# Remarks on the Global Existence for Incompressible Navier-Stokes Equations\*

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**Abstract** In this article, the authors use the special structure of helicity for the threedimensional incompressible Navier-Stokes equations to construct a family of finite energy smooth solutions to the Navier-Stokes equations which critical norms can be arbitrarily large.

**Keywords** Navier-Stokes euqations, Helicity, Global existence, Critical norm **2000 MR Subject Classification** 17B40, 17B50

# 1 Introduction

Whether the solutions to three-dimensional incompressible Navier-Stokes equations (NSE for short) can develop finite time singularities from regular initial data remains a question of central importance in the theory of partial differential equations. This problem is also called the Millennium Prize problems by Clay Mathematics Institute. The only known coercive a priori estimate is the Leray-Hopf energy estimate which implies that the three-dimensional Navier-Stokes equations are supercritical with respect to its natural scalings. The latter may capture the essence of difficulties of this long standing open problem.

Here, we recall the incompressible Navier-Stokes equations in three dimensions are

$$\begin{cases} \partial_t u + u \cdot \nabla u + \nabla p = \Delta u, \\ \nabla \cdot u = 0, \end{cases} \quad (t, x) \in \mathbb{R}_+ \times \mathbb{R}^3, \tag{NSE}$$

where u is the velocity field of the fluid, p is the scalar pressure. To solve the NSE in  $\mathbb{R}_+ \times \mathbb{R}^3$ , one assumes that the initial datum

$$u(0,x) = u_0(x)$$

is divergence-free and possesses certain regularity.

As well-known, if (u, p) solves NSE, so does  $(u^{\lambda}, p^{\lambda})$  for any  $\lambda > 0$ , where

$$u^{\lambda}(t,x) = \lambda u(\lambda^2 t, \lambda x), \quad p^{\lambda}(t,x) = \lambda^2 p(\lambda^2 t, \lambda x).$$
(1.1)

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From above scalings, we usually assign each  $x_i$  a positive dimension 1, t a positive dimension 2, u a negative dimension -1 and p a negative dimension -2.

In fact, the known a priori Leray-Hopf energy estimate satisfied by classical solutions of NSE is as follows

$$\sup_{t>0} \|u(t,\cdot)\|_{L^2} \le \|u_0\|_{L^2}, \quad \int_0^\infty \|\nabla u(t,\cdot)\|_{L^2} dt \le \|u_0\|_{L^2}^2.$$
(1.2)

By the standard dimensional analysis, we show that all energy norms in (1.2) have positive dimensions, and thus the Navier-Stokes equations are supercritical with respect to the natural scalings (1.1).

In addition, under the natural scalings (1.1), we know the critical space as follows

$$\dot{H}^{\frac{1}{2}}\left(\mathbb{R}^{3}\right) \hookrightarrow L^{3}\left(\mathbb{R}^{3}\right) \hookrightarrow \dot{B}_{p,\infty}^{-1+\frac{3}{p}}\left(\mathbb{R}^{3}\right) \hookrightarrow BMO^{-1}\left(\mathbb{R}^{3}\right) \hookrightarrow \dot{B}_{\infty,\infty}^{-1}\left(\mathbb{R}^{3}\right), \qquad (1.3)$$

where  $p \geq 3$ . And the existence of global-in-time smooth solutions arising from small initial data in this functional spaces has been established up to  $BMO^{-1}(\mathbb{R}^3)$  (some details can be seen in [2, 4–7, 10]. All these results are obtained by looking at fixed points of the functional

$$u = e^{t\Delta} u_0 - \int_0^t e^{(t-s)\Delta} \mathbb{P}\left(u \cdot \nabla u\right) \mathrm{d}s,\tag{1.4}$$

which is an integral reformulation of the differential problem of NSE, where  $e^{t\Delta}$  denotes the heat kernel and  $\mathbb{P}$  is the projection on the divergence-free vector field subspace. It is important to point out that the space BMO<sup>-1</sup> ( $\mathbb{R}^3$ ) is actually the largest scaling invariant critical space for the Navier-Stokes equations. However, the Navier-Stokes equations are ill-posed in  $\dot{B}_{\infty,\infty}^{-1}$  ( $\mathbb{R}^3$ ) as shown in [1].

For the three-dimensional incompressible Navier-Stokes equations, the most important quantity is the vorticity

$$\omega := \nabla \times u. \tag{1.5}$$

Applying the curl operator for NSE, we can eliminate nonlocal term pressure p to obtain the equations for vorticity

$$\partial_t \omega + u \cdot \nabla \omega - \omega \cdot \nabla u = \Delta \omega. \tag{1.6}$$

From (1.2), we know that the energy is supercritical, but we can find a quantity called helicity

$$H(u) := \frac{1}{2} \int_{\mathbb{R}^3} u \cdot \omega dx + \int_0^t \int_{\mathbb{R}^3} \nabla u \cdot \nabla \omega dx ds$$

being critical and conserved. Here, we recall some structure of helicity developed in the paper of [8].

Noting

$$\langle \nabla \times u, v \rangle_{L^2(\mathbb{R}^3)} = \langle u, \nabla \times v \rangle_{L^2(\mathbb{R}^3)}, \tag{1.7}$$

we know that the curl operator is a symmetric operator. So it spectral is real. If  $\nabla \cdot u = 0$ , its zero spectrum projection is zero. Let  $u_+$  be the projection to positive spectrum,  $u_-$  be the projection to negative spectrum, then

$$\nabla \times u_{+} = \Lambda u_{+},$$
$$\nabla \times u_{-} = -\Lambda u_{-},$$

where  $\Lambda = \sqrt{-\Delta}$  and  $u = u_+ + u_-$ .

To study the regularity of three-dimensional incompressible Navier-Stokes equations, we define the following energy

$$E_{c}(u(t)) := \frac{1}{2} \|\Lambda^{\frac{1}{2}} u(t)\|_{L^{2}(\mathbb{R}^{3})} + \int_{0}^{t} \|\nabla\Lambda^{\frac{1}{2}} u(s)\|_{L^{2}(\mathbb{R}^{3})} \mathrm{d}s,$$
(1.8)

which is dimension 0 respect to Navier-Stokes scalings (1.1). So this energy is also called critical energy.

Since  $u_+$  and  $u_-$  are strongly orthogonal to each other, we know

$$E_c(u(t)) = E_c(u_+(t)) + E_c(u_-(t)), \qquad (1.9)$$

and from the helicity conservation law, we have

$$\frac{\mathrm{d}}{\mathrm{d}t}E_c(u_+) = \frac{\mathrm{d}}{\mathrm{d}t}E_c(u_-).$$
(1.10)

For more detials about the helicity structure, we refer the readers to [8].

We focus on the  $\dot{H}^{\frac{1}{2}}(\mathbb{R}^3)$ -regularity for the NSE. The aim of this paper is to gain a suitable improvement of this classical result. We construct a class of initial data, such that critical norm can be arbitrary large, and we can obtain the solutions with global regularity.

We now claim our main theorem.

**Theorem 1.1** Consider the Cauchy problem of NSE. Suppose that

$$\|u_0\|_{\dot{H}^{\frac{1}{2}}(\mathbb{R}^3)} \le M,\tag{1.11}$$

where M can be arbitrarily large. There exists a small constant  $\varepsilon_0(M)$  such that, if

$$\varepsilon = \|\Lambda^{-\frac{1}{2}}\omega_0\|_{L^2(\mathbb{R}^3)}^2 - \frac{\langle\Lambda^{-1}\omega_0, u_0\rangle_{L^2(\mathbb{R}^3)}^2}{\|\Lambda^{-\frac{1}{2}}u_0\|_{L^2(\mathbb{R}^3)}^2} < \varepsilon_0(M),$$
(1.12)

then there exists a global regular solution of NSE, where  $\omega_0 = \nabla \times u_0$  and  $\Lambda = \sqrt{-\Delta}$ .

Remark 1.1 Particularly, in the case

$$\begin{split} & u_0 = M v_0, \\ & \|\Lambda^{\frac{1}{2}} v_0\|_{L^2} \le 1, \quad \text{supp } \widehat{v}_0 \subseteq \{x| \ 1 - \delta \le |x| \le 1 + \delta\} \\ & \nabla \times v_0 = \Lambda v_0, \end{split}$$

we have

$$\varepsilon = \frac{\|\Lambda^{-\frac{1}{2}} u_0\|_{L^2(\mathbb{R}^3)}^2 \|\Lambda^{\frac{1}{2}} u_0\|_{L^2(\mathbb{R}^3)}^2 - \|u_0\|_{L^2(\mathbb{R}^3)}^4}{\|\Lambda^{-\frac{1}{2}} u_0\|_{L^2(\mathbb{R}^3)}^2}$$

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$$= M^{2} \frac{\|\Lambda^{-\frac{1}{2}}v_{0}\|_{L^{2}(\mathbb{R}^{3})}^{2}\|\Lambda^{\frac{1}{2}}v_{0}\|_{L^{2}(\mathbb{R}^{3})}^{2} - \|v_{0}\|_{L^{2}(\mathbb{R}^{3})}^{4}}{\|\Lambda^{-\frac{1}{2}}v_{0}\|_{L^{2}(\mathbb{R}^{3})}^{2}} \\ \leq M^{2}[(1-\delta)^{-1} - (1+\delta)^{-2}]\|\Lambda^{\frac{1}{2}}v_{0}\|_{L^{2}(\mathbb{R}^{3})}^{2} \\ \lesssim M^{2} \frac{\delta(3+\delta)}{(1-\delta)(1+\delta)}.$$

Now choose  $\delta$  sufficiently small such that  $\varepsilon \leq \varepsilon_0(M)$ , then there exists a global regular solution by Theorem 1.1. This give a simple and directly proof of the similar result of [8] and also of [9].

#### 2 Preliminaries

We conclude the introduction by giving some notations which will be used throughout this paper. We always use  $X \leq Y$  to denote  $X \leq CY$  for some constant C > 0. Similarly,  $X \leq_u Y$  indicates that there exists a constant C := C(u) depending on u such that  $X \leq C(u)Y$ . We also use the notation  $X \sim Y$  to denote  $X \leq Y \leq X$ .

Let  $\psi(\xi)$  be a radial smooth function supported in the ball  $\{\xi \in \mathbb{R}^3 : |\xi| \le \frac{11}{10}\}$  and equal to 1 on the ball  $\{\xi \in \mathbb{R}^3 : |\xi| \le 1\}$ . For each number N > 0, we define the Fourier multipliers

$$\widehat{P_{\leq N\underline{\mathbf{g}}}}(\xi) := \psi\left(\frac{\xi}{N}\right)\widehat{\mathbf{g}}(\xi),$$
$$\widehat{P_{>N\underline{\mathbf{g}}}}(\xi) := \left(1 - \psi\left(\frac{\xi}{N}\right)\right)\widehat{\mathbf{g}}(\xi),$$
$$\widehat{P_{N\underline{\mathbf{g}}}}(\xi) := \left(\psi\left(\frac{\xi}{N}\right) - \psi\left(\frac{2\xi}{N}\right)\right)\widehat{\mathbf{g}}(\xi)$$

and similarly define  $P_{\leq N}$  and  $P_{\geq N}$ . We also define

$$P_{M < \cdot \leq N} := P_{\leq N} - P_{\leq M} = \sum_{M < N' \leq N} P_{N'}$$

whenever M < N. We usually use this multipliers when M and N are dyadic numbers.

As some applications of the Littlewood-Paley theory, we have the following lemma.

**Lemma 2.1** Suppose that a(D) is s-order pseudo-differential operator satisfying  $\hat{a}(\mu \cdot) = \mu^s \hat{a}(\cdot)$ . Then we have

$$\|[a(D), u]f\|_{L^2} \lesssim \|\nabla u\|_{L^3} \|\Lambda^s f\|_{L^2},$$
(2.1)

where  $\Lambda = \sqrt{-\Delta}$ .

**Proof** By Littlewood-Paley theory, we know

$$\begin{split} \|[a(D), u]f\|_{L^{2}}^{2} \lesssim \sum_{\mu} \|[a(D), P_{\leq C^{-1}\mu}u]P_{\mu}f\|_{L^{2}}^{2} + \sum_{C^{-1}\mu \leq \sigma, \sigma \sim \sigma'} \|[a(D), P_{\sigma'}u]P_{\sigma}f\|_{L^{2}}^{2} \\ + \sum_{\mu} \|[a(D), P_{\mu}u]P_{\leq C^{-1}\mu}f\|_{L^{2}}^{2} \\ =: I_{1} + I_{2} + I_{3}, \end{split}$$

$$(2.2)$$

where C is a large fixed constant. We only estimate  $I_1$ , the rest terms can be estimated similarly.

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From the frequency support property, we see

$$[a(D), P_{\leq C^{-1}\mu}u]P_{\mu}f \sim [P_{\leq C\mu}a(D), P_{\leq C^{-1}\mu}u]P_{\mu}f.$$

We use the notation  $\chi$  to denote the kernel of  $P_{\leq C\mu}a(D)$ , and  $\hat{a}(\xi)\chi_1(\frac{\xi}{\mu})$  to denote the Fourier transform of  $P_{\leq C\mu}a(D)$ .

Then, we have

$$\begin{split} &[P_{\leq C\mu}a\left(D\right), P_{\leq C^{-1}\mu}u]P_{\mu}f = P_{\leq C\mu}a\left(D\right)\left(P_{\leq C^{-1}\mu}uP_{\mu}f\right) - P_{\leq C^{-1}\mu}uP_{\leq C\mu}a\left(D\right)P_{\mu}f\\ &= \int_{\mathbb{R}^{3}}\chi\left(x-y\right)P_{\leq C^{-1}\mu}u\left(y\right)P_{\mu}f\left(y\right)\mathrm{d}y - \int_{\mathbb{R}^{3}}P_{\leq C^{-1}\mu}u\left(x\right)\chi\left(x-y\right)P_{\mu}f\left(y\right)\mathrm{d}y\\ &= \int_{0}^{1}\int_{\mathbb{R}^{3}}\chi\left(x-y\right)\left(x-y\right)\cdot P_{\leq C^{-1}\mu}\nabla u\left(sx+(1-s)y\right)P_{\mu}f\left(y\right)\mathrm{d}y\mathrm{d}s\\ &\lesssim \int_{0}^{1}\int_{\mathbb{R}^{3}}|z\chi\left(z\right)||P_{\leq C^{-1}\mu}\nabla u\left(x+(s-1)z\right)||P_{\mu}f\left(x-z\right)|\mathrm{d}z\mathrm{d}s. \end{split}$$

$$(2.3)$$

By Minkowski inequality, we have

$$\begin{aligned} \|[a(D), P_{\leq C^{-1}\mu}u]P_{\mu}f\|_{L^{2}}^{2} &\lesssim \|z\chi(z)\|_{L^{1}}^{2} \||P_{\leq C^{-1}\mu}\nabla u\|_{L^{3}}^{2} \||P_{\mu}f\|_{L^{6}}^{2} \\ &\lesssim \|z\chi(z)\|_{L^{1}}^{2} \||P_{\leq C^{-1}\mu}\nabla u\|_{L^{3}}^{2} \||P_{\mu}\nabla f\|_{L^{2}}^{2}. \end{aligned}$$

$$(2.4)$$

In fact,

$$\chi(z) = \mathcal{F}^{-1}\left(\widehat{a}\left(\xi\right)\chi_{1}\left(\frac{\xi}{\mu}\right)\right) = \mu^{s}\mathcal{F}^{-1}\left(\widehat{a}\left(\frac{\xi}{\mu}\right)\chi_{1}\left(\frac{\xi}{\mu}\right)\right) := \mu^{s}\mu^{3}\widetilde{\chi}\left(\mu z\right).$$

Therefore,

$$\|z\chi(z)\|_{L^{1}} = \mu^{s-1}\mu^{3}\|\mu z\widetilde{\chi}(\mu z)\|_{L^{1}} \lesssim \mu^{s-1}.$$
(2.5)

Combining above, we obtain

$$I_1 \lesssim \|\nabla u\|_{L^3}^2 \|\Lambda^s f\|_{L^2}^2.$$
(2.6)

# 3 Proof of the Main Results

**Proof** Let  $\lambda$  be a constant depending only on the initial data which is to be determined. We will use a bootstrap argument to prove (1.1).

We first assume that

$$\|\omega - \lambda u\|_{\dot{H}^{-\frac{1}{2}}(\mathbb{R}^3)}^2 + \int_0^t \|\omega - \lambda u\|_{\dot{H}^{\frac{1}{2}}(\mathbb{R}^3)}^2 \mathrm{d}\tau \le \varepsilon^{\frac{1}{2}}, \text{ where } \omega = \nabla \times u.$$
(3.1)

If the bootstrap assumption holds, we can prove the theorem as follows. From the identity

$$u \cdot \nabla u = \omega \times u + \nabla \frac{|u|^2}{2},$$

we have

$$\partial_t u + \omega \times u + \nabla \left( p + \frac{|u|^2}{2} \right) - \Delta u = 0, \qquad (3.2)$$

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which leads to

$$\partial_t u + (\omega - \lambda u) \times u + \nabla \left( p + \frac{|u|^2}{2} \right) - \Delta u = 0.$$

Thus, we obtain

$$\langle \Lambda u, \partial_t u + (\omega - \lambda u) \times u - \Delta u \rangle_{L^2(\mathbb{R}^3)} = 0$$

Making direct energy estimate, we get

$$\begin{split} &\frac{1}{2}\frac{\mathrm{d}}{\mathrm{d}t}\|\Lambda^{\frac{1}{2}}u\|_{L^{2}(\mathbb{R}^{3})}^{2}+\|\nabla\Lambda^{\frac{1}{2}}u\|_{L^{2}(\mathbb{R}^{3})}^{2}\\ &\leq\|\Lambda u\|_{L^{3}(\mathbb{R}^{3})}\|u\|_{L^{3}(\mathbb{R}^{3})}\|\omega-\lambda u\|_{L^{3}(\mathbb{R}^{3})}\\ &\lesssim\|\Lambda u\|_{\dot{H}^{\frac{1}{2}}(\mathbb{R}^{3})}\|\Lambda^{\frac{1}{2}}u\|_{L^{2}(\mathbb{R}^{3})}\|\Lambda^{\frac{1}{2}}(\omega-\lambda u)\|_{L^{2}(\mathbb{R}^{3})}\\ &\leq\frac{1}{2}\|\Lambda u\|_{\dot{H}^{\frac{1}{2}}(\mathbb{R}^{3})}^{2}+C\|\Lambda^{\frac{1}{2}}u\|_{L^{2}(\mathbb{R}^{3})}^{2}\|\nabla\Lambda^{\frac{1}{2}}(\omega-\lambda u)\|_{L^{2}(\mathbb{R}^{3})}^{2}\\ &\leq\frac{1}{2}\|\Lambda u\|_{L^{2}(\mathbb{R}^{3})}^{2}+C\|\Lambda^{\frac{1}{2}}u\|_{L^{2}(\mathbb{R}^{3})}^{2}\|\Lambda^{\frac{1}{2}}(\omega-\lambda u)\|_{L^{2}(\mathbb{R}^{3})}^{2}. \end{split}$$

Integrating in t and using the Young inequality, we get

$$\|\Lambda^{\frac{1}{2}}u\|_{L^{2}(\mathbb{R}^{3})}^{2} + \int_{0}^{t} \|\nabla\Lambda^{\frac{1}{2}}u\|_{L^{2}(\mathbb{R}^{3})}^{2} d\tau$$
  
$$\lesssim \|\Lambda^{\frac{1}{2}}u_{0}\|_{L^{2}(\mathbb{R}^{3})}^{2} + C \int_{0}^{t} \|\Lambda^{\frac{1}{2}}u\|_{L^{2}(\mathbb{R}^{3})}^{2} \|\Lambda^{\frac{1}{2}}(\omega - \lambda u)\|_{L^{2}(\mathbb{R}^{3})}^{2} d\tau.$$
(3.3)

Thus, by bootstrap assumption (3.1) and Gronwall's inequality,

$$\|\Lambda^{\frac{1}{2}}u\|_{L^{2}(\mathbb{R}^{3})}^{2} + \int_{0}^{t} \|\Lambda^{\frac{3}{2}}u\|_{L^{2}(\mathbb{R}^{3})}^{2} \mathrm{d}\tau \le \mathrm{e}^{C\varepsilon^{\frac{1}{2}}}M \le 2M,$$
(3.4)

if  $\varepsilon < \frac{\ln^2 2}{C^2}$ .

Now, we prove the bootstrap assumption. We recall the equations for vorticity  $\omega$  and velocity u

$$\partial_t \omega + u \cdot \nabla \omega - \omega \cdot \nabla u = \Delta \omega,$$
  
$$\partial_t u + u \cdot \nabla u + \nabla p = \Delta u.$$

 $\operatorname{So}$ 

$$\partial_t (\omega - \lambda u) + u \cdot \nabla(\omega - \lambda u) - \Delta(\omega - \lambda u)$$
  
=  $\omega \cdot \nabla u - \lambda \nabla p$   
=  $(\omega - \lambda u) \cdot \nabla u + \lambda u \cdot \nabla u - \lambda \nabla p$   
=  $(\omega - \lambda u) \cdot \nabla u + \omega \times \lambda u + \lambda \nabla \left(\frac{|u|^2}{2} - p\right)$   
=  $(\omega - \lambda u) \cdot \nabla u + \omega \times (\lambda u - \omega) + \lambda \nabla \left(\frac{|u|^2}{2} - p\right).$ 

Taking inner product with  $\Lambda^{-1}(\omega - \lambda u)$  and making an intergration by parts, we have energy estimate as follows:

$$\frac{1}{2}\frac{\mathrm{d}}{\mathrm{d}t}\|\Lambda^{-\frac{1}{2}}(\omega-\lambda u)\|_{L^{2}(\mathbb{R}^{3})}^{2}+\|\Lambda^{\frac{1}{2}}(\omega-\lambda u)\|_{L^{2}(\mathbb{R}^{3})}^{2}$$

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$$= -\langle \Lambda^{-1}(\omega - \lambda u), u \cdot \nabla(\omega - \lambda u) \rangle_{L^{2}(\mathbb{R}^{3})} + \langle \Lambda^{-1}(\omega - \lambda u), (\omega - \lambda u) \cdot \nabla u \rangle_{L^{2}(\mathbb{R}^{3})} + \langle \Lambda^{-1}(\omega - \lambda u), \omega \times (\lambda u - \omega) \rangle_{L^{2}(\mathbb{R}^{3})}.$$

Noting that

$$\langle \Lambda^{-1}(\omega - \lambda u), u \cdot \nabla(\omega - \lambda u) \rangle_{L^2(\mathbb{R}^3)} = \langle \Lambda^{-\frac{1}{2}}(\omega - \lambda u), [\Lambda^{-\frac{1}{2}}, u \cdot \nabla](\omega - \lambda u) \rangle_{L^2(\mathbb{R}^3)}$$
(3.5)

by Hölder inequality, Sobolev embedding and Lemma 2.1, we have

$$\langle \Lambda^{-\frac{1}{2}}(\omega - \lambda u), [\Lambda^{-\frac{1}{2}}, u \cdot \nabla](\omega - \lambda u) \rangle_{L^{2}(\mathbb{R}^{3})} \lesssim \|\Lambda^{-\frac{1}{2}}(\omega - \lambda u)\|_{L^{2}(\mathbb{R}^{3})} \|[\Lambda^{-\frac{1}{2}}, u \cdot \nabla](\omega - \lambda u)\|_{L^{2}(\mathbb{R}^{3})} \lesssim \|\Lambda^{-\frac{1}{2}}(\omega - \lambda u)\|_{L^{2}(\mathbb{R}^{3})} \|\Lambda^{\frac{1}{2}}\omega\|_{L^{2}(\mathbb{R}^{3})} \|\Lambda^{\frac{1}{2}}(\omega - \lambda u)\|_{L^{2}(\mathbb{R}^{3})}.$$

$$(3.6)$$

Similarly, we also obtain

$$\langle \Lambda^{-1}(\omega - \lambda u), (\omega - \lambda u) \cdot \nabla u \rangle_{L^{2}(\mathbb{R}^{3})}$$
  
$$\lesssim \|\Lambda^{-1}(\omega - \lambda u)\|_{L^{3}(\mathbb{R}^{3})} \|(\omega - \lambda u)\|_{L^{3}(\mathbb{R}^{3})} \|\nabla u\|_{L^{3}(\mathbb{R}^{3})}$$
  
$$\lesssim \|\Lambda^{-\frac{1}{2}}(\omega - \lambda u)\|_{L^{2}(\mathbb{R}^{3})} \|\Lambda^{\frac{1}{2}}(\omega - \lambda u)\|_{L^{2}(\mathbb{R}^{3})} \|\Lambda^{\frac{1}{2}}\omega\|_{L^{2}(\mathbb{R}^{3})}$$
(3.7)

and

$$\langle \Lambda^{-1}(\omega - \lambda u), \omega \times (\lambda u - \omega) \rangle_{L^{2}(\mathbb{R}^{3})} \lesssim \|\Lambda^{-1}(\omega - \lambda u)\|_{L^{3}(\mathbb{R}^{3})} \|\omega\|_{L^{3}(\mathbb{R}^{3})} \|(\omega - \lambda u)\|_{L^{3}(\mathbb{R}^{3})} \lesssim \|\Lambda^{-\frac{1}{2}}(\omega - \lambda u)\|_{L^{2}(\mathbb{R}^{3})} \|\Lambda^{\frac{1}{2}}\omega\|_{L^{2}(\mathbb{R}^{3})} \|\Lambda^{\frac{1}{2}}(\omega - \lambda u)\|_{L^{2}(\mathbb{R}^{3})}.$$

$$(3.8)$$

Combining (3.6)–(3.8) and using Hölder inequality and Young inequality, we obtain the energy estimates as follows:

$$\begin{split} \|\Lambda^{-\frac{1}{2}}(\omega-\lambda u)\|_{L^{2}(\mathbb{R}^{3})}^{2} &+ \int_{0}^{t} \|\Lambda^{\frac{1}{2}}(\omega-\lambda u)\|_{L^{2}(\mathbb{R}^{3})}^{2} \mathrm{d}\tau \\ &\lesssim \|\Lambda^{-\frac{1}{2}}(\omega_{0}-\lambda u_{0})\|_{L^{2}(\mathbb{R}^{3})}^{2} \\ &+ \left(\int_{0}^{t} \|\Lambda^{\frac{1}{2}}\omega\|_{L^{2}(\mathbb{R}^{3})}^{2} \mathrm{d}\tau \|\Lambda^{-\frac{1}{2}}(\omega-\lambda u)\|_{L^{2}(\mathbb{R}^{3})}^{2} \mathrm{d}\tau\right)^{\frac{1}{2}} \left(\int_{0}^{t} \|\Lambda^{\frac{1}{2}}(\omega-\lambda u)\|_{L^{2}(\mathbb{R}^{3})}^{2} \mathrm{d}\tau\right)^{\frac{1}{2}} \\ &\lesssim \|\Lambda^{-\frac{1}{2}}(\omega_{0}-\lambda u_{0})\|_{L^{2}(\mathbb{R}^{3})}^{2} \\ &+ \frac{1}{2}\int_{0}^{t} \|\Lambda^{\frac{1}{2}}\omega\|_{L^{2}(\mathbb{R}^{3})}^{2} \|\Lambda^{-\frac{1}{2}}(\omega-\lambda u)\|_{L^{2}(\mathbb{R}^{3})}^{2} \mathrm{d}\tau + \frac{1}{2}\int_{0}^{t} \|\Lambda^{\frac{1}{2}}(\omega-\lambda u)\|_{L^{2}(\mathbb{R}^{3})}^{2} \mathrm{d}\tau. \end{split}$$

Noting the fact

$$\|\Lambda^{-\frac{1}{2}}(\omega_{0} - \lambda u_{0})\|_{L^{2}(\mathbb{R}^{3})}^{2} = \|\Lambda^{-\frac{1}{2}}\omega_{0}\|_{L^{2}(\mathbb{R}^{3})}^{2} - 2\lambda\langle\Lambda^{-\frac{1}{2}}\omega_{0},\Lambda^{-\frac{1}{2}}u_{0}\rangle_{L^{2}(\mathbb{R}^{3})} + \lambda^{2}\|\Lambda^{-\frac{1}{2}}u_{0}\|_{L^{2}(\mathbb{R}^{3})}^{2}, \qquad (3.9)$$

we choose  $\lambda$  to minimize (3.9), which is

$$\lambda = \frac{\langle \Lambda^{-1}\omega_0, u_0 \rangle_{L^2(\mathbb{R}^3)}}{\|\Lambda^{-\frac{1}{2}}u_0\|_{L^2(\mathbb{R}^3)}^2}.$$

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Therefore,

$$\|\Lambda^{-\frac{1}{2}}(\omega_0 - \lambda u_0)\|_{L^2(\mathbb{R}^3)}^2 = \|\Lambda^{-\frac{1}{2}}\omega_0\|_{L^2(\mathbb{R}^3)}^2 - \frac{\langle\Lambda^{-1}\omega_0, u_0\rangle_{L^2(\mathbb{R}^3)}^2}{\|\Lambda^{-\frac{1}{2}}u_0\|_{L^2(\mathbb{R}^3)}^2}.$$

Thus, by Gronwall's inequality, we get

$$\|\Lambda^{-\frac{1}{2}}(\omega-\lambda u)\|_{L^{2}(\mathbb{R}^{3})}^{2} + \int_{0}^{t} \|\Lambda^{\frac{1}{2}}(\omega-\lambda u)\|_{L^{2}(\mathbb{R}^{3})}^{2} \mathrm{d}\tau \lesssim \varepsilon \exp\left(\int_{0}^{t} \|\Lambda^{\frac{1}{2}}\omega\|_{L^{2}(\mathbb{R}^{3})}^{2} \mathrm{d}\tau\right)$$
$$\lesssim \mathrm{e}^{CM}\varepsilon. \tag{3.10}$$

Now, we take  $\varepsilon_0(M) = \min\left\{\frac{\ln^2 2}{C^2}, \frac{1}{4}e^{-4CM}\right\}$ , then (3.10) improves (3.1). By continuous induction we finish our proof.

### Declarations

**Conflicts of interest** The authors declare no conflicts of interest.

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