

A NOTE ON SOME METRICAL THEOREMS IN DIOPHANTINE APPROXIMATION

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§ 1. Introduction. Suppose that A is a set in n -dimensional Euclidean space R_n . If $(x_1, \dots, x_n) \in A$ implies that $(x'_1, \dots, x'_n) \in A$ for any $0 \leq x'_i \leq x_i (1 \leq i \leq n)$, then A is said to have property $\mathcal{P}$ which was introduced by Gallagher^[1]. Denote by $|A|$ the measure of a measurable set A and by G_n the n -dimensional unit cube, i. e., the set of all points $(x_1, \dots, x_n)$ with $0 \leq x_i < 1 (1 \leq i \leq n)$. We also use ε to denote any pre-assigned positive number.

Theorem 1. Let $A_q (q=1, 2, \dots)$ be a sequence of measurable subsets of G_n . Suppose that each A_q has property $\mathcal{P}$ and $\psi(q) = |A_q|$ is a decreasing function of q . Let $N(h, \theta_1, \dots, \theta_n)$ be the number of integers satisfying $1 \leq q \leq h$ and

$$(\{q\theta_1\}, \dots, \{q\theta_n\}) \in A_q. \quad (1)$$

Further let

$$\Psi(h) = \sum_{q=1}^h \psi(q) \quad \text{and} \quad \Omega(h) = \sum_{q=1}^h \psi(q) q^{-1}.$$

Then for almost all $(\theta_1, \dots, \theta_n) \in R_n$, we have

$$N(h, \theta_1, \dots, \theta_n) = \Psi(h) + O(\Psi(h)^{\frac{1}{2}} \Omega(h)^{\frac{1}{2}} (\log \Psi(h))^{2+\varepsilon}). \quad (2)$$

Let $\mathbf{q} = (q_1, \dots, q_m)$ and $\mathbf{r} = (r_1, \dots, r_m)$ denote the lattice points in R_m , where q_i 's and r_i 's are positive integers, $\boldsymbol{\theta} = (\theta_1, \dots, \theta_m)$ a point in R_m , $\mathbf{q}\boldsymbol{\theta} = q_1\theta_1 + \dots + q_m\theta_m$ the scalar product of $\mathbf{q}$ and $\boldsymbol{\theta}$ and $d(\mathbf{q}) = \sum_{1 \leq i \leq m} \frac{1}{q_i}$. We also use $\mathbf{q} \leq h$ to denote

$q = \max(q_1, \dots, q_m) \leq h$. Similarly, we may define $\mathbf{q} < h$, $\mathbf{q} \geq h$ and $\mathbf{q} > h$.

Theorem 2. Let $\{A_q\}$ be a sequence of measurable subsets of G_n and each A_q have property $\mathcal{P}$. Put $\psi(\mathbf{q}) = |A_q|$ and denote by $N(h, \theta_1, \dots, \theta_n)$ the number of lattice points $\mathbf{q}$ satisfying $\mathbf{q} \leq h$ and

$$(\{\mathbf{q}\boldsymbol{\theta}_1\}, \dots, \{\mathbf{q}\boldsymbol{\theta}_n\}) \in A_q \quad (3)$$

where $\boldsymbol{\theta}_i = (\theta_{i1}, \dots, \theta_{im}) (1 \leq i \leq n)$. Set

$$\Psi(h) = \sum_{\mathbf{q} \leq h} \psi(\mathbf{q}) \quad \text{and} \quad \chi(h) = \sum_{\mathbf{q} \leq h} \psi(\mathbf{q}) d(\mathbf{q}).$$

Then

$$N(h, \boldsymbol{\theta}_1, \dots, \boldsymbol{\theta}_n) = \Psi(h) + O(\chi(h)^{\frac{1}{2}} (\log \chi(h))^{\frac{3}{2}+\varepsilon}) \quad (4)$$

holds for almost all $(\boldsymbol{\theta}_1, \dots, \boldsymbol{\theta}_n) = (\theta_{11}, \dots, \theta_{1m}, \dots, \theta_{n1}, \dots, \theta_{nm}) \in R_{nm}$.

Theorem 1 gives a modification of a theorem of Gallagher^[1]. Take A_q to be the set of points $(x_1, \dots, x_n)$ satisfying $0 \leq x_i < \psi_i(q)$ ($1 \leq i \leq n$) and suppose that $\psi(q) = \prod_{i=1}^n \psi_i(q)$ is a decreasing function of q in Theorem 1. Take A_q to be the set of points such that $0 \leq x_i < \psi_i(q)$ ($1 \leq i \leq n$) in Theorem 2. Then we derive two theorems of Schmidt^[2].

For any positive number τ , let $E(\tau)$ be the set of points $(x_1, \dots, x_n)$ satisfying

$$0 \leq x_i < \frac{1}{2} \quad (1 \leq i \leq n) \quad \text{and} \quad \tau x_1 \cdots x_n < 1.$$

Then it is easily proved by mathematical induction that

$$|E(\tau)| = \begin{cases} 2^{-n}, & \text{for } \tau \leq 2^n \\ \tau^{-1} \sum_{s=0}^{n-1} \frac{1}{s!} \left(\log \frac{\tau}{2^n} \right)^s, & \text{otherwise.} \end{cases}$$

Take $A_q = E(q(\log q)^n)$. Then $q(\log q)^n > 2^n$ and

$$\psi(q) = |A_q| = (q(\log q)^n)^{-1} \sum_{s=0}^{n-1} \frac{1}{s!} \left(\log \left(\frac{q(\log q)^n}{2^n} \right) \right)^s$$

for $q \geq 8$. Hence

$$\begin{aligned} \Psi(h) &= \sum_{s=0}^{n-1} \frac{1}{s!} \sum_{q=8}^h (q(\log q)^n)^{-1} \left(\log \left(\frac{q(\log q)^n}{2^n} \right) \right)^s + O(1) \\ &= \frac{1}{(n-1)!} \sum_{q=2}^h \frac{1}{q \log q} + O(1) = \frac{1}{(n-1)!} \log \log h + O(1). \end{aligned}$$

Obviously

$$\Omega(h) = O(1).$$

Let $N(h, \theta_1, \dots, \theta_n)$ denote the number of integers satisfying $1 \leq q \leq h$ and the inequalities

$$0 \leq \{q\theta_i\} < \frac{1}{2} \quad (1 \leq i \leq n) \quad \text{and} \quad \left(\prod_{i=1}^n \{q\theta_i\} \right) q(\log q)^n < 1.$$

Then it follows by Theorem 1 that

$$N(h, \theta_1, \dots, \theta_n) = \frac{1}{(n-1)!} \log \log h + O((\log \log h)^{\frac{1}{2}} (\log \log \log h)^{2+\varepsilon}) \quad (5)$$

holds for almost all $(\theta_1, \dots, \theta_n) \in R_n$. Let $\|x\|$ denote the distance from the real number x to the nearest integer. Then from (5) with some simple combinatorial considerations, we may derive

Theorem 3. Let $N^*(h, \theta_1, \dots, \theta_n)$ be the number of integers q satisfying

$$\left(\prod_{i=1}^n \|q\theta_i\| \right) q(\log q)^n < 1, \quad 1 \leq q \leq h. \quad (6)$$

Then for almost all $(\theta_1, \dots, \theta_n) \in R_n$, we have

$$N^*(h, \theta_1, \dots, \theta_n) = \frac{2^n}{(n-1)!} \log \log h + O((\log \log h)^{\frac{1}{2}} (\log \log \log h)^{2+\varepsilon}). \quad (7)$$

Similarly, we may derive from Theorem 1 that for almost all $(\theta_1, \dots, \theta_n) \in R_n$, the inequality

$$\left(\prod_{i=1}^n \|q\theta_i\| \right) q(\log q)^{n+\varepsilon} < 1 \quad (8)$$

has only finitely many positive integer solutions.

Let $f(1) = 1$ and $f(k) = k(\log k)^n$ or $k(\log k)^{n+\varepsilon}$ ($k > 1$). Put $\bar{x} = \max(1, |x|)$. Take $A_q = E(f(q_1) \cdots f(q_m))$ in Theorem 2. Then we may obtain for almost all $(\theta_{11}, \dots, \theta_{nm}) \in R_{nm}$ the asymptotic formula of the number of lattice points q satisfying $\max_{1 \leq i \leq m} |q_i| \leq h$ and

$$\prod_{i=1}^n \|\theta_{i1}q_1 + \dots + \theta_{im}q_m\| \prod_{j=1}^m f(\bar{q}_j) < 1. \quad (9)$$

From this formula we may derive

Theorem 4. *The inequality*

$$\prod_{i=1}^n \|\theta_{i1}q_1 + \dots + \theta_{im}q_m\| \prod_{j=1}^m (\bar{q}_j (\log \bar{q}_j)^{n+\varepsilon}) < 1 \quad (10)$$

has only finitely many integral solutions for almost all $(\theta_{11}, \dots, \theta_{nm}) \in R_{nm}$, but

$$\prod_{i=1}^n \|\theta_{i1}q_1 + \dots + \theta_{im}q_m\| \prod_{j=1}^m (\bar{q}_j (\log \bar{q}_j)^n) < 1 \quad (11)$$

has infinitely many integral solutions for almost all $(\theta_{11}, \dots, \theta_{nm}) \in R$.

Especially, it follows from Theorem 4 that property A in Schmidt and Wang's^[4] transference theorem holds for almost all $(\theta_{11}, \dots, \theta_{nm}) \in R_{nm}$.

The proofs of Theorems 1 and 2 are based on the method of Schmidt^[1] and we may also treat the similar problems in non-linear diophantine approximation (Cf. Schmidt [3]).

Remark. We propose two conjectures.

1° Suppose that $\alpha_{11}, \dots, \alpha_{nm}$ are nm real algebraic numbers such that $1, \alpha_{11}, \dots, \alpha_{nm}$ are linearly independent over rational field Q . Then

$$\prod_{i=1}^n \|\alpha_{i1}q_1 + \dots + \alpha_{im}q_m\| \prod_{j=1}^m \bar{q}_j^{1+\varepsilon} \gg 1.$$

2° Suppose that $\beta_{ij} = e^{r_{ij}}$, where r_{ij} ($1 \leq i \leq n, 1 \leq j \leq m$) are nm different rational numbers. Then

$$\prod_{i=1}^n \|\beta_{i1}q_1 + \dots + \beta_{im}q_m\| \prod_{j=1}^m q_j^{1+\varepsilon} \gg 1.$$

Put $n=1$ or $m=1$. Then 1° and 2° are Theorems of W. M. Schmidt^[5] and A. Baker^[6] respectively.

§ 2. Proof of Theorem 1. If $\sum \psi(q) < \infty$, the theorem can be proved by Borel-Cantelli's lemma (Cf. Gallagher [1]). Now we suppose that $\sum \psi(q)$ diverges. Evidently, we may confine ourselves to the case $(\theta_1, \dots, \theta_n) \in G_n$. Let $\varphi(k, q)$ denote the number of integers l satisfying $0 \leq l < q$ and $(l, q) \leq k$. Put

$$\beta(q, \theta_1, \dots, \theta_n) = \begin{cases} 1, & \text{if } (\theta_1, \dots, \theta_n) \in A_q, \\ 0, & \text{otherwise,} \end{cases}$$

$$\gamma(q, \theta_1, \dots, \theta_n) = \sum_{p_n, 1 \leq i \leq n} \beta(q, q\theta_1 - p_1, \dots, q\theta_n - p_n),$$

$$\gamma(k, q, \theta_1, \dots, \theta_n) = \sum_{p_n, \substack{(p_i, q) \leq k \\ 1 \leq i \leq n}} \beta(q, q\theta_1 - p_1, \dots, q\theta_n - p_n),$$

$$I(q) = \int_0^1 \cdots \int_0^1 \gamma(q, \theta_1, \dots, \theta_n) d\theta_1 \cdots d\theta_n,$$

$$I(k, q) = \int_0^1 \cdots \int_0^1 \gamma(k, q, \theta_1, \dots, \theta_n) d\theta_1 \cdots d\theta_n,$$

$$I(k, q, r) = \int_0^1 \cdots \int_0^1 \gamma(k, q, \theta_1, \dots, \theta_n) \gamma(k, r, \theta_1, \dots, \theta_n) d\theta_1 \cdots d\theta_n,$$

$$\Psi(u, v) = \sum_{q=u+1}^v \psi(q),$$

$$N(k, u, v, \theta_1, \dots, \theta_n) = \sum_{q=u+1}^v \gamma(k, q, \theta_1, \dots, \theta_n)$$

and notice

$$N(v, \theta_1, \dots, \theta_n) = \sum_{q=1}^v \gamma(q, \theta_1, \dots, \theta_n).$$

Lemma 1.

$$I(q) = \psi(q), \quad I(k, q) = \psi(q) \varphi(k, q)^n q^{-n}, \quad (12)$$

$$I(k, q, r) \leq \psi(q) \psi(r) + (2^n - 1) \psi(q) A(k, q, r) q^{-1}, \quad (13)$$

where $A(k, q, r)$ is the number of integer pairs (p, s) satisfying

$$qs - rp = 0, \quad 0 \leq p < q, \quad (p, q) \leq k, \quad (s, r) \leq k.$$

Proof

$$\begin{aligned} I(q) &= \int_0^1 \cdots \int_0^1 \gamma(q, \theta_1, \dots, \theta_n) d\theta_1 \cdots d\theta_n \\ &= \int_0^q \cdots \int_0^q q^{-n} \gamma(q, q^{-1}\theta_1, \dots, q^{-1}\theta_n) d\theta_1 \cdots d\theta_n \\ &= q^{-n} \sum_{p_i, 1 \leq i \leq n} \int_0^q \cdots \int_0^q \beta(q, \theta_1 - p_1, \dots, \theta_n - p_n) d\theta_1 \cdots d\theta_n \\ &= q^{-n} q^n \int_0^1 \cdots \int_0^1 \beta(q, \theta_1, \dots, \theta_n) d\theta_1 \cdots d\theta_n = \psi(q). \end{aligned}$$

Similarly

$$\begin{aligned} I(k, q) &= q^{-n} \sum_{\substack{p_i, (p_i, q) \leq k \\ 1 \leq i \leq n}} \int_0^q \cdots \int_0^q \beta(q, \theta_1 - p_1, \dots, \theta_n - p_n) d\theta_1 \cdots d\theta_n \\ &= \psi(q) \varphi(k, q)^n q^{-n}. \end{aligned}$$

Now we proceed to prove (13). Divide the sum

$$\begin{aligned} I(k, q, r) &= \sum_{\substack{p_i, (p_i, q) \leq k \\ s_i, (s_i, r) \leq k \\ 1 \leq i \leq n}} \int_0^1 \cdots \int_0^1 \beta(q, q\theta_1 - p_1, \dots, q\theta_n - p_n) \\ &\quad \times \beta(r, r\theta_1 - s_1, \dots, r\theta_n - s_n) d\theta_1 \cdots d\theta_n \end{aligned}$$

into $n+1$ parts

$$I(k, q, r) = I_0 + \cdots + I_n, \quad (14)$$

where I_j is the sum of all the terms with exactly j indices $i_1, \dots, i_j$ satisfying

$$qp_i - rs_i = 0.$$

We first estimate I_0 .

$$\begin{aligned} I_0 &\leq \sum_{\substack{p_i, s_i \\ qs_i - rp_i \neq 0 \\ 1 \leq i \leq n}} \int_0^1 \cdots \int_0^1 \beta(q, q\theta_1 - p_1, \dots, q\theta_n - p_n) \beta(r, r\theta_1 - s_1, \dots, r\theta_n - s_n) d\theta_1 \cdots d\theta_n \\ &= \sum_{\substack{p_i, s_i \\ qs_i - rp_i \neq 0 \\ 1 \leq i \leq n}} \int_{-\frac{p_1}{q}}^{1 - \frac{p_1}{q}} \cdots \int_{-\frac{p_n}{q}}^{1 - \frac{p_n}{q}} \beta(q, q\theta'_1, \dots, q\theta'_n) \\ &\quad \times \beta\left(r, r\theta'_1 - \frac{qs_1 - rp_1}{q}, \dots, r\theta'_n - \frac{qs_n - rp_n}{q}\right) d\theta'_1 \cdots d\theta'_n. \end{aligned}$$

Write $(q, r) = d$, $q = dq'$ and $r = dr'$. Then we have $qs_i - rp_i = h_i d$. For given $h_i \neq 0$, p_i

is determined uniquely modulo q' , so we have

$$I_0 \leq d^n \sum_{\substack{h_i \neq 0 \\ 1 \leq i \leq n}} \int_{-\infty}^{\infty} \cdots \int_{-\infty}^{\infty} \beta(q, q\theta_1, \dots, q\theta_n) \beta\left(r, r\theta_1 - \frac{h_1 d}{q}, \dots, r\theta_n - \frac{h_n d}{q}\right) d\theta_1 \cdots d\theta_n. \quad (15)$$

Put

$$J(\lambda_1, \dots, \lambda_n) = \int_{-\infty}^{\infty} \cdots \int_{-\infty}^{\infty} \beta(q, q\theta_1, \dots, q\theta_n) \beta\left(r, r\theta_1 - \frac{\lambda_1 d}{q}, \dots, r\theta_n - \frac{\lambda_n d}{q}\right) \times d\theta_1 \cdots d\theta_n.$$

We proceed to prove that J decreases as a function of λ_i when $\lambda_i \geq 0$ and increases when $\lambda_i \leq 0$ for $i=1, \dots, n$. Without loss of generality, we may suppose $i=1$. In fact, for fixed $\theta_2, \dots, \theta_n$ and $\lambda_2, \dots, \lambda_n$, $\beta(q, q\theta_1, \dots, q\theta_n)$ and $\beta\left(r, r\theta_1 - \frac{\lambda_1 d}{q}, \dots, r\theta_n - \frac{\lambda_n d}{q}\right)$ are the characteristic functions of two intervals on θ_1 -axis which have fixed lengths and start from 0 and $\frac{\lambda_1 d}{qr}$ respectively. The measure of the overlap of the two intervals is

$$\int_{-\infty}^{\infty} \beta(q, q\theta_1, \dots, q\theta_n) \beta\left(r, r\theta_1 - \frac{\lambda_1 d}{q}, \dots, r\theta_n - \frac{\lambda_n d}{q}\right) d\theta_1.$$

It is a decreasing function of λ_1 for $\lambda_1 \geq 0$ and an increasing function for $\lambda_1 \leq 0$. Hence J decreases for $\lambda_1 \geq 0$ and increases for $\lambda_1 \leq 0$. From this fact and (15) we have

$$I_0 \leq d^n \int_{-\infty}^{\infty} \cdots \int_{-\infty}^{\infty} \beta(q, q\theta_1, \dots, q\theta_n) \beta\left(r, r\theta_1 - \frac{\lambda_1 d}{q}, \dots, r\theta_n - \frac{\lambda_n d}{q}\right) \times d\theta_1 \cdots d\theta_n d\lambda_1 \cdots d\lambda_n = \psi(q) \psi(r). \quad (16)$$

As for I_j ($j \geq 1$), since

$$\begin{aligned} & \sum_{\substack{(p_i, q) \leq k \\ (s_i, r) \leq k \\ 1 \leq i \leq n}} \sum_{\substack{qs_i - rp_i \neq 0 \\ 1 \leq i \leq n-j}} \sum_{\substack{qs_i - rp_i = 0 \\ n-j < i \leq n}} \int_0^1 \cdots \int_0^1 \beta(q, q\theta_1 - p_1, \dots, q\theta_n - p_n) \\ & \quad \times \beta\left(r, r\theta_1 - s_1, \dots, r\theta_n - s_n\right) d\theta_1 \cdots d\theta_n \\ &= \sum_{\substack{(p_i, q) \leq k \\ (s_i, r) \leq k \\ 1 \leq i \leq n}} \sum_{\substack{qs_i - rp_i \neq 0 \\ 1 \leq i \leq n-j}} \sum_{\substack{qs_i - rp_i = 0 \\ n-j < i \leq n}} \int_{-\frac{p_1}{q}}^{1 - \frac{p_1}{q}} \cdots \int_{-\frac{p_n}{q}}^{1 - \frac{p_n}{q}} \beta(q, q\theta'_1, \dots, q\theta'_n) \\ & \quad \times \beta\left(r, r\theta'_1 - \frac{qs_1 - rp_1}{q}, \dots, r\theta'_{n-j} - \frac{qs_{n-j} - rp_{n-j}}{q}, r\theta'_{n-j+1}, \dots, r\theta'_n\right) d\theta'_1 \cdots d\theta'_n \\ &\leq A(k, q, r)^j \sum_{\substack{h_i \neq 0 \\ 1 \leq i \leq n-j}} d^{n-j} \int_{-\infty}^{\infty} \cdots \int_{-\infty}^{\infty} \beta(q, q\theta_1, \dots, q\theta_n) \\ & \quad \times \beta\left(r, r\theta_1 - \frac{dh_1}{q}, \dots, r\theta_{n-j} - \frac{dh_{n-j}}{q}, r\theta_{n-j+1}, \dots, r\theta_n\right) d\theta_1 \cdots d\theta_n \\ &\leq A(k, q, r)^j d^{n-j} \int_{-\infty}^{\infty} \cdots \int_{-\infty}^{\infty} \beta(q, q\theta_1, \dots, q\theta_n) \\ & \quad \times \beta\left(r, r\theta_1 - \frac{d\lambda_1}{q}, \dots, r\theta_{n-j} - \frac{d\lambda_{n-j}}{q}, r\theta_{n-j+1}, \dots, r\theta_n\right) d\theta_1 \cdots d\theta_n d\lambda_1 \cdots d\lambda_{n-j} \\ &\leq A(k, q, r)^j d^{n-j} \left(\frac{q}{d}\right)^{n-j} \int_{-\infty}^{\infty} \cdots \int_{-\infty}^{\infty} \beta(q, q\theta_1, \dots, q\theta_n) d\theta_1 \cdots d\theta_n \\ &= \psi(q) A(k, q, r)^j q^{-j}, \end{aligned}$$

hence

$$I_j \leq \binom{n}{j} \psi(q) A(k, q, r) q^{-1}. \quad (17)$$

(13) follows by combining (14), (16) and (17). The lemma is proved.

Lemma 2.

$$\int_0^1 \cdots \int_0^1 N(v, \theta_1, \dots, \theta_n) d\theta_1 \cdots d\theta_n = \Psi(v), \quad (18)$$

$$\int_0^1 \cdots \int_0^1 N(k, u, v, \theta_1, \dots, \theta_n) d\theta_1 \cdots d\theta_n = \sum_{q=u+1}^v \psi(q) \varphi(k, q)^n q^{-n}, \quad (19)$$

$$\int_0^1 \cdots \int_0^1 N(k, u, v, \theta_1, \dots, \theta_n)^2 d\theta_1 \cdots d\theta_n \leq \Psi(u, v)^2 + 2(2^n - 1) \sum_{q=u+1}^v \psi(q) d_k(q), \quad (20)$$

where $d_k(q) = \sum_{d|q, d \leq k} 1$.

Proof (18) and (19) follow from (12) immediately. (20) follows from (13).

The rest part of the proof of Theorem 1 is similar to the proof of Theorem 1 in Schmidt [2], and we omit it here.

§ 3. Proof of Theorem 2. If $\sum \psi(q) < \infty$, the theorem can be proved by Borel-Cantelli's lemma (cf. Gallagher [1]). Now we suppose that $\sum \psi(q)$ diverges. We may also confine ourselves to the case $(\theta_1, \dots, \theta_n) \in G_{nm}$. Let $\omega(0) = 0$ and $\omega(h)$, $h \geq 1$, be an increasing integral-valued function which tends to infinity. Set $S' = \{0\} \cup \{h > 0 \mid \omega(h-1) < \omega(h)\}$, $S'' = \{h \geq 0 \mid \omega(h) < \omega(h+1)\}$ and $S = \{\omega(h) \mid h \geq 0\}$. For integer $t > 0$, we define intervals of order t to be

$$(u2^t + v_1, (u+1)2^t + v_2],$$

where u, v_1, v_2 are non-negative integers such that $v_1 < 2^t$ and v_1, v_2 are the smallest non-negative integers satisfying $u2^t + v_1 \in S$, $(u+1)2^t + v_2 \in S$.

Lemma 3. Every interval $(0, x]$ with $x \in S$ can be expressed as union of intervals $\cup I_i$ of the type described above, where no two of intervals I_i are of the same order.

Proof (cf. [2]).

Put

$$\beta(q, \alpha_1, \dots, \alpha_n) = \begin{cases} 1, & \text{if } (\alpha_1, \dots, \alpha_n) \in A_q, \\ 0, & \text{otherwise.} \end{cases}$$

$$\gamma(q, \theta_1, \dots, \theta_n) = \sum_{p_i, 1 \leq i \leq n} \beta(q, q\theta_1 - p_1, \dots, q\theta_n - p_n),$$

$$I(q) = \int_{G_m} \cdots \int_{G_m} \gamma(q, \theta_1, \dots, \theta_n) d\theta_1 \cdots d\theta_n,$$

$$I(q, r) = \int_{G_m} \cdots \int_{G_m} \gamma(q, \theta_1, \dots, \theta_n) \gamma(r, \theta_1, \dots, \theta_n) d\theta_1 \cdots d\theta_n,$$

$$\Psi(u, v) = \sum_{u < q \leq v} \psi(q),$$

$$N(u, v, \theta_1, \dots, \theta_n) = \sum_{u < q \leq v} \gamma(q, \theta_1, \dots, \theta_n),$$

and notice

$$N(v, \theta_1, \dots, \theta_n) = \sum_{q \leq v} \gamma(q, \theta_1, \dots, \theta_n).$$

Lemma 4.

$$I(q) = \psi(q). \quad (21)$$

If q and r are linearly independent (this fact is abbreviated to $q, r, l. i.$), then

$$I(q, r) = \psi(q)\psi(r). \quad (22)$$

If q and r are linearly dependent (this fact is abbreviated to $q, r, l. d.$), then

$$I(q, r) \leq \psi(q)\psi(r) + (2^n - 1)A(q_1, r_1)\psi(q)q_1^{-1}, \quad (23)$$

where $A(q_1, r_1)$ is the number of the integral solutions (p, s) of the equation

$$q_1s - r_1p = 0, \quad 0 \leq p < q_1.$$

Proof 1) Suppose that $q, r, l. i.$ Without loss of generality we may assume that

$$\begin{vmatrix} q_1 & q_2 \\ r_1 & r_2 \end{vmatrix} \neq 0. \text{ Let}$$

$$T = \begin{pmatrix} q_1 & q_2 & \dots & q_m \\ r_1 & r_2 & \dots & r_m \\ 0 & I^{(m-2)} \end{pmatrix},$$

where $I^{(l)}$ is the $l \times l$ identity matrix. Obviously, $\det T = q_1r_2 - q_2r_1$. Write $T\theta_i = \xi_i = (\xi_{i1}, \dots, \xi_{im})$ ($1 \leq i \leq n$) and $MG_m = \{(x_1, \dots, x_m) \mid 0 \leq x_i < M, 1 \leq i \leq m\}$. Then

$$\begin{aligned} I(q, r) &= M^{-nm} \int_{MG_m} \dots \int_{MG_m} \gamma(q, \theta_1, \dots, \theta_n) \gamma(r, \theta_1, \dots, \theta_n) d\theta_1 \dots d\theta_n \\ &= M^{-nm} |q_1r_2 - q_2r_1|^{-n} \sum_{\substack{p_i, s_i \\ 1 \leq i \leq n}} \int_{T(MG_m)} \dots \int_{T(MG_m)} \beta(q, \xi_{11} - p_1, \dots, \xi_{n1} - p_n) \\ &\quad \times \beta(r, \xi_{12} - s_1, \dots, \xi_{n2} - s_n) d\xi_1 \dots d\xi_n. \end{aligned} \quad (24)$$

Put $T(MG_m) \times \dots \times T(MG_m) = D(M)$. Let P_1 and P_2 ($P_1 \subset D(M) \subset P_2$) be two nm -dimensional parallelepipeds whose surfaces are parallel to the corresponding surfaces of $D(M)$ with distance $\sqrt{nm}$. Since

$$\begin{aligned} \int_{G_m} \dots \int_{G_m} \beta(q, \xi_{11}, \dots, \xi_{n1}) \beta(r, \xi_{12}, \dots, \xi_{n2}) d\xi_1 \dots d\xi_n &= \psi(q)\psi(r), \\ N(P_1)\psi(q)\psi(r) &\leq \sum_{\substack{p_i, s_i \\ 1 \leq i \leq n}} \int_{T(MG_m)} \dots \int_{T(MG_m)} \beta(q, \xi_{11} - p_1, \dots, \xi_{n1} - p_n) \\ &\quad \times \beta(r, \xi_{12} - s_1, \dots, \xi_{n2} - s_n) d\xi_1 \dots d\xi_n \\ &\leq N(P_2)\psi(q)\psi(r), \end{aligned} \quad (25)$$

where $N(P_i)$ is the number of the lattice points in P_i ($i=1, 2$). Evidently, there exists a constant c which is independent of M such that

$$N(P_1) \geq |D(M - c)| = (M - c)^{nm} |q_1r_2 - q_2r_1|^n, \quad (26)$$

$$N(P_2) \leq |D(M + c)| = (M + c)^{nm} |q_1r_2 - q_2r_1|^n. \quad (27)$$

It follows from (24) — (27) that

$$\left(\frac{M - c}{M}\right)^{nm} \psi(q)\psi(r) \leq I(q, r) \leq \left(\frac{M + c}{M}\right)^{nm} \psi(q)\psi(r).$$

Let $M \rightarrow \infty$, then we have (22).

2) Take $\mathbf{r} = (1, 0, \dots, 0)$ and $A_r = G_m$, then $\psi(\mathbf{r}) = 1$. Since all the components of $\mathbf{q}$ are positive integers, $\mathbf{q}$ and $\mathbf{r}$ are linearly independent. Hence (21) follows from (22). (Notice that the proof of (22) depends only on the linear independence of $\mathbf{q}$ and $\mathbf{r}$.)

3) Suppose $\mathbf{q}, \mathbf{r}$, l.d.. Put

$$W = \begin{pmatrix} 1 & \frac{q_2}{q_1} & \dots & \frac{q_m}{q_1} \\ 0 & I^{(m-1)} & & \end{pmatrix}, \quad \xi_i = W\theta_i \quad (1 \leq i \leq n).$$

Evidently, $\det W = 1$. We have

$$I(\mathbf{q}, \mathbf{r}) = \sum_{\substack{p_i, s_i \\ 1 \leq i \leq n}} \int_{W(G_m)} \dots \int_{W(G_m)} \beta(\mathbf{q}, q_1 \xi_{11} - p_1, \dots, q_1 \xi_{n1} - p_n) \\ \times \beta(\mathbf{r}, r_1 \xi_{11} - s_1, \dots, r_1 \xi_{n1} - s_n) d\xi_1 \dots d\xi_n = I_0 + \dots + I_n, \quad (28)$$

where I_j is the sum of all the terms with exactly j indices $i_1, \dots, i_j$ having $q_1 s_{i_j} - r_1 p_{i_j} = 0$.

For real $\alpha_1, \dots, \alpha_n$, by the method similar to the proof of (13), we have

$$\sum_{\substack{p_i, s_i \\ q_1 s_i - r_1 p_i \neq 0 \\ 1 \leq i \leq n}} \int_{\alpha_1}^{1+\alpha_1} \dots \int_{\alpha_n}^{1+\alpha_n} \beta(\mathbf{q}, q_1 \eta_1 - p_1, \dots, q_1 \eta_n - p_n) \beta(\mathbf{r}, r_1 \eta_1 - s_1, \dots, r_1 \eta_n - s_n) d\eta_1 \dots d\eta_n \\ \leq \sum_{\substack{p_i, s_i \\ q_1 s_i - r_1 p_i \neq 0 \\ 1 \leq i \leq n}} \int_{\alpha_1 - \frac{p_1}{q_1}}^{1+\alpha_1 - \frac{p_1}{q_1}} \dots \int_{\alpha_n - \frac{p_n}{q_1}}^{1+\alpha_n - \frac{p_n}{q_1}} \beta(\mathbf{q}, q_1 \eta'_1, \dots, q_1 \eta'_n) \\ \times \beta\left(\mathbf{r}, r_1 \eta'_1 - \frac{q_1 s_1 - r_1 p_1}{q_1}, \dots, r_1 \eta'_n - \frac{q_1 s_n - r_1 p_n}{q_1}\right) d\eta'_1 \dots d\eta'_n \leq \psi(\mathbf{q}) \psi(\mathbf{r}).$$

Taking $\alpha_i = q_1^{-1} \sum_{j=2}^m q_j \xi_{ij} \quad (1 \leq i \leq n)$, we have

$$I_0 \leq \int_0^1 \dots \int_0^1 d\xi_{12} \dots d\xi_{1m} \dots d\xi_{n2} \dots d\xi_{nm} \sum_{\substack{p_i, s_i \\ q_1 s_i - r_1 p_i \neq 0 \\ 1 \leq i \leq n}} \int_{\alpha_1}^{1+\alpha_1} \dots \\ \int_{\alpha_n}^{1+\alpha_n} \beta(\mathbf{q}, q_1 \xi_{11} - p_1, \dots, q_1 \xi_{n1} - p_n) \beta(\mathbf{r}, r_1 \xi_{11} - s_1, \dots, r_1 \xi_{n1} - s_n) d\xi_{11} \dots d\xi_{n1} \\ \leq \psi(\mathbf{q}) \psi(\mathbf{r}). \quad (29)$$

As for $I_j \quad (j \geq 1)$, we first have

$$\sum_{\substack{p_i, s_i \\ q_1 s_i - r_1 p_i \neq 0 \\ 1 \leq i \leq n-j}} \sum_{\substack{p_i, s_i \\ q_1 s_i - r_1 p_i = 0 \\ n-j < i \leq n}} \int_{\alpha_1}^{1+\alpha_1} \dots \int_{\alpha_n}^{1+\alpha_n} \beta(\mathbf{q}, q_1 \eta_1 - p_1, \dots, q_1 \eta_n - p_n) \\ \times \beta(\mathbf{r}, r_1 \eta_1 - s_1, \dots, r_1 \eta_n - s_n) d\eta_1 \dots d\eta_n \\ = \sum_{\substack{p_i, s_i \\ q_1 s_i - r_1 p_i \neq 0 \\ 1 \leq i \leq n-j}} \sum_{\substack{p_i, s_i \\ q_1 s_i - r_1 p_i = 0 \\ n-j < i \leq n}} \int_{\alpha_1 - \frac{p_1}{q_1}}^{1+\alpha_1 - \frac{p_1}{q_1}} \dots \int_{\alpha_n - \frac{p_n}{q_1}}^{1+\alpha_n - \frac{p_n}{q_1}} \beta(\mathbf{q}, q_1 \eta'_1, \dots, q_1 \eta'_n) \\ \times \beta\left(\mathbf{r}, r_1 \eta'_1 - \frac{q_1 s_1 - r_1 p_1}{q_1}, \dots, r_1 \eta'_{n-j} - \frac{q_1 s_{n-j} - r_1 p_{n-j}}{q_1}, r_1 \eta'_{n-j+1}, r_1 \eta'_n\right) d\eta'_1 \dots d\eta'_n \\ \leq \psi(\mathbf{q}) A(q_1, r_1)^j q_1^{-j} \leq \psi(\mathbf{q}) A(q_1, r_1) q_1^{-1}.$$

Taking $\alpha_i = q_1^{-1} \sum_{j=2}^m q_j \xi_{ij}$ ($1 \leq i \leq n$), we have

$$\begin{aligned} & \sum_{\substack{p_i, s_i \\ q_1 s_i - r_1 p_i \neq 0 \\ 1 \leq i \leq n-j}} \sum_{\substack{p_i, s_i \\ q_1 s_i - r_1 p_i = 0 \\ n-j < i \leq n}} \int_{W(G_m)} \cdots \int_{W(G_m)} \beta(q, q_1 \xi_{11} - p_1, \dots, q_1 \xi_{n1} - p_n) \\ & \quad \times \beta(r, r_1 \xi_{11} - s_1, \dots, r_1 \xi_{n1} - s_n) d\xi_1 \cdots d\xi_n \\ & = \int_0^1 \cdots \int_0^1 d\xi_{12} \cdots d\xi_{nm} \sum_{\substack{p_i, s_i \\ q_1 s_i - r_1 p_i \neq 0 \\ 1 \leq i \leq n-j}} \sum_{\substack{p_i, s_i \\ q_1 s_i - r_1 p_i = 0 \\ n-j < i \leq n}} \int_{\alpha_i}^{1+\alpha_i} \cdots \\ & \quad \int_{\alpha_n}^{1+\alpha_n} \beta(q, q_1 \xi_{11} - p_1, \dots, q_1 \xi_{n1} - p_n) \beta(r, r_1 \xi_{11} - s_1, \dots, r_1 \xi_{n1} - s_n) d\xi_{11} \cdots d\xi_{n1} \\ & \leq \psi(q) A(q_1, r_1) q_1^{-1}. \end{aligned}$$

Hence

$$I_j \leq \binom{n}{j} \psi(q) A(q_1, r_1) q_1^{-1}. \quad (30)$$

(23) follows from (28), (29) and (30). The lemma is proved.

Lemma 5.

$$\int_{G_m} \cdots \int_{G_m} N(u, v, \theta_1, \dots, \theta_n) d\theta_1 \cdots d\theta_n = \Psi(u, v), \quad (31)$$

$$\int_{G_m} \cdots \int_{G_m} N(u, v, \theta_1, \dots, \theta_n)^2 d\theta_1 \cdots d\theta_n \leq \Psi(u, v)^2 + 2(2^n - 1) \sum_{u < q \leq v} \psi(q) d(q). \quad (32)$$

Proof (31) follows from (21) immediately. Let $r \leq q$ denote $r \leq q$. Then the left-hand side of (32) is equal to

$$\begin{aligned} & \sum_{u < q, r \leq v} \int_{G_m} \cdots \int_{G_m} \gamma(q, \theta_1, \dots, \theta_n) \gamma(r, \theta_1, \dots, \theta_n) d\theta_1 \cdots d\theta_n \\ & = \sum_{\substack{u < q, r \leq v \\ q, r, l. d.}} I(q, r) + \sum_{\substack{u < q, r \leq v \\ q, r, l. d.}} I(q, r) \\ & \leq \Psi(u, v)^2 + 2(2^n - 1) \sum_{\substack{u < r \leq q \leq v \\ q, r, l. d.}} \psi(q) A(q_1, r_1) q_1^{-1} \end{aligned} \quad (33)$$

by Lemma 4. If r is linearly dependent of q and satisfies $u < r \leq q$, we put

$$\frac{r_1}{q_1} = \cdots = \frac{r_m}{q_m} = \frac{a}{b}, \quad (a, b) = 1,$$

then $b | q_i$ ($1 \leq i \leq m$), $\frac{u}{q} < \frac{a}{b} \leq 1$. Conversely, if $(a, b) = 1$, $b | q_i$ ($1 \leq i \leq m$), $\frac{u}{q} < \frac{a}{b} \leq 1$,

then $r = \left(\frac{a}{b} q_1, \dots, \frac{a}{b} q_m\right)$ is a lattice point which is linearly dependent of q and satisfies $u < r \leq q$.

Hence

$$\begin{aligned} & \sum_{\substack{u < r \leq q \leq v \\ q, r, l. d.}} \psi(q) A(q_1, r_1) q_1^{-1} = \sum_{u < q \leq v} \psi(q) \sum_{\substack{b | q_i \\ 1 \leq i \leq m}} \sum_{\substack{a \\ (a, b) = 1 \\ \frac{u}{q} < \frac{a}{b} \leq 1}} A\left(q_1, \frac{a}{b} q_1\right) q_1^{-1} \\ & = \sum_{u < q \leq v} \psi(q) \sum_{\substack{b | q_i \\ 1 \leq i \leq m}} \sum_{\substack{a \\ (a, b) = 1 \\ \frac{u}{q} < \frac{a}{b} \leq 1}} \frac{q_1}{b} q_1^{-1} \leq \sum_{u < q \leq v} \psi(q) \sum_{\substack{b | q_i \\ 1 \leq i \leq m}} \frac{1}{b} b = \sum_{u < q \leq v} \psi(q) d(q). \end{aligned}$$

Combining (33) and the above inequality, we obtain the lemma.

Take $\omega(h) = [\chi(h)]$. Let

$L_s = \{(u, v) \mid u \in S', v \in S', (\omega(u), \omega(v)) \text{ is an interval of any order } t, \omega(v) \leq 2^s\}$
and let $h^* = h^*(s)$ be the greatest integer satisfying $\omega(h) \leq 2^s$.

Lemma 6.

$$\sum_{(u,v) \in L_s} \int_{G_m} \cdots \int_{G_m} (N(u, v, \theta_1, \dots, \theta_n) - \Psi(u, v))^2 d\theta_1 \cdots d\theta_n = O(s2^s).$$

Proof By Lemma 5, every term in the above sum has upper bound

$$2(2^n - 1) \sum_{u < q \leq v} \psi(q) d(q).$$

We first sum over all the $(u, v) \in L_s$ for which $(\omega(u), \omega(v))$ is an interval of fixed order t . Since intervals of order t cover the positive axis exactly once, we have the upper bound

$$2(2^n - 1) \chi(h) = O(2^s).$$

Summing over t , the lemma follows.

Lemma 7. *There exists a sequence of subsets $\sigma_1, \sigma_2, \dots$ in G_{nm} with measures*

$$\mu_s = \int_{\sigma_s} d\theta_1 \cdots d\theta_n = O(s^{-1-s})$$

such that $N(h, \theta_1, \dots, \theta_n) = \Psi(h) + O(2^{\frac{3}{2}s} s^{\frac{3}{2}+s})$

holds for any $h \in S', \omega(h) \leq 2^s$ and $(\theta_1, \dots, \theta_n) \in G_{nm} \setminus \sigma_s$.

Proof We define σ_s to be the set of $(\theta_1, \dots, \theta_n) \in G_{nm}$ for which

$$\sum_{(u,v) \in L_s} (N(u, v, \theta_1, \dots, \theta_n) - \Psi(u, v))^2 \leq s^{2+s} 2^s \quad (34)$$

does not hold. By Lemma 6, we have

$$\mu_s = O(s^{-1-s}).$$

If $h \in S', \omega(h) \leq 2^s$, then by Lemma 3, $(0, \omega(h)]$ can be expressed as union of at most s intervals $(\omega(u), \omega(v))$, where $(u, v) \in L_s$. For $(\theta_1, \dots, \theta_n) \in G_{nm} \setminus \sigma_s$, summing over these (u, v) , we obtain

$$\begin{aligned} (N(h, \theta_1, \dots, \theta_n) - \Psi(h))^2 &= \left(\sum_{(u,v)} (N(u, v, \theta_1, \dots, \theta_n) - \Psi(u, v)) \right)^2 \\ &\leq s \sum_{(u,v) \in L_s} (N(u, v, \theta_1, \dots, \theta_n) - \Psi(u, v))^2 \leq s^{3+s} 2^s \end{aligned}$$

by (34) and Cauchy's inequality. The lemma follows.

Proof of Theorem 2. Since $\sum s^{-1-s} < \infty$, there exists for almost all $(\theta_1, \dots, \theta_n) \in G_{nm}$ a $s_0 = s_0(\theta_1, \dots, \theta_n)$ such that $(\theta_1, \dots, \theta_n) \notin \sigma_s$ for $s \geq s_0$. Suppose that $(\theta_1, \dots, \theta_n)$ has such a s_0 and h is so large that $\omega(h) \geq 2^{s_0}$. Pick s satisfying $2^{s-1} \leq \omega(h) < 2^s$. Then $s > s_0$.

Suppose that $h \in S'$. Since $(\theta_1, \dots, \theta_n) \notin \sigma_s$, Lemma 7 yields

$$N(h, \theta_1, \dots, \theta_n) = \Psi(h) + O(2^{\frac{1}{2}s} s^{\frac{3}{2}+s}) = \Psi(h) + O(\chi(h)^{\frac{1}{2}} (\log \chi(h))^{\frac{3}{2}+s}),$$

that is, the theorem holds for $h \in S'$. We can prove similarly the theorem for $h \in S''$.

For every h there exist $h' \in S'$ and $h'' \in S''$ such that

$$h' \leq h \leq h'', \quad \omega(h') = \omega(h) = \omega(h'').$$

Then we have

$$|\Psi(h) - \Psi(h')| \leq |\chi(h) - \chi(h')| \leq 1$$

Similarly

$$|\Psi(h) - \Psi(h'')| \leq 1$$

Since $N(h', \theta_1, \dots, \theta_n) \leq N(h, \theta_1, \dots, \theta_n) \leq N(h'', \theta_1, \dots, \theta_n)$,
the theorem follows.

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关于丢番图逼近论中的若干测度定理

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摘 要

本文证明了两条关于丢番图逼近论中的测度定理。(详细叙述见本文 § 1.) 这些定理是 P. X. Gallagher 定理的改进, 并包有 W. M. Schmidt 的测度定理. 还可以导出, 例如:

1° 对于几乎所有的 $(\theta_1, \dots, \theta_n) \in R_n$, 适合于

$$\prod_{i=1}^n \|q\theta_i\| q (\log q)^n < 1, \quad 1 \leq q \leq h$$

的整数 q 的个数为

$$\frac{2^n}{(n-1)!} \log \log h + O((\log \log h)^{1/2+\varepsilon}),$$

此处 $\|X\|$ 表示实数 X 至最近整数的距离, ε 为任意正常数, 而与“ O ”有关的常数依赖于 ε 与诸 θ_i .

2° W. M. Schmidt 与王元的转换定理中的性质 A 对于几乎所有的 $(\theta_{11}, \dots, \theta_{nm}) \in R_{nm}$ 都成立.