

# On the Univalence and Quasiconformal Extensions Criterion for Harmonic Mappings Associated with Pre-Schwarzian Derivative\*

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**Abstract** As a generalization of Ahlfors's results for analytic functions, by using the pre-Schwarzian derivative of harmonic mappings, the authors obtain a criterion of univalence and quasiconformal extension for harmonic functions. As applications, they give a lower bound of the inner radius of univalency by means of pre-Schwarzian derivative of harmonic mappings for a planar domain.

**Keywords** Harmonic mapping, Quasiconformal extension, Pre-Schwarzian derivative, Inner radius of univalency

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## 1 Introduction and Statements of the Main Results

### 1.1 Univalence and quasiconformal extension criteria for analytic functions

Let  $D$  be a domain in the extended complex plane  $\overline{\mathbb{C}}$  and  $\eta_D$  be its Poincaré density. For a locally univalent analytic function  $\phi$  in  $D$ , the pre-Schwarzian derivative  $P\phi$  and Schwarzian derivative  $S\phi$  are defined by

$$P\phi = \frac{\phi''}{\phi'}, \quad S\phi = (P\phi)' - \frac{1}{2}(P\phi)^2,$$

respectively. The pre-Schwarzian norm  $\|P\phi\|$  and Schwarzian norm  $\|S\phi\|$  are

$$\|P\phi\|_D = \sup_{z \in D} |P\phi| \eta_D^{-1}, \quad \|S\phi\|_D = \sup_{z \in D} |S\phi| \eta_D^{-2}.$$

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When  $D = \Delta := \{z : |z| < 1\}$ , for simplicity, we denote  $\|S\phi\|_D$  and  $\|P\phi\|_D$  by  $\|S\phi\|$  and  $\|P\phi\|$ , respectively.

The quantities  $\|S\phi\|$  and  $\|P\phi\|$  are widely used to characterize the univalence and quasiconformal extensions of  $\phi$ . As far back as 1949, Nehari [30] proved that

$$\|S\phi\| \leq 2 \tag{1.1}$$

implies that  $\phi$  is univalent. Hille [19] proved that the bound 2 in (1.1) is the best possible. Later, Ahlfors and Weill [3] showed that, for any  $t \in (0, 1)$ , if

$$\|S\phi\| \leq 2t, \tag{1.2}$$

then  $\phi$  is not only univalent but can also be quasiconformally extended to  $\overline{\mathbb{C}}$ . In 1972, instead of Schwarzian derivative by pre-Schwarzian derivative, Becker [5] gave parallel results as follows. If

$$\|P\phi\| \leq 1, \tag{1.3}$$

then  $\phi$  is univalent; furthermore, there exists a quasiconformal extension of  $\phi$  to  $\overline{\mathbb{C}}$  if

$$\|P\phi\| \leq k < 1. \tag{1.4}$$

It should be noted that the constant 1 in (1.3) is sharp (see [6]).

In 1974, Ahlfors [2] gave a more widely criterion to justify the univalence and quasiconformal extensions.

**Theorem A** *Let  $\phi$  be a locally univalent analytic function in  $\Delta$ . Let  $\sigma$  be a continuous function in  $\Delta$ , which satisfies the following conditions:*

- (i)  $\sigma_z$  and  $\sigma_{\bar{z}}$  exist in  $\Delta$  a.e.;
- (ii)  $\frac{1}{\sigma} = 0$  on  $\partial\Delta$ ;
- (iii)  $\frac{\sigma_{\bar{z}}}{\sigma^2} \neq 0$  in  $\overline{\Delta}$ .

*Then the inequality*

$$|\sigma P\phi + \sigma^2 - \sigma_z| \leq |\sigma_{\bar{z}}|, \quad z \in \Delta \tag{1.5}$$

*is sufficient to imply that  $\phi$  is univalent in  $\Delta$ . Moreover, the inequality*

$$|\sigma P\phi + \sigma^2 - \sigma_z| \leq k|\sigma_{\bar{z}}|, \quad 0 \leq k < 1 \tag{1.6}$$

*is sufficient to imply that  $\phi$  has an explicit homeomorphic extension*

$$\Phi(z) = \begin{cases} \tilde{\phi}(z), & |z| \leq 1, \\ \phi\left(\frac{1}{\bar{z}}\right) + u\left(\frac{1}{\bar{z}}\right), & |z| > 1, \end{cases}$$

*where  $u(z) = \frac{\phi'(z)}{\sigma(z)}$  for  $z \in \Delta \setminus \{0\}$ . Also, the mapping  $\Phi$  is a  $K$ -quasiconformal mapping in  $\overline{\mathbb{C}}$ , where  $K = \frac{1+k}{1-k}$ .*

**Remark 1.1** In fact, by taking suitable functions  $\sigma$  in Theorem A, we can get different criteria for univalence and quasiconformal extensions. For example, (1.1) and (1.3) come from (1.5) by taking

$$\sigma(z) = \frac{\bar{z}}{1 - |z|^2} - \frac{1}{2}P\phi, \quad \sigma(z) = \frac{\bar{z}}{1 - |z|^2},$$

respectively.

### 1.2 Univalence and quasiconformal extension criteria for harmonic mappings

As natural generalizations of analytic mappings, planar harmonic mappings are widely studied by many mathematicians as early as 1980s (see [12]). A complex function  $f$  in  $\Delta$  is harmonic if

$$\Delta f = 4f_{z\bar{z}} = 0.$$

It is well-known that  $f$  has a canonical representation  $f = h + \bar{g}$ , where  $h$  and  $g$  are analytic in  $\Delta$  and  $g(0) = 0$  (see [13]). Lewy [27] proved that a harmonic mapping  $f$  is locally univalent if and only if its Jacobian

$$J_f = |h'|^2 - |g'|^2 \neq 0.$$

If  $J_f > 0$  ( $J_f < 0$ ), then  $f$  is sense-preserving (sense-reserving). We call  $\omega = \frac{g'}{h'}$  the second complex dilatation of  $f$ . Since  $P\phi$  plays an important role in the study of locally univalent analytic functions, many mathematicians try to introduce a similar concept for harmonic functions (see [18, 28–29, 31]). For harmonic function  $f$ , Hernández and Martín [18] introduced the pre-Schwarzian derivative  $P_f$  as

$$P_f = \frac{\partial}{\partial z} \log |J_f| = Ph - \frac{\bar{\omega}\omega'}{1 - |\omega|^2}. \tag{1.7}$$

In fact, it was proved that  $P_f$  also has similar properties as  $P\phi$  for locally univalent analytic functions (see [14, 16, 18, 34]). Especially, by using  $P_f$ , Hernández and Martín [16, 18] extended Becker’s results (1.3) and (1.4) to the case of harmonic mappings.

**Theorem B** *Let  $f = h + \bar{g}$  be a sense-preserving harmonic mapping in  $\Delta$  and the second complex dilatation  $\omega$  with  $\|\omega\|_\infty = \sup_{z \in \Delta} |\omega| < 1$ . If  $f$  satisfies*

$$(1 - |z|^2)|P_f| + \frac{|\omega'(z)(1 - |z|^2)|}{1 - |\omega(z)|^2} \leq 1, \quad z \in \Delta, \tag{1.8}$$

*then  $f$  is univalent in  $\Delta$ . The constant 1 is sharp.*

*Moreover, if  $f$  satisfies the condition*

$$(1 - |z|^2)|P_f| + \frac{|\omega'(z)(1 - |z|^2)|}{1 - |\omega(z)|^2} \leq k < 1, \quad z \in \Delta, \tag{1.9}$$

*then  $f$  has a continuous and injective extension  $\tilde{f}$  to  $\bar{\Delta}$ .*

*Furthermore, if*

$$k < \frac{1 - \|\omega\|_\infty}{1 + \|\omega\|_\infty}, \tag{1.10}$$

then the mapping

$$F(z) = \begin{cases} \tilde{f}(z), & |z| \leq 1, \\ f\left(\frac{1}{\bar{z}}\right) + U\left(\frac{1}{\bar{z}}\right), & |z| > 1 \end{cases} \tag{1.11}$$

is an explicit  $K$ -quasiconformal mapping of  $\overline{\mathbb{C}}$  onto itself, where

$$U(z) = \frac{h'(z)}{\bar{z}}(1 - |z|^2) + \frac{\overline{g'(z)}}{z}(1 - |z|^2), \quad z \in \Delta \setminus \{0\}$$

and

$$K = \frac{1 + k + \|\omega\|_\infty(1 - k)}{1 - k - \|\omega\|_\infty(1 + k)}.$$

Finally, under the condition (1.9) with  $\|\omega\|_\infty < 1$ ,  $\tilde{f}(\partial\Delta)$  is a quasicircle and  $f$  can be extended to a quasiconformal mapping of  $\overline{\mathbb{C}}$ .

As mentioned in Remark 1.1, Theorem A is more general than Becker’s result (1.3) and Nehari’s result (1.1), so it is natural to ask whether there exists a general result analogous to Theorem A for harmonic mappings. From this idea, we prove the following theorem.

**Theorem 1.1** *Let  $f = h + \bar{g}$  be a sense-preserving harmonic mapping in  $\Delta$ , and let  $\omega$  be the second complex dilatation of  $f$  with  $\|\omega\|_\infty < 1$ . Let  $\sigma$  be a continuous function in  $\Delta$ , which satisfies the following conditions:*

- (i)  $\sigma_z$  and  $\sigma_{\bar{z}}$  exist in  $\Delta$  a.e.;
- (ii)  $\frac{1}{\sigma} = 0$  on  $\partial\Delta$ ;
- (iii)  $\frac{\sigma_z}{\sigma^2} \neq 0$  in  $\overline{\Delta}$ .

If  $f$  satisfies the condition

$$|\sigma P_f + \sigma^2 - \sigma_z| + \frac{|\sigma\omega'|}{1 - |\omega|^2} \leq |\sigma_{\bar{z}}|, \quad z \in \Delta, \tag{1.12}$$

then  $f_\lambda = h + \lambda\bar{g}$  ( $|\lambda| \leq 1$ ) is univalent in  $\Delta$ . Moreover, if

$$|\sigma P_f + \sigma^2 - \sigma_z| + \frac{|\sigma\omega'|}{1 - |\omega|^2} \leq k|\sigma_{\bar{z}}|, \quad 0 \leq k < 1, \quad z \in \Delta, \tag{1.13}$$

then the harmonic mapping  $f_\lambda = h + \lambda\bar{g}$  ( $|\lambda| \leq 1$ ) has a continuous and injective extension  $\tilde{f}_\lambda$  to  $\overline{\Delta}$ , and the function

$$F_\lambda(z) = \begin{cases} \tilde{f}_\lambda(z), & |z| \leq 1, \\ f_\lambda\left(\frac{1}{\bar{z}}\right) + U_\lambda\left(\frac{1}{\bar{z}}\right), & |z| > 1 \end{cases} \tag{1.14}$$

is a homeomorphic extension of  $\overline{\mathbb{C}}$  onto itself, where

$$U_\lambda(z) = \frac{h'(z)}{\sigma(z)} + \lambda \frac{\overline{g'(z)}}{\sigma(z)}, \quad z \in \Delta \setminus \{0\}.$$

Furthermore, if  $k$  satisfies the condition (1.10), then the family of mappings  $F_\lambda(z)$  is a  $K$ -quasiconformal homeomorphisms of  $\overline{\mathbb{C}}$ , where  $K$  is given by

$$K = \frac{1 + k + |\lambda|\|\omega\|_\infty(1 - k)}{1 - k - |\lambda|\|\omega\|_\infty(1 + k)}. \tag{1.15}$$

Without the restriction of  $k$  in (1.10), then (1.14) may not be a quasiconformal mapping of  $\overline{\mathbb{C}}$ . However, we can also present that  $f_\lambda = h + \lambda\overline{g}$  ( $|\lambda| \leq 1$ ) has a quasiconformal extension to  $\overline{\mathbb{C}}$  as follows.

**Theorem 1.2** *Let  $f = h + \overline{g}$  be a sense-preserving harmonic mapping in  $\Delta$  with  $\|\omega\|_\infty < 1$ . If  $f$  satisfies the condition (1.13), then  $\tilde{f}_\lambda(\partial\Delta)$  ( $|\lambda| \leq 1$ ) is a quasicircle and  $f_\lambda$  can be extended to a quasiconformal mapping of  $\overline{\mathbb{C}}$ .*

**Remark 1.2** It is easy to see that Theorem A can be deduced from Theorems 1.1–1.2 by letting  $g = 0$ . Furthermore, many criteria for univalence and quasiconformal extension of harmonic functions can be deduced from Theorems 1.1–1.2. For example,

- By setting  $\sigma(z) = \frac{\overline{z}}{1-|z|^2}$  and  $\lambda = 1$  in Theorems 1.1–1.2, we get the results of Theorem 1.2.
- By taking  $\sigma(z) = \frac{(c+1)\overline{z}}{1-|z|^2}$  in (1.12), we get [8, Proposition 1], which is a special harmonic case of Theorem A for univalence.
- By putting  $\sigma(z) = \frac{(c+1)\overline{z}}{1-|z|^2}$  in (1.13) and Theorem 1.2, we get the results of [20, Theorems 1 and 2] and [7, Theorem 2.2], respectively, which are special harmonic case of Theorem A for quasiconformal extensions.
- By setting  $\sigma(z) = \frac{\overline{z}}{1-|z|^2}$  in Theorem 1.1, we get the result of [9, Theorem 1.1], which is a special harmonic case of Theorem A for quasiconformal extensions.

### 1.3 Inner radius of univalency and quasidisk

For a simply connected domain  $D$  in  $\overline{\mathbb{C}}$ , the inner radius of univalency of  $D$  by the Schwarzian derivative and the pre-Schwarzian derivative are defined as

$$\rho(D) = \sup\{a : \|S\phi\|_D \leq a \Rightarrow \phi \text{ univalent in } D\}$$

and

$$\rho_1(D) = \sup\{a : \|P\phi\|_D \leq a \Rightarrow \phi \text{ univalent in } D\},$$

respectively. The inner radius of univalency has a significant meaning to judge the quasidisk. It was shown by Gehring [15] that  $\rho(D) > 0$  if and only if  $D$  is a quasidisk. Instead of  $\rho(D)$ , Astala and Gehring [4] proved that  $\rho_1(D) > 0$  if and only if  $D$  is a quasidisk. Recently, Efraimidis proved similar results as Gehring’s by using the inner radius of univalency of  $D$  by the Schwarzian derivative of harmonic mappings, we refer to [14] for details.

For a locally injective sense-preserving harmonic mapping  $f$  in a domain  $D$ , we define

$$\|P_f\|_D = \sup_{z \in D} \left\{ \left( |P_f| + \frac{|\omega'|}{1-|\omega|^2} \right) \frac{1}{\eta_D(z)} \right\}. \tag{1.16}$$

For the domain  $D$ , we define the inner radius of univalency by the pre-Schwarzian derivative for harmonic mappings as

$$\hat{\rho}_1(D) = \sup \left\{ a : \|P_f\|_D \leq a \Rightarrow f \text{ univalent in } D \right\}.$$

Following these definitions, Hu et al. [21] proved that  $\hat{\rho}_1(D) > 0$  if and only if  $D$  is a quasidisk.

For the importance of the inner radius of univalence, it is interesting to estimate  $\rho(D)$  and  $\rho_1(D)$ . However, except for some special domains  $D$  (see [22–23]), it is difficult to find the exact values of  $\rho(D)$  and  $\rho_1(D)$ . Ahlfors [1], Lehto [24] and Sugawa [33] gave an estimate of  $\rho(D)$  as follows.

**Theorem C** *Let  $D$  be a quasidisk and  $\partial D$  admit a quasiconformal reflection  $\lambda$ . Then*

$$\rho(D) \geq \inf_{z \in D} \frac{|\bar{\partial}\lambda| - |\partial\lambda|}{|\lambda - z|^2 \eta_D(z)}.$$

**Remark 1.3** When  $\lambda$  is continuously differentiable, the idea to get Theorem C belongs to Ahlfors while the representation form of Theorem C is due to Lehto. Sugawa obtained Theorem C by removing the assumption of the continuous differentiability of  $\lambda$ .

Considering  $\rho_1(D)$ , by using Theorem A, Cheng and Chen [10] gave the estimate of  $\rho_1(D)$  as follows.

**Theorem D** *Let  $D$  be a quasidisk and  $\lambda$  be the quasiconformal reflection across  $\partial D$ . Then*

$$\rho_1(D) \geq \inf_{z \in D} \frac{|\bar{\partial}\lambda| - |\partial\lambda|}{|\lambda - z| \eta_D(z)}.$$

In this paper, as an application of Theorem 1.1, we give a lower bound of  $\hat{\rho}_1(D)$  as follows.

**Theorem 1.3** *Let  $D$  be a quasidisk and  $\lambda$  be a quasiconformal reflection across  $\partial D$ . Then*

$$\hat{\rho}_1(D) \geq \inf_{z \in D} \frac{|\bar{\partial}\lambda| - |\partial\lambda|}{|\lambda - z| \eta_D(z)}. \tag{1.17}$$

## 2 Proof of Theorem 1.1

In this section, following the ideas developed by Hernández and Martín [16, 18], we give the proof of Theorem 1.1. The following lemmas play an important role in the proof of Theorem 1.1.

**Lemma 2.1** (see [18]) *Let  $f = h + \bar{g}$  be a locally univalent sense-preserving harmonic mapping. For  $a \in \Delta$ , let*

$$f_{[a]} = f + a\bar{f} = h_a + \bar{g}_a,$$

where  $h_a = h + ag$  and  $g_a = g + \bar{a}h$ . Then  $P_{f_{[a]}} = P_f$  and the second complex dilatation  $\omega_a$  of  $f_{[a]}$  is

$$\omega_a = \varphi_a \circ \omega,$$

where  $\varphi_a$  is the automorphism of  $\Delta$  defined by

$$\varphi_a(z) = \frac{\bar{a} + z}{1 + az}, \quad z \in \Delta.$$

It follows that

$$\frac{|z\omega'_a|}{1 - |\omega_a|^2} = \frac{|z\omega'|}{1 - |\omega|^2}.$$

By Hurwitz's theorem, the following property was shown in [16, p. 622].

**Lemma 2.2** (see [16]) *Let  $f = h + \bar{g}$  be a sense-preserving harmonic function in  $\Delta$ . If  $h + ag$  is univalent for all  $a \in \Delta$ , then  $h + a\bar{g}$  is univalent for all  $a \in \bar{\Delta}$ .*

**Lemma 2.3** (see [17]) *Let  $f = h + \bar{g}$  be a sense-preserving harmonic function in  $\Delta$ . If  $h + ag$  is univalent for all  $a \in \partial\Delta$ , then  $f = h + \bar{g}$  is univalent in  $\Delta$ .*

**Lemma 2.4** (see [25, Chapter I, Lemma 6.1]) *Let  $C$  be a quasicircle and  $f$  a homeomorphism of the plane which is  $K$ -quasiconformal in the complement of  $C$ . Then  $f$  is a  $K$ -quasiconformal mapping of the plane.*

**Proof of Theorem 1.1** We divide the proof of Theorem 1.1 into the following three parts.

**Part 1** We will prove that (1.12) implies that  $f_\lambda$  is univalent in  $\Delta$  for all  $|\lambda| \leq 1$ .

If  $f = h + \bar{g}$  satisfies the condition (1.12), we know that

$$\begin{aligned} \left| \sigma \frac{h''}{h'} + \sigma^2 - \sigma_z \right| &= \left| \sigma \left( \frac{h''}{h'} - \frac{\bar{\omega}\omega'}{1-|\omega|^2} \right) + \sigma^2 - \sigma_z + \frac{\sigma\bar{\omega}\omega'}{1-|\omega|^2} \right| \\ &\leq |\sigma P_f + \sigma^2 - \sigma_z| + \left| \frac{\sigma\bar{\omega}\omega'}{1-|\omega|^2} \right| \\ &\leq |\sigma P_f + \sigma^2 - \sigma_z| + \left| \frac{\sigma\omega'}{1-|\omega|^2} \right| \\ &\leq |\sigma_{\bar{z}}|. \end{aligned}$$

Thus, from Theorem A, we see that  $h$  is univalent in  $\Delta$ . By Lemma 2.1, we have  $P_{f_{[\lambda]}} = P_f$  and  $\frac{|\omega'_\lambda|}{1-|\omega_\lambda|^2} = \frac{|\omega'|}{1-|\omega|^2}$ , so  $f_{[\lambda]} = h + \lambda g + \overline{g + \bar{\lambda}h}$  also satisfies the hypothesis of Theorem 1.1. Then  $h_\lambda = h + \lambda g$  is univalent for all  $|\lambda| < 1$ . By Lemma 2.2, the functions  $h + \lambda g$  are univalent for all  $|\lambda| \leq 1$ . Then  $f_\lambda = h + \lambda \bar{g}$  are univalent for all  $|\lambda| \leq 1$  follows from Lemma 2.3.

**Part 2** Under the condition (1.13), we will show that (1.14) is a homeomorphic extension of  $f_\lambda$  to  $\bar{\mathbb{C}}$ . We divide the proof of this part into two steps.

**Step 1** When  $\lambda = 1$ , we shall prove the harmonic mapping  $f_1 := f = h + \bar{g}$  has a continuous and injective extension  $\tilde{f}_1$  to  $\bar{\Delta}$ , and the function  $F_1$  in (1.14) is a homeomorphism extension of  $\bar{\mathbb{C}}$ . We divide this to four substeps.

**Substep 1** We shall prove that for all  $a \in \bar{\Delta}$ , the functions  $h_a = h + ag$  have a continuous and injective extension to  $\bar{\mathbb{C}}$ . It follows from (1.13) and Lemma 2.1 that for all  $z \in \Delta$ ,

$$\begin{aligned} &\left| \sigma \frac{h''_a(z)}{h'_a(z)} + \sigma^2 - \sigma_z \right| \\ &\leq \left| \sigma \left( \frac{h''(z) + ag''(z)}{h'(z) + ag'(z)} - \frac{\overline{\omega_a(z)}\omega'_a(z)}{1-|\omega_a(z)|^2} \right) + \sigma^2 - \sigma_z \right| + \left| \frac{\overline{\sigma\omega_a(z)}\omega'_a(z)}{1-|\omega_a(z)|^2} \right| \\ &\leq \left| \sigma \left( \frac{h''(z) + ag''(z)}{h'(z) + ag'(z)} - \frac{\overline{\omega_a(z)}\omega'_a(z)}{1-|\omega_a(z)|^2} \right) + \sigma^2 - \sigma_z \right| + \frac{|\sigma\omega'_a(z)|}{1-|\omega_a(z)|^2} \\ &= |\sigma P_{f_{[a]}}(z) + \sigma^2 - \sigma_z| + \left| \frac{\sigma\omega'_a(z)}{1-|\omega_a(z)|^2} \right| \\ &\leq k|\sigma_{\bar{z}}|. \end{aligned} \tag{2.1}$$

By Theorem A, it implies that for each  $a \in \Delta$ , the function  $h_a$  is univalent and can be extended to a continuous and injective mapping  $\tilde{h}_a$  in  $\overline{\Delta}$  and the function

$$H_a(z) = \begin{cases} \tilde{h}_a(z), & |z| \leq 1, \\ h_a\left(\frac{1}{\bar{z}}\right) + u_a\left(\frac{1}{\bar{z}}\right), & |z| > 1 \end{cases} \tag{2.2}$$

is a  $K$ -quasiconformal extension of  $\overline{\mathbb{C}}$  onto itself with

$$K = \frac{1+k}{1-k},$$

where

$$u_a(z) = \frac{h'_a(z)}{\sigma(z)}, \quad z \in \Delta \setminus \{0\}.$$

Hence,  $H_a$  is continuous and univalent in  $\overline{\mathbb{C}}$ .

**Substep 2** We will construct an explicit function for a continuous and injective extension of  $f$  to  $\overline{\mathbb{C}}$ . We assume that  $H = H_0$  and  $H_1$  are the corresponding extensions of  $h_0 = h$  and  $h_1 = h + g$ , respectively. By virtue of (2.2), we define the function

$$G(z) = H_1(z) - H(z)$$

to obtain a continuous extension  $G$  of  $g$  to  $\overline{\mathbb{C}}$  as

$$G(z) = \begin{cases} \tilde{g}(z), & |z| \leq 1, \\ g\left(\frac{1}{\bar{z}}\right) + v\left(\frac{1}{\bar{z}}\right), & |z| > 1, \end{cases}$$

where

$$v(z) = \frac{g'(z)}{\sigma(z)}, \quad z \in \Delta \setminus \{0\}.$$

Since  $H_a$  is univalent in  $\overline{\mathbb{C}}$  for all  $a \in \overline{\Delta}$ , so is

$$H_a(z) = H(z) + aG(z). \tag{2.3}$$

Indeed, it is obvious that (2.3) holds when  $|z| \leq 1$ . For  $|z| > 1$ ,

$$\begin{aligned} H(z) + aG(z) &= h_0\left(\frac{1}{\bar{z}}\right) + u_0\left(\frac{1}{\bar{z}}\right) + ag\left(\frac{1}{\bar{z}}\right) + av\left(\frac{1}{\bar{z}}\right) \\ &= h\left(\frac{1}{\bar{z}}\right) + ag\left(\frac{1}{\bar{z}}\right) + \frac{h'\left(\frac{1}{\bar{z}}\right)}{\sigma\left(\frac{1}{\bar{z}}\right)} + a\frac{g'\left(\frac{1}{\bar{z}}\right)}{\sigma\left(\frac{1}{\bar{z}}\right)} \\ &= H_a(z). \end{aligned}$$

Next, we construct an explicit candidate for a continuous and injective extension of  $f$  to  $\overline{\mathbb{C}}$  defined by

$$F_1(z) = H(z) + \overline{G(z)} = \begin{cases} f_1(z), & |z| < 1, \\ \tilde{h}(z) + \tilde{g}(z), & |z| = 1, \\ f_1\left(\frac{1}{\bar{z}}\right) + U_1\left(\frac{1}{\bar{z}}\right), & |z| > 1, \end{cases}$$

where  $U_1$  is given by

$$U_1(z) = \frac{h'(z)}{\sigma(z)} + \frac{\overline{g'(z)}}{\overline{\sigma(z)}}, \quad z \in \Delta \setminus \{0\}.$$

**Substep 3** We will prove the univalence of  $F_1(z)$ . The univalence of  $F_1(z)$  can be obtained from the univalence of  $H(z)$  in  $\overline{\mathbb{C}}$ , which we can infer [16–17].

**Substep 4** We will show that  $F_1$  is a homeomorphism extension to  $\overline{\mathbb{C}}$ . Here, we adopt the method due to Ahlfors discussed in Chiang [11, p. 9]. We take into account  $f_1$  to be locally univalent in  $\Delta$ . On one hand, it is easy to see that the Jacobian  $J_{f_1}$  of  $f_1$  is non-vanishing in  $\Delta$ . On the other hand, let  $Q(z) = f_1(z) + U_1(z)$  be sense-preserving and sufficiently smooth in  $\Delta$ . Note that  $F_1$  is a  $K$ -quasiconformal mapping in  $|z| \geq 1$  if and only if  $Q$  is a sense-reserving  $K$ -quasiconformal mapping in  $|z| \leq 1$ . Then we can derive the Jacobian of  $Q$ , i.e.,  $J_Q \neq 0$  in  $\overline{\Delta}$  from the weaker condition  $|Q_z(z)| < |Q_{\bar{z}}(z)|$ . Hence,  $F_1$  is locally homeomorphic everywhere in  $\overline{\mathbb{C}}$  by the Inverse Function Theorem (see [32, p. 221]) and so homeomorphic in  $\overline{\mathbb{C}}$ .

**Step 2** When  $|\lambda| \leq 1$ , the harmonic mapping  $f_\lambda = h + \lambda\bar{g}$  ( $|\lambda| \leq 1$ ) has a continuous and injective extension  $\tilde{f}_\lambda$  to  $\overline{\Delta}$ , and the function  $F_\lambda$  in (1.14) is also a homeomorphism extension of  $\overline{\mathbb{C}}$ . For  $f_\lambda = h + \lambda\bar{g}$ , the second complex dilatation  $\omega_\lambda$  of  $f_\lambda$  is  $\omega_\lambda = \bar{\lambda}\omega$ . By observing that

$$|\lambda| + |\omega| \leq 1 + |\lambda||\omega|$$

following (1.13) we obtain

$$\begin{aligned} & |\sigma P_{f_\lambda} + \sigma^2 - \sigma_z| + \frac{|\sigma\omega'_\lambda|}{1 - |\omega_\lambda|^2} \\ &= \left| \sigma \left( \frac{h''}{h'} - \frac{\overline{\omega_\lambda}\omega'_\lambda}{1 - |\omega_\lambda|^2} \right) + \sigma^2 - \sigma_z \right| + \frac{|\sigma\omega'_\lambda|}{1 - |\omega_\lambda|^2} \\ &\leq \left| \sigma \left( \frac{h''}{h'} - \frac{\overline{\omega}\omega'}{1 - |\omega|^2} \right) + \sigma^2 - \sigma_z \right| + \left| \sigma \left( \frac{\overline{\omega}\omega'}{1 - |\omega|^2} - \frac{\overline{\omega_\lambda}\omega'_\lambda}{1 - |\omega_\lambda|^2} \right) \right| + \frac{|\sigma\omega'_\lambda|}{1 - |\omega_\lambda|^2} \\ &= |\sigma P_f + \sigma^2 - \sigma_z| + \frac{|\sigma||\omega||\omega'|(1 - |\lambda|^2)}{(1 - |\omega|^2)(1 - |\lambda|^2|\omega|^2)} + \frac{|\sigma||\lambda||\omega'|}{1 - |\lambda|^2|\omega|^2} \\ &= |\sigma P_f + \sigma^2 - \sigma_z| + \frac{|\sigma||\omega'|}{1 - |\omega|^2} \frac{|\lambda| + |\omega|}{1 + |\lambda||\omega|} \\ &\leq |\sigma P_f + \sigma^2 - \sigma_z| + \frac{|\sigma\omega'|}{1 - |\omega|^2}. \\ &\leq k|\sigma_{\bar{z}}|. \end{aligned} \tag{2.4}$$

By Step 1, we can obtain this conclusion.

**Part 3** We will show that  $F_\lambda$  is a concrete  $K$ -quasiconformal mapping.

Firstly, we shall estimate the maximal complex dilatation of the mapping  $F_\lambda$ . We divide it into the following two cases, since it is a removable set in  $\overline{\mathbb{C}}$  for quasiconformality when  $|z| = 1$  (see [26, p. 45, Theorem 8.3]).

**Case 1** If  $|z| < 1$ , then

$$|\mu_{F_\lambda}| = |\lambda||\omega(z)| \leq |\lambda|||\omega||_\infty < 1$$

for  $z \in \Delta$ .

**Case 2** If  $|z| > 1$ , we make a reciprocal transformation  $w = \frac{1}{z}$  for some  $|z| < 1$ , then

$$\begin{aligned}
 |\mu_{F_\lambda}(w)| &= \left| \frac{h'(z) + (U_\lambda)_z(z)}{\lambda g'(z) + (U_\lambda)_{\bar{z}}(z)} \right| \\
 &= \left| \frac{h' + \frac{h''\sigma - h'\sigma_z}{\sigma^2} - \lambda \frac{\overline{g'\sigma_z}}{\overline{\sigma^2}}}{\lambda \overline{g'} + \lambda \frac{g''\overline{\sigma} - g'\overline{\sigma}_z}{\overline{\sigma^2}} - \frac{h'\sigma_z}{\sigma^2}} \right| \\
 &= \left| \frac{\frac{\sigma^2}{\sigma_z} + \frac{\sigma}{\sigma_z} \frac{h''}{h'} - \frac{\sigma_z}{\sigma_z} - \lambda \overline{\omega} \frac{\sigma^2}{\overline{\sigma^2}} \frac{\overline{\sigma_z} \overline{h'}}{\overline{\sigma_z} h'}}{\overline{\lambda} \frac{\sigma^2}{\sigma_z} \omega + \overline{\lambda} \frac{\sigma}{\sigma_z} \frac{g''}{h'} - \overline{\lambda} \frac{\sigma_z}{\sigma_z} \omega - \frac{\sigma^2}{\overline{\sigma^2}} \frac{\overline{\sigma_z} \overline{h'}}{\overline{\sigma_z} h'}} \right| \\
 &\leq \frac{\left| \frac{\sigma^2}{\sigma_z} + \frac{\sigma}{\sigma_z} \frac{h''}{h'} - \frac{\sigma_z}{\sigma_z} \right| + |\lambda| \|\omega\|_\infty}{1 - |\lambda| \left| \frac{\sigma^2}{\sigma_z} \omega - \frac{\sigma_z}{\sigma_z} \omega + \frac{\sigma}{\sigma_z} \frac{g''}{h'} \right|}. \tag{2.5}
 \end{aligned}$$

Since  $f$  satisfies the condition (1.13), by the triangle inequality, we get

$$\begin{aligned}
 \left| \frac{\sigma^2}{\sigma_z} + \frac{\sigma}{\sigma_z} \frac{h''}{h'} - \frac{\sigma_z}{\sigma_z} \right| &= \left| \frac{\sigma}{\sigma_z} \left( \frac{h''}{h'} - \frac{\overline{\omega}\omega'}{1 - |\omega|^2} \right) + \frac{\sigma^2}{\sigma_z} - \frac{\sigma_z}{\sigma_z} + \frac{\sigma}{\sigma_z} \frac{\overline{\omega}\omega'}{1 - |\omega|^2} \right| \\
 &\leq \left| \frac{\sigma}{\sigma_z} \left( \frac{h''}{h'} - \frac{\overline{\omega}\omega'}{1 - |\omega|^2} \right) + \frac{\sigma^2}{\sigma_z} - \frac{\sigma_z}{\sigma_z} \right| + \left| \frac{\sigma}{\sigma_z} \frac{\overline{\omega}\omega'}{1 - |\omega|^2} \right| \\
 &\leq k - \left| \frac{\sigma}{\sigma_z} \frac{\omega'}{1 - |\omega|^2} \right| + \|\omega\|_\infty \left| \frac{\sigma}{\sigma_z} \frac{\omega'}{1 - |\omega|^2} \right| \\
 &= k - (1 - \|\omega\|_\infty) \left| \frac{\sigma}{\sigma_z} \frac{\omega'}{1 - |\omega|^2} \right|. \tag{2.6}
 \end{aligned}$$

On the other hand, we have

$$g'' = (\omega h')' = \omega' h' + \omega h''.$$

Therefore, for all  $z \in \Delta$ , we obtain

$$\begin{aligned}
 \left| \frac{\sigma^2}{\sigma_z} \omega - \frac{\sigma_z}{\sigma_z} \omega + \frac{\sigma}{\sigma_z} \frac{g''}{h'} \right| &= \left| \frac{\sigma^2}{\sigma_z} \omega - \frac{\sigma_z}{\sigma_z} \omega + \frac{\sigma}{\sigma_z} \frac{h''}{h'} \omega + \frac{\sigma}{\sigma_z} \omega' \right| \\
 &= \left| \frac{\sigma \omega}{\sigma_z} \left( \frac{h''}{h'} - \frac{\overline{\omega}\omega'}{1 - |\omega|^2} \right) + \frac{\sigma^2}{\sigma_z} \omega - \frac{\sigma_z}{\sigma_z} \omega + \frac{\sigma}{\sigma_z} \frac{\omega'}{1 - |\omega|^2} \right| \\
 &\leq \|\omega\|_\infty \left| \frac{\sigma}{\sigma_z} P_f + \frac{\sigma^2}{\sigma_z} - \frac{\sigma_z}{\sigma_z} \right| + \left| \frac{\sigma}{\sigma_z} \frac{\omega'}{1 - |\omega|^2} \right| \\
 &\leq k \|\omega\|_\infty - \|\omega\|_\infty \left| \frac{\sigma}{\sigma_z} \frac{\omega'}{1 - |\omega|^2} \right| + \left| \frac{\sigma}{\sigma_z} \frac{\omega'}{1 - |\omega|^2} \right| \\
 &= k \|\omega\|_\infty + (1 - \|\omega\|_\infty) \left| \frac{\sigma}{\sigma_z} \frac{\omega'}{1 - |\omega|^2} \right|. \tag{2.7}
 \end{aligned}$$

By substituting (2.6)–(2.7) into (2.5), we know

$$\begin{aligned}
 |\mu_{F_\lambda}(w)| &\leq \frac{k - (1 - \|\omega\|_\infty)|\omega^*(z)| + |\lambda|\|\omega\|_\infty}{1 - (k|\lambda|\|\omega\|_\infty + (1 - \|\omega\|_\infty)|\omega^*(z)|)} \\
 &= \frac{k + |\lambda|\|\omega\|_\infty - (1 - \|\omega\|_\infty)|\omega^*(z)|}{1 - k|\lambda|\|\omega\|_\infty - (1 - \|\omega\|_\infty)|\omega^*(z)|},
 \end{aligned}
 \tag{2.8}$$

where

$$\omega^*(z) = \frac{\sigma}{\sigma_{\bar{z}}} \frac{\omega'}{1 - |\omega|^2}.$$

Since  $f$  satisfies the condition (1.13), we deduce that  $|\omega^*(z)| \leq k$ .

Define the function  $\rho(x) : [0, k] \rightarrow \mathbb{R}$  by

$$\rho(x) = \frac{k + |\lambda|\|\omega\|_\infty - (1 - \|\omega\|_\infty)x}{1 - k|\lambda|\|\omega\|_\infty - (1 - \|\omega\|_\infty)x}.$$

According to the condition (1.10) holds, we see that  $\rho'(x) < 0$  for all  $x \in [0, k]$ . Thus

$$\rho(x) \leq \rho(0) = \frac{k + |\lambda|\|\omega\|_\infty}{1 - k|\lambda|\|\omega\|_\infty} = \frac{K - 1}{K + 1}.$$

We then find from (2.8) that

$$|\mu_{F_\lambda}(w)| \leq \frac{K - 1}{K + 1} =: k_1$$

holds for all  $|w| > 1$ . Since the assumption (1.10) shows that

$$\|\omega_\lambda\|_\infty = |\lambda|\|\omega\|_\infty \leq \|\omega\|_\infty < \frac{1 - k}{1 + k}$$

holds for all  $\lambda \in \overline{\Delta}$ , which implies that  $k_1 < 1$ . Therefore,  $F_\lambda(w)$  is a quasiconformal mapping in  $\overline{\mathbb{C}} \setminus \overline{\Delta}$ .

Secondly, by Lemma 2.4, the mapping  $F_\lambda$  defined by (1.14) is  $K$ -quasiconformal whenever (2.8) holds with

$$|\mu_{F_\lambda}(z)| \leq \frac{K - 1}{K + 1}$$

for all  $|z| \neq 1$  in  $\overline{\mathbb{C}}$ . Moreover, by noting that

$$|\lambda|\|\omega\|_\infty \leq k_1 < 1,$$

we deduce that  $F_\lambda$  is a  $K$ -quasiconformal mapping of  $f_\lambda$  in  $\overline{\mathbb{C}}$ , where  $K$  is given by (1.15).

### 3 Proof of Theorem 1.2

In order to prove Theorem 1.2, we will need the following lemmas.

**Lemma 3.1** (see [26, Chapter II, Theorem 5.3]) *The limit function  $\varpi$  of a sequence  $\varpi_n$  of  $K$ -quasiconformal mappings convergent in  $D$  is either a constant, a mapping of  $D$  onto two points, or a  $K$ -quasiconformal mapping of  $D$ .*

**Lemma 3.2** (see [9]) *Let  $\varepsilon \in \Delta$  and  $T(z) = \frac{z+|\varepsilon|}{1+|\varepsilon|z}$ . Then  $T(z)$  is a Möbius transformation of the unit disk  $\Delta$  onto itself and*

$$\frac{||\varepsilon| - |z||}{1 - |\varepsilon||z|} \leq |T(z)| \leq \frac{|\varepsilon| + |z|}{1 + |\varepsilon||z|}.$$

**Lemma 3.3** *Let  $f = h + \bar{g}$  be a sense-preserving harmonic mapping in  $\Delta$  with complex dilatation  $\omega \neq 0$ . Assume that  $\|\omega\|_\infty < 1$  and that  $f$  satisfies (1.13). Then, the analytic functions  $h_a = h + ag$  are univalent in  $\Delta$  for all  $0 \leq |a| < \delta$ , where*

$$1 < \delta = \frac{1 + k\|\omega\|_\infty}{k + \|\omega\|_\infty} \leq \frac{1}{\|\omega\|_\infty}.$$

Moreover,  $h_a$  has a continuous and injective extension  $\tilde{h}_a$  to  $\bar{\Delta}$ .

**Proof** In the proof of Theorem 1.1, we have found that  $h_a$  has a continuous and injective extension  $\tilde{h}_a$  to  $\bar{\Delta}$  for all  $|a| \leq 1$ .

Next, we shall prove that  $h_a$  has a continuous and injective extension  $\tilde{h}_a$  to  $\bar{\Delta}$  for  $1 < |a| < \delta$ . By noting that

$$\frac{h''_a}{h'_a} = \frac{h''}{h'} + \frac{a\omega'}{1 + a\omega}, \tag{3.1}$$

in view of (3.1) and the formula (1.7) for pre-Schwarzian derivative of  $f$ , we get

$$\begin{aligned} \left| \frac{\sigma}{\sigma_{\bar{z}}} \frac{h''_a}{h'_a} + \frac{\sigma^2}{\sigma_{\bar{z}}} - \frac{\sigma_z}{\sigma_{\bar{z}}} \right| &\leq \left| \frac{\sigma}{\sigma_{\bar{z}}} P_f + \frac{\sigma^2}{\sigma_{\bar{z}}} - \frac{\sigma_z}{\sigma_{\bar{z}}} \right| + \left| \frac{\sigma}{\sigma_{\bar{z}}} \frac{\omega'}{1 - |\omega|^2} \frac{\bar{\omega} + a}{1 + a\omega} \right| \\ &\leq k - \left| \frac{\sigma}{\sigma_{\bar{z}}} \frac{\omega'}{1 - |\omega|^2} \right| + \left| \frac{\sigma}{\sigma_{\bar{z}}} \frac{\omega'}{1 - |\omega|^2} \right| \left| \frac{\bar{\omega} + a}{1 + a\omega} \right| \\ &= k + \left| \frac{\sigma}{\sigma_{\bar{z}}} \frac{\omega'}{1 - |\omega|^2} \right| \left( \left| \frac{\bar{\omega} + a}{1 + a\omega} \right| - 1 \right) \\ &\leq k \left| \frac{\bar{\omega} + a}{1 + a\omega} \right|. \end{aligned} \tag{3.2}$$

From Lemma 3.2, we know that

$$\sup_{z \in \Delta} \left| \frac{\omega + \bar{a}}{1 + a\omega} \right| \leq \frac{|a| - \|\omega\|_\infty}{1 - \|\omega\|_\infty |a|} < \frac{1}{k} \tag{3.3}$$

with

$$1 < |a| < \delta = \frac{1 + k\|\omega\|_\infty}{k + \|\omega\|_\infty} \leq \frac{1}{\|\omega\|_\infty}.$$

Combining (3.2)–(3.3) with Theorem A, we conclude that  $h_a$  is univalent in  $\Delta$  and  $h_a$  has a continuous and injective extension  $\tilde{h}_a$  to  $\bar{\Delta}$  for all  $0 \leq |a| < \delta$ , where

$$1 < \delta = \frac{1 + k\|\omega\|_\infty}{k + \|\omega\|_\infty} \leq \frac{1}{\|\omega\|_\infty}.$$

We thus complete the proof of Lemma 3.3.

By using the similar method as in [16] (also see [14, 20]), we can prove the following lemma. For completeness, we give the proof.

**Lemma 3.4** *Let  $f = h + \bar{g}$  satisfy the hypothesis of Lemma 3.3. Assume in addition that both  $h$  and  $g$  are analytic functions in  $\bar{\Delta}$ . Then*

$$\sup_{\alpha, \beta \in \bar{\Delta}, \alpha \neq \beta} \left| \frac{\lambda(g(\alpha) - g(\beta))}{h(\alpha) - h(\beta)} \right| = \sup_{\alpha, \beta \in \bar{\Delta}, \alpha \neq \beta} \left| \frac{\overline{\lambda(g(\alpha) - g(\beta))}}{h(\alpha) - h(\beta)} \right| \leq \frac{1}{\delta} = \frac{k + \|\omega\|_\infty}{1 + k\|\omega\|_\infty} < 1 \quad (3.4)$$

for  $|\lambda| \leq 1$ .

**Proof** We fix  $\beta \in \bar{\Delta}$  and define the generalized dilatation

$$\psi_\beta(\alpha) = \begin{cases} \frac{\lambda(g(\alpha) - g(\beta))}{h(\alpha) - h(\beta)}, & \alpha \neq \beta, \\ \omega(\alpha), & \alpha = \beta, \end{cases}$$

where  $\alpha \in \bar{\Delta}$ ,  $|\lambda| \leq 1$  and  $\omega$  denote the second complex dilatation of  $f$ . Evidently,  $\psi_\beta(\alpha)$  is continuous for  $|\alpha| \leq 1$ , so that there exists an  $\alpha_0 \in \bar{\Delta}$  such that  $\sup_{\alpha \in \bar{\Delta}} |\varphi_\beta(\alpha)| = |\varphi_\beta(\alpha_0)|$ .

We consider two cases that  $\alpha_0 = \beta$  and  $\alpha_0 \neq \beta$ . If  $\alpha_0 = \beta$ , then

$$\sup_{\alpha \in \bar{\Delta}} |\varphi_\beta(\alpha)| \leq \|\omega\|_\infty.$$

By  $\delta \leq \frac{1}{\|\omega\|_\infty}$ , we get

$$\sup_{\alpha \in \bar{\Delta}} |\varphi_\beta(\alpha)| \leq \frac{1}{\delta}.$$

If  $\alpha_0 \neq \beta$ , we will use the law of disproof to get contradicts with Lemma 3.3. Assume that  $\sup_{\alpha \in \bar{\Delta}} |\varphi_\beta(\alpha)| > \frac{1}{\delta}$ . Then there exist  $0 < \varepsilon < \delta$  and  $|\mu| = 1$  such that

$$\frac{\lambda(g(\alpha_1) - g(\beta))}{h(\alpha_1) - h(\beta)} = \frac{\mu}{\delta - \varepsilon}, \quad (3.5)$$

where  $\alpha_1 \in \bar{\Delta}$  and  $|\lambda| \leq 1$ . (3.5) shows that  $h - \bar{\mu}(\delta - \varepsilon)\lambda g$  is not univalent in  $\bar{\Delta}$ , which contradicts with Lemma 3.3.

**Proof of Theorem 1.2** For the harmonic mappings  $f_\lambda$ , we have

$$(f_\lambda)_r(z) = f_\lambda(rz) = h(rz) + \lambda \overline{g(rz)}, \quad 0 < r < 1.$$

Each of these functions  $(f_\lambda)_r$  satisfies

$$|\lambda|\omega_r = |\lambda|\omega(rz),$$

so that

$$|\lambda|\|\omega_r\|_\infty = \|\omega_r\|_\infty \leq \|\omega\|_\infty < 1.$$

If we prove that the mapping  $(f_\lambda)_r$  can be extended to a  $K$ -quasiconformal mapping in  $\bar{\mathbb{C}}$ , where  $K$  does not depend on  $r$ , then by Lemma 3.1, we conclude that  $f_\lambda$  can be extended to a  $K$ -quasiconformal mapping in  $\bar{\mathbb{C}}$ .

We note that if  $f$  satisfies (1.13), then

$$\begin{aligned} & \sup_{z \in \Delta} \left\{ |\sigma P_{(f_\lambda)_r} + \sigma^2 - \sigma_z| + \frac{|\sigma \omega'|}{1 - |\omega|^2} \right\} \\ & \leq r \sup_{z \in \Delta} |\sigma P_{f_\lambda} + \sigma^2 - \sigma_z| + r \sup_{z \in \Delta} \frac{|\sigma \omega'|}{1 - |\omega|^2} \\ & \leq r \sup_{z \in \Delta} |\sigma P_f + \sigma^2 - \sigma_z| + r \sup_{z \in \Delta} \frac{|\sigma \omega'|}{1 - |\omega|^2} \\ & \leq rk|\sigma_{\bar{z}}| \\ & \leq k|\sigma_{\bar{z}}|. \end{aligned}$$

Therefore,  $(f_\lambda)_r$  satisfies the condition (1.13). By Lemmas 3.3–3.4, and use the similar method as in [20, Theorem 3], we get the assertion  $(f_\lambda)_r$  admits a  $K$ -quasiconformal reflection. By allowing  $r \rightarrow 1$ , we deduce that  $f_\lambda$  has a quasiconformal extension to  $\overline{\mathbb{C}}$ . The proof of Theorem 1.2 is thus completed.

### 4 Proof of Theorem 1.3

In this section, we will give the proof of Theorem 1.3 following the idea of Cheng and Chen [10].

**Proof of Theorem 1.3** According to the Riemann Mapping Theorem, we let  $g$  be a conformal mapping from  $\Delta$  onto domain  $D$  and  $w = g(z)(z \in \Delta)$ . Set  $\tau = \frac{\lambda \circ g}{g}$  and  $\sigma = -\frac{g'}{g} \frac{1}{1-\tau}$ , then

$$\sigma_z = -\frac{g''}{g} \frac{1}{1-\tau} + \frac{(g')^2}{g^2} \frac{1}{1-\tau} - \frac{g'}{g} \frac{\partial_z \tau}{(1-\tau)^2} \tag{4.1}$$

and

$$\sigma_{\bar{z}} = -\frac{g'}{g} \frac{\partial_{\bar{z}} \tau}{(1-\tau)^2}. \tag{4.2}$$

Substituting (4.1)–(4.2) into (1.13), we get

$$\begin{aligned} & \left| -\frac{g'}{g} \frac{1}{1-\tau} P_f + \frac{(g')^2}{g^2} \frac{1}{(1-\tau)^2} + \frac{g''}{g} \frac{1}{1-\tau} - \frac{(g')^2}{g^2} \frac{1}{1-\tau} + \frac{g'}{g} \frac{\partial_z \tau}{(1-\tau)^2} \right| \\ & + \left| \frac{g'}{g} \frac{1}{1-\tau} \frac{\omega'}{1-|\omega|^2} \right| \leq k \left| \frac{g'}{g} \frac{\partial_{\bar{z}} \tau}{(1-\tau)^2} \right|, \end{aligned}$$

i.e.,

$$\left| -(1-\tau)(P_f - P_g) + \frac{g'}{g} \tau + \partial_z \tau \right| + \left| \frac{(1-\tau)\omega'}{1-|\omega|^2} \right| \leq k|\partial_{\bar{z}} \tau|. \tag{4.3}$$

For any locally univalent and sense-preserving harmonic mapping  $f$  in  $\Delta$ , (4.3) implies that  $f$  has a quasiconformal extension. We can see from (4.3) that

$$\left| \frac{1}{\eta_\Delta} (P_f - P_g) - \frac{\partial_z(g\tau)}{g(1-\tau)\eta_\Delta} \right| + \left| \frac{1}{\eta_\Delta} \frac{\omega'}{1-|\omega|^2} \right| \leq k \frac{|\partial_{\bar{z}}(g\tau)|}{|g(1-\tau)\eta_\Delta|}. \tag{4.4}$$

On the other hand, if  $f$  satisfies the inequality

$$\begin{aligned} \left| \frac{1}{\eta_\Delta} (P_f - P_g) \right| + \left| \frac{1}{\eta_\Delta} \frac{\omega'}{1 - |\omega|^2} \right| &\leq \inf_{z \in \Delta} \frac{k |\partial_{\bar{z}}(\lambda \circ g(z))| - |\partial_z(\lambda \circ g(z))|}{|\lambda \circ g(z) - g(z)| \eta_\Delta(z)} \\ &= \inf_{w \in D} \frac{k |\partial_{\bar{w}}(\lambda(w))| - |\partial_w(\lambda(w))|}{|\lambda(w) - w| \eta_D(w)}, \end{aligned} \tag{4.5}$$

then  $f$  must satisfy the inequality (4.4), which implies that  $f$  is univalent.

Note that  $\eta_\Delta = \eta_D(g)|g'(z)|$ , then

$$\|P_{f \circ g^{-1}}\|_D = \|P_f - P_g\| = \sup_{z \in \Delta} \left\{ \left( |P_f - P_g| + \frac{|\omega'|}{1 - |\omega|^2} \right) \eta_\Delta^{-1} \right\}. \tag{4.6}$$

It follows from (4.5)–(4.6) that if  $f$  satisfies the inequality

$$\|P_{f \circ g^{-1}}\|_D \leq \inf_{w \in D} \frac{k |\partial_{\bar{w}}\lambda(w)| - |\partial_w\lambda(w)|}{|\lambda(w) - w| \eta_D(w)},$$

then  $f$  is univalent and hence  $f \circ g^{-1}$  is univalent on  $D$ . Therefore,

$$\widehat{\rho}_1(D) \geq \sup_{0 \leq k < 1} \left\{ \inf_{w \in D} \frac{k |\partial_{\bar{w}}\lambda(w)| - |\partial_w\lambda(w)|}{|\lambda(w) - w| \eta_D(w)} \right\} = \inf_{w \in D} \frac{|\partial_{\bar{w}}\lambda(w)| - |\partial_w\lambda(w)|}{|\lambda(w) - w| \eta_D(w)}.$$

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## Declarations

**Conflicts of interest** The authors declare no conflicts of interest.

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