

# Thermal Creep Flow for the Boltzmann Equation

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*(In Honor of the Scientific Contributions of Professor Luc Tartar)*

**Abstract** It is known that the Boltzmann equation has close relation to the classical systems in fluid dynamics. However, it provides more information on the microscopic level so that some phenomena, like the thermal creep flow, can not be modeled by the classical systems of fluid dynamics, such as the Euler equations. The author gives an example to show this phenomenon rigorously in a special setting. This paper is completely based on the author's recent work, jointly with Wang and Yang.

**Keywords** Thermal creep flow, Non-classical fluid system, Boltzmann equation

**2000 MR Subject Classification** 76P05

## 1 Introduction

The fundamental equation in statistical physics for rarefied gas is the famous Boltzmann equation which gives a description of the time evolution of particle distribution. Even though it has close relation to the classical systems in fluid dynamics, it provides more information on the microscopic level so that it describes some phenomena which can not be modeled by using the classical Euler and Navier-Stokes equations. This kind of interesting phenomena, called the “ghost effect”, such as the thermal creep flow in a rarefied gas, was known since the time of Maxwell. The mathematical formulation and numerical computation on the basis of kinetic equation have been studied since the 1960s.

There was tremendous progress made on the mathematical theories for the Boltzmann equation, such as the global existence of weak (renormalized) solutions for large data (see [10]) and classical solutions as small perturbations of the equilibrium states (Maxwellian) (see [26]), fluid dynamic limits (see [2–3, 5, 11–12, 14, 19, 21–22, 27, 35–37] and the references therein), etc. Among them, the classical works of Hilbert, Chapman-Enskog reveal the close relation of the Boltzmann equation to the classical systems of fluid dynamics through asymptotic expansions with respect to the Knudsen number which is assumed to be small. In some physical situations, the studies of both Sone and his group, and Kogan showed that the classical systems, such as the Euler and Navier-Stokes equations, are not enough to describe the behavior of the macroscopic components in the solution to the Boltzmann equation. In addition to some interesting experiments, Sone and his group verified this kind of phenomena mainly by using asymptotic expansions and numerical computations.

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More precisely, the non-dimensional Boltzmann equation takes the form

$$Sh\partial_s f + \xi \cdot \nabla_z f = \frac{1}{\varepsilon} Q(f, f), \quad (s, z, \xi) \in \mathbb{R}_+ \times \mathbb{R}^3 \times \mathbb{R}^3.$$

Here  $f(s, z, \xi) \geq 0$  is the distribution function of particles, and  $Q(f, f)$  is the collision operator which is a non-local bilinear operator in the velocity variable with a kernel determined by the physics of particle interaction. There are two parameters  $Sh$  and  $\varepsilon$  in the above equation which are called Strouhal and Knudsen numbers, respectively. Their product  $Sh \cdot \varepsilon$  is  $2\sqrt{\pi}$  times the ratio of the mean free time to the reference time. And the non-dimensional parameters  $\varepsilon$  and  $Sh \cdot \varepsilon$  not only characterize the different effects coming from the molecular collisions, but also give the weight of the spatial and temporal derivatives with respect to the collision operator.

As mentioned, the relation between the Boltzmann equation and the classical systems of fluid dynamics was presented in the Hilbert and Chapman-Enskog expansions when  $Sh = 1$  and  $\varepsilon$  is small. And the justification of this kind of relations was raised in the Hilbert's sixth problem, i.e., the "mathematical treatment of the axioms of physics", in his famous lecture "Mathematical Problems" at ICM in 1900.

On the other hand, there are some phenomena described by the Boltzmann equation, for which the time evolution of the macroscopic components is not governed by the classical fluid dynamic systems. This happens, for example, when the parameters  $Sh$  and  $\varepsilon$  as well as the macroscopic velocity are small while the density and temperature are of the order 1, such as the thermal creep flow phenomenon. Unlike the Poiseuille flow induced by the gradient of pressure and described by the Navier-Stokes equations, the thermal creep flow is induced by the gradient of the wall temperature and can not be modeled by the Navier-Stokes equations. There were a lot of studies on this kind of phenomena and most of the results are mainly built on the asymptotic expansions and numerical computations (see [3, 30] and the references therein). Recently, Chen-Chen-Liu-Sone [8] first gave a rigorous mathematical analysis in which the thermal creep flow is studied for the stationary linearized Boltzmann equation. However, the time evolutionary and nonlinear problems about this kind of phenomena provide a lot of challenging mathematical topics remaining unsolved.

As for the thermal creep flow, we assume that both the Strouhal number and the macroscopic velocity (i.e., flow velocity) are of the order of  $\varepsilon$ , and rewrite the Boltzmann equation under the following scalings:

$$\varepsilon \partial_s f + \xi \cdot \nabla_z f = \frac{1}{\varepsilon} Q(f, f).$$

Hence, the solution to the Boltzmann equation has the following macroscopic and microscopic decompositions (see [3]):

$$f = M_{[\rho, \varepsilon u, \theta]} + \varepsilon G. \quad (1.1)$$

Here  $M_{[\rho, \varepsilon u, \theta]}$  is the local Maxwellian and  $G$  is the microscopic component. Moreover, the local Maxwellian  $M_{[\rho, \varepsilon u, \theta]}$  is defined by the five conserved quantities, that is, the mass density  $\rho(s, z)$ , the momentum density  $m(s, z) = \varepsilon \rho(s, z) u(s, z)$  and the energy density  $E(s, z) + \frac{1}{2} |\varepsilon u(s, z)|^2$

given by

$$\begin{cases} \rho(z, s) \equiv \int_{\mathbb{R}^3} f(z, s, \xi) d\xi, \\ m^i(z, s) \equiv \int_{\mathbb{R}^3} \psi_i(\xi) f(z, s, \xi) d\xi \quad \text{for } i = 1, 2, 3, \\ \left[ \rho \left( E + \frac{\varepsilon^2}{2} |u|^2 \right) \right](z, s) \equiv \int_{\mathbb{R}^3} \psi_4(\xi) f(z, s, \xi) d\xi, \end{cases} \quad (1.2)$$

as

$$M \equiv M_{[\rho, \varepsilon u, \theta]}(z, s, \xi) \equiv \frac{\rho(z, s)}{\sqrt{(2\pi R\theta(z, s))^3}} \exp \left( -\frac{|\xi - \varepsilon u(z, s)|^2}{2R\theta(z, s)} \right). \quad (1.3)$$

Here the collision invariants  $\psi_\alpha(\xi)$  are given by (see [4])

$$\begin{cases} \psi_0(\xi) \equiv 1, \\ \psi_i(\xi) \equiv \xi_i \quad \text{for } i = 1, 2, 3, \\ \psi_4(\xi) \equiv \frac{1}{2} |\xi|^2, \end{cases}$$

satisfying

$$\int_{\mathbb{R}^3} \psi_j(\xi) Q(h, g) d\xi = 0 \quad \text{for } j = 0, 1, 2, 3, 4.$$

As usual,  $\theta(s, z)$  is the temperature related to the internal energy  $E$  by  $E = \frac{3}{2}R\theta$  with  $R$  being the gas constant, and  $\varepsilon u(s, z)$  is the flow velocity. Here  $u$  is the scaled flow velocity which appears in the equations for the macroscopic variables  $\rho$  and  $\theta$ .

When  $\varepsilon \rightarrow 0$ , (1.1) implies that the solution converges to  $M_{[\rho, 0, \theta]}$  formally. Mathematically, it means that the equations governing the time evolution of the functions  $\rho$  and  $\theta$  depend actually on the scaled velocity  $u$  even though the macroscopic velocity tends to zero. An interesting phenomenon is that the flow moves from the low temperature to the high one when the gas is very rarified, while on the Euler or Navier-Stokes level, the flow moves from the high temperature to the low one, which is well-known in heat flow. Therefore, the resulting system of equations for these macroscopic variables,  $\rho, u$  and  $\theta$ , is not given by either the classical Euler or Navier-Stokes equations. Indeed, by expanding the variables in the power of  $\varepsilon$  and letting  $(\rho^0, u^0, \theta^0)$  be the leading order of the variables  $(\rho, u, \theta)$ , Bardos-Levermore-Ukai-Yang [3] derived the following system for  $(\rho^0, u^0, \theta^0)$  which is the same as that obtained by Sone using Hilbert expansion:

$$\begin{cases} \nabla_z(p^0) = 0, \\ \partial_s \rho^0 + \nabla_z \cdot (\rho^0 u^0) = 0, \\ \partial_s(\rho^0 u^0) + \nabla_z \cdot (\rho^0 u^0 \otimes u^0) + \nabla_z P^* \\ \quad = \nabla_z \cdot \left( \mu(\theta) \left( \nabla_z u^0 + (\nabla_z u^0)^T - \frac{2}{3} \nabla_z \cdot u^0 I \right) \right) - \nabla_z \cdot \Sigma(\rho^0, \theta^0), \\ \partial_s \left( \frac{3R}{2} \rho^0 \theta^0 \right) + \nabla_x \cdot \left( \frac{5R}{2} \rho^0 \theta^0 u^0 \right) = \nabla_z \cdot (\kappa(\theta^0) \nabla_z \theta^0), \end{cases} \quad (1.4)$$

where  $P^*$  is an unknown scalar pressure while

$$p^0 = R\rho^0\theta^0, \quad \mu(\theta) = \sqrt{\theta}\gamma_1(\theta), \quad \kappa(\theta) = \frac{5R}{2}\sqrt{\theta}\gamma_2(\theta),$$

$$\begin{aligned}\Sigma(\rho, \theta) &= \frac{\gamma_3(\theta)}{\rho} \Sigma_1(\theta) + \frac{\gamma_4(\theta)}{\rho} \Sigma_2(\theta), \\ \Sigma_1(\theta) &= \nabla_z^2 \theta - \frac{1}{3} \Delta_z \theta I, \quad \Sigma_2(\theta) = \nabla_z \theta \otimes \nabla_z \theta - \frac{1}{3} |\nabla_z \theta|^2 I,\end{aligned}$$

$I$  is the identity matrix, and  $\gamma_j(\theta)$  ( $j = 1, 2, 3, 4$ ) are positive functions of  $\theta > 0$  whose explicit formulas can be found in the book by Sone [32]. (1.4) is now called the ghost effect system. Notice that  $(1.4)_1$  means that

the pressure  $p^0 = R\rho^0\theta^0$  is a function of time but not of  $z$ .

Clearly, the function  $p^0$  is given by the boundary conditions or the far fields in the  $z$ -space but not by the initial condition. Recently, Levermore, Sun and Trivisa [25] established the local well-posedness result for the Cauchy problem of the ghost effect system (1.4). However, it is not clear whether there exists the ghost effect phenomenon in the solutions obtained in [25] or not.

In this note, we will consider the Boltzmann equation with slab symmetry so that the space dimension reduces to one. We first construct explicit solutions  $(\tilde{\rho}, \tilde{u}_1, \tilde{\theta})$  of (1.4) in which the flow moves from the low temperature to the high one (see Figures 1–2 below). These solutions precisely show the thermal creep flow phenomenon for (1.4).

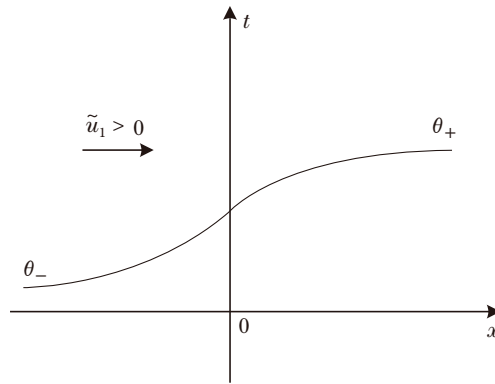


Figure 1  $\theta_- < \theta_+$

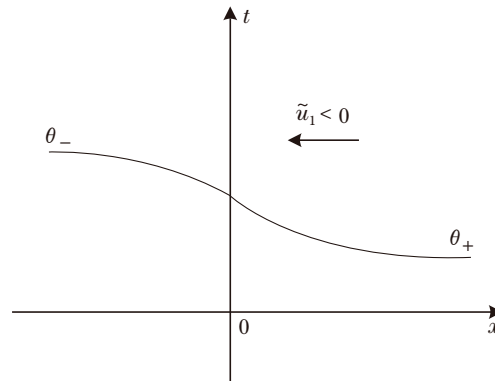


Figure 2  $\theta_- > \theta_+$

Furthermore, the expansion in the Knudsen number of the Boltzmann equation, as for the thermal creep flow, is justified mathematically, that is, (1.4) is indeed a good approximation for the ghost effect of the Boltzmann equation. Then we further show that when the Knudsen number is sufficiently small, the macroscopic components in the solutions of the nonlinear Boltzmann equation also have the thermal creep flow phenomenon in a special setting by stability analysis, based on the above special solutions as background states. The precise statement of the main results will be given in Theorem 2.1 in the next section.

## 2 Construction of the Profile and the Main Theorem

Since the profile studied is in one-space dimension, we consider the scaled Boltzmann equation with “slab symmetry”

$$\varepsilon \partial_s f + \xi_1 f_z = \frac{1}{\varepsilon} Q(f, f), \quad (f, z, s, \xi) \in \mathbb{R} \times \mathbb{R} \times \mathbb{R}^+ \times \mathbb{R}^3, \quad (2.1)$$

where  $f(z, s, \xi)$  represents the distributional density of particles at space-time  $(z, s)$  with velocity  $\xi$ . For monatomic gas, the rotational invariance of the molecule leads to the collision operator  $Q(f, f)$  as a bilinear collision operator in the form (see [4])

$$Q(f, g)(\xi) \equiv \frac{1}{2} \int_{\mathbb{R}^3} \int_{\mathbb{S}_+^2} (f(\xi')g(\xi'_*) + f(\xi'_*)g(\xi') - f(\xi)g(\xi_*) - f(\xi_*)g(\xi)) B(|\xi - \xi_*|, \theta) d\xi_* d\Omega$$

with  $\theta$  being the angle between the relative velocity and the unit vector  $\Omega$ . Here  $\mathbb{S}_+^2 = \{\Omega \in \mathbb{S}^2 : (\xi - \xi_*) \cdot \Omega \geq 0\}$ . The conservation of momentum and energy gives the following relation between velocities before and after collision:

$$\begin{cases} \xi' = \xi - [(\xi - \xi_*) \cdot \Omega] \Omega, \\ \xi'_* = \xi_* + [(\xi - \xi_*) \cdot \Omega] \Omega. \end{cases}$$

In this paper, we consider the Boltzmann equation for the hard sphere model, for simplicity, i.e., the collision kernel  $B(|\xi - \xi_*|, \theta)$  takes the form

$$B(|\xi - \xi_*|, \theta) = |(\xi - \xi_*, \Omega)|.$$

Using the macroscopic and microscopic decompositions as in [3], or in [26, 28], the Boltzmann equation (2.1) is equivalent to the following system (see [20] for details):

$$\begin{cases} \varepsilon \rho_s + (\varepsilon \rho u_1)_z = 0, \\ \varepsilon (\varepsilon \rho u_1)_s + (\varepsilon^2 \rho u_1^2 + p)_z = \frac{4}{3} \varepsilon (\mu(\theta) \varepsilon u_{1z})_z - \varepsilon \int \xi_1^2 \Theta_z d\xi, \\ \varepsilon (\varepsilon \rho u_i)_s + (\varepsilon^2 \rho u_1 u_i)_z = \varepsilon (\mu(\theta) \varepsilon u_{iz})_z - \varepsilon \int \xi_1 \xi_i \Theta_z d\xi, \quad i = 2, 3, \\ \varepsilon \left[ \rho \left( e + \frac{|\varepsilon u|^2}{2} \right) \right]_z + \left[ \varepsilon \rho u_1 \left( e + \frac{|\varepsilon u|^2}{2} \right) + \varepsilon p u_1 \right]_z \\ = \varepsilon (\kappa(\theta) \theta_z)_z + \frac{4}{3} \varepsilon (\varepsilon^2 \mu(\theta) u_1 u_{1z})_z + \sum_{i=2}^3 \varepsilon (\varepsilon^2 \mu(\theta) u_i u_{iz})_z - \varepsilon \int \frac{1}{2} \xi_1 |\xi|^2 \Theta_z d\xi, \end{cases} \quad (2.2)$$

together with the following equation for the non-fluid component  $G$ :

$$\varepsilon^2 G_s + P_1(\xi_1 M_z) + \varepsilon P_1(\xi_1 G_z) = L_M G + \varepsilon Q(G, G), \quad (2.3)$$

where

$$\begin{aligned} G &= L_M^{-1}(P_1(\xi_1 M_z)) + \Theta, \\ \Theta &= L_M^{-1}(\varepsilon^2 G_s + \varepsilon P_1(\xi_1 G_z) - \varepsilon Q(G, G)). \end{aligned}$$

Here  $L_M$  is the linearized operator of the collision operator with respect to the local Maxwellian  $M$ ,

$$L_M h = Q(M, h) + Q(h, M).$$

In the above presentation, we normalize the gas constant  $R$  to be  $\frac{2}{3}$  for simplicity so that  $e = \frac{3}{2}R\theta = \theta$  and  $p = R\rho\theta = \frac{2}{3}\rho\theta$ . Notice also that the viscosity coefficient  $\mu(\theta) > 0$  and the heat conductivity coefficient  $\kappa(\theta) > 0$  are smooth functions of the temperature  $\theta$ . And the following relation holds between these two functions (see [7, 15]):

$$\kappa(\theta) = \frac{15}{4}R\mu(\theta) = \frac{5}{2}\mu(\theta), \quad (2.4)$$

after taking  $R = \frac{2}{3}$ . It should be pointed out that (2.4) is crucially used in the following analysis. In fact, in our analysis, it is required that

$$\inf_{\theta} \kappa(\theta) > \frac{5}{4} \sup_{\theta} \mu(\theta)$$

for all  $\theta$  under consideration. By (2.4), it is known that the above condition holds provided that the variation of the temperature is suitably small.

Note that with slab symmetry, (1.4) in one-space dimension reads

$$\begin{cases} (\rho\theta)_z = 0, \\ \partial_s \rho + (\rho u_1)_z = 0, \\ \partial_s(\rho u_1) + (\rho u_1^2)_z + P_z^* = \frac{4}{3}(\mu(\theta)u_{1z})_z - \partial_z \Sigma(\rho, \theta), \quad i = 1, 2, 3, \\ \partial_s(\rho\theta) + \left(\frac{5}{3}\rho\theta u_1\right)_z = (\kappa(\theta)\theta_z)_z, \end{cases} \quad (2.5)$$

where  $P^*$  is an unknown function and  $\Sigma(\rho, \theta) = \frac{2}{3}\left(\frac{\gamma_3(\theta)}{\rho}\theta_{zz} + \frac{\gamma_4(\theta)}{\rho}(\theta_z)^2\right)$ .

With slab symmetry, on the macroscopic level, it is more convenient to rewrite the system and the equation by using the Lagrangian coordinates as in the study of conservation laws, that is to consider the coordinate transformation as follows:

$$(x, t) \doteq \left( \int_{(0,0)}^{(z,s)} \rho(y, s) dy - (\rho u_1)(y, s) ds, s \right).$$

(2.1) in the Lagrangian coordinates becomes

$$\varepsilon f_t - \frac{\varepsilon u_1}{v} f_x + \frac{\xi_1}{v} f_x = \frac{1}{\varepsilon} Q(f, f). \quad (2.6)$$

Moreover, (2.2) and (2.3) take the forms

$$\left\{ \begin{array}{l} \varepsilon v_t - \varepsilon u_{1x} = 0, \\ \varepsilon^2 u_{1t} + p_x = \frac{4}{3} \varepsilon^2 \left( \frac{\mu(\theta)}{v} u_{1x} \right)_x - \varepsilon \int \xi_1^2 \Theta_{1x} d\xi, \\ \varepsilon^2 u_{it} = \varepsilon^2 \left( \frac{\mu(\theta)}{v} u_{ix} \right)_x - \varepsilon \int \xi_1 \xi_i \Theta_{1x} d\xi, \quad i = 2, 3, \\ \varepsilon \left( e + \frac{|\varepsilon u|^2}{2} \right)_t + (\varepsilon p u_1)_x = \varepsilon \left( \frac{\kappa(\theta)}{v} \theta_x \right)_x + \frac{4}{3} \varepsilon^3 \left( \frac{\mu(\theta)}{v} u_1 u_{1x} \right)_x \\ \quad + \sum_{i=2}^3 \varepsilon^3 \left( \frac{\mu(\theta)}{v} u_i u_{ix} \right)_x - \varepsilon \int \frac{1}{2} \xi_1 |\xi|^2 \Theta_{1x} d\xi \end{array} \right. \quad (2.7)$$

and

$$\varepsilon^2 G_t - \frac{\varepsilon^2 u_1}{v} G_x + P_1 \left( \frac{\xi_1}{v} M_x \right) + \varepsilon P_1 \left( \frac{\xi_1}{v} G_x \right) = L_M G + \varepsilon Q(G, G), \quad (2.8)$$

respectively, with

$$G = L_M^{-1} \left( P_1 \left( \frac{\xi_1}{v} M_x \right) \right) + \Theta_1$$

and

$$\Theta_1 = L_M^{-1} \left( \varepsilon^2 G_t - \frac{\varepsilon^2 u_1}{v} G_x + \frac{\varepsilon}{v} P_1(\xi_1 G_x) - \varepsilon Q(G, G) \right), \quad (2.9)$$

respectively.

On the other hand, (1.4) derived by Bardos-Levermore-Ukai-Yang [3] becomes

$$\left\{ \begin{array}{l} \left( \frac{\theta}{v} \right)_x = 0, \\ v_t - u_{1x} = 0, \\ u_{1t} + P_x^* = \frac{4}{3} \left( \frac{\mu(\theta)}{v} u_{1x} \right)_x - \partial_x \Sigma \left( \frac{1}{v}, \theta \right), \\ \theta_t + (p u_1)_x = \left( \frac{\kappa(\theta)}{v} \theta_x \right)_x. \end{array} \right. \quad (2.10)$$

## 2.1 Construction of the profile

We will construct the profile with the thermal creep flow in this subsection. We first consider (2.10) and try to find a special solution in which the thermal creep flow is shown as in Figures 1–2 in the previous section. From (2.10)<sub>1</sub>, we observe that  $\theta \equiv v$  and  $p = \frac{2}{3} \frac{\theta}{v} \equiv \frac{2}{3}$ , if we assume, without loss of generality, that the boundary conditions at the far fields satisfy

$$\lim_{x \rightarrow \pm\infty} (v, \theta)(x, t) = (v_{\pm}, \theta_{\pm}), \quad \frac{\theta_+}{v_+} = \frac{\theta_-}{v_-} = 1 \quad \text{with } \theta_- \neq \theta_+.$$

Then (2.10)<sub>4</sub> is rewritten as

$$\theta_t + \frac{2}{3} u_{1x} = \left( \frac{\kappa(\theta)}{\theta} \theta_x \right)_x. \quad (2.11)$$

Substituting (2.10)<sub>2</sub> into (2.11) and noting that  $v \equiv \theta$ , we get the following scalar nonlinear diffusion equation:

$$\theta_t = (a(\theta)\theta_x)_x, \quad a(\theta) = \frac{3\kappa(\theta)}{5\theta}. \quad (2.12)$$

From [1] and [9], it is known that the nonlinear diffusion equation (2.12) admits a self-similar solution  $\hat{\theta}(\eta)$  with  $\eta = \frac{x}{\sqrt{1+t}}$  satisfying the boundary conditions  $\hat{\theta}(\pm\infty, t) = \theta_{\pm}$ . Let  $\delta = |\theta_+ - \theta_-|$ , and then  $\hat{\theta}(t, x)$  has the property that

$$\hat{\theta}_x(t, x) = \frac{O(1)\delta}{\sqrt{1+t}} e^{-\frac{x^2}{4a(\hat{\theta}_{\pm})(1+t)}} \quad \text{as } x \rightarrow \pm\infty. \quad (2.13)$$

Define

$$(\tilde{v}, \tilde{u}_1, \tilde{\theta}) \doteq (\hat{\theta}, a(\hat{\theta})\hat{\theta}_x, \hat{\theta})(x, t), \quad (2.14)$$

and then from (2.12), it can be checked that  $(\tilde{v}, \tilde{u}_1, \tilde{\theta})$  satisfies (2.10) as

$$\begin{cases} \left(\frac{\tilde{\theta}}{\tilde{v}}\right)_x = 0, \\ \tilde{v}_t - \tilde{u}_{1x} = 0, \\ \tilde{u}_{1t} + \tilde{P}_x^* = \frac{4}{3} \left(\frac{\mu(\tilde{\theta})}{\tilde{v}} \tilde{u}_{1x}\right)_x - \partial_x \Sigma\left(\frac{1}{\tilde{v}}, \tilde{\theta}\right), \\ \tilde{\theta}_t + (\tilde{p} \tilde{u}_1)_x = \left(\frac{\kappa(\tilde{\theta})}{\tilde{v}} \tilde{\theta}_x\right)_x, \end{cases}$$

where  $\tilde{P}^* = -a(\hat{\theta})\hat{\theta}_t + \frac{4\mu(\hat{\theta})}{3\hat{\theta}}(a(\hat{\theta})\hat{\theta}_x)_x + \partial_x \Sigma(\frac{1}{\hat{v}}, \hat{\theta})$ . That is,  $(\tilde{v}, \tilde{u}_1, \tilde{\theta}, \tilde{P}^*)$  is a special solution of the ghost effect system (2.10).

**Remark 2.1** If  $\theta_- < \theta_+$ , then  $\tilde{u}_1 = a(\hat{\theta})\hat{\theta}_x > 0$ , that is, the flow moves from the low temperature to the high one (see Figure 1). The case  $\theta_- > \theta_+$  also has the same phenomenon.

**Remark 2.2** The construction of the profile  $(\tilde{v}, \tilde{u}_1, \tilde{\theta})$  is motivated by the one of the viscous contact wave of compressible Navier-Stokes equations (see [18, 23–24]). The viscous contact wave is used to approximate the contact discontinuity for compressible Euler equations and its pressure keeps constant.

For the Boltzmann equation, if we use the profile  $(\tilde{v}, \tilde{u}_1, \tilde{\theta})$ , then some non- $t$ -integrable error terms with bad  $\varepsilon$ -decay rates, coming from the non-fluid component, exist for the integrated equation for  $(\Phi, \Psi, \overline{W})$  (see Subsection 2.2). Therefore, we need to construct a profile  $(\bar{v}, \varepsilon \bar{u}, \bar{\theta})$  for the Boltzmann equation, based on the explicit solutions  $(\tilde{v}, \tilde{u}_1, \tilde{\theta})$  of the ghost effect system (2.10). For this, we require that the approximate pressure  $p$  satisfy

$$\bar{p} = \frac{2\bar{\theta}}{3\bar{v}} = \frac{2}{3} + O(1)\varepsilon^2 = p_+ + O(1)\varepsilon^2 = p_- + O(1)\varepsilon^2. \quad (2.15)$$

Motivated by [24] for the Boltzmann equation, we first notice that the principle part of the non-fluid component in the solution  $G$  and part of  $\Theta_1$  defined in (2.9) are given by

$$w = \frac{1}{v} L_M^{-1}(P_1(\xi_1 M_x)) = \frac{1}{Rv\theta} L_M^{-1} \left\{ P_1 \left[ \xi_1 \left( \frac{|\xi - \varepsilon u|^2}{2\theta} \theta_x + \xi \cdot \varepsilon u_x \right) M \right] \right\}$$



and

$$\widehat{\Theta}_1 = L_M^{-1} \left( \frac{\varepsilon}{v} P_1(\xi_1 w_x) - \varepsilon Q(w, w) \right),$$

respectively. To distinguish the leading term coming from the non-fluid component, we rewrite the Boltzmann equation (2.7) as

$$\begin{cases} \varepsilon v_t - \varepsilon u_{1x} = 0, \\ \varepsilon^2 u_{1t} + p_x = \frac{4}{3} \varepsilon^2 \left( \frac{\mu(\theta)}{v} u_{1x} \right)_x - \sum_{j=1}^2 \varepsilon \int \xi_1^2 \Theta_{1x}^j d\xi, \\ \varepsilon^2 u_{it} = \varepsilon^2 \left( \frac{\mu(\theta)}{v} u_{ix} \right)_x - \sum_{j=1}^2 \varepsilon \int \xi_1 \xi_i \Theta_{1x}^j d\xi, \quad i = 2, 3, \\ \varepsilon \left( e + \frac{|\varepsilon u|^2}{2} \right)_t + (\varepsilon p u_1)_x = \varepsilon \left( \frac{\kappa(\theta)}{v} \theta_x \right)_x - \sum_{j=1}^2 \varepsilon \int \frac{1}{2} \xi_1 |\xi|^2 \Theta_{1x}^j d\xi + H_x \end{cases} \quad (2.16)$$

with

$$\begin{aligned} \varepsilon^2 \widetilde{G}_t - L_M \widetilde{G} &= -\frac{1}{Rv\theta} P_1 \left[ \xi_1 \left( \frac{|\xi - \varepsilon u|^2}{2\theta} (\theta - \bar{\theta})_x + \xi \cdot (\varepsilon u - \varepsilon \bar{u})_x \right) M \right] \\ &\quad + \frac{\varepsilon^2 u_1}{v} G_x - \frac{\varepsilon}{v} P_1(\xi_1 G_x) + \varepsilon Q(G, G) - \varepsilon^2 \bar{G}_t, \end{aligned} \quad (2.17)$$

where

$$\begin{cases} \bar{G} = \frac{1}{Rv\theta} L_M^{-1} \left\{ P_1 \left[ \xi_1 \left( \frac{|\xi - \varepsilon u|^2}{2\theta} \bar{\theta}_x + \xi \cdot \varepsilon \bar{u}_x \right) M \right] \right\}, \quad \widetilde{G} = G - \bar{G}, \\ H = \frac{4\varepsilon^3}{3} \frac{\mu(\theta)}{v} u_1 u_{1x} + \sum_{i=2}^3 \varepsilon^3 \frac{\mu(\theta)}{v} u_i u_{ix}, \\ \Theta_1^1 = L_M^{-1} \left( \frac{\varepsilon}{v} P_1(\xi_1 \bar{G}_x) - \varepsilon Q(\bar{G}, \bar{G}) \right), \\ \Theta_1^2 = L_M^{-1} \left( \varepsilon^2 G_t - \frac{\varepsilon^2 u_1}{v} G_x + \frac{\varepsilon}{v} P_1(\xi_1 \widetilde{G}_x) - \varepsilon Q(\widetilde{G}, \widetilde{G}) - 2\varepsilon Q(\bar{G}, \widetilde{G}) \right) \end{cases} \quad (2.18)$$

satisfy

$$\sum_{j=1}^2 \Theta_1^j = \Theta_1 = L_M^{-1} \left( \varepsilon^2 G_t - \frac{\varepsilon^2 u_1}{v} G_x + \frac{\varepsilon}{v} P_1(\xi_1 G_x) - \varepsilon Q(G, G) \right).$$

Here, the function  $(\bar{v}, \varepsilon \bar{u}, \bar{\theta})(x, t)$  is the profile to be constructed.

Since the velocity  $\varepsilon u$  decays faster than  $(v, \theta)$  in time, the leading part in the energy equation (2.16)<sub>4</sub> is

$$\varepsilon \theta_t + \varepsilon p u_{1x} = \varepsilon \left( \frac{\kappa(\theta)}{v} \theta_x \right)_x - \varepsilon \int \frac{1}{2} \xi_1 |\xi|^2 \Theta_{1x}^1 d\xi. \quad (2.19)$$

By the definition of  $\Theta_1^1$ , it holds that

$$\begin{cases} -\varepsilon \int \frac{1}{2} \xi_1 |\xi|^2 \Theta_1^1 d\xi = \varepsilon^2 N_1 + \varepsilon^3 F_1, \\ N_1 = f_{11} \theta_x \bar{\theta}_x + f_{12} v_x \bar{\theta}_x + f_{13} \bar{\theta}_x^2 + f_{14} \bar{\theta}_{xx}, \\ |F_1| = O(1)(|v_x| + |\theta_x| + |\bar{\theta}_x| + \varepsilon |u_x| + \varepsilon |\bar{u}_x|) |\bar{u}_x| + |u_x \bar{\theta}_x| + |\bar{u}_{xx}|, \end{cases} \quad (2.20)$$

where the coefficients  $f_{1j}$  ( $j = 1, 2, 3, 4$ ) are smooth functions of  $(v, \varepsilon u, \theta)$ . By (2.15), it is expected that the profile  $(\bar{v}, \varepsilon \bar{u}, \bar{\theta})$  for the Boltzmann equation satisfies  $\bar{\theta} \cong \bar{v}$ . Thus, by choosing only the leading term in (2.19), we have

$$\varepsilon \theta_t = \varepsilon (a(\theta) \theta_x)_x + \frac{3\varepsilon^2}{5} N_{1x}, \quad (2.21)$$

where  $a(\theta)$  is given in (2.12). Thus the leading part of (2.21) is the nonlinear diffusion equation (2.12) and an explicit solution  $\hat{\theta}(\frac{x}{\sqrt{1+t}})$  is given with the boundary conditions  $\hat{\theta}(\pm\infty, t) = \theta_{\pm}$ .

To include more microscopic effects, let the profile  $\bar{\theta} \approx \hat{\theta}(\frac{x}{\sqrt{1+t}}) + \varepsilon \theta^{nf}(x, t)$ , where  $\theta^{nf}(x, t)$  represents the part of the nonlinear diffusion wave coming from the non-fluid component and not appearing on the macroscopic level. Moreover, the term  $\theta^{nf}(x, t)$  in the form  $\frac{1}{\sqrt{1+t}} D_1(\frac{x}{\sqrt{1+t}})$  is from  $N_1$  in (2.21). Note that  $\theta^{nf}(x, t)$  decays faster than  $\hat{\theta}(x, t)$  so that it can be viewed as a perturbation around the Navier-Stokes profile  $\hat{\theta}(x, t)$ . To construct  $\theta^{nf}(x, t)$ , we linearize the equation (2.21) around  $\hat{\theta}(x, t)$  and keep only the linear terms. This leads to a linear equation for  $\theta^{nf}(x, t)$  from (2.21) as follows:

$$\theta_t^{nf} = (a(\hat{\theta}) \theta_x^{nf})_x + (a'(\hat{\theta}) \hat{\theta}_x \theta^{nf})_x + \frac{3}{5} \hat{N}_{1x}, \quad (2.22)$$

where  $\hat{N}_1 = (\hat{f}_{11} + \hat{f}_{12} + \hat{f}_{13})(\hat{\theta}_x)^2 + \hat{f}_{14} \hat{\theta}_{xx}$  with  $\hat{f}_{1j} = f_{1j}(\tilde{v}, 0, \hat{\theta})$ ,  $j = 1, 2, 3, 4$ . Let

$$g_1(x, t) = \int_{-\infty}^x \theta^{nf}(x, t) dx,$$

and then integrating (2.22) with respect to  $x$  yields that

$$g_{1t} = a(\hat{\theta}) g_{1xx} + a'(\hat{\theta}) \hat{\theta}_x g_{1x} + \frac{3}{5} \hat{N}_1. \quad (2.23)$$

Note that  $\hat{N}_1$  takes the form  $\frac{1}{1+t} D_2(\frac{x}{\sqrt{1+t}})$  and satisfies the property

$$|\hat{N}_1| = O(1) \delta (1+t)^{-1} e^{-\frac{x^2}{4a(\theta_{\pm})(1+t)}} \quad \text{as } x \rightarrow \pm\infty.$$

We can check that there exists a self-similar solution  $g_1(\eta)$ ,  $\eta = \frac{x}{\sqrt{1+t}}$  for (2.23) with the boundary condition  $g_1(-\infty, t) = 0$ ,  $g_1(+\infty, t) = \delta_1$ . Here  $\delta_1$  satisfies  $0 < \delta_1 < \delta$ . It is worthy of pointing out that even though the function  $g_1(x, t)$  depends on the constant  $\delta_1$ ,  $\theta^{nf}(x, t) = g_{1x}(x, t) \rightarrow 0$  as  $x \rightarrow \pm\infty$ . That is, the choice of the constant  $\delta_1$  has no influence on the ansatz as long as  $|\delta_1| < \delta$ . From now on, we fix  $\delta_1$  so that the function  $g_1(x, t)$  is uniquely determined and its derivative  $g_{1x} = \theta^{nf}$  has the property

$$|\theta^{nf}| = |g_{1x}| = O(\delta) (1+t)^{-\frac{1}{2}} e^{-\frac{x^2}{4a(\theta_{\pm})(1+t)}} \quad \text{as } x \rightarrow \pm\infty.$$

Now we follow the same procedure to construct the second and third components of the velocity of the ghost profile denoted by  $\varepsilon \bar{u}_i$  ( $i = 2, 3$ ). Similarly, the leading part of the equation for  $\varepsilon u_i$  coming from (2.16) is

$$\varepsilon^2 u_{it} = \varepsilon^2 \left( \frac{\mu(\theta)}{\theta} u_{ix} \right)_x - \varepsilon \int \xi_1 \xi_i \Theta_{1x}^1 d\xi. \quad (2.24)$$

For  $i = 2, 3$ , we have

$$\begin{cases} -\varepsilon \int \xi_1 \xi_i \Theta_1^1 d\xi = \varepsilon^2 N_i + \varepsilon^3 F_i, \\ N_i = f_{i1} \theta_x \bar{\theta}_x + f_{i2} v_x \bar{\theta}_x + f_{i3} \bar{\theta}_x^2 + f_{i4} \bar{\theta}_{xx}, \\ |F_i| = O(1)(|v_x| + |\theta_x| + |\bar{\theta}_x| + \varepsilon|u_x| + \varepsilon|\bar{u}_x|)|\bar{u}_x| + |u_x||\bar{\theta}_x| + |\bar{u}_{xx}|) \end{cases} \quad (2.25)$$

with smooth functions  $f_{ij}$  ( $i = 2, 3$ ,  $j = 1, 2, 3, 4$ ). Notice that the symbols  $N_i$  and  $F_i$  ( $i = 2, 3$ ), used here are for the convenience of notations.

From (2.24)–(2.25), we expect that the profile  $\bar{u}_i(x, t)$  takes the form  $\frac{1}{\sqrt{1+t}} h_i(\frac{x}{\sqrt{1+t}})$  and satisfies the following linear equation:

$$\varepsilon^2 \bar{u}_{it} = \varepsilon^2 \left( \frac{\mu(\hat{\theta})}{\hat{\theta}} \bar{u}_{ix} \right)_x + \varepsilon^2 \hat{N}_{ix}, \quad i = 2, 3, \quad (2.26)$$

where  $\hat{N}_i = (\hat{f}_{i1} + \hat{f}_{i2} + \hat{f}_{i3})(\hat{\theta}_x)^2 + \hat{f}_{i4} \hat{\theta}_{xx}$ ,  $\hat{f}_{ij} = f_{ij}(\tilde{v}, 0, \hat{\theta})$ ,  $i = 2, 3$ ,  $j = 1, 2, 3, 4$ .

Denote

$$g_i(x, t) = \int_{-\infty}^x \bar{u}_i(x, t) dx,$$

and then integrating (2.26) with respect to  $x$ , we have

$$g_{it} = \frac{\mu(\hat{\theta})}{\hat{\theta}} g_{ixx} + \hat{N}_i. \quad (2.27)$$

For given  $\hat{\theta}$ , we can check that there exists a self-similar solution  $g_i(\eta)$  with  $\eta = \frac{x}{\sqrt{1+t}}$  and the boundary conditions  $g_i(-\infty, t) = 0$ ,  $g_i(+\infty, t) = \delta_i$ , where  $\delta_i$  satisfies  $0 < \delta_i < \delta$ . As we explained before, the choice of the constant  $\delta_i$  is not important to our result. From (2.13), we fix  $\delta_i$  so that the function  $g_i(x, t)$  is uniquely determined and the derivative  $g_{ix} = \bar{u}_i$  ( $i = 2, 3$ ) has the following property:

$$|\varepsilon \bar{u}_i| = |\varepsilon g_{ix}| = O(1) \delta \varepsilon (1+t)^{-\frac{1}{2}} e^{-\frac{x^2}{4b(\theta_{\pm})(1+t)}} \quad \text{as } x \rightarrow \pm\infty,$$

where  $b(\theta_{\pm}) = \max \left\{ a(\theta_{\pm}), \frac{\mu(\theta_{\pm})}{\theta_{\pm}} \right\}$ .

In summary, we can define the profile with the ghost effect  $(\bar{v}, \varepsilon \bar{u}, \bar{\theta})$  for the Boltzmann equation as follows. To satisfy the conservation of mass, we need

$$\varepsilon \bar{v}_t - \varepsilon \bar{u}_{1x} = 0.$$

By letting  $\bar{v} = \hat{\theta} + \varepsilon \theta^{nf}$ , we have

$$\varepsilon \bar{u}_1 = \varepsilon [a(\hat{\theta}) \hat{\theta}_x + \varepsilon a(\hat{\theta}) \theta_x^{nf} + \varepsilon a'(\hat{\theta}) \hat{\theta}_x \theta^{nf}] + \frac{3\varepsilon^2}{5} \hat{N}_1. \quad (2.28)$$

However, by plugging (2.28) into the momentum equation of (2.16), we have a non-conservative term containing  $\varepsilon^2 \hat{N}_{1t}$ . To avoid this, we define

$$\varepsilon \bar{u}_1 = \varepsilon [a(\hat{\theta}) \hat{\theta}_x + \varepsilon a(\hat{\theta}) \theta_x^{nf} + \varepsilon a'(\hat{\theta}) \hat{\theta}_x \theta^{nf}].$$

Similarly, to avoid the non-conservative term  $(|\bar{u}|^2)_t$  in the energy equation, we set

$$\tilde{\theta} = \theta^{ns} + \varepsilon \theta^{nf} - \frac{1}{2} |\varepsilon \bar{u}|^2.$$

Therefore, the profile  $(\bar{v}, \varepsilon \bar{u}, \bar{\theta})$  is finally defined as

$$\begin{cases} \bar{v} = \hat{\theta} + \varepsilon \theta^{nf}, \\ \varepsilon \bar{u}_1 = \varepsilon [a(\hat{\theta})\hat{\theta}_x + \varepsilon a(\hat{\theta})\theta_x^{nf} + \varepsilon a'(\hat{\theta})\hat{\theta}_x \theta^{nf}], \\ \varepsilon \bar{u}_i = \varepsilon g_{ix}, \quad i = 2, 3, \\ \bar{\theta} = \hat{\theta} + \varepsilon \theta^{nf} - \frac{1}{2}|\varepsilon \bar{u}|^2, \end{cases} \quad (2.29)$$

where  $\hat{\theta}$  is given by (2.12),  $\theta^{nf}$  by (2.22) and  $g_i$  ( $i = 2, 3$ ) by (2.27). Then a direct but tedious computation shows that

$$\begin{cases} \varepsilon \bar{v}_t - \varepsilon \bar{u}_{1x} = \frac{3\varepsilon^2}{5} \hat{N}_{1x}, \\ \varepsilon^2 \bar{u}_{1t} + \bar{p}_x = \frac{4\varepsilon^2}{3} \left( \frac{\mu(\bar{\theta})}{\bar{v}} \bar{u}_{1x} \right)_x + \bar{R}_{1x}, \\ \varepsilon^2 \bar{u}_{it} = \varepsilon^2 \left( \frac{\mu(\bar{\theta})}{\bar{v}} \bar{u}_{ix} \right)_x + \varepsilon^2 \bar{N}_{ix} + \bar{R}_{ix}, \quad i = 2, 3, \\ \varepsilon \left( \bar{e} + \frac{|\varepsilon \bar{u}|^2}{2} \right)_t + (\varepsilon \bar{p} u_1)_x = \varepsilon \left( \frac{\kappa(\bar{\theta})}{\bar{v}} \bar{\theta}_x \right)_x + \bar{H}_x + \varepsilon^2 \bar{N}_{1x} - \frac{2\varepsilon^2}{5} \hat{N}_{1x} + \bar{R}_{4x}, \end{cases} \quad (2.30)$$

where

$$\bar{R}_1 = \varepsilon^2 [a(\hat{\theta})\hat{\theta}_t + (a(\hat{\theta})\theta^{nf})_t] + \bar{p} - p_+ - \frac{4}{3}\varepsilon \left( \frac{\mu(\bar{\theta})}{\bar{v}} \varepsilon \bar{u}_{1x} \right) \quad (2.31)$$

$$= O(1)\delta\varepsilon^2(1+t)^{-1}e^{-\frac{x^2}{4c(\theta_{\pm})(1+t)}} \quad \text{as } x \rightarrow \pm\infty,$$

$$\bar{R}_i = \varepsilon \left[ \frac{\mu(\hat{\theta})}{\hat{\theta}} - \frac{\mu(\bar{\theta})}{\bar{v}} \right] \varepsilon \bar{u}_{ix} + \varepsilon^2 (\hat{N}_i - \bar{N}_i)$$

$$= O(1)\delta\varepsilon^3(1+t)^{-\frac{3}{2}}e^{-\frac{x^2}{4c(\theta_{\pm})(1+t)}} \quad \text{as } x \rightarrow \pm\infty, \quad i = 2, 3,$$

$$\bar{R}_4 = \left[ \frac{5}{3}\varepsilon(a(\hat{\theta})\hat{\theta}_x + a(\hat{\theta})\theta_x^{nf} + a'(\hat{\theta})\hat{\theta}_x \theta^{nf}) - \varepsilon \frac{\kappa(\bar{\theta})}{\bar{v}} \bar{\theta}_x \right]$$

$$+ (\bar{p} - p_+)\varepsilon \bar{u}_1 + \varepsilon^2 (\hat{N}_1 - \bar{N}_1) - \bar{H}$$

$$= O(1)\delta\varepsilon^3(1+t)^{-\frac{3}{2}}e^{-\frac{x^2}{4c(\theta_{\pm})(1+t)}} \quad \text{as } x \rightarrow \pm\infty,$$

$$\hat{N}_i = O(1)\delta(1+t)^{-1}e^{-\frac{x^2}{4a(\theta_{\pm})(1+t)}} \quad \text{as } x \rightarrow \pm\infty, \quad i = 1, 2, 3 \quad (2.32)$$

with  $c(\theta_{\pm}) = \max\{a(\theta_{\pm}), \frac{1}{2}b(\theta_{\pm})\}$ ,  $\bar{N}_i$  ( $i = 1, 2, 3$ ) and  $\bar{H}$  are the corresponding functions defined in (2.18), (2.20) and (2.25) by substituting the variable  $(v, \varepsilon u, \theta)$  by the profile  $(\bar{v}, \varepsilon \bar{u}, \bar{\theta})$ . It is worthy of pointing out that the decay rate of  $\bar{R}_i$  ( $i = 2, 3, 4$ ) is of order  $\varepsilon^3(1+t)^{-\frac{3}{2}}$ . Furthermore, even though the decay rate of  $\bar{R}_1$  is still  $\varepsilon^2(1+t)^{-1}$ , it is sufficient to give the desired a priori estimates through a subtle analysis coming from the intrinsic dissipation mechanism in the momentum equations.

Define

$$\bar{M} = \frac{\bar{v}^{-1}}{\sqrt{(2\pi R\bar{\theta})^3}} \exp\left(-\frac{|\xi - \varepsilon \bar{u}|^2}{2R\bar{\theta}}\right), \quad \bar{G}_0 = L_{\bar{M}}^{-1}\left(\frac{1}{\bar{v}}\bar{P}_1(\xi_1 \bar{M}_x)\right)$$

and

$$\bar{f} = \bar{M} + \varepsilon \bar{G}_0.$$

Then from (2.30) we have

$$\varepsilon \bar{f}_t - \frac{\varepsilon \bar{u}_1}{\bar{v}} \bar{f}_x + \frac{1}{\bar{v}} \xi_1 \bar{f}_x = L_{\bar{M}} \bar{G}_0 + \varepsilon Q(\bar{G}_0, \bar{G}_0) + \bar{R}_{\bar{f}}, \quad (2.33)$$

where

$$\bar{R}_{\bar{f}} = \varepsilon^2 \hat{B}_2(x, t, \xi) \bar{M} + \varepsilon^2 \bar{G}_{0t} - \varepsilon \frac{\varepsilon \bar{u}_1}{\bar{v}} \bar{G}_{0x} + \varepsilon \bar{P}_1 \left( \frac{\varepsilon}{\bar{v}} \bar{G}_{0x} \right) - \varepsilon Q(\bar{G}_0, \bar{G}_0)$$

and  $|\hat{B}_2(x, t, \xi)| = O(1)\delta(1+t)^{-\frac{3}{2}} e^{-\frac{x^2}{4c(\theta_{\pm})(1+t)}} |\xi|^3$  as  $x \rightarrow \pm\infty$ .

**Remark 2.3** From the definition of  $(\tilde{v}, \tilde{u}_1, \tilde{\theta})$  in (2.14) and the definition of  $(\bar{v}, \bar{u}_1, \bar{\theta})$  in (2.29), it holds that

$$|(\bar{v} - \tilde{v}, \bar{u}_1 - \tilde{u}_1, \bar{\theta} - \tilde{\theta})(x, t)| = O(1)\delta\varepsilon(1+t)^{-\frac{1}{2}} e^{-\frac{x^2}{4c(\theta_{\pm})(1+t)}} \quad \text{as } x \rightarrow \pm\infty, \quad (2.34)$$

which implies that the ansatz  $(\bar{v}, \bar{u}_1, \bar{\theta})$  approximates the solution  $(\tilde{v}, \tilde{u}_1, \tilde{\theta}, \tilde{P}^*)$  to the ghost-effect system (2.10) as  $\varepsilon$  is small.

## 2.2 The main result

Now we consider (2.7)–(2.8) with the initial data

$$(v, u, \theta)|_{t=0} = (\bar{v}, \bar{u}, \bar{\theta})(x, 0), \quad G(x, t)|_{t=0} = \bar{G}(x, 0). \quad (2.35)$$

We have the following theorem.

**Theorem 2.1** *Let  $(\bar{v}, \bar{u}, \bar{\theta})(x, t)$  be the profile defined in (2.29) with strength  $\delta = |\theta_+ - \theta_-|$ . Then there exist small positive constants  $\delta_0$  and  $\varepsilon_0$  and a global Maxwellian  $M_* = M_{[v_*, u_*, \theta_*]}$ , such that when  $\delta \leq \delta_0$  and  $\varepsilon \leq \varepsilon_0$ , the Cauchy problem (2.7)–(2.8) with the initial data (2.35) has a unique global solution  $(v, u, \theta, G)$  satisfying, for any sufficiently small but fixed positive constant  $\vartheta > 0$ ,*

$$\begin{cases} \|(v - \bar{v}, \varepsilon u - \varepsilon \bar{u}, \theta - \bar{\theta})(t)\|_{L_x^2}^2 \leq C\sqrt{\delta}\varepsilon^3(1+t)^{-1+C_0\sqrt{\delta}}, \\ \|(v - \bar{v}, \varepsilon u - \varepsilon \bar{u}, \theta - \bar{\theta})_x(t)\|_{L_x^2}^2 \leq C\sqrt{\delta}\varepsilon^2(1+t)^{-\frac{3}{2}+\vartheta+C_0\sqrt{\delta}}, \\ \|f_{xx}(t)\|_{L_x^2(L_\xi^2(\frac{1}{\sqrt{M_*}}))}^2 + \|(v - \bar{v}, \varepsilon u - \varepsilon \bar{u}, \theta - \bar{\theta})_{xx}(t)\|_{L_x^2}^2 \leq C\sqrt{\delta}(1+t)^{-\frac{3}{2}+\vartheta+C_0\sqrt{\delta}}, \\ \|(G - \bar{G})(t)\|_{L_x^2(L_\xi^2(\frac{1}{\sqrt{M_*}}))}^2 \leq C\sqrt{\delta}(1+t)^{-\frac{1}{2}}, \\ \|(G - \bar{G})_x(t)\|_{L_x^2(L_\xi^2(\frac{1}{\sqrt{M_*}}))}^2 \leq C\sqrt{\delta}(1+t)^{-\frac{3}{2}+\vartheta+C_0\sqrt{\delta}}, \end{cases} \quad (2.36)$$

which implies that

$$\begin{cases} \|(v - \bar{v}, \varepsilon u - \varepsilon \bar{u}, \theta - \bar{\theta})(t)\|_{L_x^\infty} \leq C\delta^{\frac{1}{4}}\varepsilon^{\frac{5}{4}}(1+t)^{-\frac{5}{8}+\frac{3}{4}\vartheta}, \\ \|(v - \bar{v}, \varepsilon u - \varepsilon \bar{u}, \theta - \bar{\theta})_x(t)\|_{L_x^\infty} \leq C\delta^{\frac{1}{4}}\varepsilon^{\frac{1}{2}}(1+t)^{-\frac{3}{4}+\vartheta}, \end{cases} \quad (2.37)$$

where  $C$  is a positive constant independent of  $\varepsilon$  and  $\delta$ .

**Proof** The detailed proof of Theorem 2.1 can be found in my recent paper [20], jointly with Wang and Yang.

**Remark 2.4** The initial data (2.35) is chosen specially in Theorem 2.1. It is noted that Theorem 2.1 is also true if the initial data belongs to some sets depending on  $\varepsilon$ .

The following result is about the justification of the expansion in the Knudsen number of the Boltzmann equation (2.1) as for the thermal creep flow.

**Corollary 2.1** *Under the conditions of Theorem 2.1, from (2.34) and (2.37), it holds that*

$$\begin{cases} |(v - \tilde{v}, \theta - \tilde{\theta})(x, t)| \leq C\varepsilon(1+t)^{-\frac{1}{2}}, \\ |(u_1 - \tilde{u}_1)(x, t)| \leq C\varepsilon^{\frac{1}{4}}(1+t)^{-\frac{1}{2}}, \end{cases} \quad (2.38)$$

that is, the fluid part  $(v, u_1, \theta)$  of the solution of (2.1) converges to the solution  $(\tilde{v}, \tilde{u}_1, \tilde{\theta})$  of (2.10) in the sense of (2.38) as the Knudsen number  $\varepsilon$  tends to zero. As in Remark 2.1, the flow  $(\tilde{v}, \tilde{u}_1, \tilde{\theta})$  is driven by  $\tilde{\theta}_x$  as shown in Figures 1–2, and therefore we justify the expansion in the Knudsen number of the Boltzmann equation as for the thermal creep flow in a mathematical setting rigorously.

From the definition of  $\hat{\theta}(\eta)$  with  $\eta = \frac{x}{\sqrt{1+t}}$  in (2.12)–(2.13), it can be seen that  $\hat{\theta}$  is monotonic. To be definite and without loss of generality, let us assume that  $\theta_- < \theta_+$ , that is,  $\hat{\theta}$  is monotonically increasing. Then there exists  $\eta_0 > 0$  such that

$$\hat{\theta}'(\eta) > c_{\eta_0} \delta \quad \text{for } |\eta| \leq \eta_0, \quad (2.39)$$

where  $c_{\eta_0}$  is a positive constant depending on  $\eta_0$ .

**Corollary 2.2** *Under the conditions of Theorem 2.1, for any fixed  $\eta_0 > 0$ , there exists a small positive constant  $\varepsilon_1 = \varepsilon_1(\eta_0) \leq \varepsilon_0$ , such that if  $\varepsilon \leq \varepsilon_1$ , then it follows from (2.37) and (2.39) that*

$$\begin{cases} 0 < \frac{c_{\eta_0} \delta}{C_1 \sqrt{1+t}} < \frac{1}{C_1} \hat{\theta}_x \leq u_1(x, t) \leq C_1 \hat{\theta}_x, \\ 0 < \frac{1}{2} \hat{\theta}_x \leq \theta_x(x, t) \leq \frac{3}{2} \hat{\theta}_x \end{cases} \quad \text{for } |x| \leq \eta_0(1+t)^{\frac{1}{2}}, \quad (2.40)$$

where  $C_1$  is a suitably large positive constant depending only on  $\theta_{\pm}$ . In particular, (2.40) implies that the flow is driven by the gradient of the temperature, that is, the flow speed  $u_1$  is proportional to the temperature gradient  $\theta_x$  in the sense of (2.40) on the region increasing with the time rate  $(1+t)^{\frac{1}{2}}$ .

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