representative in the isoclass  $i \in I$ . By Subsection 2.3, we have  $\Gamma_0 \subseteq I$ . Set  $I_1 = I \setminus \Gamma_0$ . The representative  $V_\alpha$  in the isoclass  $\alpha$  is a representation of S with  $\underline{\dim}V_\alpha \in \mathbb{N}\Gamma_0$ . For Euler form  $\langle -, - \rangle$  and symmetric Euler form (-, -), we define

$$\langle \alpha, \beta \rangle = \langle \underline{\dim} V_{\alpha}, \underline{\dim} V_{\beta} \rangle, \quad (\alpha, \beta) = (\underline{\dim} V_{\alpha}, \underline{\dim} V_{\beta}) \text{ for all } \alpha, \beta \in \mathcal{P}.$$

In particular,  $\langle i, j \rangle = \langle \underline{\dim} V_i, \underline{\dim} V_j \rangle$   $(i, j \in I)$ . Set  $\underline{\dim} V_i = \alpha_i$  for  $i \in I$  (in particular,  $\alpha_i = e_i$  for  $i \in \Gamma_0$ ), and set  $\underline{\dim} V_\alpha = d_\alpha$  for  $\alpha \in \mathcal{P} \setminus I$ .

For any  $\alpha, \beta, \lambda \in \mathcal{P}$ , let  $g_{\alpha\beta}^{\lambda}$  be the number of submodules M of  $V_{\lambda}$  such that  $M \cong V_{\beta}$ and  $V_{\lambda}/M \cong V_{\alpha}$ . And more generally, if  $\alpha_1, \dots, \alpha_t, \lambda \in \mathcal{P}$ , let  $g_{\alpha_1 \dots \alpha_t}^{\lambda}$  be the number of the filtrations  $0 = M_t \subseteq M_{t-1} \subseteq \dots \subseteq M_1 \subseteq M_0 = V_{\lambda}$  such that  $M_{i-1}/M_i \cong V_{\alpha_i}$  for all  $1 \leq i \leq t$ . For each  $\lambda \in \mathcal{P}$ , set  $a_{\lambda} = |\operatorname{Aut}_k(V_{\lambda})|$ .

We recall the definition of the Ringel-Hall algebra of  $\Lambda$  and its Drinfeld double (see [4, 19]). Let R be a subfield of the real number field  $\mathbb{R}$  containing v, and  $\mathcal{H}^+(\Lambda)$  be an R-vector space with basis  $\{K_{\alpha}u_{\lambda}^+ \mid \alpha \in \mathbb{Z}\Gamma_0, \lambda \in \mathcal{P}\}$ . In the following sense,  $\mathcal{H}^+(\Lambda)$  becomes a Hopf algebra:

(1) Multiplication (see [15]):

$$\begin{split} u_{\alpha}^{+}u_{\beta}^{+} &= \sum_{\lambda \in \mathcal{P}} v^{\langle \alpha, \beta \rangle} g_{\alpha\beta}^{\lambda} u_{\lambda}^{+} \quad \text{ for all } \alpha, \beta \in \mathcal{P}, \\ K_{\alpha}u_{\lambda}^{+} &= v^{(\alpha, \lambda)} u_{\lambda}^{+} K_{\alpha} \qquad \text{ for all } \lambda \in \mathcal{P}, \ \alpha \in \mathbb{Z}\Gamma_{0}, \\ K_{\alpha}K_{\beta} &= K_{\alpha+\beta} \qquad \text{ for all } \alpha, \beta \in \mathbb{Z}\Gamma_{0} \end{split}$$

with unit  $1 = u_0^+ = K_0$ .

(2) Comultiplication (see [7]):

$$\Delta(u_{\lambda}^{+}) = \sum_{\alpha,\beta\in\mathcal{P}} v^{\langle\alpha,\beta\rangle} g_{\alpha\beta}^{\lambda} \frac{a_{\alpha}a_{\beta}}{a_{\lambda}} u_{\alpha}^{+} K_{d_{\beta}} \otimes u_{\beta}^{+} \quad \text{for all } \lambda\in\mathcal{P},$$
  
$$\Delta(K_{\alpha}) = K_{\alpha} \otimes K_{\alpha} \qquad \qquad \text{for all } \alpha\in\mathbb{Z}\Gamma_{0}$$

with counit:  $\varepsilon(u_{\lambda}^+) = 0$  for  $0 \neq \lambda \in \mathcal{P}$ , and  $\varepsilon(K_{\alpha}) = 1$  for  $\alpha \in \mathbb{Z}\Gamma_0$ .

(3) Antipode (see [19]):

$$S(u_{\lambda}^{+}) = \delta_{\lambda 0} + \sum_{m \ge 1} (-1)^{m} \sum_{\substack{\pi \in \mathcal{P}, \\ \lambda_{1}, \cdots, \lambda_{m} \in \mathcal{P}_{\infty}}} v^{2 \sum_{i < j} \langle \lambda_{i}, \lambda_{j} \rangle} \frac{a_{\lambda_{1}} \cdots a_{\lambda_{m}}}{a_{\lambda}} g_{\lambda_{1} \cdots \lambda_{m}}^{\lambda} g_{\lambda_{1} \cdots \lambda_{m}}^{\pi} K_{-d_{\lambda}} u_{\pi}^{+}, \quad \lambda \in \mathcal{P},$$
  
$$S(K_{\alpha}) = K_{-\alpha} \quad \text{for all } \alpha \in \mathbb{Z}\Gamma_{0}.$$

We call Hopf algebra  $\mathcal{H}^+(\Lambda)$  the (extended twisted) Ringel-Hall algebra of  $\Lambda$ . The subspace  $\mathfrak{h}^+(\Lambda)$  of  $\mathcal{H}^+(\Lambda)$  generated by  $\{u_{\lambda}^+ \mid \lambda \in \mathcal{P}\}$  is an associative subalgebra. For simple representation  $V_i, i \in I$ , we have  $\Delta(u_i^+) = u_i^+ \otimes 1 + K_{\alpha_i} \otimes u_i^+$ , and  $S(u_i^+) = -K_{-\alpha_i}u_i^+$ .  $\mathfrak{h}^+(\Lambda)$  and  $\mathcal{H}^+(\Lambda)$  are all  $\mathbb{N}\Gamma_0$ -graded.

Dually, we can define a Hopf algebra  $\mathcal{H}^{-}(\Lambda)$  and its subalgebra  $\mathfrak{h}^{-}(\Lambda)$ .

By [19], there exists a bilinear map  $\varphi : \mathcal{H}^+(\Lambda) \times \mathcal{H}^-(\Lambda) \to R$ , defined by

$$\varphi(K_{\alpha}u_{\beta}^{+}, K_{\alpha'}u_{\beta'}^{+}) = v^{-(\alpha, \alpha') - (\beta, \alpha') + (\alpha, \beta')} \frac{|V_{\beta}|}{a_{\beta}} \delta_{\beta\beta'}.$$

And in fact  $\varphi$  is a skew Hopf pairing. So there exists a Hopf algebra structure on  $\mathcal{H}^+(\Lambda) \otimes \mathcal{H}^-(\Lambda)$ . Therefore, we can define Drinfeld double of  $(\mathcal{H}^+(\Lambda), \mathcal{H}^-(\Lambda), \varphi)$ . The ideal generated

by  $\{K_{\alpha} \times K_{-\alpha} - 1 \mid \alpha \in \mathbb{Z}\Gamma_0\}$  is a Hopf ideal. The quotient by modular this Hopf ideal is a Hopf algebra, which is called the double Ringel-Hall algebra of  $\Lambda$ . We denote it by  $\mathcal{D}(\Lambda)$ . Let  $\mathcal{T}$  be the torus algebra generated by  $\{K_{\alpha} \mid \alpha \in \mathbb{Z}\Gamma_0\}$ . Then we have triangular decomposition  $\mathcal{D}(\Lambda) = \mathfrak{h}^-(\Lambda) \otimes \mathcal{T} \otimes \mathfrak{h}^+(\Lambda)$ .

The subalgebra  $\mathcal{C}(\Lambda)$  of  $\mathcal{D}(\Lambda)$  generated by  $\{u_i^{\pm}, K_i^{\pm} \mid i \in \Gamma_0\}$  is called the double composition algebra of  $\Lambda$ . It is a Hopf subalgebra and admits a triangular decomposition  $\mathcal{C}(\Lambda) = \mathfrak{c}^-(\Lambda) \otimes \mathcal{T} \otimes \mathfrak{c}^+(\Lambda)$ , where  $\mathfrak{c}^+(\Lambda)$  (resp.,  $\mathfrak{c}^-(\Lambda)$ ) is the composition algebra, which is generated by  $\{u_i^+ \mid i \in \Gamma_0\}$  (resp.,  $\{u_i^- \mid i \in \Gamma_0\}$ ), and is still  $\mathbb{N}\Gamma_0$ -graded.

Furthermore,  $\mathcal{D}(\Lambda)$  admits an operator  $\omega$  which is defined by

$$\omega(u_{\lambda}^{+}) = u_{\lambda}^{-}, \quad \omega(u_{\lambda}^{-}) = u_{\lambda}^{+} \text{ for } \lambda \in \mathcal{P},$$
  
$$\omega(K_{\alpha}) = -K_{-\alpha} \text{ for } \alpha \in \mathbb{Z}\Gamma_{0}$$

and  $\varphi(x,y) = \varphi(\omega(y),\omega(x))$  for  $x \in \mathfrak{h}^+(\Lambda), y \in \mathfrak{h}^-(\Lambda)$ .

### 3.2 The structure of double Ringel-Hall algebra

Let  $\Gamma = (\Gamma_0, \Gamma_1)$  be a valued quiver of any type and S be a k-species of  $\Gamma$ . Let  $A_{\Gamma}$  be the corresponding Borcherds Cartan matrix and let  $C_{\Gamma}$  be the Borcherds datum of  $A_{\Gamma}$ . Let  $\Lambda$  be the tensor algebra of S. Following an idea of Sevenhant and Van den Bergh [17], we consider the structure of the double Ringel-Hall algebra  $\mathcal{D}(\Lambda)$  (see also [4]).

Let  $\mathcal{F}$  be the fundamental set of  $\mathbb{N}\Gamma_0$ , which by definition is the set

 $\{0 \neq \alpha \in \mathbb{N}\Gamma_0 \mid (\alpha, e_i) \leq 0 \text{ for all } i \in \Gamma_0, \text{ and } \operatorname{supp} \alpha \text{ is connected } \}.$ 

Set

$$\mathcal{F}_0 = \mathcal{F} \setminus \Big(igcup_{i \in I \setminus \Gamma_0^{ ext{re}}} e_i\Big).$$

For  $\alpha, \beta \in \mathbb{Z}\Gamma_0$ , we define  $\alpha \leq \beta$  by  $\beta - \alpha \in \mathbb{N}\Gamma_0$ .

For each  $\theta \in \mathbb{N}\Gamma_0$ , we define

$$\Xi_{\theta}^{+} = \sum_{\substack{\mu+\nu=\theta\\\mu\neq\theta\neq\nu}} \mathfrak{h}^{+}(\Lambda)_{\mu}\mathfrak{h}^{+}(\Lambda)_{\nu} \quad \text{and} \quad \Xi_{\theta}^{-} = \sum_{\substack{\mu+\nu=\theta\\\mu\neq\theta\neq\nu}} \mathfrak{h}^{-}(\Lambda)_{\mu}\mathfrak{h}^{-}(\Lambda)_{\nu}.$$

It is easy to see  $\Xi_{\theta}^{-} = \omega(\Xi_{\theta}^{+})$ .

For each  $\theta \in \mathbb{N}\Gamma_0$ , we also define

$$L_{\theta}^{+} = \{ x^{+} \in \mathfrak{h}^{+}(\Lambda)_{\theta} \mid \varphi(x^{+}, \Xi_{\theta}^{-}) = 0 \} \text{ and } L_{\theta}^{-} = \{ y^{-} \in \mathfrak{h}^{-}(\Lambda)_{\theta} \mid \varphi(\Xi_{\theta}^{+}, y^{-}) = 0 \}.$$

So obviously, we have  $L_{\theta}^{-} = \omega(L_{\theta}^{+})$ .

**Lemma 3.1** (See [4]) (1) The elements in each  $L_{\theta}^{\pm}$  are primitive, that is for each  $x^{+} \in L_{\theta}^{+}$ , we have  $\Delta(x^{+}) = x^{+} \otimes 1 + K_{\theta} \otimes x^{+}$  and  $S(x^{+}) = -K_{-\theta}x^{+}$ . For each  $y^{-} \in L_{\theta}^{-}$ , we have  $\Delta(y^{-}) = y^{-} \otimes K_{-\theta} + 1 \otimes y^{-}$  and  $S(y^{-}) = -y^{-}K_{\theta}$ .

(2) For  $x^+ \in L^+_{\theta}$ ,  $y^- \in L^-_{\theta}$ , we have

$$x^{+}y^{-} - y^{-}x^{+} = -\varphi(x^{+}, y^{-})(K_{\theta} - K_{-\theta}).$$

(3) If  $L_{\theta}^{\pm} \neq 0$ , then  $\theta \in \mathcal{F}_0$ . And  $\theta$  is the dimension vector of an indecomposable representation.

For each  $i \in I$ , we have

$$u_i^+ u_j^- - u_j^- u_i^+ = -\varphi(u_i^+, u_i^-)(K_{\alpha_i} - K_{-\alpha_i})\delta_{ij} = -\frac{|V_i|(v - v^{-1})}{a_i}\frac{K_{\alpha_i} - K_{-\alpha_i}}{v - v^{-1}}\delta_{ij}, \qquad (3.1)$$

where  $a_i = |\operatorname{Aut} V_i|$ . Set  $\chi_i = -\frac{a_i}{|V_i|(v-v^{-1})|}$  and set  $E_i(0) = u_i^+$ ,  $F_i(0) = \chi_i u_i^-$ . Then

$$E_i(0)F_j(0) - F_j(0)E_i(0) = \frac{K_{\alpha_i} - K_{-\alpha_i}}{v - v^{-1}}\delta_{ij}.$$

In particular, if  $i \in \Gamma_0$ , then  $\chi_i = -v^{-\varepsilon_i}$ , and

$$E_{i}(0)F_{j}(0) - F_{j}(0)E_{i}(0) = \frac{K_{i} - K_{-i}}{v^{\varepsilon_{i}} - v^{-\varepsilon_{i}}}\delta_{ij}.$$
(3.2)

For  $\theta \in \mathcal{F}_0$ , let  $\eta_{\theta} = \dim_R L_{\theta}^{\pm}$ .

**Lemma 3.2** (See [4]) There exists an *R*-basis  $\{E_p(\theta) \mid 1 \leq p \leq \eta_\theta\}$  of  $L_{\theta}^+$  and nonzero elements  $\chi_{\theta,p} \in R$ , such that

$$\varphi(E_p(\theta), \chi_{\theta, q}\omega(E_q(\theta))) = \frac{-1}{v - v^{-1}} \delta_{pq}.$$

If set  $F_p(\theta) = \chi_{\theta,p} \omega(E_p(\theta))$ , then by the above lemmas, we have

$$E_p(\theta)F_q(\pi) - F_q(\pi)E_p(\theta) = \frac{K_\theta - K_{-\theta}}{v - v^{-1}}\delta_{pq}\delta_{\theta\pi}$$
(3.3)

for  $\theta, \pi \in \mathcal{F}_0, 1 \le p \le \eta_{\theta}, 1 \le q \le \eta_{\pi}.$ 

Now for each subset  $\mathcal{E} \subseteq \mathcal{F}_0$ , we denote by  $\mathfrak{d}_{\mathcal{E}}^{\pm}(\Lambda)$  the subalgebra of  $\mathfrak{h}^{\pm}(\Lambda)$  generated by  $\mathfrak{c}^{\pm}(\Lambda)$  and  $L_{\theta}^{\pm}$  with  $\theta \in \mathcal{E}$ . Then  $\mathfrak{d}_{\mathcal{E}}^{\pm}(\Lambda)$  is  $\mathbb{N}\Gamma_0$ -graded and the restriction of  $\varphi$  on  $\mathfrak{d}_{\mathcal{E}}^{\pm}(\Lambda) \times \mathfrak{d}_{\mathcal{E}}^{-}(\Lambda)$  is non-degenerate. Set

$$\mathcal{D}_{\mathcal{E}}(\Lambda) = \mathfrak{d}_{\mathcal{E}}^{-}(\Lambda) \otimes \mathcal{T} \otimes \mathfrak{d}_{\mathcal{E}}^{+}(\Lambda) \quad \text{for } \mathcal{E} \subseteq \mathcal{F}_{0}.$$

In particular, we have

$$\mathcal{D}_{\emptyset}(\Lambda) = \mathcal{C}(\Lambda) \quad \text{and} \quad \mathcal{D}_{\mathcal{F}_0}(\Lambda) = \mathcal{D}(\Lambda).$$

So for any  $\mathcal{E} \subseteq \mathcal{F}_0$ , we get a family of subalgebras  $\mathfrak{d}_{\mathcal{E}}^{\pm}(\Lambda)$  of  $\mathfrak{h}^{\pm}(\Lambda)$  and a family of subalgebras  $\mathcal{D}_{\mathcal{E}}(\Lambda)$  of  $\mathcal{D}(\Lambda)$ .

#### 3.3 Uniqueness of skew-Hopf pairing

Now we extend Borcherds datum C = (I, (-, -), d) as in [4]. Choose non-zero element  $\delta_j \in \mathbb{N}[I]$  for each  $j \in J$ , where J is an index set. And assume that the set  $\{j' \in J \mid \delta_{j'} = \delta_j\}$  for each  $j \in J$  is finite. Denote by  $\widetilde{C}$  the datum  $(I, (-, -), d, \{\delta_j \mid j \in J\})$ . In particular, we set  $\delta_i = i$  for  $i \in I$ . We call  $\widetilde{C}$  an extended Borcherds datum.

Analogously to Subsection 2.4, given an extended Borcherds datum  $\widehat{C} = (I, (-, -), d, \{\delta_j \mid j \in J\})$ , a skew-Hopf paring  $(A^+, A^-, \varphi)$  is said to belong to  $\widetilde{C}$  or  $(A^+, A^-, \varphi)$  is a member of the extended Borcherds datum  $\mathcal{L}(\widetilde{C})$  if  $A^{\pm} = \bigoplus_{\nu \in \mathbb{N}[I]} A_{\nu}^{\pm}$  is an  $\mathbb{N}[I]$ -graded associated *R*-algebra generated by  $x_i^{\pm} \in A_i^{\pm}$   $(i \in I \cup J)$  and by  $A_0^{\pm} = \mathcal{T}$ , such that the similar relations  $(A^{\pm}1)$ , (A2) and (A3) in Subsection 2.4 are satisfied.

And then we have the similar definitions of restricted non-degenerate member of  $\mathcal{L}(\widetilde{C})$  and of canonically isomorphic for two skew-Hopf pairings in  $\mathcal{L}(\widetilde{C})$ .

**Proposition 3.1** Let  $\widetilde{C} = (I, (-, -), d, \{\delta_j \mid j \in J\})$  be an extended Borcherds datum. Then any two restricted non-degenerated skew-Hopf pairings in  $\mathcal{L}(\widetilde{C})$  are canonically isomorphic.

**Example 3.1** For each  $\mathcal{E} \subseteq \mathcal{F}_0$ , we set  $J_{\mathcal{E}} = \{j = (\theta, p) \mid \theta \in \mathcal{E}, 1 \leq p \leq \eta_{\theta}\}$ . If  $j = (\theta, p) \in J_{\mathcal{E}}$ , we set  $\delta_j = \theta$ . Set  $\widetilde{C}_{\mathcal{E}} = (\Gamma_0, (-, -), d, \{\delta_j \mid j \in J_{\mathcal{E}}\})$ . Then it is easy to see that  $(\mathcal{D}_{\mathcal{E}}^+(\Lambda), \mathcal{D}_{\mathcal{E}}^-(\Lambda), \varphi)$  is a restricted non-degenerate member of  $\mathcal{L}(\widetilde{C}_{\mathcal{E}})$ . And in particular, for  $J_{\emptyset}$  (resp.,  $J = J_{\mathcal{F}_0}$ ),  $(\mathcal{C}^+(\Lambda), \mathcal{C}^-(\Lambda), \varphi)$  (resp.,  $(\mathcal{H}^+(\Lambda), \mathcal{H}^-(\Lambda), \varphi)$ ) is a restricted non-degenerate member of  $\mathcal{L}(\widetilde{C}_{\emptyset}) = \mathcal{L}(C_{\Gamma})$  (resp.,  $\mathcal{L}(\widetilde{C}_{\mathcal{F}_0})$ ), where  $\widetilde{C}_{\mathcal{F}_0} = (\Gamma_0, (-, -), d, \{\delta_j \mid j \in J\})$ .

For a valued quiver  $\Gamma$  of any type, let  $\Gamma'$  be the valued quiver obtained from  $\Gamma$  by choosing another orientation. Let S' be a k-species of  $\Gamma'$  with tensor algebra  $\Lambda'$ . Then S' is obtained from S by replacing  ${}_{i}M_{j}^{\rho}$  by its k-dual whenever the orientation of  $\rho : i - j$  in  $\Gamma'$  is different of it in  $\Gamma$ . Let  $\mathcal{H}^{\pm}(\Lambda')$  be the Ringel-Hall algebra of  $\Lambda'$ . Then according to the fact that the number of the isoclasses of indecomposable representations of fixed dimension vector is independent of the orientation of  $\Gamma$ , analogously to [4], we have

**Theorem 3.1** For any subset  $\mathcal{E} \subseteq \mathcal{F}_0$ , there exists Hopf algebra isomorphism:  $\Phi_{\mathcal{E}}$ :  $\mathcal{D}_{\mathcal{E}}(\Lambda) \to \mathcal{D}_{\mathcal{E}}(\Lambda')$  such that for  $\mathcal{E} \subseteq \mathcal{G} \subseteq \mathcal{F}_0$ , we have the following commutative diagram

$\mathcal{D}_{\mathcal{E}}(\Lambda)$	$\subseteq$	$\mathcal{D}_{\mathcal{G}}(\Lambda)$	$\subseteq$	$\mathcal{D}_{\mathcal{F}_0}(\Lambda)$
$\Phi_m$		$\Phi_{m+1}$		$\Phi$
$\mathcal{D}_{\mathcal{E}}(\Lambda')$	$\subseteq$	$\mathcal{D}_{\mathcal{G}}(\Lambda')$	$\subseteq$	$\mathcal{D}_{\mathcal{F}_0}(\Lambda')$

and in particular, Ringel-Hall algebra  $\mathcal{H}(\Lambda)$  and  $\mathcal{H}(\Lambda')$  are canonically isomorphic.

**Remark 3.1** Ringel-Hall algebra  $\mathcal{H}(\Lambda)$  is independent of the orientation of the valued quiver  $\Gamma$ .

#### 3.4 Generic composition algebra

Let  $\Gamma = (\Gamma_0, \Gamma_1)$  be any valued quiver with positive integers  $\{\varepsilon_i\}$  and a pair of nonnegative integers  $(d_{ij}^{\rho}, d_{ji}^{\rho})$ . Let S be a k-species of  $\Gamma$ . Assume that  $C = (\Gamma_0, (-, -), d)$  is the Borcherds datum of  $\Gamma$ .

Let  $\mathcal{K}$  be a set of finite field k, such that the set  $\{|k| \mid k \in \mathcal{K}\}$  is infinite. Let R be a subfield of real number field  $\mathbb{R}$  containing, for each  $k \in \mathcal{K}$ , an element  $v_k$  such that  $v_k^2 = |k|$ . For each finite field  $k \in \mathcal{K}$ , we have the (extended twisted) Ringel-Hall algebra  $\mathcal{H}^+(\Lambda_k)$ , where  $\Lambda_k$  is the tensor algebra of k-species  $\mathcal{S}_k$  which is associated with  $\mathcal{S}$ , and then the composition algebra  $\mathcal{C}^+(\Lambda_k)$ which is the R-algebra generated by the elements  $u_i^+(k)$  ( $i \in \Gamma_0$ ) and  $K_\alpha = K_\alpha(k)$  ( $\alpha \in \mathbb{Z}\Gamma_0$ ). Consider the direct product

$$\mathcal{H}^+(\widetilde{\Lambda}) = \prod_{k \in \mathcal{K}} \mathcal{H}^+(\Lambda_k)$$

 $v, v^{-1}$  and  $\tilde{u}_i^+$  are elements of  $\mathcal{H}^+(\widetilde{\Lambda})$  whose k-components are  $v_k, v_k^{-1}$  and  $u_i^+(k)$  respectively. Denote by  $\mathcal{C}^+(\widetilde{\Lambda})$  the subalgebra of  $\mathcal{H}^+(\widetilde{\Lambda})$  generated by  $\mathbb{Q}, v, v^{-1}$  and  $\tilde{u}_i^+$  and  $\widetilde{K}_{\alpha} = (K_{\alpha})$ . Since v is central in  $\mathcal{C}^+(\widetilde{\Lambda})$  and there is no  $p(T) \in \mathbb{Q}(T)$  such that p(v) = 0 unless p(T) = 0, we may regard  $\mathcal{C}^+(\widetilde{\Lambda})$  as the  $\mathbb{Q}[v, v^{-1}]$ -algebra generated by  $\tilde{u}_i^+$   $(i \in \Gamma_0)$  and  $\widetilde{K}_{\alpha}$   $(\alpha \in \mathbb{Z}\Gamma_0)$ . Denote by  $\mathfrak{c}^+(\widetilde{\Lambda})$  the  $\mathbb{Q}[v, v^{-1}]$ -subalgebra of  $\mathcal{C}^+(\widetilde{\Lambda})$  generated by  $\tilde{u}_i^+$   $(i \in \Gamma_0)$ . Define  $\mathbb{Q}(v)$ -algebra

$$\mathcal{C}^{*+}(\Lambda) = \mathbb{Q}(v) \otimes_{\mathbb{Q}[v,v^{-1}]} \mathcal{C}^{+}(\Lambda)$$

with  $u_i^{*+} = 1 \otimes \tilde{u}_i^+ \in \mathcal{C}^{*+}(\tilde{\Lambda})$ , called the generic composition algebra of the Borcherds datum C.

$$\mathfrak{c}^{*+}(\widetilde{\Lambda}) = \mathbb{Q}(v) \otimes_{\mathbb{Q}[v,v^{-1}]} \mathfrak{c}^{+}(\widetilde{\Lambda})$$

is a subalgebra of  $\mathcal{C}^{*+}(\widetilde{\Lambda})$  generated by  $u_{i}^{*+}$   $(i \in \Gamma_0)$ .

Dually we can construct  $\mathcal{H}^{-}(\widetilde{\Lambda}), \ \mathcal{C}^{-}(\widetilde{\Lambda}), \ \mathfrak{c}^{-}(\widetilde{\Lambda}), \ \mathcal{C}^{*-}(\widetilde{\Lambda}) \text{ and } \mathfrak{c}^{*-}(\widetilde{\Lambda}).$ 

The skew-Hopf pairing  $\varphi_k : \mathcal{H}^+(\Lambda_k) \times \mathcal{H}^-(\Lambda_k) \to R_k$ , for each  $k \in \mathcal{K}$ , induces an *R*-linear map

$$\tilde{\varphi}: \quad \mathcal{H}^+(\tilde{\Lambda}) \times \mathcal{H}^-(\tilde{\Lambda}) \to \prod_{k \in \mathcal{K}} R_k$$

which is given by  $\tilde{\varphi}(x,y) = (\varphi_k(x_k,y_k))_{k\in\mathcal{K}}$  for  $x = (x_k)_{k\in\mathcal{K}} \in \mathcal{H}^+(\widetilde{\Lambda})$  and  $y = (y_k)_{k\in\mathcal{K}} \in \mathcal{H}^-(\widetilde{\Lambda})$ , where  $R_k = R$  for all  $k \in \mathcal{K}$ . And we have

$$\tilde{\varphi}(\tilde{u}_i^+, \tilde{u}_i^-) = (\varphi_k(u_i^+(k), u_i^-(k)))_{k \in \mathcal{K}} = \left(\frac{v_k^{2\varepsilon_i}}{v_k^{2\varepsilon_i-1}}\right)_{k \in \mathcal{K}}.$$

For  $x = (x_k)_{k \in \mathcal{K}} \in \mathcal{C}^+(\widetilde{\Lambda})_{\mu}$  and  $y = (y_k)_{k \in \mathcal{K}} \in \mathcal{C}^-(\widetilde{\Lambda})_{\mu}$  where  $\mu = \sum_{i \in \Gamma_0} \mu_i i$ , there exists  $M_{x,y}(v) \in \mathbb{Q}[v, v^{-1}]$  and positive integer n(x, y), such that  $\tilde{\varphi}(x, y) = M_{x,y}(v) \prod_{i \in \Gamma_0} \tilde{\varphi}(\tilde{u}_i^+, \tilde{u}_i^-)^{\mu_i}$  and  $(v^{2\varepsilon_i} - 1)^{n(x,y)} \tilde{\varphi}(x, y) \in \mathbb{Q}[v, v^{-1}].$ 

Hence,  $\tilde{\varphi}$  induces a skew-Hopf pairing  $\varphi : \mathcal{C}^{*+}(\widetilde{\Lambda}) \times \mathcal{C}^{*-}(\widetilde{\Lambda}) \to \mathbb{Q}(v)$  which is a member of  $\mathcal{L}(C)$  over  $\mathbb{Q}(v)$ , and then we can get the reduced Drinfeld double  $\mathcal{C}^{*}(\widetilde{\Lambda})$ , called the double generic composition algebra. It admits a decomposition  $\mathcal{C}^{*}(\widetilde{\Lambda}) = \mathfrak{c}^{*-}(\widetilde{\Lambda}) \otimes \mathcal{T} \otimes \mathfrak{c}^{*+}(\widetilde{\Lambda})$ .

And for  $m, n, i, j \in \Gamma_0$ , we have

$$\varphi(\tilde{K}_{m}u_{i}^{*+}, \tilde{K}_{n}u_{j}^{*-}) = (\varphi_{k}(K_{m}u_{i}^{+}(k), K_{n}u_{j}^{+}(k))_{k\in\mathcal{K}})$$

$$= \left(v_{k}^{-(e_{m}, e_{n}) - (e_{i}, e_{n}) + (e_{m}, e_{i})} \frac{v_{k}^{2\varepsilon_{i}}}{v_{k}^{2\varepsilon_{i}} - 1} \delta_{ij}\right)_{k\in\mathcal{K}}$$

$$= v^{-(e_{m}, e_{n}) - (e_{i}, e_{n}) + (e_{m}, e_{i})} \frac{v^{2\varepsilon_{i}}}{v^{2\varepsilon_{i}} - 1} \delta_{ij} 1.$$

In particular for  $\mathbb{F} = \mathbb{Q}$ , we have the quantum generalized Kac-Moody algebra  $U = U_v(\mathfrak{g})$ over  $\mathbb{Q}(v)$ . For bilinear form  $\psi : U^{\geq 0} \times U^{\leq 0} \to \mathbb{Q}(v)$ , by formula (3.1), we have

$$\psi(K_m e_i, K_n(-v^{d_i})f_j) = (-v^{\varepsilon_i})(-v^{-(e_m, e_n) - (e_i, e_n) + (e_m, e_i)})\frac{1}{v^{\varepsilon_i} - v^{-\varepsilon_i}}\delta_{ij}$$
$$= v^{-(e_m, e_n) - (e_i, e_n) + (e_m, e_i)}\frac{v^{2\varepsilon_i}}{v^{2\varepsilon_i} - 1}\delta_{ij}.$$

So we have

**Theorem 3.2** Let  $\Gamma$  be any valued quiver and S be a k-species of  $\Gamma$ .  $C_{\Gamma} = (\Gamma_0, (-, -), d)$ is the Borcherds datum of  $\Gamma$  and A is the Borcherds-Cartan matrix of  $\Gamma$ . Let  $U = U_v(\mathfrak{g})$  be the quantum generalized Kac-Moody algebra of A over  $\mathbb{Q}(v)$ , and  $\mathcal{C}^*(\widetilde{\Lambda})$  be the double generic composition algebra associated with  $C_{\Gamma}$ . Then the correspondence  $u_i^{*+} \mapsto e_i$ ,  $u_i^{*-} \mapsto -v^{\varepsilon_i} f_i$ ,  $\widetilde{K}_i \mapsto$  $K_i$   $(i \in \Gamma_0)$  induces a Hopf algebra isomorphism  $\mathcal{C}^*(\widetilde{\Lambda}) \to U$ .

**Remark 3.2** The theorem still holds if we give up the condition: "generic" and take v to be the square root of |k| (see [14]).

#### 3.5 Drinfeld double $\mathcal{D}'(\Lambda)$

Let  $C = (\Gamma_0, (-, -), d)$  be the Borcherds datum of a valued quiver  $\Gamma$  (or a Borcherdsmatrix), and  $\widetilde{C} = (\Gamma_0, (-, -), d, \{\delta_j \mid j \in J\})$  be the extended Borcherds datum, where  $J = J_{\mathcal{F}_0} = \{(\theta, p) \mid \theta \in \mathcal{F}_0, 1 \leq p \leq \eta_\theta\}$  and  $\delta_{(\theta, p)} = \theta$  for  $\theta \in \mathcal{F}_0$  and for all  $1 \leq p \leq \eta_\theta$ . We define  $\widetilde{C}' = (\Gamma_0 \cup J, (-, -)', d')$ , where  $(i, j)' = (\delta_i, \delta_j)$  for all  $i, j \in \Gamma_0 \cup J$  and  $d' = (d_i)_{i \in \Gamma_0 \cup J}$  with  $d'_i = d_i$  for  $i \in \Gamma_0$  and  $d'_i = 1$  for  $i \in J$ . Then we have the reduced Drinfeld double  $\mathcal{D}'(\Lambda)$  of the restricted non-degenerate member of  $\mathcal{L}(\widetilde{C}')$ .

We extend the torus  $\mathcal{T}$  of  $C = (\Gamma_0, (-, -), d)$  to the torus  $\mathcal{T}'$  of  $\widetilde{C}' = (\Gamma_0 \cup J, (-, -)', d')$ and view  $\mathcal{D}(\Lambda)$  as a  $\mathbb{Z}[\Gamma_0 \cup J]$ -graded Hopf algebra. Set  $x_i = E_i(0), y_i = F_i(0)$  for  $i \in \Gamma_0$ , and set  $x_j = E_p(\theta), y_j = F_p(\theta)$  for  $j = (\theta, p) \in J$ . Thus  $\mathcal{D}'(\Lambda)$  admits a triangular decomposition

$$\mathcal{D}'(\Lambda) = \mathfrak{h}'^{-}(\Lambda) \otimes \mathcal{T}' \otimes \mathfrak{h}'^{+}(\Lambda),$$

where  $\mathfrak{h}'^+(\Lambda)$  (resp.,  $\mathfrak{h}'^-(\Lambda)$ ) is generated by  $x_i$  (resp.,  $y_i$ ) for  $i \in \Gamma_0 \cup J$ . We also set  $\mathcal{H}'^+(\Lambda) = \mathcal{T}' \otimes \mathfrak{h}'^+(\Lambda)$  and  $\mathcal{H}'^-(\Lambda) = \mathcal{T}' \otimes \mathfrak{h}'^-(\Lambda)$ . They are all naturally  $\mathbb{N}[\Gamma_0 \cup J]$ -graded.

Let  $\varphi' : \mathcal{H}'^+(\Lambda) \times \mathcal{H}'^-(\Lambda) \to R$  be the restricted paring induced by  $\varphi$ , which satisfies that  $\varphi'(x_i, y_j) = \delta_{ij}$  for all  $i \in \Gamma_0 \cup J$ .

Moreover, it is easy to see that there exists a Hopf algebra epimorphism  $p: \mathcal{D}'(\Lambda) \to \mathcal{D}(\Lambda)$ such that  $p(x_i) = x_i, p(y_i) = y_i$  and  $p(K_i) = K_{\delta_i}$  for  $i \in \Gamma_0 \cup J$ .

For the Borcherds datum  $\widetilde{C}' = (\Gamma_0 \cup J, (-, -)', d')$ , there exists a Borcherds-Cartan matrix  $A' = (a'_{ij})_{i,j\in\Gamma_0\cup J}$  associated to  $\widetilde{C}'$ , such that  $d'_ia'_{ij} = (\delta_i, \delta_j) = (i, j)'$  for all  $i, j \in \Gamma_0 \cup J$ , that is

$$a_{ij}' = \begin{cases} \frac{(\delta_i, \delta_j)}{d_i} & \text{for } i \in \Gamma_0, \\ (\delta_i, \delta_j) & \text{for } i \in J. \end{cases}$$

And A' is symmetrizable, with  $D = \text{diag}(d'_i \mid i \in \Gamma_0 \cup J)$ . So we can define the generalized Kac-Moody algebra  $\mathfrak{g}' = \mathfrak{g}(A')$  and its quantization  $U' = U_v(\mathfrak{g}')$  as in Subsection 2.5. Let  $\Delta'$  be the root system of  $\mathfrak{g}'$ . The symmetric bilinear form on the Cartan subalgebra  $\mathfrak{h}'$  of  $\mathfrak{g}'$  is exactly the bilinear form (-, -)' in the Borcherds datum  $\widetilde{C}' = (\Gamma_0 \cup J, (-, -)', d')$ .

Let W' be the Weyl group of  $\mathfrak{g}'$  generated by the reflections  $\{r'_i \mid i \in \Gamma_0^{\mathrm{re}}\}$  defined by  $r'_i(\lambda) = \lambda - \frac{(\lambda, i)'}{d'_i}i$  for  $\lambda \in \mathbb{Z}[\Gamma_0 \cup J]$ .

Define a linear map  $\delta : \mathbb{Z}[\Gamma_0 \cup J] \to \mathbb{Z}\Gamma_0$  by  $\delta(i) = \delta_i$  for  $i \in \Gamma_0 \cup J$ . Then we have  $\delta r'_i = r_i \delta$  for  $r_i \in W$ , the Weyl group of  $\mathfrak{g} = \mathfrak{g}(A)$ . It is easy to see that  $r'_i \mapsto r_i, i \in \Gamma_0^{\mathrm{re}}$  induces a group isomorphism  $W' \cong W$ . So we have

Lemma 3.3  $\delta(\Delta') = \Delta$ .

## 4 Representation Theory and Complete Reducibility

## 4.1 Category $\mathcal{O}$ and category $\tilde{\mathcal{O}}$

Let  $\Lambda$  be the hereditary algebra defined as in the above section, and  $\mathcal{D}(\Lambda)$  be the double Ringel-Hall algebra of  $\Lambda$ . Let X be the weight lattice of  $C = (\Gamma_0, (-, -), d)$ , i.e.,  $X = \{\lambda \in \mathbb{Z}\Gamma_0 \mid (\lambda, i) \in \mathbb{Z} \text{ for all } i \in \Gamma_0\}$ . A  $\mathcal{D}(\Lambda)$ -module M is called a weight module if M admits a weight space decomposition  $M = \bigoplus_{\lambda \in X} M_{\lambda}$ , where  $M_{\lambda} := \{m \in M \mid K_{\alpha}m = v^{(\alpha,\lambda)}m \text{ for all } \alpha \in \mathbb{Z}\Gamma_0\}$ .

We call  $wt(M) := \{\lambda \in X \mid M_{\lambda} \neq 0\}$  the set of *weights* of *M*.

For  $\alpha = \sum k_i i \in \mathbb{Z}\Gamma_0$ , set tr $\alpha = \sum k_i$ .

We denote by  $\mathcal{O}$  the category consisting of weight modules M which satisfy: (1) every weight space is finite dimensional, (2) for every  $x \in M$ , there exists an  $n_0 \geq 0$  such that  $\mathfrak{h}^+(\Lambda)_{\alpha} x = 0$  for  $\alpha \in \mathbb{Z}\Gamma_0$  whenever  $\operatorname{tr} \alpha \geq n_0$ .

A weight  $\mathcal{D}(\Lambda)$ -module V is called a highest weight module with highest weight  $\lambda \in X$  if there exists a nonzero vector  $v_{\lambda} \in V$  (called a highest weight vector), such that (1)  $u_i^+ v_{\lambda} = 0$ for all  $i \in \Gamma_0$ , (2)  $\mathcal{D}(\Lambda)v_{\lambda} = V$ .

For  $\lambda \in X$ , denote by  $J(\lambda)$  the left ideal of  $\mathcal{D}(\Lambda)$  generated by  $E_p(\theta)$   $(j = (\theta, p) \in \Gamma_0 \cup J)$ and  $K_\alpha - v^{(\delta(\alpha),\lambda)} 1$   $(\alpha \in \mathbb{Z}[\Gamma_0 \cup J])$ , and set  $V(\lambda) = \mathcal{D}(\Lambda)/J(\lambda)$ . Then  $V(\lambda)$  admits a left  $\mathcal{D}(\Lambda)$ -module structure under left multiplication.  $V(\lambda)$  is called the Verma module. Then  $L(\lambda) := V(\lambda)/N(\lambda)$  is an irreducible highest weight  $\mathcal{D}(\Lambda)$ -module with highest weight  $\lambda$ , where  $N(\lambda)$  is the unique maximal submodule of  $V(\lambda)$ .

Set  $X^+ = \{\lambda \in X \mid (\lambda, i) \ge 0 \text{ for all } i \in \Gamma_0\}$ , the set of the dominant integral weights. We consider the structure of the irreducible highest weight  $\mathcal{D}(\Lambda)$ -module  $L(\lambda)$  with  $\lambda \in X^+$ .

**Proposition 4.1** Let  $\lambda \in X^+$  be a dominant integral weight, and  $\mu$  be a weight of  $L(\lambda)$ . For each  $j = (\theta, p) \in \Gamma_0^{\text{im}} \cup J$ , we have

- (a)  $(\mu, \delta_j) = (\mu, \theta) \in \mathbb{Z}_{\geq 0},$
- (b) if  $(\mu, \delta_j) = 0$ , then  $L(\lambda)_{\mu-\delta_j} = 0$ , and  $F_p(\theta)(L(\lambda)_{\mu}) = 0$ ,
- (c) if  $(\mu, \delta_j) \leq -(\delta_j, \delta_j)$  and  $(\delta_j, \delta_j) \neq 0$ , then  $E_p(\theta)(L(\lambda)_{\mu}) = 0$ .

**Proof** For  $\mu \in \text{wt}(L(\lambda))$ , we may assume that  $\mu = \lambda - \alpha$  where  $\alpha = \sum_{k=1}^{s} j_k$  with  $j_k \in \Gamma_0$ . For any  $j \in \Gamma_0^{\text{im}} \cup J$ , we have that  $(j_k, \delta_j) \leq 0$  for each  $1 \leq k \leq s$ . Then  $(\alpha, \delta_j) \leq 0$ , and

$$(\mu, \delta_j) = (\lambda - \alpha, \delta_j) = (\lambda, \delta_j) - (\alpha, \delta_j) \ge (\lambda, \delta_j) \ge 0.$$

If  $(\mu, \delta_j) = 0$ , then  $(\lambda, \delta_j) = (\alpha, \delta_j) = \left(\sum_{k=1}^s j_k, \delta_j\right) = 0$ . So  $(j_k, \delta_j) = 0$  for all  $1 \le k \le s$ , and  $F_{j_k}(0)F_p(\theta) = F_p(\theta)F_{j_k}(0)$ . Then  $F_p(\theta)u_{\alpha}^-v_{\lambda} = u_{\alpha}^-F_p(\theta)v_{\lambda} = 0$ . But  $u_{\alpha}^-v_{\lambda} \in L(\lambda)_{\lambda-\alpha} = L(\lambda)_{\mu}$ , so  $F_p(\theta)(L(\lambda)_{\mu}) = 0$ .

If  $(\mu, \delta_j) \leq -(\delta_j, \delta_j) \neq 0$ , then  $(\mu + \delta_j, \delta_j) = (\mu, \delta_j) + (\delta_j, \delta_j) \leq 0$ . If  $(\mu + \delta_j, \delta_j) = 0$ , then by (b), we have  $L(\lambda)_{\mu} = 0$ , a contradiction to the fact  $\mu \in \text{wt}(L(\lambda))$ . And then by (a), we have that  $E_p(\theta)(L(\lambda)_{\mu}) = 0$ .

We define

**Definition 4.1** The category  $\widetilde{\mathcal{O}}$  consists of  $\mathcal{D}(\Lambda)$ -module M satisfying the following properties:

- (1) M belongs to the category  $\mathcal{O}$ ,
- (2) if  $j \in \Gamma_0^{\text{re}}$ , then the action of  $u_i^-$  on M is locally nilpotent,
- (3) if  $j \in \Gamma_0^{\text{im}} \cup J$ , then  $(\mu, \delta_j) \in \mathbb{Z}_{\geq 0}$  for all  $\mu \in \text{wt}(M)$ ,
- (4) if  $j \in \Gamma_0^{\text{im}} \cup J$ , and  $(\mu, \delta_j) = 0$ , then  $F_p(\theta)M_\mu = 0$ ,
- (5) if  $j \in \Gamma_0^{\text{im}} \cup J$ ,  $(\mu, \delta_j) = -(\delta_j, \delta_j)$  and  $(\delta_j, \delta_j) \neq 0$ , then  $E_p(\theta)M_\mu = 0$ .

**Proposition 4.2** Let  $L(\lambda)$  be the irreducible highest weight  $\mathcal{D}(\Lambda)$ -module with highest weight  $\lambda \in X$ . Then  $L(\lambda)$  belongs to the category  $\widetilde{\mathcal{O}}$  if and only if  $\lambda \in X^+$ .

**Proof** Assume that  $L(\lambda)$  belongs to the category  $\widetilde{\mathcal{O}}$ . If  $i \in \Gamma_0^{\text{re}}$ , the action of  $u_i^-$  on  $L(\lambda)$  is locally nilpotent, so there exists a non-negative integer  $n_i$  such that  $(u_i^-)^{n_i} \neq 0$ , but  $(u_i^-)^{n_i+1} = 0$ . Then by  $u_i^+ u_i^- = u_i^- u_i^+ + \frac{-|V_i|}{a_i}(K_i - K_{-i})$ , we have

$$0 = u_i^+(u_i^-)^{n_i+1}v_{\lambda} = \frac{-|V_i|}{a_i} \frac{1 - v^{-(i,i)(n_i+1)}}{1 - v^{-(i,i)}} \Big( v^{(i,\lambda)} - \frac{v^{-(i,\lambda)}}{v^{-n_i(i,i)}} \Big) (u_i^-)^{n_i} v_{\lambda}.$$

So  $(i, \lambda) = \frac{(i,i)n_i}{2} \in \mathbb{Z}_{\geq 0}$ . If  $i \in \Gamma_0^{\text{im}}$ , we have  $(\lambda, i) \in \mathbb{Z}_{\geq 0}$  by the above definition.

If  $\lambda \in X^+$ , by Proposition 4.1,  $L(\lambda)$  belongs to the category  $\widetilde{\mathcal{O}}$ .

A weight  $\mathcal{D}(\Lambda)$ -module M in the category  $\mathcal{O}$  is said to be integrable.

## 4.2 Category $\mathcal{O}'$ and category $\widetilde{\mathcal{O}}'$

Let  $\mathcal{D}'(\Lambda)$  be the reduced Drinfeld double in Subsection 3.5 which is generated by  $x_i, y_i$   $(i \in \mathcal{D}'(\Lambda))$  $\Gamma_0 \cup J$ ) with triangular decomposition  $\mathcal{D}'(\Lambda) = \mathfrak{h}'^-(\Lambda) \otimes \mathcal{T}' \otimes \mathfrak{h}'^+(\Lambda)$ .

Let  $X' = \{\lambda \in \mathbb{Z}[\Gamma_0 \cup J] \mid (\lambda, i)' \in \mathbb{Z} \text{ for all } i \in \Gamma_0 \cup J\}$  be the weight lattice of  $\widetilde{C}' =$  $(\Gamma_0 \cup J, (-, -)', d').$ 

Now for  $\alpha = \sum k_j j \in \mathbb{Z}[\Gamma_0 \cup J]$ , let  $\bar{\alpha} = \sum k_j \delta_j \in \mathbb{Z}\Gamma_0$ . We set tr $\alpha = \operatorname{tr} \bar{\alpha}$ .

We define  $\mathcal{O}'$  to be the category consisting of weight  $\mathcal{D}'(\Lambda)$ -modules M which satisfy: for each  $m \in M$ , there exists  $n_0 \geq 0$  such that  $\mathfrak{h}'^+(\Lambda)_{\alpha}m = 0$  for  $\alpha \in \mathbb{Z}[\Gamma_0 \cup J]$  with tr $\alpha \geq n_0$ .

For each  $\lambda \in X'$ , let  $J'(\lambda)$  be the left ideal of  $\mathcal{D}'(\Lambda)$  generated by  $x_i$   $(i \in \Gamma_0 \cup J)$  and  $K_{\alpha} - v^{(\lambda,\alpha)'} 1 \ (\alpha \in \mathbb{Z}[\Gamma_0 \cup J]).$  Set  $V'(\lambda) = \mathcal{D}'(\Lambda)/J'(\lambda)$ . Then  $V'(\lambda)$  admits a left  $\mathcal{D}'(\Lambda)$ -module structure under left multiplication. We call  $V'(\lambda)$  the Verma module. Using the triangular decomposition of  $\mathcal{D}'(\Lambda)$ , we have a bijection  $\eta: \mathfrak{h}'^{-}(\Lambda) \to V'(\lambda); y \mapsto y + J'(\lambda)$ . Under this bijection,  $\mathfrak{h}'^{-}(\Lambda)$  admits a left  $\mathcal{D}'(\Lambda)$ -module structure. So  $\eta$  is in fact a module isomorphism. The module structure of  $\mathfrak{h}'^{-}(\Lambda)$  is given by

$$K_{\alpha} \cdot y = v^{(\alpha, \lambda - \beta)'} y, \quad y_i \cdot y = y_i y, \quad x_i \cdot 1 = 0$$

for all  $y \in \mathfrak{h}'^{-}(\Lambda)_{\beta}$ ,  $\alpha \in \mathbb{Z}[\Gamma_0 \cup J]$  and  $i \in \Gamma_0 \cup J$ .

Let  $L'(\lambda)$  be the irreducible highest weight module with highest weight  $\lambda$ . We define  $X'^+ = \{\lambda \in X' \mid (\lambda, i)' \ge 0 \text{ for all } i \in \Gamma_0 \cup J\}$ , and set  $J_\lambda = \{i \in \Gamma_0 \cup J \mid \lambda \in I_\lambda \in I_\lambda \}$  $(\lambda, i)' = 0\}.$ 

**Proposition 4.3** Let  $\lambda \in X'^+$  be a dominant integral weight.

(1) The highest weight vector  $v'_{\lambda}$  of  $L'(\lambda)$  satisfies that

$$\begin{cases} y_i^{\frac{(\lambda,i)'}{d_i'}+1}v_{\lambda}' = 0, & \text{if } i \in \Gamma_0^{\text{re}}, \\ y_iv_{\lambda}' = 0, & \text{if } i \in J_{\lambda}. \end{cases}$$

$$\tag{4.1}$$

(2) Let  $(V, \lambda, v)$  be a highest weight  $\mathcal{D}'(\Lambda)$ -module with highest weight  $\lambda \in X'^+$  and highest weight vector v, if v satisfies relation (4.1), then V is isomorphic to  $L'(\lambda)$ .

Similarly to Proposition 4.1, we have

**Proposition 4.4** Let  $\mu$  be a weight of  $L'(\lambda)$  with  $\lambda \in X'^+$ , and  $i \in \Gamma_0^{\text{im}} \cup J$ . Then

- (1)  $(\mu, i)' \in \mathbb{Z}_{>0},$
- (2) if  $(\mu, i)' = 0$ , then  $L'(\lambda)_{\mu-i} = 0$  and  $y_i(L'(\lambda)_{\mu}) = 0$ ,
- (3) if  $(\mu, i)' \leq -(i, i)'$  and  $(i, i)' \neq 0$ , then  $x_i(L'(\lambda)_{\mu}) = 0$ .

By formula (3.3), for  $i = (\theta, p) \in \Gamma_0 \cup J$ , we have  $x_i y_i - y_i x_i = \frac{K_\theta - K_{-\theta}}{v_i - v_i^{-1}}$ . And for  $n \in \mathbb{N}$ , we have

$$x_i y_i^{n+1} v_{\lambda}' = \frac{1}{v - v^{-1}} \frac{1 - v^{-(\theta, i)'(n+1)}}{1 - v^{-(\theta, i)'}} \left( v^{(\theta, \lambda)'} - v^{-(\theta, \lambda)'} \frac{1}{v^{-(\theta, i)'n}} \right) y_i^n v_{\lambda}'.$$
(4.2)

Now we define the category  $\widetilde{\mathcal{O}}'$  of integrable  $\mathcal{D}'(\Lambda)$ -module.

**Definition 4.2** The category  $\widetilde{\mathcal{O}}'$  consists of  $\mathcal{D}'(\Lambda)$ -modules M satisfying the following properties:

(1) M lies in the category  $\mathcal{O}'$ ,

- (2) if  $i \in \Gamma_0^{\text{re}}$ , the action of  $y_i$  on M is locally nilpotent,
- (3) if  $i \in \Gamma_0^{\text{im}} \cup J$ , then  $(\mu, i)' \in \mathbb{Z}_{\geq 0}$  for all  $\mu \in \text{wt}(M)$ ,
- (4) if  $i \in \Gamma_0^{\text{im}} \cup J$ , and  $(\mu, i)' = 0$ , then  $y_i M_\mu = 0$ ,
- (5) if  $i \in \Gamma_0^{\text{im}} \cup J$ ,  $(\mu, i)' = -(i, i)'$  and  $(i, i)' \neq 0$ , then  $x_i M_\mu = 0$ .

Then we have

**Proposition 4.5** Let  $L'(\lambda)$  be the irreducible highest weight  $\mathcal{D}'(\Lambda)$ -module with highest weight  $\lambda \in X'$ . Then  $L'(\lambda)$  belongs to the category  $\widetilde{\mathcal{O}}'$  if and only if  $\lambda \in X'^+$ .

**Proposition 4.6** (1) If M is a highest weight  $\mathcal{D}'(\Lambda)$ -module in the category  $\widetilde{\mathcal{O}}'$  with highest weight  $\lambda \in X'$ , then  $\lambda \in X'^+$ , and  $M \cong L'(\lambda)$ .

(2) Every irreducible  $\mathcal{D}'(\Lambda)$ -module in the category  $\widetilde{\mathcal{O}}'$  is isomorphic to some  $L'(\lambda)$  for some  $\lambda \in X'^+$ .

**Proof** (1) Suppose *M* lies in the category  $\widetilde{\mathcal{O}}'$  with highest weight vector  $v'_{\lambda}$ . If  $i \in \Gamma_0^{\text{re}}$ , then by formula (4.2), setting  $n_i = \frac{2(\theta, \lambda)'}{(\theta, i)'} = \frac{2(i, \lambda)'}{(i, i)}$ , we have  $y_i^{n_i+1}v'_{\lambda} = 0$ .

If  $i \in \Gamma_0^{\text{im}} \cup J$ , then by Definition 4.2, we have  $(\lambda, i)' \in \mathbb{Z}_{\geq 0}$ . And if  $(\lambda, i)' = 0$ , then  $y_i M_{\lambda} = 0$ , i.e.,  $y_i v'_{\lambda} = 0$ . Hence  $\lambda \in X'^+$ . By Proposition 4.3, we have  $M \cong L'(\lambda)$ .

(2) Let V be an irreducible  $\mathcal{D}'(\Lambda)$ -module in the category  $\mathcal{O}'$ . By the definition, V lies in the category  $\mathcal{O}'$ . Let  $V = \bigoplus_{\mu \in X'} V_{\mu}$ , where  $V_{\mu}$ 's are finite dimensional weight spaces. And for any

 $m \in V$ , there exists an integer  $n \geq 0$ , such that  $\mathfrak{h}'^+(\Lambda)_{\alpha}m = 0$  for  $\alpha \in \mathbb{Z}[\Gamma_0 \cup J]$  with tr  $\alpha \geq n$ . Now for weight space  $V_{\mu}$ , let  $m_{\mu}$  be any element in  $V_{\mu}$ , so there exists n such that  $x_{\alpha}m_{\mu} = 0$  for  $\alpha$  with tr  $\alpha \geq n$ . Let  $n_0$  be the minimal integer satisfying this condition, i.e., there exists  $\alpha_0$  such that  $x_{\alpha_0}m_{\mu} \neq 0$  with tr  $\alpha_0 < n_0$ . Now assume that tr  $\alpha_0$  is the maximal ones. Then for any  $i \in \Gamma_0 \cup J$ ,  $x_i x_{\alpha_0} m_{\mu} = 0$ , and  $K_{\beta} x_{\alpha_0} m_{\mu} = v^{(\beta,\mu+\alpha_0)'} x_{\alpha_0} m_{\mu}$ . So  $V = \mathcal{D}'(\Lambda) x_{\alpha_0} m_{\mu}$  is a highest weight  $\mathcal{D}'(\Lambda)$ -module in the category  $\widetilde{\mathcal{O}'}$ . By (1), we have  $V \cong L'(\lambda)$ .

### 4.3 Complete reducibility

In the following part, we consider the complete reducibility of modules in the category  $\widetilde{\mathcal{O}}'$  and the category  $\widetilde{\mathcal{O}}'$ .

Define an anti-involution  $\sigma$  of  $\mathcal{D}'(\Lambda)$  by  $\sigma(x_i) = y_i, \sigma(y_i) = x_i, \sigma(K_i) = K_i$  for all i.

Let  $M = \bigoplus_{\lambda \in X'} M_{\mu}$  be a  $\mathcal{D}'(\Lambda)$ -module in the category  $\widetilde{\mathcal{O}}'$ . We define its finite dual (see [0, 10]) to be the vector space

[9, 10]) to be the vector space

$$M^* := \bigoplus_{\lambda \in X'} M^*_{\lambda}$$
 where  $M^*_{\lambda} := \operatorname{Hom}_R(M_{\lambda}, R).$ 

Using  $\sigma$ , we can construct a  $\mathcal{D}'(\Lambda)$ -module structure on  $M^*$  by

$$(x \cdot \phi)(v) = \phi(\sigma(x) \cdot v) \text{ for } x \in \mathcal{D}'(\Lambda), \ \phi \in M^*, \ v \in M.$$

Let M be a  $\mathcal{D}'(\Lambda)$ -module in the category  $\widetilde{\mathcal{O}}'$ . A maximal weight of M is a weight  $\lambda \in \operatorname{wt}(M)$ such that  $\lambda + i$  is not a weight of M for any  $i \in \Gamma_0 \cup J$ . Then for a nonzero vector  $v_\lambda \in M_\lambda$ and set  $V = \mathcal{D}'(\Lambda)v_\lambda$ , we have  $\lambda \in X'^+$  and  $V \cong L'(\lambda)$ .

Take  $\varphi_{\lambda} \in M_{\lambda}^*$  satisfying  $\varphi_{\lambda}(v_{\lambda}) = 1$ , and set  $W = \mathcal{D}'(\Lambda)\varphi_{\lambda}$ . Then  $W \cong L'(\lambda)$ .

**Lemma 4.1** Let M be a  $\mathcal{D}'(\Lambda)$ -module in the category  $\widetilde{\mathcal{O}}'$  and V be the submodule of M generated by a nonzero vector  $v_{\lambda}$  of maximal weight  $\lambda$ . Then we have  $M \cong V \oplus (M/V)$ .

**Theorem 4.1** Every  $\mathcal{D}'(\Lambda)$ -module in the category  $\widetilde{\mathcal{O}}'$  is isomorphic to a direct sum of irreducible highest weight module  $L'(\lambda)$  with  $\lambda \in X'^+$ .

**Proof** By Proposition 4.3, it is enough to prove that any  $\mathcal{D}'(\Lambda)$ -module M in the category  $\widetilde{\mathcal{O}}'$  is semisimple.

Firstly, if M is generated as a  $\mathcal{D}'(\Lambda)$ -module by a finite dimensional  $\mathcal{H}'^+(\Lambda)$ -module  $\overline{M}$ , we use induction on the dimension of  $\overline{M}$  to prove that M is semisimple.

If  $\overline{M} \neq 0$ , we choose a nonzero vector  $v_{\lambda}$  of maximal weight  $\lambda$  of  $\overline{M}$  in  $X'^+$ , and set  $V = \mathcal{D}'(\Lambda)v_{\lambda} \cong L'(\lambda)$ . By the above lemma, we have  $M \cong V \oplus (M/V)$ .  $M/V = \mathcal{D}'(\Lambda)(\overline{M}/\overline{M} \cap V)$  and  $\dim(\overline{M}/\overline{M} \cap V) < \dim \overline{M}$ . So by induction hypothesis, M/V is semisimple. Hence M is semisimple.

Let M be an arbitrary  $\mathcal{D}'(\Lambda)$ -module in the category  $\mathcal{O}'$ . For any  $m \in M$ ,  $\mathcal{H}'^+(\Lambda)m$  is finite dimensional, and then  $\mathcal{D}'(\Lambda)m$  is semisimple. And M is a sum of  $\mathcal{D}'(\Lambda)$ -module  $\mathcal{D}'(\Lambda)m$ , which is equivalent to the direct sum of  $\mathcal{D}'(\Lambda)m$  by [2]. So M is completely reducible.

**Proposition 4.7** Let  $L(\lambda)$  be the irreducible highest weight  $\mathcal{D}(\Lambda)$ -module with highest weight  $\lambda \in X^+$  and highest weight vector  $v_{\lambda}$ . Then

$$\begin{cases} (u_i^-)^{\frac{(\lambda, a_i)}{\varepsilon_i} + 1} v_{\lambda} = 0, & \text{if } i \in \Gamma_0^{\text{re}}.\\ (u_i^-) v_{\lambda} = 0, & \text{if } i \in J_{\lambda} \cap \Gamma_0.\\ F_p(\theta) v_{\lambda} = 0, & \text{if } i = (\theta, p) \in J_{\lambda} \cap (J \setminus \Gamma_0). \end{cases}$$
(4.3)

**Theorem 4.2** Every  $\mathcal{D}(\Lambda)$ -module in the category  $\widetilde{\mathcal{O}}$  is isomorphic to a direct sum of irreducible highest weight module  $L(\lambda)$  with  $\lambda \in X^+$ .

**Proof** For any  $\mathcal{D}(\Lambda)$ -module M in the category  $\widetilde{\mathcal{O}}$ , M can be viewed as a  $\mathcal{D}'(\Lambda)$ -module denoted by  $\overline{M}$ . According to the definition of  $\widetilde{\mathcal{O}}'$ , we get that  $\overline{M}$  is in  $\widetilde{\mathcal{O}}'$ . Then by Theorem 4.1,  $\overline{M}$  is completely reducible. By the action of  $\delta$ , we get M is the direct sum of  $L(\lambda)$  ( $\lambda \in X^+$ ).

#### 4.4 Canonical isomorphism

By the choices of index  $i \in \Gamma_0^{\text{re}}$  and analogously to [4], we have the following remark.

**Remark 4.1** The double Ringel-Hall algebra  $\mathcal{D}(\Lambda)$  is generated by  $x_i, y_i \ (i \in \Gamma_0 \cup J)$  and  $K_{\alpha} \ (\alpha \in \mathbb{Z}\Gamma_0)$  satisfying the following relations:

(1)  $K_0 = 1$ ,  $K_i K_j = K_{i+j}$  for  $i, j \in \Gamma_0 \cup J$ . (2)  $K_\alpha x_i = v^{(\alpha,\delta_i)} x_i K_\alpha$ ,  $K_\alpha y_i = v^{-(\alpha,\delta_i)} y_i K_\alpha$  for  $i \in \Gamma_0 \cup J$ ,  $\alpha \in \mathbb{Z}\Gamma_0$ . (2)  $m_i = v_i m_i - \delta_i \frac{K_{\delta_i} - K_{-\delta_i}}{K_{\delta_i} - K_{-\delta_i}}$ , where  $v' = v^{\varepsilon_i}$  if  $i \in \Gamma_0$ , and v' = v if  $i \in J$ .

(3) 
$$x_i y_j - y_j x_i = \delta_{ij} \frac{1}{v' - v'^{-1}}$$
, where  $v = v^{\varepsilon_i}$  if  $i \in \Gamma_0$  and  $v = v$  if  $i \in J$ .  
(4)  $\sum_{i=1}^{\infty} (-1)^s x_i^{(s)} x_j x_i^{(t)} = 0$ ,  $\sum_{i=1}^{\infty} (-1)^s y_i^{(s)} y_j y_i^{(t)} = 0$  for  $i \in \Gamma_0^{\text{re}}, i \neq j$ ,

$$x_{i}^{(n)} = x_{i}^{n} / [n]_{i}!, \ y_{i}^{(n)} = y_{i}^{n} / [n]_{i}!, \ a_{ij} = \frac{(\delta_{i}, \delta_{j})}{\varepsilon_{i}}.$$
(5)  $x_{i}x_{j} - x_{j}x_{i} = 0 = y_{i}y_{j} - y_{j}y_{i}$  if  $(\delta_{i}, \delta_{j}) = 0.$ 

Let U be the associative algebra over R generated by  $\{e_i, f_i, K_i^{\pm} \mid i \in \Gamma_0 \cup J\}$ , such that the generators satisfy the similar relations as in Remark 4.1. We define comultiplication  $\Delta$ , counint

where

 $\varepsilon$  and antipode S as we did in Subsection 2.5. Then U is a quantum generalized Kac-Moody algebra.

Let  $U^{\geq 0}$  and  $U^{\leq 0}$  be the subalgebra of U as we defined in Subsection 2.5. We define  $\varphi: U^{\geq 0} \times U^{\leq 0} \to R$  satisfying:

(1) 
$$\varphi(1,1) = 1$$
,  
(2)  $\varphi(e_i, f_j) = \delta_{ij}(1 - v^{-(\delta_i, \delta_i)})^{-1}$ ,  $\varphi(K_\alpha, K_\beta) = v^{-(\alpha, \beta)}$ ,  
(3)  $\varphi(x, yy') = \varphi(\Delta(x), y \otimes y')$  for all  $x \in U^{\geq 0}$ ,  $y, y' \in U^{\leq 0}$ ,  
(4)  $\varphi(xx', y) = \varphi(x \otimes x', \Delta^{\text{opp}}(y))$  for all  $x, x' \in U^{\geq 0}$ ,  $y \in U^{\leq 0}$ .

Then  $(U^{\geq 0}, U^{\leq 0}, \varphi)$  is a member of  $\mathcal{L}(\widetilde{C}')$ .

For any  $i \in \Gamma_0^{\text{re}}$ , we can define Lusztig symmetries  $T_i$  on U as in [4]. The map  $\Pi : U \to \mathcal{D}'(\Lambda)$  defined by  $\Pi(e_i) = x_i$ ,  $\Pi(f_i) = y_i$ ,  $\Pi(K_i) = K_i$  ( $\forall i \in \Gamma_0 \cup J$ ) is a surjection. In fact it is an isomorphism. By the uniqueness of skew-Hopf pairing, it suffices to show that  $(U^{\geq 0}, U^{\leq 0}, \varphi)$  is restricted non-degenerate in  $\mathcal{L}(\widetilde{C}')$ , i.e., to prove

$$\mathcal{I}^+ = \{ x \in U^+ \mid \varphi(x, U^-) = 0 \}, \quad \mathcal{I}^- = \{ y \in U^- \mid \varphi(U^+, y) = 0 \}$$

are zero in  $U^+$  and  $U^-$  respectively. Using Lusztig symmetries and analogously to [4], we have

**Lemma 4.2** It holds that  $\mathcal{I}^+ = 0$  (resp.,  $\mathcal{I}^- = 0$ ) in  $U^+$  (resp.,  $U^-$ ).

From this lemma, we get that the map  $\Pi: U \to \mathcal{D}'(\Lambda)$  is an isomorphism. And we have

**Theorem 4.3** Double Ringel-Hall algebra  $\mathcal{D}(\Lambda)$  is generated by  $x_i, y_i$   $(i \in \Gamma_0 \cup J)$  and  $K_{\alpha}$   $(\alpha \in \mathbb{Z}\Gamma_0)$  with the generating relations (1)–(5) in Remark 4.1.

As a corollary, we have

**Corollary 4.1** Double composition algebra  $\mathcal{C}(\Lambda)$  is generated by  $u_i^+, u_i^ (i \in \Gamma_0)$  and  $K_{\alpha}$  ( $\alpha \in \mathbb{Z}\Gamma_0$ ), which satisfy the generating relations:

(1) 
$$K_0 = 1$$
,  $K_i K_j = K_{i+j}$  for  $i, j \in \Gamma_0$ .

(2)  $K_{\alpha}u_i^+ = v^{(\alpha,i)}u_i^+K_{\alpha}, \quad K_{\alpha}u_i^- = v^{-(\alpha,i)}u_i^-K_{\alpha} \quad for \ i \in \Gamma_0, \ \alpha \in \mathbb{Z}\Gamma_0.$ 

(3) 
$$u_i^+ u_j^- - u_j^- u_i^+ = \delta_{ij} \frac{K_i - K_{-i}}{v^{\varepsilon_i} - v^{-\varepsilon_i}} \quad \text{for } i, j \in \Gamma_0$$

$$(4) \sum_{\substack{s+t=1-a_{ij} \\ 0}} (-1)^s (u_i^+)^{(s)} (u_j^+) (u_i^+)^{(t)} = 0, \sum_{\substack{s+t=1-a_{ij} \\ 0}} (-1)^s (u_i^-)^{(s)} (u_i^-) (u_j^-)^{(t)} = 0 \text{ for } i \in \mathbb{N}$$

$$\Gamma_0^{\text{re}} and i \neq j, \text{ where } (u_i^+)^{(n)} = (u_i^+)^n / [n]_i!, \ (u_i^-)^{(n)} = (u_i^-)^n / [n]_i!.$$

$$(5) \quad u_i^+ u_j^+ - u_j^+ u_i^+ = 0 = u_i^- u_j^- - u_j^- u_i^- \quad \text{if } (i, j) = 0.$$

## 5 Weyl-Kac Character Formula and Kac Theorem

Now we consider the Weyl-Kac character formula of an irreducible highest weight  $\mathcal{D}(\Lambda)$ -module.

#### 5.1 Character formula

Let  $\Phi^+$  be the set of the dimension vectors of all indecomposable representations of S. Set  $\Phi^- = -\Phi^+$  and  $\Phi = \Phi^- \cup \Phi^+$ . Let W be the Weyl group corresponding to the Borcherds datum  $C = C_{\Gamma}$ .

By Theorem 2.1, the number of isomorphism classes of indecomposable representations of  $\Gamma$  with a fixed dimension vector over a finite field is independent of the orientation of  $\Gamma$ . Therefore, we can apply the *BGP*-reflection functors  $\sigma_i$  for  $i \in \Gamma_i^{\text{re}}$  to the set  $\Phi$ , to obtain the action of the fundamental reflections  $r_i$  on  $\Phi$  by  $r_i(\alpha) = \alpha - \frac{(\alpha, i)}{d_i}i$  (as we did in [5]). The same as in [5] we have the well-defined action of W on  $\Phi$ .

Let M be a  $\mathcal{D}(\Lambda)$ -module in the category  $\mathcal{O}$  and let  $M = \bigoplus_{\lambda \in X} M_{\lambda}$ . The formal character of M is defined by

$$\operatorname{ch} M = \sum_{\lambda} (\dim M_{\lambda}) e(\lambda).$$

For  $\alpha \in \mathbb{N}\Gamma_0$ , denote by  $m(\alpha, q)$  the number of isomorphic classes of representations of S with dimension vector  $\alpha$  over finite field  $\mathbb{F}_q$ , and by  $I(\alpha, q)$  the number of isomorphic classes of indecomposable representations of S with dimension vector  $\alpha$  in  $\Phi^+$ .

For Verma module  $V(\lambda) = \mathcal{D}(\Lambda)/J(\lambda) \cong \mathfrak{h}^-(\Lambda)$ , if  $V(\lambda) \neq 0$ , dim  $V(\lambda)_\beta = m(\lambda - \beta, q)$  by  $V(\lambda)_\beta \cong \mathfrak{h}^-(\Lambda)_{\lambda-\beta}$ , so

$$\operatorname{ch} V(\lambda) = \sum_{\beta} m(\lambda - \beta, q) e(\beta) = \sum_{\alpha \in \mathbb{N}\Gamma_0} e(\lambda) m(\alpha, q) e(-\alpha) = e(\lambda) \sum_{\alpha \in \mathbb{N}\Gamma_0} m(\alpha, q) e(-\alpha).$$

Let  $L = \{ \alpha \in \mathcal{P} \mid V_{\alpha} \text{ is an indecomposable representation of } \mathcal{S} \}$ . For  $\alpha \in L$ , let  $u_{\alpha,i}^{-}$   $(1 \leq i \leq \dim \mathfrak{h}^{-}(\Lambda)_{\lambda-\alpha} = \tau_{\lambda-\alpha})$  be an *R*-basis of  $\mathfrak{h}^{-}(\Lambda)_{\lambda-\alpha}$ . Then

$$\prod_{\alpha \in L} (u_{\alpha,1}^{-})^{n_{11}} \cdots (u_{\alpha,\tau_{\lambda-\alpha}}^{-})^{n_{1\tau_{\lambda-\alpha}}}, \quad \text{where } \sum_{\alpha \in L} (n_{11} + \cdots + n_{1\tau_{\lambda-\alpha}})\alpha = \lambda - \beta,$$

form a basis of  $\mathfrak{h}^-(\Lambda)_{\lambda-\beta} \cong V(\lambda)_{\beta}$ ; that is,  $\{u_{\alpha}^- \mid \alpha \in L\}$  in a fixed order provides a universal PBW-basis of  $\mathfrak{h}^-(\Lambda)$  (see [8]). So

$$\operatorname{ch} V(\lambda) = e(\lambda) \prod_{\alpha \in \Phi^+} (1 - e(-\alpha))^{-I(\alpha,q)}.$$
(5.1)

Let  $\lambda \in X^+$  and  $L(\lambda)$  be the corresponding irreducible  $\mathcal{D}(\Lambda)$ -module. Then we have  $\operatorname{mult}_{L(\lambda)} \mu = \operatorname{mult}_{L(\lambda)} w(\mu)$  for each  $w \in W$  and  $\mu \in \operatorname{wt}(L(\lambda))$ . If we define the action of Weyl group on formal exponential by  $w(e(\lambda)) = e(w(\lambda))$  for  $\lambda \in X, w \in W$ , then we have  $w(\operatorname{ch} L(\lambda)) = \operatorname{ch} L(\lambda)$  for  $\lambda \in X^+, w \in W$ .

Let  $R = \prod_{\alpha \in \Phi^+} (1 - e(-\alpha))^{I(\alpha,q)}$ . For  $w \in W$ , set  $\varepsilon(w) = (-1)^{\ell(w)}$ . Furthermore, we have

$$w(e(\rho)R) = \varepsilon(w)e(\rho)R$$
 for  $w \in W$ 

Firstly, for  $\mathcal{D}'(\Lambda)$ -module in the category  $\mathcal{O}'$ , we have the following

**Lemma 5.1** If V is a  $\mathcal{D}'(\Lambda)$ -module from the category  $\mathcal{O}'$ , then

$$\operatorname{ch} V = \sum_{\lambda \in \operatorname{wt}(V)} [V : L'(\lambda)] \operatorname{ch} L'(\lambda),$$

where  $[V: L'(\lambda)]$  is the multiplicity of  $L'(\lambda)$  in V.

In particular, for  $L'(\lambda')$  with  $\lambda' \in X'^+$ , we have

Lemma 5.2

$$\operatorname{ch} L'(\lambda') = \sum_{\substack{\mu' \leq \lambda' \\ (\lambda' + \rho, \lambda' + \rho)' = (\mu' + \rho, \mu' + \rho)'}} c_{\mu'} \operatorname{ch} V'(\mu'),$$

where  $c_{\mu'} \in \mathbb{Z}$  and  $c_{\lambda'} = 1$ .

By sending  $\sum_{i\in\Gamma_0\cup J} a_i i$  to  $\sum_{i\in\Gamma_0\cup J} a_i\delta_i$ , we defined a map  $\delta: \mathbb{Z}[\Gamma_0\cup J] \to \mathbb{Z}\Gamma_0$  in Subsection 3.5. For  $\lambda \in X^+$ , set  $\tilde{\lambda} \in X'^+$  such that  $(\tilde{\lambda}, i)' = (\lambda, \delta_i)$  for all  $i \in \Gamma_0 \cup J$ .

**Lemma 5.3** Let  $\lambda \in X^+$  and M be a highest weight  $\mathcal{D}(\Lambda)$ -module with highest weight  $\lambda$ . Then

$$\operatorname{ch} M = \sum_{\substack{\beta' \leq \tilde{\lambda} \\ (\tilde{\lambda} + \rho, \tilde{\lambda} + \rho)' = (\beta' + \rho, \beta' + \rho)'}} c_{\beta'} \operatorname{ch} V(\beta),$$

where  $\beta$  satisfies  $\delta(\tilde{\lambda} - \beta') = \lambda - \beta$ .

So from this lemma, we have

$$\operatorname{ch} L(\lambda) = \sum_{\substack{\beta' \leq \tilde{\lambda} \\ (\tilde{\lambda} + \rho, \tilde{\lambda} + \rho)' = (\beta' + \rho, \beta' + \rho)' \\ \delta(\tilde{\lambda} - \beta') = \lambda - \beta}} c_{\beta'} \operatorname{ch} V(\beta),$$
(5.2)

where  $c_{\beta'} \in \mathbb{Z}$ .

For convenience, we write  $\delta(\rho)$  as  $\rho$ .

Our main result is

**Theorem 5.1** For  $\lambda \in X^+$ , we have

$$\operatorname{ch} L(\lambda) = \frac{\sum\limits_{w \in W, T} (-1)^{\ell(w) + |T|} e(w(\lambda + \rho + \delta(S(T))) - \rho)}{\prod\limits_{\alpha \in \Phi^+} (1 - e(-\alpha))^{I(\alpha, q)}},$$

where T runs over all finite subsets of  $(\Gamma_0 \cup J)^{\text{im}}$  such that  $(\lambda, \delta_i) = 0$  for  $i \in T$  and  $(\delta_i, \delta_j) = 0$ for  $i \neq j$  in T. |T| is the number of elements in T. S(T) is the sum of elements in T.

**Proof** By formulae (5.1) and (5.2), it follows that

$$e(\rho)R \operatorname{ch} L(\lambda) = \sum_{\substack{\beta' \leq \tilde{\lambda} \\ (\tilde{\lambda} + \rho, \tilde{\lambda} + \rho)' = (\beta' + \rho, \beta' + \rho)' \\ \delta(\tilde{\lambda} - \beta') = \lambda - \beta}} c_{\beta'} e(\beta + \rho).$$
(5.3)

Set  $\tilde{\lambda} - \beta' = \gamma'$ ,  $\lambda - \beta = \gamma$ . Then  $\beta \leq \lambda$ . By the fact  $(\tilde{\lambda} + \rho, \tilde{\lambda} + \rho)' = (\beta' + \rho, \beta' + \rho)'$ , we have

$$0 = 2(\tilde{\lambda}, \gamma')' + 2(\rho, \gamma')' - (\gamma', \gamma')' = 2(\lambda, \gamma) + 2(\rho, \gamma) - (\gamma, \gamma),$$
(5.4)

 $\mathbf{SO}$ 

$$(\lambda + \rho, \lambda + \rho) - (\beta + \rho, \beta + \rho) = 0.$$
(5.5)

Conversely, by formula (5.5), we can get formula (5.4). So if  $\beta$  satisfies  $\delta(\tilde{\lambda} - \beta') = \lambda - \beta$ ,  $(\lambda + \rho, \lambda + \rho) = (\beta + \rho, \beta + \rho)$  and  $(\tilde{\lambda} + \rho, \tilde{\lambda} + \rho)' = (\beta' + \rho, \beta' + \rho)'$  are equivalent.

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Thus formula (5.3) is equivalent to

$$e(\rho)R \operatorname{ch} L(\lambda) = \sum_{\substack{\beta' \leq \tilde{\lambda} \\ (\lambda+\rho,\lambda+\rho) = (\beta+\rho,\beta+\rho) \\ \delta(\tilde{\lambda}-\beta') = \lambda-\beta}} c_{\beta'} e(\beta+\rho),$$
(5.6)

and both sides of (5.6) are antisymmetric under W, i.e., for any  $w \in W$ , we have

$$w(e(\rho)R\operatorname{ch} L(\lambda)) = \varepsilon(w)e(\rho)R\operatorname{ch} L(\lambda).$$

Let  $S_{\lambda}$  be the sum of the terms on the right of (5.6) for which  $\beta + \rho$  satisfies  $(\beta + \rho, \delta_i) \ge 0$ for all  $i \in \Gamma_0^{\text{re}}$ . If  $(\beta + \rho, \delta_i) \not\geq 0$ , then  $\sum_{w \in W} \varepsilon(w) e(w(\beta + \rho)) = 0$ . So

$$e(\rho)R\operatorname{ch} L(\lambda) = \sum_{w \in W} \varepsilon(w)w(S_{\lambda}).$$
(5.7)

Now if  $\beta + \rho$  satisfies that  $(\beta + \rho, \delta_i) \ge 0$  for all  $i \in \Gamma_0^{\text{re}}$ , we write  $\beta = \lambda - \sum_{i \in \Gamma_0 \cup J} a_i \delta_i$  with  $a_i \in \mathbb{Z}_{>0}$ . Because of the fact  $(\beta + \rho, \beta + \rho) = (\lambda + \rho, \lambda + \rho)$ , we have

$$\sum_{i} a_i(\delta_i, \lambda) + \sum_{i} a_i(\delta_i, \beta + 2\rho) = 0.$$
(5.8)

By the fact that  $\lambda \in X^+$ , we have  $(\lambda, \delta_i) \ge 0$  for all *i*.

For  $i \in \operatorname{supp}(\lambda - \beta)$ , if i is a real index, we have  $(\delta_i, \beta + 2\rho) = (\delta_i, \beta + \rho) + (\delta_i, \rho) \geq 0$  $(\delta_i, \beta + \rho) \ge 0.$ 

If i is an imaginary index, then

$$(\delta_i, \beta + 2\rho) = (\delta_i, \lambda) - \sum_{j \neq i} a_j(\delta_i, \delta_j) + (1 - a_i)(\delta_i, \delta_i) \ge 0.$$

So  $(\lambda, \delta_i) = 0 = (\delta_i, \beta + 2\rho)$ . But  $(\delta_i, \beta + 2\rho) = (\delta_i, \beta + \rho) + \frac{1}{2}(\delta_i, \delta_i)$ , so  $(\delta_i, \beta + \rho) = 0$ 

So  $(\lambda, \delta_i) = 0 - (\delta_i, \beta + 2\rho)$  and  $\lambda - \beta - \delta_i = \sum_{j \neq i} a_j \delta_j + 1$ Furthermore, we get that  $(\delta_i, \lambda - \beta - \delta_i) = -(\delta_i, \beta + 2\rho) = 0$ , and  $\lambda - \beta - \delta_i = \sum_{j \neq i} a_j \delta_j + 1$  $(a_i - 1)\delta_i$ . So  $(\delta_i, \delta_j) = 0$  unless i = j and  $a_i = 1$ .

Then for any term  $c_{\beta'}e(\beta + \rho)$  in  $S_{\lambda}$ ,  $\beta$  is of the form  $\lambda - \sum a_i \delta_i$ , where  $a_i \in \mathbb{Z}_{>0}$ , all the i's are imaginary index and  $(\delta_i, \lambda) = 0$ , and  $(\delta_i, \delta_j) = 0$  if  $i \neq j$ , and  $(\delta_i, \delta_i) = 0$  if  $a_i \neq 1$ . And furthermore,  $\beta$  of thus form naturally satisfies  $(\beta, \delta_j) \ge 0$  for all  $j \in \Gamma_0^{\text{re}}$ .

If  $e(\lambda - \sum_{i} a_i \delta_i + \rho)$  is a term of ch  $L(\lambda)$ , then there exists j such that  $(\lambda, \delta_j) \neq 0$ . So the terms of the form  $e(\lambda - \sum_{i} a_i \delta_i + \rho)$  of the right side of formula (5.6) in  $S_\lambda$  are those coming from  $e(\lambda + \rho)R = e(\lambda + \rho) \prod_{\alpha \in \Phi^+} (1 - e(-\alpha))^{I(\alpha,q)}$ . If  $(\delta_i, \delta_j) = 0$  for  $i \neq j$ , then the coefficient of  $e(\lambda + \rho)R = e(\lambda + \rho) \prod_{\alpha \in \Phi^+} (1 - e(-\alpha))^{I(\alpha,q)}$ .  $\rho - \sum a_i \delta_i$ ) is to be 0 if  $a_i > 1$  for some *i*, and  $(-1)^{\sum a_i}$  otherwise. So  $S_{\lambda} = e(\lambda + \rho) \sum \varepsilon(s) e(\delta(s))$ , where the sum is taken over all s of simple roots. If we set  $s = \sum_{i=1}^{m} i_i$   $(i_j \in \Gamma_0^{\text{im}} \cup J)$ , then we have  $\varepsilon(s) = (-1)^m$  if  $i_j \neq i_k$   $(j \neq k)$ ,  $(\delta_{i_j}, \delta_{i_k}) = 0$   $(\forall j \neq k)$  and  $(\lambda, \delta_{i_j}) = 0$   $(\forall j)$ , and  $\varepsilon(s) = 0$  otherwise. Or equivalently, we have  $S_{\lambda} = e(\lambda + \rho) \sum_{T} (-1)^{|T|} e(\delta(S(T)))$ , where T runs over all finite subsets of  $\Gamma_0^{\text{im}} \cup J$  such that  $(\lambda, \delta_i) = 0$  for  $i \in T$  and  $(\delta_i, \delta_j) = 0$  for  $i \neq j$  in T. |T| is the number of elements in T. S(T) is the sum of elements in T. So we have

$$\begin{split} e(\rho)R \operatorname{ch} L(\lambda) &= \sum_{w} \varepsilon(w) w \Big( e(\lambda + \rho) \sum_{T} (-1)^{|T|} e(\delta(S(T))) \Big) \\ &= \sum_{w \in W; T} \varepsilon(w) (-1)^{|T|} e(w(\lambda + \rho + \delta(S(T)))) \end{split}$$

and then we can get the formula in the theorem.

## 5.2 Kac theorem

Let  $\Gamma$  be any valued quiver, and let  $A_{\Gamma}$  be the matrix of  $\Gamma$ . Set  $\Delta$  be the root system of  $A_{\Gamma}$ . Let A' be the Borcherds-Cartan matrix defined by extended Borcherds datum  $\widetilde{C}'$  and  $\Delta'$  be the root system. Then by Subsection 3.5 and Lemma 3.5, we have  $\delta(\Delta') = \Delta$ .

By Subsection 4.4,  $\mathcal{D}'(\Lambda)$  and U are canonically isomorphic, where U is the quantum generalized Kac-Moody algebra associated to A'. Then  $\mathcal{D}'(\Lambda)$  is a quantum generalized Kac-Moody algebra.

For quantum algebra U, let  $U^-$  be the negative part of U which can be viewed as a highest weight U-module. Define character

$$\operatorname{ch} U^{-} = \sum_{\mu \in \mathbb{N}[\Gamma_0 \cup J]} \dim U^{-}_{-\mu} e(-\mu).$$

Then by Proposition 2.3 in Subsection 2.5, we have

$$\operatorname{ch} U^{-} = \prod_{\alpha \in \Delta'_{+}} (1 - e(-\alpha))^{-\operatorname{mult} \alpha}.$$
(5.9)

For the negative part  $\mathfrak{h}'^{-}(\Lambda)$  of  $\mathcal{D}'(\Lambda)$ ,  $\mathfrak{h}'^{-}(\Lambda)$  can be viewed as a  $\mathcal{D}'(\Lambda)$ -module. Define character

$$\operatorname{ch} \mathfrak{h}'^{-}(\Lambda) = \sum_{\mu \in \mathbb{N}[\Gamma_0 \cup J]} \dim \mathfrak{h}'^{-}(\Lambda)_{-\mu} e(-\mu).$$

Since  $\mathfrak{h}'^{-}(\Lambda)$  and  $U^{-}$  are isomorphic, we have  $\operatorname{ch} \mathfrak{h}'^{-}(\Lambda) = \operatorname{ch} U^{-}$ .

Now we have our second main result.

**Theorem 5.2** (1)  $\Phi^+ = \Delta_+$ , the set of dimension vectors of indecomposable representations of  $\Gamma$  is the positive root system of  $\Gamma$ .

(2) If  $\alpha \in \Delta_{+}^{re}$ , then up to isomorphism, there exists unique indecomposable representation of  $\Gamma$  of dimension vector  $\alpha$ .

**Proof** For subalgebra  $\mathfrak{h}^{-}(\Lambda)$  of  $\mathcal{D}(\Lambda)$ , by Subsection 5.1, we have

$$\operatorname{ch} \mathfrak{h}^{-}(\Lambda) = \prod_{\alpha \in \Phi^{+}} (1 - e(-\alpha))^{-I(\alpha,q)}.$$

We know that the difference between  $\mathcal{D}'(\Lambda)$  and  $\mathcal{D}(\Lambda)$  only lies in the gradation. For subalgebras  $\mathfrak{h}'^{-}(\Lambda)$  and  $\mathfrak{h}^{-}(\Lambda)$ , we have  $\delta(\operatorname{ch}\mathfrak{h}'^{-}(\Lambda)) = \operatorname{ch}\mathfrak{h}^{-}(\Lambda) = \delta(\operatorname{ch} U^{-})$ . So by formula

(5.9) and by  $\delta(\Delta') = \Delta$ , we have

$$\operatorname{ch} \mathfrak{h}^{-}(\Lambda) = \prod_{\alpha \in \Phi^{+}} (1 - e(-\alpha))^{-I(\alpha,q)} = \delta \Big( \prod_{\alpha' \in \Delta'_{+}} (1 - e(-\alpha'))^{-\operatorname{mult}\alpha'} \Big)$$
$$= \prod_{\alpha \in \Delta_{+}} \prod_{\substack{\alpha' \\ \delta(\alpha') = \alpha}} (1 - e(-\alpha))^{-\operatorname{mult}\alpha'} = \prod_{\alpha \in \Delta_{+}} (1 - e(-\alpha))^{\alpha',\delta(\alpha') = \alpha} - \operatorname{mult}\alpha'.$$

Then we have  $\Phi^+ = \Delta_+$ . And if  $\alpha$  is a real root, there is only one  $\alpha'$  such that  $\delta(\alpha') = \alpha$ , and mult  $\alpha' = 1$ . So  $I(\alpha, q) = 1$ .

#### 5.3 Situation of nilpotent representations

We denote by  $\mathcal{P}_N$  the set of isomorphic classes of nilpotent representations of  $\mathcal{S}$  (a k-species of  $\Gamma$ ), and by  $I_N$  the set of isomorphic classes of simple nilpotent representations of  $\mathcal{S}$ . Then according to Subsection 3.1, we can define the Rignel-Hall algebra  $\mathcal{H}_N^{\pm}(\Lambda)$  and the double Ringel-Hall algebra  $\mathcal{D}_N(\Lambda)$ , which have basis indexed by  $\mathcal{P}_N$ . We simply call them the nilpotent Ringel-Hall algebra and the nilpotent double Ringel-Hall algebra.

Let  $C_N(\Lambda)$  be the double composition subalgebra of  $\mathcal{D}_N(\Lambda)$ . Then we have  $C_N(\Lambda) = C(\Lambda)$ . It is easy to see that  $I_N = \Gamma_0$  as index set by Lemma 2.1.

If  $\mathcal{F}$  is the fundamental set of  $\mathbb{N}\Gamma_0$ , then we set  $\mathcal{F}_{0_N} = \mathcal{F} \setminus \left(\bigcup_{i \in \Gamma_0^{im}} e_i\right)$ .

For each subset  $\mathcal{E} \subseteq \mathcal{F}_{0_N}$ , we can get subalgebras  $\mathcal{D}_{N_{\mathcal{E}}}(\Lambda)$  of  $\mathcal{D}_N(\Lambda)$  as similar as in Subsection 3.2. And  $(\mathcal{H}_N^+(\Lambda), \mathcal{H}_N^-(\Lambda), \varphi)$  is a restricted non-degenerate member of  $\mathcal{L}(\widetilde{C}_{\mathcal{F}_{0_N}})$ , where  $\widetilde{C}_{\mathcal{F}_{0_N}} = (\Gamma_0, (-, -), d, \{\delta_j \mid j \in J_N\})$  with  $J_N = J_{\mathcal{F}_{0_N}}$ .

Since  $\mathcal{H}_{N}^{\pm}(\Lambda)$  and  $\mathcal{D}_{N}(\Lambda)$  are subalgebras of  $\mathcal{H}^{\pm}(\Lambda)$  and  $\mathcal{D}(\Lambda)$  respectively, we have the relations  $\mathcal{C}(\Lambda) \subset \mathcal{D}_{N}(\Lambda) \subset \mathcal{D}(\Lambda)$  as graded subalgebras. If we let  $\Phi_{N}^{+}$  be the set of dimension vectors of the nilpotent indecomposable representations of  $\mathcal{S}$  and let  $\Phi^{+}$  be the set of all indecomposable representations of  $\mathcal{S}$ , then we have  $\Phi_{C}^{+} \subseteq \Phi_{N}^{+} \subseteq \Phi^{+}$ , where  $\Phi_{C}^{+} = \Delta_{+}$  is the positive root system of  $\Gamma$ , because of the fact of Theorem 4.2 and its remark. By the Theorem 5.2, we have the following corollary.

Corollary 5.1  $\Phi_{\rm C}^+ = \Phi_{\rm N}^+ = \Phi^+$ .

So by this corollary, we can apply BGP-reflection functors  $\sigma_i$  for all  $i \in \Gamma_0^{\text{re}}$  to the set  $\Phi_N := \Phi_N^+ \cup -\Phi_N^+$  to obtain the action of the fundamental reflections  $r_i$  on  $\Phi_N$ . Let  $I_N(\alpha, q)$  be the number of the isomorphic classes of nilpotent indecomposable representations of S with dimension vector  $\alpha$  in  $\Phi_N^+$ . Then if we let  $L_N(\lambda)$  be the irreducible highest weight  $\mathcal{D}_N(\Lambda)$ -module with  $\lambda \in X^+$ , we have the following character formula.

**Theorem 5.3** For  $\lambda \in X^+$ , we have

$$\operatorname{ch} L_{\mathrm{N}}(\lambda) = \frac{\sum\limits_{w \in W, T} (-1)^{\ell(w) + |T|} e(w(\lambda + \rho + \delta(S(T))) - \rho)}{\prod\limits_{\alpha \in \Phi^+} (1 - e(-\alpha))^{I_{\mathrm{N}}(\alpha, q)}}$$

where T runs over all finite subsets of  $(\Gamma_0 \cup J_N)^{\text{im}}$  such that  $(\lambda, \delta_i) = 0$  for  $i \in T$  and  $(\delta_i, \delta_j) = 0$ for  $i \neq j$  in T. |T| is the number of elements in T. S(T) is the sum of elements in T.

Similarly as in Subsection 3.2, we can define  $\Xi_{\theta}^{\pm}$  and  $L_{\theta}^{\pm}$  for each  $\theta \in \mathbb{N}\Gamma_0$ . Then we can get a similar lemma as Lemma 3.1 with the third conclusion being given by:

**Lemma 5.4** If  $L_{\theta}^{\pm} \neq 0$ , then  $\theta \in \mathcal{F}_{0_N}$ . And  $\theta$  is the dimension vector of a nilpotent indecomposable representation.

We also have the Kac theorem for the situation of nilpotent representations.

**Theorem 5.4** (1)  $\Phi_{\rm N}^+ = \Delta_+$ , the set of dimension vectors of nilpotent indecomposable representations of  $\Gamma$  is the positive root system of  $\Gamma$ .

(2) If  $\alpha \in \Delta_{+}^{\text{re}}$ , then up to isomorphism, there exists unique nilpotent indecomposable representation of  $\Gamma$  of dimension vector  $\alpha$ .

We have the following corollary

**Corollary 5.2** For any indecomposable representation W of a valued quiver  $\Gamma$ , there exists a nilpotent indecomposable representation V of  $\Gamma$  such that  $\underline{\dim}V = \underline{\dim}W$ .

Therefore any indecomposable representation with dimension vector being real root is nilpotent.

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