

# MINIMAL SUBMANIFOLDS IN A RIEMANNIAN MANIFOLD OF QUASI CONSTANT CURVATURE

BAI ZHENG GUO (白正国)\*\*

## Abstract

A Riemannian manifold  $V^n$  which admits isometric imbedding into two spaces  $V^{n+p}$  of different constant curvatures is called a manifold of quasi constant curvature<sup>[2]</sup>. The Riemannian curvature of  $V^n$  is expressible in the form

$$K_{ABCD} = a(g_{AC}g_{BD} - g_{AD}g_{BC}) + b(g_{AC}\lambda_B\lambda_D + g_{BD}\lambda_A\lambda_C - g_{AD}\lambda_B\lambda_C - g_{BC}\lambda_A\lambda_D), \quad (1 = \sum g_{AB}\lambda_A\lambda_B)$$

and conversely. In this paper it is proved that if  $M^n$  is any compact minimal submanifold without boundary in a Riemannian manifold  $V^{n+p}$  of quasi constant curvature, then

$$\int_{M^n} \left\{ \left( 2 - \frac{1}{p} \right) \sigma^2 - \left[ na + \frac{1}{2}(b - |b|)(n+1) \right] \sigma + n(n-1)b^2 \right\}^* \geq 0,$$

where  $\sigma$  is the square of the norm of the second fundamental form of  $M^n$ . When  $V^{n+p}$  is a manifold of constant curvature,  $b=0$ , the above inequality reduces to that of Simons.

It is well known that for any compact minimal submanifold  $M^n$  without boundary in a space  $S^{n+p}$  of constant curvature we have the inequality of Simons which is expressed in terms of a constant  $a$  and the invariant  $\sigma$ , where  $a$  is the curvature of  $S^{n+p}$  and  $\sigma$  is the square of the norm of the second fundamental form of  $M^n$ . In this paper we shall extend this formula to the minimal submanifolds in a space  $V^{n+p}$  of quasi constant curvature. The inequality (8) which we shall prove is expressed in terms of the invariant  $\sigma$  and the functions  $a$  and  $b$  which are invariants of the space  $V^{n+p}$ .

1. Let  $V^{n+p}$  be a  $C^\infty$  Riemannian manifold of  $n+p$  dimensions. In what follows we shall make use of the following convention on the range of indices:

$$\begin{aligned} 1 \leq A, B, C, \dots \leq n+p, \\ 1 \leq i, j, k, \dots \leq n, \\ n+1 \leq \alpha, \beta, \gamma, \dots \leq n+p. \end{aligned}$$

As usually we have for  $V^{n+p}$ :

$$d\omega_A = \sum_B \omega_{AB} \wedge \omega_B, \quad \omega_{AB} + \omega_{BA} = 0, \quad (1)$$

$$d\omega_{AB} = \sum_C \omega_{AC} \wedge \omega_{CB} - \frac{1}{2} \sum_{C,D} K_{ABCD} \omega_C \wedge \omega_D. \quad (2)$$

Manuscript received June 3, 1985.

\* Projects supported by the Science Fund of the Chinese Academy of Sciences.

\*\* Department of Mathematics, Hangzhou University, Hangzhou, China.

Let a  $C^\infty$  submanifold  $M^n$  of  $n$  dimensions of  $V^{n+p}$  be given as follows:

$$\omega_\alpha = 0, \quad (\alpha = n+1, \dots, n+p). \quad (3)$$

If  $TV^{n+p}$  denotes the tangent bundle of  $V^{n+p}$ , its induced bundle over  $M^n$  splits into a direct sum

$$TV^{n+p} = TM^n \oplus (TM^n)^\perp,$$

where  $TM^n$  and  $(TM^n)^\perp$  are respectively the tangent bundle and normal bundle of  $M^n$ . Let  $\theta_\alpha$ ,  $\theta_{AB}$  be the forms previously denoted by  $\omega_\alpha$ ,  $\omega_{AB}$  relative to this particular frame field. Then we have

$$\theta_\alpha = 0,$$

and

$$\theta_{i\alpha} = \sum_j h_{i\alpha j} \theta_j, \quad (4)$$

where

$$h_{i\alpha j} = h_{j\alpha i}.$$

The mean curvature vector of  $M^n$  is defined by

$$M = \sum_{i,\alpha} h_{i\alpha i} \theta_\alpha,$$

and  $M^n$  is called a minimal submanifold if  $M = 0$ .

We define the covariant derivatives of  $h_{i\alpha j}$  by

$$Dh_{i\alpha j} = \sum_k h_{i\alpha j k} \theta_k = dh_{i\alpha j} + \sum_l (h_{i\alpha j} \theta_l + h_{i\alpha l} \theta_j) + \sum_\beta h_{i\beta j} \theta_{\beta\alpha}, \quad (5)$$

and the Laplacian of  $h_{i\alpha j}$  is defined to be

$$\Delta h_{i\alpha j} = \sum_l h_{i\alpha j l l}.$$

It is well known that when  $M^n$  is compact and without boundary, we have for  $M^n$  the integral formula

$$\int_{M^n} \sum_{i,\alpha,j} h_{i\alpha j} \Delta h_{i\alpha j} *1 = - \int_{M^n} \sum_{i,\alpha,j} h_{i\alpha j}^2 *1 \leq 0, \quad (6)$$

where  $*1$  is the element of volume of  $M^n$ .

A Riemannian manifold  $V^{n+p}$  is said to be of quasi constant curvature when its Riemannian curvature tensor is expressible in the form [2]:

$$K_{ABCD} = a(\delta_{AC}\delta_{BD} - \delta_{AD}\delta_{BC}) + b(\delta_{AC}\lambda_B\lambda_D + \delta_{BD}\lambda_A\lambda_C - \delta_{AD}\lambda_B\lambda_C - \delta_{BC}\lambda_A\lambda_D), \quad (7)$$

where  $a$  and  $b$  are arbitrary functions and  $\lambda_A$  is an arbitrary unit vector.

The purpose of the present paper is to establish the following theorem.

**Theorem.** *If  $M^n$  is any compact minimal submanifold without boundary in a Riemannian manifold  $V^{n+p}$  of quasi constant curvature, we have*

$$\int_{M^n} \left\{ \left( 2 - \frac{1}{p} \right) \sigma^2 - \left[ na + \frac{1}{2}(b - |b|)(n+1) \right] \sigma + n(n-1)b^2 \right\} *1 \geq 0. \quad (8)$$

2. We proceed to prove this theorem. From (2), (3) it follows that

$$d\theta_{i\alpha} = \sum_c \theta_{ic} \wedge \theta_{c\alpha} - \frac{1}{2} \sum_{k,l} K_{i\alpha kl} \theta_k \wedge \theta_l.$$

and from (1), (4) we have

$$d\theta_{ia} = \sum_j dh_{iaj} \wedge \theta_j + \sum_{k,j} h_{iaj} \theta_k \wedge \theta_{kj}.$$

Hence from (5), we have

$$\sum_{k,j} \left( h_{iajk} + \frac{1}{2} K_{iajk} \right) \theta_k \wedge \theta_j = 0,$$

that is,

$$h_{iajk} - h_{iakj} = K_{iajk}. \quad (9)$$

If we make use of the Ricci identity and apply the equation (9) repeatedly, we have

$$\begin{aligned} h_{iajlk} &= h_{iakjl} + K_{iajlk} = (h_{iakjl} - h_{ialkij}) + h_{ialkij} + K_{iajlk} \\ &= (h_{ialkij} - h_{iaklij}) + h_{iaklij} + K_{iajlk} \\ &= (h_{ialkij} - h_{ialkij}) + h_{iaklij} + K_{iaklij} + K_{iajlk} \\ &= \sum_l h_{ialk} R_{lijl} + \sum_{\beta} h_{i\beta k} R_{\beta\alpha jk} + \sum_l h_{ial} R_{lkjl} + h_{iaklij} + K_{iaklij} + K_{iajlk}, \end{aligned}$$

and consequently

$$\begin{aligned} h_{iaj} \Delta h_{iaj} &= \sum_{k,l} (h_{iaj} h_{ialk} R_{lijl} + h_{iaj} h_{ial} R_{lkjl}) \\ &\quad + \sum_{k,\beta} h_{iaj} h_{i\beta k} R_{\beta\alpha jk} + \sum_k h_{iaj} (h_{iaklij} + K_{iaklij} + K_{iajlk}). \end{aligned} \quad (10)$$

On the other hand, we can prove readily the following equations:

$$d(\sum h_{iaj} K_{iajk} \theta_k) = \sum (h_{iaj} K_{iajlk} + h_{iajk} K_{iajlk}) \theta_1 \wedge \theta_2 \wedge \cdots \wedge \theta_n, \quad (11)$$

$$d(\sum h_{iaj} h_{iakli} \theta_i) = \sum (h_{iaj} h_{iaklij} + h_{iakli} h_{iajj}) \theta_1 \wedge \theta_2 \wedge \cdots \wedge \theta_n. \quad (12)$$

$$d(\sum h_{iaj} K_{kaik} \theta_k) = \sum (h_{iaj} K_{kaikj} + h_{iajj} K_{kaik}) \theta_1 \wedge \theta_2 \wedge \cdots \wedge \theta_n. \quad (13)$$

Hence for any compact  $M^n$  without boundary we have by Stokes' theorem

$$\begin{aligned} &\int_{M^n} \sum h_{iaj} (h_{iaklij} + K_{kaikj} + K_{iajlk}) \theta_1 \wedge \cdots \wedge \theta_n \\ &= - \int_{M^n} \sum (h_{iaj} K_{iajlk} + h_{iakli} h_{iajj} + h_{iajj} K_{kaik}) \theta_1 \wedge \cdots \wedge \theta_n. \end{aligned}$$

By means of the equation (9) we get

$$\begin{aligned} \sum h_{iaj} K_{iajk} &= \frac{1}{2} \sum h_{iaj} K_{iajk} - \frac{1}{2} \sum h_{iakj} K_{iajk} = \frac{1}{2} \sum K_{iajk}^2 \\ &\quad \sum (h_{iakli} h_{iajj} + h_{iajj} K_{kaik}) = \sum (\sum_j h_{iajj})^2. \end{aligned}$$

From (10)–(13) and (6) it follows that

$$\int_{M^n} \left\{ P - \frac{1}{2} \sum K_{iajk}^2 + \sum h_{iajj}^2 - \sum (\sum_l h_{ialj})^2 \right\} \theta_1 \wedge \cdots \wedge \theta_n = 0, \quad (14)$$

where

$$P = \sum (h_{iaj} h_{ialk} R_{lijl} + h_{iaj} h_{ial} R_{lkjl} + h_{iaj} h_{i\beta k} R_{\beta\alpha jk}). \quad (15)$$

From (2), (4) we have on  $M^n$

$$R_{iljk} = \sum_{\alpha} (h_{iaj} h_{ialk} - h_{ialk} h_{iaj}) + K_{iljk}, \quad (16)$$

$$R_{\alpha\beta jk} = \sum_i (h_{iaj} h_{i\beta k} - h_{i\beta k} h_{iaj}) + K_{\alpha\beta jk}. \quad (17)$$

From (16) it follows that

$$\begin{aligned} \sum h_{i\alpha j} h_{i\alpha k} R_{ikj\alpha} &= -\frac{1}{2} \sum (h_{i\alpha j} h_{i\alpha k} - h_{i\alpha k} h_{i\alpha j})^2 \\ &\quad - \frac{1}{2} \sum (h_{i\alpha j} h_{i\alpha k} - h_{i\alpha k} h_{i\alpha j}) K_{ikj\alpha}. \end{aligned} \quad (18)$$

Since for any minimal submanifold  $M^n$  we have  $\sum_{k,\beta} h_{k\beta\alpha} = 0$ , from (16) we have

$$\sum h_{i\alpha j} h_{i\alpha l} R_{lkj\alpha} = \sum h_{i\alpha j} h_{i\alpha l} K_{lkj\alpha} - \sum_{j,l} \left( \sum_{i,\alpha} h_{i\alpha j} h_{i\alpha l} \right)^2. \quad (19)$$

When  $V^{n+p}$  is a manifold of quasi constant curvature, we have from (7)

$$K_{\alpha\beta\gamma\delta} = 0.$$

Again from (17) it follows that

$$\sum h_{i\alpha j} h_{i\beta k} R_{\beta\alpha jk} = -\frac{1}{2} \sum (h_{i\alpha j} h_{i\beta k} - h_{i\beta k} h_{i\alpha j})^2. \quad (20)$$

Moreover, we have

$$\begin{aligned} &\sum \left\{ \sum_{\alpha} (h_{i\alpha j} h_{i\alpha k} - h_{i\alpha k} h_{i\alpha j}) \right\}^2 \\ &= 2 \sum_{\alpha,\beta} \left( \sum_{i,j} h_{i\alpha j} h_{i\beta j} \right)^2 - 2 \sum h_{i\alpha j} h_{i\alpha k} h_{i\beta k} h_{i\beta j}, \end{aligned}$$

and

$$\begin{aligned} &\sum \left\{ \sum_i (h_{i\alpha j} h_{i\beta k} - h_{i\beta k} h_{i\alpha j}) \right\}^2 \\ &= 2 \sum_{i,l} \left( \sum_{\alpha,j} h_{i\alpha j} h_{i\alpha l} \right)^2 - 2 \sum h_{i\alpha j} h_{i\alpha k} h_{i\beta k} h_{i\beta j}. \end{aligned}$$

Combining the above two equations we have consequently

$$\begin{aligned} &\sum \left\{ \sum_{\alpha} (h_{i\alpha j} h_{i\alpha k} - h_{i\alpha k} h_{i\alpha j}) \right\}^2 \\ &= \sum \left\{ \sum_i (h_{i\alpha j} h_{i\beta k} - h_{i\beta k} h_{i\alpha j}) \right\}^2 + 2 \sum_{\alpha,\beta} \left( \sum_{i,j} h_{i\alpha j} h_{i\beta j} \right)^2 - 2 \sum_{\alpha,j} \left( \sum_i h_{i\alpha j} h_{i\alpha l} \right)^2. \end{aligned} \quad (21)$$

If we substitute (18)–(21) in (15), we have

$$\begin{aligned} -P &= \sum \left\{ \sum_i (h_{i\alpha j} h_{i\beta k} - h_{i\beta k} h_{i\alpha j}) \right\}^2 \\ &\quad + \sum_{\alpha,\beta} \left( \sum_{i,j} h_{i\alpha j} h_{i\beta j} \right)^2 - \sum h_{i\alpha j} h_{i\alpha l} K_{lkj\alpha} \\ &\quad + \frac{1}{2} \sum (h_{i\alpha j} h_{i\alpha k} - h_{i\alpha k} h_{i\alpha j}) K_{ikj\alpha}. \end{aligned} \quad (22)$$

3. We put

$$\sigma_{\alpha\beta} = \sum_{i,j} h_{i\alpha j} h_{i\beta j}, \quad (23)$$

$$\sigma = \sum_{\alpha} \sigma_{\alpha\alpha} = \sum_{i,j,\alpha} h_{i\alpha j}^2, \quad (24)$$

$$H_{\alpha} = (h_{i\alpha j}), \quad (25)$$

where  $H_{\alpha}$  indicates a matrix. For any matrix  $M$  we denote by  $N(M)$  the sum of the square of all its elements, thus

$$N(H_{\alpha}) = \sum_{i,j} h_{i\alpha l}^2 = \sigma_{\alpha\alpha}. \quad (26)$$

**Lemma.**

$$\sum_{\alpha,\beta} N(H_{\alpha} H_{\beta} - H_{\beta} H_{\alpha}) + \sum_{\alpha,\beta} N(\sigma_{\alpha\beta}) \leq \left(2 - \frac{1}{p}\right) \sigma^2. \quad (27)$$

In proving this Lemma, we can suppose  $H_\alpha$  to be diagonal. We have in this case

$$\begin{aligned} N(H_\alpha H_\beta - H_\beta H_\alpha) &= \sum_{j \neq k} \{ \sum_i (h_{j\alpha i} h_{i\beta k} - h_{k\alpha i} h_{i\beta j}) \}^2 \\ &= \sum_{j \neq k} (h_{j\alpha j} h_{j\beta k} - h_{j\beta k} h_{k\alpha k})^2 = \sum_{j \neq k} h_{j\beta k}^2 (h_{j\alpha j} - h_{k\alpha k})^2 \\ &\leq 2 \sum_{j \neq k} h_{j\beta k}^2 (h_{j\alpha j}^2 + h_{k\alpha k}^2) \leq 2N(H_\alpha)N(H_\beta) \\ &= 2\sigma_{\alpha\alpha}\sigma_{\beta\beta}. \end{aligned}$$

Since  $N(H_\alpha)$  is an invariant under any orthogonal transformations in  $TM^n$ , we have for any symmetric matrix  $H_\alpha$  (see [3])

$$N(H_\alpha H_\beta - H_\beta H_\alpha) \leq 2N(H_\alpha)N(H_\beta). \quad (28)$$

By means of certain orthogonal transformations in the normal space  $(TM^n)^\perp$ , we can suppose the matrix  $(\sigma_{\alpha\beta})$  to be diagonal. Then we have

$$\begin{aligned} &\sum_{\alpha, \beta} \{ N(H_\alpha H_\beta - H_\beta H_\alpha) + N(\sigma_{\alpha\beta}) \} \\ &\leq 2 \sum_{\alpha \neq \beta} \sigma'_{\alpha\alpha} \sigma'_{\beta\beta} + \sum_{\alpha} \sigma'^2_{\alpha\alpha} = 2 \sum_{\alpha, \beta} \sigma'_{\alpha\alpha} \sigma'_{\beta\beta} - 2 \sum_{\alpha} \sigma'^2_{\alpha\alpha} + \sum_{\alpha} \sigma'^2_{\alpha\alpha} \\ &= 2 \left( \sum_{\alpha} \sigma'_{\alpha\alpha} \right)^2 - \sum_{\alpha} \sigma'^2_{\alpha\alpha} = 2\sigma'^2 - \sum_{\alpha} \sigma'^2_{\alpha\alpha}. \end{aligned} \quad (29)$$

Since  $\sigma$  is an invariant under these orthogonal transformations, we have  $\sigma' = \sigma$ , and

$$\sigma^2 = \left( \sum_{\alpha} \sigma_{\alpha\alpha} \right)^2 = \sum_{\alpha} \sigma_{\alpha\alpha}^2 + 2 \sum_{\alpha < \beta} \sigma_{\alpha\alpha} \sigma_{\beta\beta}.$$

On the other hand, we have

$$0 \leq \sum_{\alpha < \beta} (\sigma_{\alpha\alpha} - \sigma_{\beta\beta})^2 = (p-1) \sum_{\alpha} \sigma_{\alpha\alpha}^2 - 2 \sum_{\alpha < \beta} \sigma_{\alpha\alpha} \sigma_{\beta\beta}.$$

Hence

$$\sum_{\alpha} \sigma_{\alpha\alpha}^2 \geq \frac{1}{p} \sigma^2. \quad (30)$$

From (30) it follows that the right hand member of (29)

$$\leq 2\sigma'^2 - \frac{1}{p} \sigma'^2 = \left( 2 - \frac{1}{p} \right) \sigma^2.$$

From (22) and (27) it follows that any minimal submanifold  $M^n$  in a  $V^{n+p}$  of quasi constant curvature satisfies the following inequality

$$-P \leq \left( 2 - \frac{1}{p} \right) \sigma^2 + \frac{1}{2} \sum (h_{i\alpha j} h_{i\alpha k} - h_{i\alpha k} h_{i\alpha j}) K_{ij k} - \sum h_{i\alpha j} h_{i\alpha l} K_{lk j k}. \quad (31)$$

From (7) we have

$$K_{ij k} = a(\delta_{ij} \delta_{ik} - \delta_{ik} \delta_{ij}) + b(\delta_{ij} \lambda_i \lambda_k + \delta_{ik} \lambda_i \lambda_j - \delta_{ik} \lambda_i \lambda_j - \delta_{ij} \lambda_i \lambda_k). \quad (32)$$

When we put  $i=k$  in (32) and sum for  $k$ , we have

$$\sum_k K_{ik j k} = [(n-1)a + b] \delta_{ij} + b(n-2) \lambda_i \lambda_j. \quad (33)$$

Substituting (32), (33) in (31) we have

$$-P \leq \left( 2 - \frac{1}{p} \right) \sigma^2 - [na + b] \sigma - bn \sum_i (\sum_j \lambda_i h_{i\alpha j})^2. \quad (34)$$

Also from (7), we have

$$K_{i\alpha j k} = b \lambda_\alpha (\delta_{ij} \lambda_k - \delta_{ik} \lambda_j),$$

and consequently

$$\sum K_{\alpha j k}^2 = 2(n-1)b^2 \sum \lambda_{\alpha}^2. \quad (35)$$

From (9)

$$h_{\alpha j l} - h_{\alpha l j} = K_{\alpha j l},$$

we have for any minimal  $M^n$

$$\sum (\sum_i h_{\alpha j l})^2 = \sum (\sum_i K_{\alpha j l})^2 = (n-1)^2 b^2 \sum \lambda_{\alpha}^2. \quad (36)$$

If we substitute (34), (35), (36) in (14), we get

$$\int_{M^n} \left\{ \left( 2 - \frac{1}{p} \right) \sigma^2 - [na + b] \sigma - nb \sum_{i, \alpha} (\sum_i \lambda_i h_{i \alpha l})^2 + n(n-1)b^2 \sum \lambda_{\alpha}^2 \right\}^* 1 \geq 0. \quad (37)$$

If we observe that

$$\sum \lambda_{\alpha}^2 \leq 1, \quad \sigma \geq 0,$$

we have when  $b \geq 0$ ,

$$\int_{M^n} \left\{ \left( 2 - \frac{1}{p} \right) \sigma^2 - na\sigma + n(n-1)b^2 \right\}^* 1 \geq 0; \quad (38)$$

and when  $b \leq 0$ , since

$$\sum_{i, \alpha} (\sum_i \lambda_i h_{i \alpha l})^2 \leq \sum_{i, \alpha} (\sum_i \lambda_i^2 \sum_k h_{i \alpha k}^2) \leq \sigma,$$

we have

$$\int_{M^n} \left\{ \left( 2 - \frac{1}{p} \right) \sigma^2 - na\sigma - (n+1)b\sigma + n(n-1)b^2 \right\}^* 1 \geq 0. \quad (39)$$

Combining equations (38) and (39) we obtain the required formula (8).

When  $V^{n+p}$  is a manifold of constant curvature,  $b=0$ , and (8) reduces to the inequality of Simons.

### References

- [1] Bai Zhengguo, Minimal submanifolds in a Riemannian manifold of constant curvature, *Chin. Ann. of Math.*, **8A**: 3 (1987), 362—367.
- [2] Bai Zhengguo, Isometric imbedding of Riemannian manifolds of quasi constant curvature in a Riemannian manifold of constant curvature, *Chin. Ann. of Math.*, **7A**: 4 (1986), 445—449.
- [3] Chern, S. S., Minimal submanifolds in a Riemannian manifold, Technical Report 19 (New Series), (1968).