

# THE TRIANGULAR MODEL OF SEMI-SIMPLE $L^*$ -ALGEBRA OF H-S OPERATORS

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## Abstract

In this paper, some properties of semi-simple  $L^*$ -algebras are considered.

At first, applying Cartan decomposition, the author constructs a family of nilpotent subalgebras in a semi-simple  $L^*$ -algebra and proves that whole algebra can be spanned by these subalgebras, their conjugations and Cartan subalgebras.

Then, the author proves that every nonzero root vector of semi-simple  $L^*$ -algebra of H-S operators is a finite rank operator and presents the triangular model of the algebra.

Finally, non-Volterra property of the algebra is shown.

In this paper we will follow the notations in [1, 2], The basic results we will use are as follows.

**Theorem** *If  $L$  is a semi-simple  $L^*$ -algebra with Cartan subalgebra  $H$ ,  $L$  has a Cartan decomposition with respect to  $H$ . ([2] p 348)*

Suppose  $A$  is a bounded self-adjoint operator on  $L$ . For real  $\lambda$  and  $\varepsilon > 0$ , let

$$V(\lambda, \varepsilon) = \{x: \|(A - \lambda)^n x\| \leq \varepsilon^n \|x\|, n=1, 2, \dots\}.$$

For a Borel set  $M$  of the real numbers, let

$$V(M, \varepsilon) = SP\{V(\lambda, \varepsilon): \lambda \in M\}$$

and

$$V(M) = \bigcap_{\varepsilon > 0} V(M, \varepsilon).$$

Furthermore, if  $E(\lambda)$  is the real spectral measure of  $A$  such that

$$A = \int \lambda dE,$$

then the range of  $E(M)$  is equal to  $V(M)$  for  $M$  compact. For any Borel set  $M$  the range of  $E(M)$  will be denoted by  $S(M)$ . Finally, for Borel sets  $M$  and  $N$  let

$$M + N = \{m + n, m \in M, n \in N\} \text{ and } -M = \{-m, m \in M\}.$$

The  $M + N$  and  $-M$  are also Borel sets.

**Proposition 1** *Suppose  $A$  is a bounded self-adjoint derivation on  $L$  and  $M, N$  are Borel sets of the real line. Then  $[S(M), S(N)] \subset S(M + N)$  and  $S(M)^* = S(-M)$ .*

([1] p 335)

### § 1. Nilpotent subalgebra and nilpotent root vector

In this section we will construct nilpotent subalgebras spanned by the root vectors.

Let  $L$  be a semi-simple  $L^*$ -algebra and  $h$  be a selfadjoint element of  $L$ . Then there is a Cartan subalgebra containing  $h$ . For every  $x \in L$ ,  $D_h x = [h, x]$  is a bounded selfadjoint derivation on  $L$ . Without loss of generality, we can suppose that the spectrum of  $D_h$  is in the interval  $[-1, +1]$  including the end points. We take  $D_h$  as  $A$  in the proposition 1.

**Proposition 2** *If  $|\lambda| > 1$ , then  $V(\lambda, \varepsilon) = \{0\}$  when  $\varepsilon$  is small enough.*

*Proof* By hypothesis, we can suppose  $\lambda > 1$ . Therefore, there exists  $(A - \lambda)^{-1}$  which is a bounded operator,  $\|(A - \lambda)^{-1}\| \leq K$ , where  $K$  is a constant. If  $x \in V(\lambda, \varepsilon)$ ,  $\|x\| = \|(A - \lambda)^{-n} (A - \lambda)^n x\| \leq (K\varepsilon)^n \|x\|$ ,  $n = 1, 2, \dots$ , we take  $\varepsilon < \frac{1}{2K}$  so that  $\|x\| \leq \frac{1}{2} \|x\|$ , therefore  $x = 0$ .

Q. E. D.

**Theorem 1** *If  $L$  is a semi-simple  $L^*$ -algebra, then there is a decomposition of  $L$*

$$L = S[-1, 0] \oplus H \oplus S(0, +1].$$

*For every  $\lambda > 0$ ,  $S[\lambda, 1]$  is a nilpotent subalgebra.*

*Proof* We take  $D_h$  as  $A$  in proposition 1. In views of the previous discussion, we can get  $S[-1, 0)$  and  $S(0, +1]$ .  $S[\lambda, 1]$  ( $\lambda > 0$ ) is a nilpotent subalgebra.

If  $\alpha$  is a nonzero positive root of  $L$ , it is easy to see that a positive root vector  $e_\alpha$  belongs to  $V(\alpha(h), \varepsilon)$ , that is,  $V_\alpha \subset V(\alpha(h), \varepsilon) \subset S(0, +1]$

Therefore,  $H \oplus V_\alpha \subset S[-1, 0) \oplus H \oplus S(0, +1] \subseteq L$ , in which summation runs over all nonzero roots. By means of the existence of Cartan decomposition of semi-simple  $L^*$ -algebra, we have  $L = H \oplus V_\alpha$ . Consequently, we get the decomposition

$$L = S[-1, 0) \oplus H \oplus S(0, +1].$$

Q. E. D.

### § 2. Finite rank property of the root vectors

In this section we will consider a concrete semi-simple  $L^*$ -algebra. Then we can get the particular properties of root vectors.

Now let  $L_H$  be a semi-simple  $L^*$ -algebra composed by Hilbert-Schmidt operators. The Lie product is given in usual way,  $[A, B] = AB - BA$  for  $A, B \in L_H$ , and inner product is defined by  $(A, B) = \text{trace}(B^*A)$ . We will prove that all root vectors corresponding to nonzero roots are finite rank operators.

**Lemma 1** If  $A$  is a fixed nonzero bounded operator on a Hilbert space such that  $[[A, A^*], A] = \lambda A$  for some  $\lambda \neq 0$ , and  $n$  is the greatest integer such that  $A^n \neq 0$ . Then  $A^*A$  has finite spectra contained in the set  $\{K(\lambda/2): K=0, 1, \dots, n(n+1)\}$ . ([2] p 342)

**Proposition 3.** If  $e_\alpha$  is a nonzero root vector of  $L_H$ , then  $e_\alpha$  is a finite rank operator.

*Proof* If  $e_\alpha$  is a nonzero root vector, without loss of generality, we can suppose  $e_\alpha$  corresponds to a positive root. By means of Theorem 1,  $e_\alpha$  belongs to some nilpotent subalgebra  $S[\lambda, 1]$ , where  $\lambda > 0$ .

Thus there exists  $n$  which is the largest integer such that  $e_\alpha^n \neq 0$ . Evidently,

$$[[e_\alpha, e_\alpha^*], e_\alpha] = \alpha(h_\alpha)e_\alpha.$$

According to Lemma 1, the spectrum of operator  $e_\alpha^*e_\alpha$  is contained in the set  $\{\alpha(h_\alpha)K/2, K=0, 1, \dots, n(n+1)\}$ . Since  $e_\alpha^*e_\alpha$  is a completely continuous operator, if  $\alpha(h_\alpha)k/2$  is the nonzero characteristic value of  $e_\alpha^*e_\alpha$ , the corresponding characteristic subspace is finite dimension. Because of the spectral theorem of selfadjoint completely continuous operator,  $e_\alpha^*e_\alpha$  is a finite rank operator. Since the null space of  $e_\alpha^*e_\alpha$  contains in the null space of  $e_\alpha$ , therefore,  $e_\alpha$  is a finite rank operator.

### § 3. The triangular model of $L$

In this section, we prove, with the help of [4], that every nonzero root vector can be expressed in triangular model. It is somewhat like uppertriangular form.

**Chain** A set  $\mathcal{B}$  of orthoprojectors is called a chain, if for any pair  $p_1, p_2 \in \mathcal{B}$  either  $p_1 < p_2$  or  $p_2 < p_1$ .

**Eigenchain** We shall say that a chain  $\mathcal{B}$  is an eigenchain of the operator  $A$ , if each of the subspaces  $R(p)$  ( $p \in \mathcal{B}$ ) is invariant with respect to  $A$ , in other words, if  $pAp = Ap$  ( $p \in \mathcal{B}$ ).

**Lemma 2.** Every completely continuous linear operator has a maximal eigenchain. ([4] p 15)

**The rank of a chain** A system of vectors  $\{x_i\}_i$  ( $1 \leq i \leq +\infty$ ) is called a reproducing system for the chain  $\mathcal{B}$ , if the closed linear hull of the set of vectors  $px_i$  ( $j=1, 2, \dots, r, p \in \mathcal{B}$ ) coincides with the entire space  $H_1$ . The smallest of the cardinalities of all possible reproducing system for the chain  $\mathcal{B}$  is called the rank of the chain, and is denoted by  $r(\mathcal{B})$ .

**Triangular Model.** For brevity, we denote by  $L_2^{(r)}$  ( $1 \leq r \leq +\infty$ ) the Hilbert space  $L_2(Q)$ , where  $Q = [0, 1]$ . Thus, an element  $f \in L_2^{(r)}$  is an  $r$ -dimensional vector function  $f = \{f_\nu(t)\}_1^r$  with measurable components  $f_\nu(t)$  ( $0 \leq t \leq 1$ ) such that

$$|f|^2 = \int_0^1 \sum_{\nu=1}^r |f_\nu(t)|^2 dt < \infty.$$

For the scalar product of the elements  $f, g \in L_2^{(r)}$ , we have

$$(f, g) = \int_0^1 g^*(t)f(t) dt = \int_0^1 \sum_{\nu=1}^r f_\nu(t) \overline{g_\nu(t)} dt.$$

Let  $\tilde{p}(t)$  ( $0 \leq t \leq 1$ ) be the truncation projector-function defined by the condition  $\hat{p}(0) = 0, \hat{p}(1) = I$  and

$$(\hat{p}(s)f)(t) = \begin{cases} f(t), & 0 \leq t < s, \\ 0, & s < t \leq 1 \quad (0 < t < 1). \end{cases}$$

Let  $A$  be some (abstract) Volterra operator acting in a Hilbert space  $H_1$ . A (concrete) Volterra operator  $\mathcal{A}$ , acting on  $L_2^{(r)}$  and having  $\hat{p}(t)$  as an eigen-projector-function, is called a triangular model of  $A$ , if  $\mathcal{A}$  is unitary equivalent to  $A$  or to an inessential extension of  $A$ .

**Lemma 3.** Every Hilbert-Schmidt Volterra operator  $\mathcal{A}$  of rank  $r$  has as a triangular model an integral operator  $\mathcal{A}$ , which acts on the space  $L_2^{(r)}$  according to the formula

$$(\mathcal{A}f)(t) = \int_t^1 \mathcal{A}(t, s)f(s) ds,$$

where

$$\mathcal{A}(t, s) = \|a_{\mu\nu}(t, s)\|_1 \quad (0 \leq t \leq s \leq 1)$$

is a Hilbert-Schmidt matrix kernel i. e.

$$\int_0^1 \int_t^1 \sum_{\mu, \nu=1}^r |a_{\mu\nu}(t, s)|^2 ds dt < \infty.$$

([4] p 221)

**Lemma 4.** If  $A$  is a finite-rank operator,  $r$  is its rank of operator and  $r(\mathcal{B})$  is the rank of its eigenchain, then there exists an inequality

$$r(\mathcal{B}) \leq r$$

*Proof* Let  $A$  be a finite rank operator on  $H_1$ . The domain of  $A$  is a  $r$ -dimensional space, and  $x_1, x_2, \dots, x_r$  is its orthonormal basis. Then we can extend this basis to the whole space  $H_1$  and get the orthonormal basis of  $H_1$  and denote it as  $x_1, x_2, \dots, x_r, e_{r+1}, e_{r+2}, \dots$ . If the chain of  $A$  is  $\mathcal{B}'$ , we can extend  $\mathcal{B}'$  to  $\mathcal{B}$  such that

$$E\{x_1, x_2, \dots, x_r, e_{r+1}, \dots, e_{r+i}\} \quad (i=1, 2, \dots) \in \mathcal{B}.$$

Therefore  $\mathcal{B}$  is the chain of  $A$ .

Let

$$x_i = x_i + \sum_{k=1}^{\infty} e_{r+k}/2^k \quad (i=1, 2, \dots, r).$$

It is easy to see that  $\{x_i\}_1^r$  is a reproducing system for  $A$ , consequently

$$r(\mathcal{B}) \leq r.$$

**Theorem 2.** Let  $L_H$  be a semi-simple  $L^*$ -algebra of  $H$ -S operators on  $H_1$ ,  $\alpha$  be a nonzero root, and  $e_\alpha$  be a corresponding root vector. Then  $e_\alpha$  has a triangular model

$$\begin{aligned}
 (\mathcal{A}f)(t) &= \int_t^1 \mathcal{A}(t, s)f(s) ds, \\
 \mathcal{A}(t, s) &= \|a_{\mu\nu}(t, s)\|_1, \quad (0 \leq t \leq s \leq 1) \\
 \int_0^1 \int_t^1 \sum_{\mu\nu=1}^r |a_{\mu\nu}(t, s)|^2 ds dt &< \infty, \\
 r &\leq \left[ \frac{1}{\alpha(h)} \right] + 1.
 \end{aligned}$$

*Proof* In view of proposition 3, for every nonzero root  $\alpha$ ,  $e_\alpha$  is a finite rank operator. By means of Lemma 4, the Chain  $\mathcal{B}$  corresponding to  $e_\alpha$  is finite rank. Therefore, owing to Lemma 3,  $e_\alpha$  has the form in the theorem.

By means of Theorem 1  $S[\alpha(h), 1]$  is a nilpotent subalgebra. Therefore

$$e_\alpha \left[ \frac{1}{\alpha(h)} \right]^{+1} = 0.$$

As  $e_\alpha$  is a finite rank operator, applying Jordan canonical matrix to  $e_\alpha$ , we can prove that if the rank of  $e_\alpha$  is  $r$ , then

$$r \leq \left[ \frac{1}{\alpha(h)} \right] + 1.$$

Consequently

$$r(\mathcal{B}) \leq r \leq \left[ \frac{1}{\alpha(h)} \right] + 1.$$

Q. E. D.

### § 4. $L_H$ is not a Volterra algebra

**Definition.** The algebra  $L$  consisting of bounded operators on a Hilbert space  $H_1$  is called Volterra, if every operator in  $L$  is a Volterra operator.

In this section, we will prove that  $L$  is not a Volterra algebra.

**Theorem.** Let  $L$  be a separable Volterra algebra containing a finite rank operator. Then  $L$  has a proper closed ideal. ([3] p 271)

**Theorem 3.** If  $L_H$  is a semi-simple  $L^*$ -algebra, then  $L_H$  is not a Volterra algebra.

*Proof* If  $L'_H$  is a semi-simple subalgebra of  $L_H$ ,  $L'_H$  will be called regular (with respect to a Cartan subalgebra  $H$ ) if and only if  $L'_H$  is separable and  $H' = H \cap L'_H$  is a Cartan subalgebra of  $L'_H$ . In [2] p 344, the construction of regular semi-simple subalgebras of semi-simple  $L^*$ -algebra is given.

Now we assume  $L_H$  is a Volterra algebra. Therefore there exists a regular subalgebra  $L'_H$  of  $L$ , which is a Volterra algebra. According to the definition,  $L'_H$  is separable.

Since a semi-simple  $L^*$ -algebra can be decomposed as direct sum of simple closed ideals, without loss of generality, we may suppose that  $L_H$  is a separable simple Volterra algebra.

Because of Proposition 3,  $L_H$  has finite operators. Owing to previous theorem,  $L_H$  contains a proper closed ideal. It contradicts the simple property of  $L_H$ . So  $L_H$  is not a Volterra algebra.

Q. E. D.

### Reference

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