

ON THE DIOPHANTINE EQUATION $\sum_{i=1}^n \frac{x_i}{d_i} \equiv 0$ (mod 1) AND ITS APPLICATIONS

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Abstract

The number $A(d_1, \dots, d_n)$ of solutions of the equation

$$\sum_{i=1}^n \frac{x_i}{d_i} \equiv 0 \pmod{1}, \quad 0 < x_i < d_i \quad (i=1, 2, \dots, n), \quad (1)$$

where all the d_i 's are positive integers, is of significance in the estimation of the number $N(d_1, \dots, d_n)$ of solutions in a finite field F_q of the equation

$$\sum_{i=1}^n a_i x_i^{d_i} = 0, \quad x_i \in F_q \quad (i=1, 2, \dots, n), \quad (2)$$

where all the a_i 's belong to F_q^* , the multiplication group of $F_q^{[1,2]}$. In this paper, applying the inclusion-exclusion principle, a general formula to compute $A(d_1, \dots, d_n)$ is obtained. For some special cases more convenient formulas for $A(d_1, \dots, d_n)$ are also given, for example, if $d_i | d_{i+1}$, $i=1, \dots, n-1$, then

$$A(d_1, \dots, d_n) = (d_{n-1}-1) \cdots (d_1-1) - (d_{n-2}-1) \cdots (d_1-1) + \cdots \\ + (-1)^{n-1} (d_2-1) (d_1-1) + (-1)^n (d_1-1).$$

For a polynomial equation of the type

$$a_1 x_1^{d_1} + a_2 x_2^{d_2} + \cdots + a_n x_n^{d_n} = 0, \quad (1)$$

where $a_i \in F_q^*$ and $d_i > 0$ ($i=1, 2, \dots, n$), a lot of study has been made^[1,2]. Let N be the number of solutions $(x_1, \dots, x_n)$ in F_q^n of the equation (1), where $x_i \in F_q$, $i=1, \dots, n$. By using exponential (character) sums it can be proved that

$$|N - q^{n-1}| \leq A(d_1, \dots, d_n) \left(1 - \frac{1}{q}\right) q^{\frac{n}{2}}.$$

Here $A(d_1, \dots, d_n)$ is the number of the solutions of the Diophantine equation

$$\sum_{i=1}^n \frac{x_i}{d_i} \equiv 0 \pmod{1}, \quad (2)$$

where $0 < x_i < d_i$, $d_i > 1$, $i=1, \dots, n$. For the special case $d = d_1 = \cdots = d_n$, it was proved that^[2]

$$A(d, \dots, d) = A_n(d) = \frac{d-1}{d} [(d-1)^{n-1} - (-1)^{n-1}],$$

but for the general $d_1, \dots, d_n$, there is no formula for $A(d_1, \dots, d_n)$. In this paper

we shall give a general formula for $A(d_1, \dots, d_n)$, which, in several special cases, is also very convenient for calculating. In other words, we are now giving a more convenient estimate of the number N of solutions of the equation (1).

Theorem 1. Let $B(d_1, \dots, d_n)$ be the number of the solutions $(x_1, \dots, x_n)$ in F_q^n of the equation

$$\sum_{i=1}^n \frac{x_i}{d_i} \equiv 0 \pmod{1}, \quad (3)$$

where $0 \leq x_i < d_i$, $d_i > 1$, $i = 1, \dots, n$. Let $A(d_1, \dots, d_n)$ be as noted above. Then

$$B(d_1, \dots, d_n) = [d_1, \dots, d_n]^{-1} d_1 \cdots d_n, \quad (4)$$

$$A(d_1, \dots, d_n) = \sum_{k=1}^n (-1)^{n-k} \sum_{1 \leq i_1 < i_2 < \dots < i_k \leq n} \frac{d_{i_1} \cdots d_{i_k}}{[d_{i_1}, \dots, d_{i_k}]} + (-1)^n. \quad (5)$$

Proof

(i) It can be easily seen that

$$\begin{aligned} B(d_1, \dots, d_n) &= \frac{1}{[d_1, \dots, d_n]} \sum_{a=1}^{[d_1, \dots, d_n]} \sum_{x_1=0}^{d_1-1} \sum_{x_2=0}^{d_2-1} \cdots \sum_{x_n=0}^{d_n-1} e^{2\pi i a \left(\sum_{i=1}^n \frac{x_i}{d_i} \right)} \\ &= \frac{1}{[d_1, \dots, d_n]} \sum_{a=1}^{[d_1, \dots, d_n]} \sum_{x_1=0}^{d_1-1} \cdots \sum_{x_n=0}^{d_n-1} \prod_{i=1}^n \exp \left(a \frac{x_i}{d_i} \right) \\ &= \frac{1}{[d_1, \dots, d_n]} \sum_{a=1}^{[d_1, \dots, d_n]} \prod_{i=1}^n \sum_{x_i=0}^{d_i-1} \exp \left(a \frac{x_i}{d_i} \right) \\ &= [d_1, \dots, d_n]^{-1} d_1 \cdots d_n. \end{aligned}$$

The last equality holds because of the fact that

$$\sum_{x_i=0}^{d_i-1} \exp \left(a \frac{x_i}{d_i} \right) = \begin{cases} d_i, & d_i \mid a, \\ 0, & d_i \nmid a. \end{cases}$$

(ii) Let $P = \left\{ (x_1, \dots, x_n) \mid \sum_{i=1}^n \frac{x_i}{d_i} \equiv 0 \pmod{1}, 0 \leq x_i < d_i, i = 1, \dots, n \right\}$,

$$P_j = \{ (x_1, \dots, x_n) \mid (x_1, \dots, x_n) \in P, x_j = 0 \}, j = 1, 2, \dots, n,$$

then we have

$$|P| = B(d_1, \dots, d_n),$$

$$|P_j| = B(d_1, \dots, d_{j-1}, d_{j+1}, \dots, d_n)$$

and

$$A(d_1, \dots, d_n) = \left| P - \bigcup_{j=1}^n P_j \right|.$$

Applying the inclusion-exclusion principle, we get

$$\begin{aligned} A(d_1, \dots, d_n) &= \left| P - \bigcup_{j=1}^n P_j \right| = \sum_{k=0}^n (-1)^k \sum_{1 \leq i_1 < i_2 < \dots < i_k \leq n} |P_{i_1} \cap P_{i_2} \cap \dots \cap P_{i_k}| \\ &= \sum_{k=1}^n (-1)^{n-k} \sum_{1 \leq i_1 < i_2 < \dots < i_k \leq n} B(d_{i_1}, \dots, d_{i_k}) + (-1)^n \\ &= \sum_{k=1}^n (-1)^{n-k} \sum_{1 \leq i_1 < i_2 < \dots < i_k \leq n} \frac{d_{i_1} \cdots d_{i_k}}{[d_{i_1}, \dots, d_{i_k}]} + (-1)^n. \end{aligned}$$

The Theorem is proved.

Without loss of generality, we assume that $d_1 \leq d_2 \leq \dots \leq d_n$, and $A(d_1, \dots, d_n)$ is the same as in Theorem 1.

Theorem 2.

1) If there exists some i such that $1 \leq i \leq n$ and $(d_i, d_1 \cdots d_{i-1} d_{i+1} \cdots d_n) = 1$. Then $A(d_1, \dots, d_n) = 0$;

2) For the case $n=2$ we have $A(d_1, d_2) = d - 1$, where $(d_1, d_2) = d$;

3) If $d_i | d_{i+1}$, $i=1, \dots, n-1$, then

$$A(d_1, \dots, d_n) = (d_{n-1} - 1) \cdots (d_1 - 1) - (d_{n-2} - 1) \cdots (d_1 - 1) + \cdots \\ + (-1)^{n-1} (d_2 - 1) (d_1 - 1) + (-1)^n (d_1 - 1);$$

4) If $n=3$, $(d_1, d_2) = 1$, $(d_1, d_3) = t_1$, $(d_2, d_3) = t_2$, then

$$A(d_1, d_2, d_3) = (t_1 - 1)(t_2 - 1).$$

Proof

(i) Without loss of generality, we assume $(d_1, d_2 \cdots d_n) = 1$, so we have $[d_1, d_2, \dots, d_n] = d_1 [d_2, \dots, d_n]$.

Hence

$$\begin{aligned} A(d_1, \dots, d_n) &= \sum_{k=1}^n (-1)^{n-k} \sum_{1 \leq i_1 < \dots < i_k \leq n} \frac{d_{i_1} \cdots d_{i_k}}{[d_{i_1} \cdots d_{i_k}]} + (-1)^n \\ &= (-1)^{n-1} + \left[(-1)^{n-1} \sum_{2 \leq i_1 \leq n} \frac{d_{i_1}}{d_{i_1}} + (-1)^{n-2} \sum_{1 \leq i_2 \leq n} \frac{d_1 d_{i_2}}{d_1 d_{i_2}} \right] \\ &\quad + \left[(-1)^{n-2} \sum_{1 \leq i_1 < i_2 \leq n} \frac{d_{i_1} d_{i_2}}{[d_{i_1}, d_{i_2}]} + (-1)^{n-3} \sum_{1 \leq i_2 < i_3 \leq n} \frac{d_1 d_{i_2} d_{i_3}}{d_1 [d_{i_2}, d_{i_3}]} \right] \\ &\quad + \left[(-1)^{n-3} \sum_{1 \leq i_1 < i_2 < i_3 \leq n} \frac{d_{i_1} d_{i_2} d_{i_3}}{[d_{i_1}, d_{i_2}, d_{i_3}]} \right. \\ &\quad \left. + (-1)^{n-4} \sum_{1 \leq i_2 < i_3 < i_4 \leq n} \frac{d_1 d_{i_2} d_{i_3} d_{i_4}}{[d_{i_2}, d_{i_3}, d_{i_4}] d_1} + \cdots \right. \\ &\quad \left. + \left[\sum_{1 \leq i_1 < i_2 < \dots < i_{n-1} \leq n} \frac{d_{i_1} \cdots d_{i_{n-1}}}{[d_{i_1}, \dots, d_{i_{n-1}}]} + \frac{d_1 d_{i_1} \cdots d_{i_{n-1}}}{d_1 [d_{i_1} \cdots d_{i_{n-1}}]} \right] + (-1)^n \right] \\ &= (-1)^{n-1} + (-1)^n = 0. \end{aligned}$$

(ii) Using formula (5), we obtain

$$A(d_1, d_2) = -(1+1) + \frac{d_1 d_2}{[d_1, d_2]} + 1 = d - 1.$$

$$\begin{aligned} \text{(iii)} \quad A(d_1, \dots, d_n) &= \sum_{k=1}^n (-1)^{n-k} \sum_{1 \leq i_1 < \dots < i_k \leq n} \frac{d_{i_1} \cdots d_{i_k}}{[d_{i_1}, \dots, d_{i_k}]} + (-1)^n \\ &= \sum_{k=1}^n (-1)^{n-k} \sum_{1 \leq i_1 < \dots < i_k \leq n} \frac{d_{i_1} \cdots d_{i_k}}{d_{i_k}} + (-1)^n \\ &= \sum_{k=1}^{n-1} (-1)^{n-k} \sum_{1 \leq i_1 < \dots < i_k \leq n-1} \frac{d_{i_1} \cdots d_{i_k}}{d_{i_k}} + (-1)^{n-1} \\ &\quad + \sum_{k=2}^n (-1)^{n-k} \sum_{1 \leq i_1 < \dots < i_{k-1} \leq n-1} d_{i_1} \cdots d_{i_{k-1}} + (-1)^n, \end{aligned}$$

$$A(d_1, \dots, d_{n-1}) = \sum_{k=1}^{n-1} (-1)^{n-1-k} \sum_{1 \leq i_1 < \dots < i_k \leq n-1} \frac{d_{i_1} \cdots d_{i_k}}{d_{i_k}} + (-1)^{n-1},$$

$$A(d_1, \dots, d_{n-1}) + A(d_1, \dots, d_n) = \sum_{k=2}^n (-1)^{n-k} \sum_{1 \leq i_1 < \dots < i_{k-1} \leq n-1} d_{i_1} \dots d_{i_{k-1}} + (-1)^{n-1} \\
= \sum_{t=1}^{n-2} (-1)^{n-1-t} \sum_{1 \leq i_1 < \dots < i_t \leq n-1} d_{i_1} \dots d_{i_t} + (-1)^{n-1} \\
= (d_{n-1}-1) \dots (d_1-1).$$

Hence

$$A(d_1, \dots, d_n) = \prod_{i=1}^{n-1} (d_i-1) - A(d_1, \dots, d_{n-1}).$$

From 2) we have

$$A(d_1, d_2) = d_1 - 1.$$

Then

$$A(d_1, \dots, d_n) = \prod_{i=1}^{n-1} (d_i-1) - A(d_1, \dots, d_{n-1}) \\
= \prod_{i=1}^{n-1} (d_i-1) - \prod_{i=1}^{n-2} (d_i-1) + A(d_1, \dots, d_{n-2}) \dots \\
= \prod_{i=1}^{n-1} (d_i-1) - \prod_{i=1}^{n-2} (d_i-1) + \dots + (-1)^{n-1} (d_2-1)(d_1-1) \\
+ (-1)^n (d_1-1).$$

This proves 3).

iv) When $n=3$, $(d_1, d_2)=1$, $(d_1, d_3)=t_1$, $(d_2, d_3)=t_2$, we have

$$[d_1, d_2] = d_1 d_2, [d_1, d_3] = \frac{d_1 d_3}{t_1}, [d_2, d_3] = \frac{d_2 d_3}{t_2},$$

$$[d_1, d_2, d_3] = [[d_1, d_2], d_3] = [d_1 d_2, d_3] = \frac{d_1 d_2 d_3}{(d_1 d_2, d_3)} = \frac{d_1 d_2 d_3}{(d_1, d_3)(d_2, d_3)} = \frac{d_1 d_2 d_3}{t_1 t_2}.$$

Therefore

$$A(d_1, d_2, d_3) = (-1)^{3-1} 3 + (-1)^{3-2} \left(\frac{d_1 d_2}{[d_1, d_2]} + \frac{d_1 d_3}{[d_1, d_3]} + \frac{d_2 d_3}{[d_2, d_3]} \right) \\
+ (-1)^{3-3} \frac{d_1 d_2 d_3}{[d_1, d_2, d_3]} + (-1) \\
= 3 - 1 - (1 + t_1 + t_2) + \frac{d_1 d_2 d_3}{[d_1, d_2, d_3]} \\
= 1 - t_1 - t_2 + t_1 t_2 = (t_1 - 1)(t_2 - 1).$$

The proof is complete.

Let N be the number of solutions $(x_1, \dots, x_n)$ in F_q^n of equation (1), obviously we have the following

Corollary 1. If there exists some i , $1 \leq i \leq n$, such that $(d_i, d_1 \dots d_{i-1} d_{i+1} \dots d_n) = 1$ then

$$N = q^{n-1}.$$

Corollary 2. If $d_i | d_{i+1}$ ($i=1, \dots, n-1$), then

$$|N - q^{n-1}| \leq \left(\prod_{i=1}^{n-1} (d_i-1) - \prod_{i=1}^{n-2} (d_i-1) + \dots + (-1)^n (d_1-1) \right) \left(1 - \frac{1}{q} \right) q^{\frac{n}{2}}.$$

Corollary 3. $A(d, \dots, d) = \frac{d-1}{d} ((d-1)^{n-1} - (-1)^{n-1})$.

Proof

Theorem 2 gives us

$$\begin{aligned} A(\overbrace{d, \dots, d}^n) &= ((d-1)^{n-1} - (d-1)^{n-2} + \dots + (-1)^n(d-1)) \\ &= \frac{d-1}{d} [(d-1)^{n-1} + (-1)^n], \end{aligned}$$

this is a result of [2]

Corollary 4. Assume that $(d_1, d_2)=1$, $(d_1, d_3)=t_1$, $(d_2, d_3)=t_2$, then the number of solutions (x_1, x_2, x_3) in F_{q^n} of the Diophantine equation

$$a_1 x_1^{d_1} + a_2 x_2^{d_2} + a_3 x_3^{d_3} = 0, \quad a_i \in F_q^*, \quad d_i | q-1, \quad i=1, 2, 3,$$

satisfies

$$|N - q^2| \leq (t_1 - 1)(t_2 - 1) \left(1 - \frac{1}{q}\right) q^{\frac{3}{2}}.$$

References

- [1] Ireland, K. and Rosen, M. I., A Classical Introduction to Modern Number Theory, Springer Graduate Text #84, New York, 1977.
- [2] Schmidt, W. M., Equations over Finite Fields an Elementary Approach, Springer Lecture Notes #536, New York, 1976.