

Bott Generator and Its Application to an Almost Complex Structure on S^6 ***

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Abstract The Bott generator of the homotopy group $\pi_{2k-1}U(\infty)$ is used to construct an almost complex structure on S^6 , which is integrable except a small neighborhood.

Keywords Bott generator, Almost complex structure, Integrability

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1 The Bott Generator of $\pi_{2k-1}U(\infty)$

Let $U(k)$ be the unitary group. The homotopy sequence of the fibration $U(k) \rightarrow U(k+1) \xrightarrow{\pi} S^{2k+1}$ implies $\pi_{2k-1}U(k) \cong \pi_{2k-1}U(k+1)$, consequently

$$\pi_{2k-1}U(k) \cong \pi_{2k-1}U(\infty) \cong \tilde{K}(S^{2k}). \quad (1.1)$$

The celebrated Bott periodicity theorem (see [3]) asserts $\tilde{K}(S^{2k}) \cong \mathbb{Z}$. A natural question is to search for an explicit generator of the infinite group $\pi_{2k-1}U(k)$. Note that the unitary group $U(k)$ is a deformation retract of the general linear group $GL(k; \mathbb{C})$, and that $U(k)$ is diffeomorphic to $S^1 \times SU(k)$. It turns out that

$$\pi_{2k-1}SU(k) \cong \pi_{2k-1}U(k) \cong \pi_{2k-1}GL(k; \mathbb{C}) \cong \mathbb{Z} \quad (1.2)$$

for $k > 1$.

Following Atiyah [1], we consider continuous maps

$$f : S^{2k-1} \rightarrow GL(k; \mathbb{C}). \quad (1.3)$$

The first row of the matrix f defines a map

$$f_1 : S^{2k-1} \rightarrow \mathbb{C}^k - \{0\},$$

so that $\frac{f_1}{|f_1|}$ is a map from S^{2k-1} to itself, whose mapping degree makes sense. We then put

$$\deg f = \frac{(-1)^{k-1}}{(k-1)!} \deg \frac{f_1}{|f_1|}. \quad (1.4)$$

The theorem of Bott, described by Atiyah [1], is then as follows

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Theorem 1.1 *The map $f : S^{2k-1} \rightarrow \mathrm{GL}(k; \mathbb{C})$ can be deformed into another map $g : S^{n-1} \rightarrow \mathrm{GL}(N; \mathbb{C})$ if and only if $\deg f = \deg g$; moreover there exists a map with any given degree.*

In fact if $2N > n$, one can show that $f : S^{2k-1} \rightarrow \mathrm{GL}(k; \mathbb{C})$ is always deformed into a map g so that

$$g(x) = \mathrm{diag}(I, h(x)), \quad (1.5)$$

where $h(x) \in \mathrm{GL}(\lfloor \frac{n}{2} \rfloor; \mathbb{C})$. If m is odd, the Bott periodicity theorem says that every map $f : S^{m-1} \rightarrow \mathrm{GL}(N; \mathbb{C})$ is deformed into a constant map for $2N \geq m$.

To understand the reason for the unexpected factor $(k-1)!$ in the definition of the degree, we look at the homotopy sequence of the fibration $U(k-1) \rightarrow U(k) \xrightarrow{\pi} S^{2k-1}$:

$$\pi_{2k-1}U(k-1) \rightarrow \pi_{2k-1}U(k) \xrightarrow{\pi_*} \pi_{2k-1}S^{2k-1} \rightarrow \pi_{2k-2}U(k-1) \rightarrow 0. \quad (1.6)$$

Since $\pi_{2k-2}U(k-1) \cong \mathbb{Z}_{(k-1)!}$ and $\pi_{2k-1}U(k-1)$ is isomorphic to 0 or \mathbb{Z}_2 (see [4]), it follows that the map $\pi_* : \pi_{2k-1}U(k) \rightarrow \pi_{2k-1}S^{2k-1} \cong \mathbb{Z}$ is given by sending 1 to $(k-1)!$.

We now return to the generator of $\pi_{2k-1}U(\infty)$. Suppose then that

$$f : S^{n-1} \rightarrow \mathrm{GL}(N; \mathbb{C}), \quad g : S^{m-1} \rightarrow \mathrm{GL}(M; \mathbb{C})$$

are two given maps. One defines their tensor product $f * g : S^{m+n-1} \rightarrow \mathrm{GL}(2MN; \mathbb{C})$ by

$$(x, y) \mapsto \begin{pmatrix} f(x) \otimes \mathrm{Id}_M & -\mathrm{Id}_N \otimes g^*(y) \\ \mathrm{Id}_N \otimes g(y) & f^*(x) \otimes \mathrm{Id}_M \end{pmatrix},$$

where f and g are extended homogeneously to all of \mathbb{R}^n and \mathbb{R}^m , respectively, $f^*(x)$ is the transposed conjugate of the matrix $f(x)$ and Id_M denotes the identity matrix of degree M . It is easy to check that for $(x, y) \neq (0, 0)$, $f * g(x, y)$ is nonsingular, thereby $f * g$ defines a continuous map $S^{m+n-1} \rightarrow \mathrm{GL}(2MN; \mathbb{C})$.

If m and n are both even, one has the multiplicative formula

$$\deg(f * g) = \deg f \cdot \deg g. \quad (1.7)$$

It then follows that $\deg(e_k) = 1$, where $e_1 : S^1 \rightarrow \mathrm{GL}(1, \mathbb{C})$ is the standard map $e_1(z) = z$ and the map

$$e_k = e_1 * \cdots * e_1 \text{ (} k\text{-times)} : S^{2k-1} \rightarrow \mathrm{GL}(2^{k-1}; \mathbb{C})$$

is its k -fold power. Therefore, product theory furnishes the Bott generating map, meaning a generating element of the infinite cyclic group of homotopy classes of maps from S^{2k-1} to $\mathrm{GL}(2^{k-1}, \mathbb{C})$.

In order to get a generating element of $\pi_{2k-1}U(k)$, it suffices to deform $e_k : S^{2k-1} \rightarrow \mathrm{GL}(2^{k-1}; \mathbb{C})$ into a map

$$G_k : S^{2k-1} \rightarrow \mathrm{GL}(k; \mathbb{C}) \hookrightarrow \mathrm{GL}(2^{k-1}; \mathbb{C}),$$

where the notation \hookrightarrow is the inclusion.

We conclude this section with an interesting relation with the gauge theory given by the general index theory for families in [2]. Let Y be a fiber bundle over S^2 with fiber S^{2k-2} , and

let V be a complex vector bundle over Y of rank k with a unitary connection, so that the gauge group is $U(k)$ or $SU(k)$. Let \mathcal{G} be the group of gauge transformations. According to [2], one has

$$\pi_1(\mathcal{G}) \cong \pi_{2k-1}SU(k) \cong \mathbb{Z}.$$

Therefore, the Bott generator of $\pi_{2k-1}SU(k)$ will bring us an interpretation of a generator of the fundamental group of the group of gauge transformations.

2 The Bott Generator of $\pi_5SU(3)$

The main result of this section is as follows

Proposition 2.1 $G(z) = z z^t + h(\bar{z})$ is the Bott generator of the homotopy group $\pi_5SU(3)$, where $z = (z_1, z_2, z_3)^t \in S^5 \subset \mathbb{C}^3$, and

$$h(\bar{z}) = \begin{pmatrix} 0 & -\bar{z}_3 & \bar{z}_2 \\ \bar{z}_3 & 0 & -\bar{z}_1 \\ -\bar{z}_2 & \bar{z}_1 & 0 \end{pmatrix}.$$

Proof First a straightforward computation gives that

$$\det G(z) = |z|^4 = 1$$

for $z \in S^5$. Next, from the following clear equalities

$$\begin{cases} h(z)z = 0, \\ z \bar{z}^t - h(\bar{z})h(z) = |z|^2 I, \end{cases} \quad (2.1)$$

it follows that $G(z) \overline{G(z)}^t = I$ and then $G(z)$ belongs to $SU(3)$ for each $z \in S^5$.

It remains to show that $G(z) : S^5 \rightarrow SU(3)$ is homotopic to the Bott generator $e_3 : S^5 \rightarrow GL(4; \mathbb{C})$, where we use the inclusion $SU(3) \hookrightarrow GL(3; \mathbb{C}) \hookrightarrow GL(4; \mathbb{C})$. Recall that $e_3 = e_1 * e_2$ is given by

$$e_3(z) = \begin{pmatrix} z_1 & 0 & -\bar{z}_2 & -\bar{z}_3 \\ 0 & z_1 & z_3 & -z_2 \\ z_2 & -\bar{z}_3 & \bar{z}_1 & 0 \\ z_3 & \bar{z}_2 & 0 & \bar{z}_1 \end{pmatrix}. \quad (2.2)$$

Let us introduce five maps $T_j = T_j(z) : S^5 \rightarrow GL(4; \mathbb{C})$ defined by

$$\begin{aligned} T_1 &= \begin{pmatrix} 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}, \quad T_2 = \begin{pmatrix} 1 & \bar{z}_1 & \bar{z}_2 & \bar{z}_3 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}, \quad T_3 = \begin{pmatrix} 1 & 0 & 0 & 0 \\ -z_1 & 1 & 0 & 0 \\ -z_2 & 0 & 1 & 0 \\ -z_3 & 0 & 0 & 1 \end{pmatrix}, \\ T_4 &= \begin{pmatrix} 1 & -z_1 & -z_3 & z_2 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}, \quad T_5 = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & 0 & -1 \\ 0 & 0 & 1 & 0 \end{pmatrix}. \end{aligned}$$

Since $\det T_2(z) = \det T_3(z) = \det T_4(z) = 1$ for all $z \in \mathbb{R}^6$, it follows that T_j ($j = 2, 3, 4$) : $S^5 \rightarrow GL(4; \mathbb{C})$ is homotopic to the constant map I . Moreover, since $GL(4; \mathbb{C})$ is connected, T_1 and

T_5 are both homotopic to the constant map I , too. Therefore we arrive at

$$e_3 \simeq T_3 T_2 T_1 e_3 T_4 T_5 = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & z_1^2 & z_1 z_2 - \bar{z}_3 & z_1 z_3 + \bar{z}_2 \\ 0 & z_2 z_1 + \bar{z}_3 & z_2^2 & z_2 z_3 - \bar{z}_1 \\ 0 & z_3 z_1 - \bar{z}_2 & z_3 z_2 + \bar{z}_1 & z_3^2 \end{pmatrix},$$

which completes the proof.

3 An Interesting Almost Complex Structure on S^6

We start with some preparations. Following [5], let us embed $\mathfrak{gl}(m; \mathbb{C})$ into $\mathfrak{gl}(2m; \mathbb{R})$ in a natural way: $A + \sqrt{-1}B \mapsto \begin{pmatrix} A & -B \\ B & A \end{pmatrix}$, where A, B belong to $\mathfrak{gl}(m; \mathbb{R})$. Clearly any P in $\mathrm{GL}(2m; \mathbb{R})$ has a unique decomposition

$$P = P_1 + P_2 S, \quad (3.1)$$

where P_j ($j = 1, 2$) belongs to $\mathfrak{gl}(m; \mathbb{C})$, $S = \mathrm{diag}(I_m, -I_m)$. The formula that $QS = S\bar{Q}$ for $Q \in \mathfrak{gl}(m; \mathbb{C})$ will be useful later, where \bar{Q} denotes the complex conjugate of Q .

In order to define an almost complex structure on S^6 , we need a coordinate system $S^6 = (S^6 - A_+) \cup (S^6 - A_-)$ with $f_+ : \mathbb{R}^6 \rightarrow S^6 - \{A_+\}$ and $f_- : \mathbb{R}^6 \rightarrow S^6 - \{A_-\}$, defined by

$$\begin{aligned} f_+(u) &= (1 + |u|^2)^{-1} (2u^t, |u|^2 - 1)^t, \\ f_-(v) &= (1 + |v|^2)^{-1} (2\bar{v}^t, 1 - |v|^2)^t, \end{aligned} \quad (3.2)$$

where $u = (u_1, \dots, u_6)^t$, $A_+ = (0, \dots, 0, 1)^t$, $A_- = (0, \dots, 0, -1)^t$, $\bar{v} = (v_1, v_2, v_3, -v_4, -v_5, -v_6)^t$. It follows from (3.2) that the coordinate change

$$f_-^{-1} \circ f_+ : \mathbb{R}^6 - \{0\} \rightarrow \mathbb{R}^6 - \{0\}$$

is given by $u \mapsto v = \frac{\bar{u}}{|u|^2}$. Clearly we have the Jacobian $(\frac{\partial v_i}{\partial u_j}) = SA$, where $A = |u|^{-4}(|u|^2 I - 2u u^t)$. It is not difficult to verify that $|u|^2 SA$ belongs to $\mathrm{SO}(6)$ for each $u \neq 0 \in \mathbb{R}^6$.

We now define our almost complex structure J on S^6 in terms of the coordinate system v_1, \dots, v_6 simply by

$$J\left(\frac{\partial}{\partial v_1}, \dots, \frac{\partial}{\partial v_6}\right) = \left(\frac{\partial}{\partial v_1}, \dots, \frac{\partial}{\partial v_6}\right) J_0 \quad \text{for } |v| \leq 1, \quad (3.3)$$

where $J_0 = \begin{pmatrix} 0 & -I_m \\ I_m & 0 \end{pmatrix}$. By the coordinate change $v = \frac{\bar{u}}{|u|^2}$, (3.3) is equivalent to

$$J\left(\frac{\partial}{\partial u_1}, \dots, \frac{\partial}{\partial u_6}\right) = \left(\frac{\partial}{\partial u_1}, \dots, \frac{\partial}{\partial u_6}\right) Q^{-1} J_0 Q \quad (3.4)$$

for $1 \leq |u| \leq +\infty$, where $Q = SA$.

We have got an almost complex structure J on $\{u \mid u \in \mathbb{R}^6 \cup \{\infty\}, 1 \leq |u| \leq +\infty\} \subset S^6$, and the next thing to do is to extend smoothly the definition of the matrix (of functions) $Q^{-1} J_0 Q$ to the field $\{u \mid u \in \mathbb{R}^6, 0 \leq |u| < 1\}$. The idea originates from the homotopy theory. As it is well known, one has two one to one correspondences:

$$\{X^{-1} J_0 X \mid X \in \mathrm{SO}(6)\} \longleftrightarrow \{Y_{6 \times 6} \mid Y^2 = -I, Y^t = -Y\} \longleftrightarrow \mathrm{SO}(6)/\mathrm{U}(3) \cong \mathbb{C}P^3.$$

The fibration $U(3) \hookrightarrow SO(6) \rightarrow SO(6)/U(3) = \mathbb{C}P^3$ has its homotopy sequence:

$$0 \cong \pi_6 \mathbb{C}P^3 \rightarrow \pi_5 U(3) \rightarrow \pi_5 SO(6) \rightarrow \pi_5 \mathbb{C}P^3 \cong 0.$$

Thus we get an isomorphism $\pi_5 U(3) \cong \pi_5 SO(6)$. Recall that $G(z) = |z|^{-2} z z^t + |z|^{-1} h(\bar{z})$ is the Bott generator of $\pi_5 U(3)$, and $|u|^2 AS$ is the “positive” generator of $\pi_5 SO(6)$ (see [6]). These arguments implies that $G(|u|^2 AS)^{-1}$ is homotopic to the constant map I , and then

$$I \cong G(|u|^2 AS)^{-1} = G(|u|^2 AS)^t = |u|^2 GQ. \quad (3.5)$$

For the sake of convenience, we rewrite A as the form in (3.1):

$$A = |z|^{-4} \{ |z|^2 I - (z \bar{z}^t + z z^t S) \}, \quad (3.6)$$

where $z = (z_1, z_2, z_3)^t = (u_1 + \sqrt{-1}u_4, u_2 + \sqrt{-1}u_5, u_3 + \sqrt{-1}u_6)^t \in \mathbb{C}^3$. Therefore we have from (3.5) that

$$\begin{aligned} I &\simeq |z|^2 GQ = (|z|^{-2} z z^t + |z|^{-1} h(\bar{z}))(|z|^{-2}(|z|^2 I - \bar{z} z^t)S - |z|^{-2} \bar{z} \bar{z}^t) \\ &= |z|^{-2}(|z| h(\bar{z})S - z \bar{z}^t). \end{aligned} \quad (3.7)$$

We are now in a position to construct our extension as follows.

Lemma 3.1 *Let f and g be real smooth functions of $|z|^2, z \in \mathbb{C}^3$, with boundary conditions:*

- (1) $f \equiv 1$ for $|z| \leq \frac{\varepsilon}{2}$, $f \equiv 0$ for $|z| \geq \varepsilon$, and $1 > f > 0$ for $\varepsilon > |z| > \frac{\varepsilon}{2}$;
- (2) $g \equiv 0$ for $|z| \leq \frac{\varepsilon}{2}$, $g \equiv 1$ for $|z| \geq \varepsilon$, and $1 > g > 0$ for $\varepsilon > |z| > \frac{\varepsilon}{2}$.

Let $P(z) = (f \sqrt{-1} I - g z \bar{z}^t) + |z| g h(\bar{z}) S$. For any $z \neq 0 \in \mathbb{C}^3$, $P(z)$ is, up to a non-zero factor, an element of $SO(6)$.

Proof We observe that $P^t = (-f \sqrt{-1} I - g z \bar{z}^t) - |z| g h(\bar{z}) S$, and find that

$$\begin{aligned} P P^t &= ((f \sqrt{-1} I - g z \bar{z}^t) + |z| g h(\bar{z}) S)((-f \sqrt{-1} I - g z \bar{z}^t) - |z| g h(\bar{z}) S) \\ &= f^2 I + g^2 |z|^2 (z \bar{z}^t - h(\bar{z}) h(z)) = (f^2 + g^2 |z|^4) I, \end{aligned}$$

which is nonsingular owing to the definitions of f and g . It completes the proof.

Summarizing the arguments above, we have established

Theorem 3.1 *There is an almost complex structure J on $S^6 = \mathbb{R}^6 \cup \{\infty\} = \{u \mid 0 \leq |u| \leq +\infty\}$ defined by*

$$J\left(\frac{\partial}{\partial u_1}, \dots, \frac{\partial}{\partial u_6}\right) = \left(\frac{\partial}{\partial u_1}, \dots, \frac{\partial}{\partial u_6}\right) P^{-1} J_0 P,$$

where P is given in Lemma 3.1. J is integrable for $|u| \leq \frac{\varepsilon}{2}$ or $\varepsilon \leq |u| \leq +\infty$.

The proof of this theorem is omitted.

4 Integrability of the Almost Complex Structure J

Proposition 4.1 *The almost complex structure J defined in Lemma 3.1 is not integrable for $\frac{\varepsilon}{2} < |u| < \varepsilon$.*

Proof Observe that if $f \neq 0$ (in fact it holds for $\frac{\varepsilon}{2} < |z| < \varepsilon$), then the complex matrix $f\sqrt{-1}I - gz\bar{z}^t$ has its inverse as

$$(f\sqrt{-1}I - gz\bar{z}^t)^{-1} = \frac{-\sqrt{-1}}{f}I - \frac{g}{f(f + g|z|^2\sqrt{-1})}z\bar{z}^t.$$

Thus the almost complex structure defined by $P(z) = (f\sqrt{-1}I - gz\bar{z}^t) + g|z|h(\bar{z})S$ is equivalent to that of

$$(f\sqrt{-1}I - gz\bar{z}^t)^{-1}P = I - gf^{-1}|z|\sqrt{-1}h(\bar{z})S.$$

However, the non-integrability of $I - gf^{-1}|z|\sqrt{-1}h(\bar{z})S$ is an immediate consequence of the following criterion (see [5]).

Lemma 4.1 $I_m + VS$ is integrable if and only if $T_{jk}^i = T_{kj}^i$ for all $1 \leq i, j, k \leq m$, where $(v_{ij})_k = \frac{\partial}{\partial z_k}(v_{ij})$, $(v_{ij})_{\bar{k}} = \frac{\partial}{\partial \bar{z}_k}(v_{ij})$, and $T_{jk}^i = (v_{ij})_{\bar{k}} - \sum_s (v_{ij})_s v_{sk}$.

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