

SOME THEOREMS ON CONVEX HYPERSURFACES IN AN AFFINE SPACE

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Abstract

Let M and M^* be hypersurfaces in an affine space A^{n+1} of dimension $n+1$. The main results of this paper are the following:

- (1) Two types of integral formulas for M and M^* .
- (2) Some conditions for an affine convex hypersurface to be an affine sphere.
- (3) Some conditions for M and M^* to be different only by an affine transformation or a translation.

§ 1. Preliminary

Let A^{n+1} be a unimodular affine space of dimension $n+1$, $x=(x^\alpha)$ be the coordinates of a point with respect to a unimodular affine frame $xe_1 \cdots e_{n+1}$, i. e., with respect to $n+1$ vectors e_α whose determinant satisfies the condition

$$(e_1, e_2, \dots, e_{n+1}) = 1. \tag{1.1}$$

Under the unimodular affine group, points in A^{n+1} are changed according to the equations

$$\begin{aligned} \tilde{x}^\alpha &= A_\beta^\alpha x^\beta + A^\alpha, \\ \det(A_\alpha^\beta) &= 1, \quad 1 \leq \alpha, \beta \leq n+1, \end{aligned} \tag{1.2}$$

and vectors $V=(v^1, \dots, v^{n+1})$ are changed by the equations

$$\tilde{v}^\alpha = A_\beta^\alpha v^\beta. \tag{1.3}$$

As a consequence of (1.3) the determinant of $n+1$ vectors v_1, \dots, v_{n+1}

$$(v_1, \dots, v_{n+1}) = \det(v_\beta^\alpha)$$

is an invariant. For a frame $xe_1 \cdots e_{n+1}$ we can write

$$dx = \omega^\alpha e_\alpha, \quad de^\beta = \omega_\alpha^\beta e_\alpha. \tag{1.4}$$

Differentiating (1.1) and using (1.4) we get

$$\sum_\alpha \omega_\alpha^\alpha = 0, \tag{1.5}$$

and the Maurer-Cartan equations

$$d\omega^\alpha = \omega^\beta \wedge \omega_\beta^\alpha, \quad d\omega_\beta^\alpha = \omega_\gamma^\beta \wedge \omega_\gamma^\alpha. \tag{1.6}$$

Let M be a hypersurface in A^{n+1} , i. e., let $x: M \rightarrow A^{n+1}$ be a hypersurface. We

Manuscript received May 22, 1986.

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choose a frame $xe_1 \cdots e_{n+1}$, such that e_1, \dots, e_n are tangent to M . Then $\omega^{n+1} = 0$ on M , and by $d\omega^{n+1} = 0$ and the first equation of (1.6), we have

$$\omega_i^{n+1} = h_{ij}\omega^j, \quad h_{ij} = h_{ji}. \tag{1.7}$$

Consider the transformation of the frames $xe_1 \cdots e_{n+1}$ and $xe_1^* \cdots e_{n+1}^*$, where e_1^*, \dots, e_n^* are also tangent to M :

$$\begin{aligned} e_i^* &= a_i^j e_j, \quad A = \det(a_i^j) \neq 0, \\ e_{n+1}^* &= A^{-1} e_{n+1} + a_{n+1}^i e_i. \end{aligned} \tag{1.8}$$

Then we have

$$\begin{aligned} \omega^i &= a_j^i \omega^{*j}, \\ \omega_i^{n+1} &= A^{-1} b_j^i \omega^{*n+1}, \quad (b_j^i) = (a_j^i)^{-1}, \\ \omega^i \omega_i^{n+1} &= A^{-1} \omega^{*i} \omega^{*n+1}. \end{aligned} \tag{1.9}$$

If $H = \det(h_{ij}) \neq 0$, then M is called a nondegenerate hypersurface. It is easy to see $H^* = HA^{n+2}$. Let $(H^{ij}) = (h_{ij})^{-1}$. Then we have

$$\omega^j = H^{ij} \omega_i^{n+1}, \quad H^{ij} = H^{ji}. \tag{1.10}$$

We call

$$II = |H|^{-1/(n+2)} \omega^i \omega_i^{n+1} = |H|^{-1/(n+2)} h_{ij} \omega^i \omega^j \tag{1.11}$$

the second fundamental form of M , which is an affine invariant. Thus the volume element

$$dV = |H|^{1/(n+2)} \omega^1 \wedge \dots \wedge \omega^n \tag{1.12}$$

is also an affine invariant. If II is a positive definite form, then M is said to be locally convex.

We can choose e_{n+1} such that

$$(n+2)\omega_{n+1}^{n+1} + d \log |H| = 0. \tag{1.13}$$

The line through x in the direction of e_{n+1} is called the affine normal at x . The vector

$$V = |H|^{1/(n+2)} e_{n+1} \tag{1.14}$$

is called the affine normal vector of M , and its differential is

$$dV = |H|^{1/(n+2)} \omega_{n+1}^i e_i. \tag{1.14}'$$

Taking the exterior differential of (1.13), we get

$$\begin{aligned} \omega_{n+1}^i &= e^{ij} \omega_j^{n+1}, \quad e^{ij} = e^{ji}, \\ \omega_{n+1}^i &= e_j^i \omega^j, \quad e_j^i = e^{ik} h_{kj}, \end{aligned} \tag{1.15}$$

where $\det(e_j^i) \neq 0$. Let $(L_j^i) = (e_j^i)^{-1}$. Then we have

$$\omega^i = L_j^i \omega_{n+1}^j, \tag{1.15}'$$

and we can define the third fundamental form

$$III = -\omega_{n+1}^i \omega_i^{n+1} = -e^{ik} h_{ij} h_{ke} \omega^j \omega^e. \tag{1.16}$$

III is invariant under the change (1.8) of frames.

Since II and III are invariant, the roots and coefficients of the equation in λ

$$\det(h_{ij} + \lambda |H|^{1/(n+2)} e_i^k h_{kj}) = 0$$

are also invariant. The left-hand side of above equation can be written as

$$\det(\delta_j^i + \lambda |H|^{1/(n+2)} e_j^i) = \sum_{r=0}^n \binom{n}{r} L_r \lambda^r. \quad (1.17)$$

We call L_r the r th affine mean curvature of N . In particular, we have

$$L_0 = 1, \quad L_1 = \frac{1}{n} |H|^{1/(n+2)} e_i^i, \quad L_n = |H|^{n/(n+2)} \det(e_j^i). \quad (1.18)$$

Let $S_r (r=0, \dots, n)$ be the r th elementary symmetric function of the eigenvalues λ_i of the matrix (e_j^i) . Then

$$\begin{aligned} L_r &= |H|^{-r/(n+2)} S_r, \\ S_r &= \frac{(n-r)!}{n!} \delta_{i_1 \dots i_r}^{j_1 \dots j_r} e_{j_1}^{i_1} \dots e_{j_r}^{i_r}. \end{aligned} \quad (1.19)$$

Moreover, we introduce the forms

$$\Omega_r = \delta_{i_1 \dots i_n}^{j_1 \dots j_n} \omega_{n+1}^{i_1} \wedge \dots \wedge \omega_{n+1}^{i_r} \wedge \omega^{j_{r+1}} \wedge \dots \wedge \omega^{j_n} = n! |H|^{1/(n+2)} S_r dV, \quad (1.20)$$

$$|H|^{-(r+1)/(n+2)} \Omega_r = n! L_r dV. \quad (1.21)$$

Suppose that II is positive definite. Then we can define it as a positive riemannian metric on M , and shall compute its riemannian curvature tensor. Choose a frame $e_1 \dots e_{n+1}$, such that e_{n+1} is the direction along the affine normal, and e_i satisfy

$$h_{ij} = \delta_{ij}, \quad (1.22)$$

so that $\det(h_{ij}) = H = 1$. By (1.14), $V = e_{n+1}$, i. e., e_{n+1} is the affine normal vector. From (1.7) we have

$$\begin{aligned} \omega_i^{n+1} &= \omega^i, \\ d\omega_i^{n+1} &= \omega_j^i \wedge \omega_j^{n+1} = \omega^j \wedge \omega_j^i = d\omega^i, \end{aligned} \quad (1.23)$$

and therefore

$$d\omega^i = \omega^j \wedge \phi_j^i, \quad (1.24)$$

$$\phi_j^i = -\phi_i^j = \frac{1}{2} (\omega_j^i - \omega_i^j). \quad (1.25)$$

So ϕ_j^i is the connection form of II. Denoting the symmetric part of ω_j^i by ψ_j^i , we have

$$\begin{aligned} \omega_j^i &= \phi_j^i + \psi_j^i, \\ \psi_j^i &= \frac{1}{2} (\omega_j^i + \omega_i^j) = K_{ji}^i \omega^l. \end{aligned} \quad (1.26)$$

It is easy to see that K_{ji}^i are symmetric in i, j, l . That is,

$$K_{ji}^i = K_{li}^i = K_{ij}^i. \quad (1.27)$$

Since the curvature form of II is

$$\Omega_j^i = d\phi_j^i - \phi_j^k \wedge \phi_k^i = \frac{1}{4} (\omega_j^k + \omega_k^j) \wedge (\omega_k^i + \omega_i^k) + \frac{1}{2} (\omega_j^{n+1} \wedge \omega_{n+1}^i - \omega_i^{n+1} \wedge \omega_{n+1}^j), \quad (1.27)'$$

the curvature of II is

$$R_{ijk}^i = K_{jk}^m K_{mi}^i - K_{ji}^m K_{mk}^i - \frac{1}{2} (l^{jk} \delta_{il} - l^{ij} \delta_{jk} + l^{jl} \delta_{ik} - l^{jk} \delta_{il}). \quad (1.28)$$

Since $\omega_i^i = 0$, from (1.26) we get

$$K_{ij}^i = 0. \quad (1.29)$$

The Ricci curvature tensor and the scalar curvature can be derived by (1.28) as follows:

$$R_{ii} = K_{ij}^m K_{mi}^j - \frac{1}{2} [l^{ij} \delta_{ii} + (n-2)l^{ii}], \quad (1.30)$$

$$\begin{aligned} R &= \sigma^2 - n(n-1)S_1, \\ \sigma^2 &= \sum_{i,j,k} (K_{jk}^i)^2, \quad S_1 = l^{ii}/n. \end{aligned} \quad (1.31)$$

Here we have used (1.27) to get (1.31).

§ 2. Integral Formulas

(1) First type. Let M, \bar{M} be two closed convex orientable hypersurfaces in A^{n+1} . We choose affine frames $ae_1 \cdots e_{n+1}$ and $\bar{a}\bar{e}_1 \cdots \bar{e}_{n+1}$, respectively, such that e_{n+1} and \bar{e}_{n+1} are in the directions of the respective affine normals of M, \bar{M} , and for a map $f: M \rightarrow \bar{M}$, we have

$$\bar{e}_i = f_{*} e_i, \quad (2.1)$$

so that

$$\bar{\omega}^i = \omega^i, \quad \bar{\omega}_j^i = \omega_j^i. \quad (2.2)$$

It follows from (1.5) that

$$\bar{\omega}_{n+1}^{n+1} = \omega_{n+1}^{n+1}. \quad (2.3)$$

When f is a unimodular affine transformation, by the last equation of (1.10), the two hypersurfaces M, \bar{M} have equal H , i. e.,

$$\bar{H} = H. \quad (2.4)$$

Consider the forms

$$\Omega_{rs} = \delta_{i_1 \cdots i_n}^{1 \cdots n} \bar{\omega}_{n+1}^{i_1} \wedge \cdots \wedge \bar{\omega}_{n+1}^{i_r} \wedge \omega_{n+1}^{i_{r+1}} \wedge \cdots \wedge \omega_{n+1}^{i_{r+s}} \wedge \omega^{i_{r+s+1}} \wedge \cdots \wedge \omega^{i_n}.$$

By (1.15) and the corresponding forms on \bar{M} , we can get the following, which is similar to (1.21):

$$\begin{aligned} H^{(r+s+1)/(n+2)} \Omega_{rs} &= n! L_{rs} dV, \\ L_{rs} &= H^{(r+s)/(n+1)} S_{rs}; \end{aligned} \quad (2.5)$$

$$S_{rs} = \frac{(n-r-s)!}{n!} \delta_{j_1 \cdots j_{r+s}}^{i_1 \cdots i_{r+s}} \bar{l}_{i_1}^{j_1} \bar{l}_{i_2}^{j_2} \cdots \bar{l}_{i_{r+1}}^{j_{r+1}} \cdots \bar{l}_{i_{r+s}}^{j_{r+s}}, \quad (2.6)$$

$$L_{0r} = L_r, \quad L_{r0} = \bar{L}_r.$$

Consider the variation

$$M_t: X_t = (1+t)X, \quad t \in (-\varepsilon, \varepsilon), \quad X \in M. \quad (2.7)$$

Suppose for the frame ax_1, \cdots, e_{n+1} of M_t , we have

$$dx_i = \omega^i(t) e_i, \quad de_\alpha = \omega_\alpha^\beta(t) e_\beta, \quad (2.8)$$

where

$$\begin{aligned} \omega^i(t) &= (1+t)\omega^i, \\ \omega_\alpha^\beta(t) &= \omega_\alpha^\beta, \quad H(t) = (1+t)^{-n} H. \end{aligned} \quad (2.9)$$

Put

$$\Omega_{rs}(t) = \delta_{i_1 \dots i_n}^{1 \dots n} \bar{\omega}_{n+1}^{i_1} \wedge \dots \wedge \bar{\omega}_{n+1}^{i_r} \wedge \omega_{n+1}^{i_{r+1}} \wedge \dots \wedge \omega_{n+1}^{i_{r+s}} \wedge \omega^{i_{r+s+1}} \wedge \dots \wedge \omega^{i_n}. \quad (2.10)$$

Then

$$\Delta \equiv [H(t)]^{(r+s+1)/(n+2)} \Omega_{rs}(t)$$

is an affine invariant. A direct computation gives

$$\frac{\partial \Delta}{\partial t} \Big|_{t=0} = \left[n - r - s - \frac{n(r+s+1)}{n+2} \right] \tilde{H}^{(r+s+1)/(n+2)} \Omega_{rs}. \quad (2.11)$$

Now we use another method to compute $\frac{\partial \Delta}{\partial t} \Big|_{t=0}$. By $\tilde{\omega}^\alpha(t)$, $\tilde{\omega}_\beta^\alpha(t)$ we denote the corresponding forms on $MX(-t, t)$. Then

$$\begin{aligned} d &= dM + dt \cdot \partial / \partial t, \\ \tilde{\omega}^i(t) &= \omega^i(t) + x^i dt, \quad \tilde{\omega}^{n+1}(t) = x^{n+1} dt. \end{aligned} \quad (2.12)$$

Writing

$$\tilde{\Omega}_{rs}(t) = \delta_{i_1 \dots i_n}^{1 \dots n} \omega_{n+1}^{i_1} \wedge \dots \wedge \tilde{\omega}_{n+1}^{i_r} \wedge \omega_{n+1}^{i_{r+1}} \wedge \dots \wedge \omega_{n+1}^{i_{r+s}} \wedge \omega^{i_{r+s+1}} \wedge \dots \wedge \omega^{i_n}, \quad (2.13)$$

taking the exterior differential of (2.13), and using (1.6), (1.13), (2.2), (2.3), we get

$$d\tilde{\Omega}_{rs}(t) = (r+s+1)\omega_{n+1}^{n+1} \wedge \tilde{\Omega}_{rs}(t) + (n-r-s)\tilde{\omega}^{n+1} \wedge \tilde{\Omega}_{r,s+1}(t).$$

Hence we have

$$\begin{aligned} d(\tilde{H}^{(r+s+1)/(n+2)} \tilde{\Omega}_{rs}) &= -\frac{n(r+s+1)}{n+2} \tilde{H}^{(r+s+1)/(n+2)} dt \wedge \tilde{\Omega}_{rs} \\ &\quad + (n-r-s) \tilde{H}^{(r+s+1)/(n+2)} \tilde{\omega}^{n+1} \wedge \tilde{\Omega}_{r,s+1}. \end{aligned} \quad (2.14)$$

Noticing (2.12) we have also

$$\tilde{\Omega}_{rs} = \Omega_{rs}(t) + dt \wedge \phi_{rs}, \quad (2.15)$$

where ϕ_{rs} does not include dt . Taking the exterior differential of (2.15), we get

$$d\tilde{\Omega}_{rs} = d\Omega_{rs}(t) - dt \wedge d\phi_{rs}. \quad (2.16)$$

Substituting (2.15) in both sides of (2.14), we have

$$\begin{aligned} d(\tilde{H}^{(r+s+1)/(n+2)} \tilde{\Omega}_{rs}) &= \tilde{H}^{(r+s+1)/(n+2)} dt \wedge \left[-\frac{n(r+s+1)}{n+2} \tilde{\Omega}_{rs} + (n-r-s)x^{n+1} \tilde{\Omega}_{r,s+1} \right], \\ d(\tilde{H}^{(r+s+1)/(n+2)} \Omega_{rs}) &= d_M(H^{(r+s+1)/(n+2)} \Omega_{rs}) \\ &\quad + dt \wedge \left[\frac{\partial(H^{(r+s+1)/(n+2)} \Omega_{rs})}{\partial t} - d_M(H^{(r+s+1)/(n+2)} \phi_{rs}) \right]. \end{aligned}$$

Comparing the terms including dt in the last two equations, we get

$$\begin{aligned} \frac{\partial \Delta}{\partial t} \Big|_{t=0} &= d_M(H^{(r+s+1)/(n+2)} \phi_{rs}) \\ &\quad + H^{(r+s+1)/(n+2)} \left[\frac{n(r+s+1)}{n+2} \Omega_{rs} + (n-r-s)x^{n+1} \Omega_{r,s+1} \right]. \end{aligned} \quad (2.17)$$

A comparison of (2.11), (2.17) gives

$$d_M(H^{(r+s+1)/(n+2)} \phi_{rs}) = (n-r-s)H^{(r+s+1)/(n+2)} (\Omega_{rs} - x^{n+1} \Omega_{r,s+1}).$$

If M is compact, then integrating the above equation and using (2.5), we get

$$\int_M L_{rs} dV = \int_M p L_{r,s+1} dV, \quad (2.18)$$

where

$$p = H^{-1/(n+2)} \alpha^{n+1} \quad (2.19)$$

is an affine supporting function on M .

If \bar{M} coincides with M , then (2.18) becomes^[4]

$$\int_M L_r dV = \int_M p L_{r+1} dV. \quad (2.20)$$

(2) Second type. Let $f: M \rightarrow \bar{M}$ be a map such that the tangent spaces of M , \bar{M} at the corresponding points are parallel. Choose their affine frames $xe_1 \cdots e_{n+1}$ and $\bar{x}\bar{e}_1 \cdots \bar{e}_{n+1}$ such that $e_i = \bar{e}_i$, and e_{n+1} and \bar{e}_{n+1} are normal vectors of M and \bar{M} respectively. In general, the normal direction of M need not be that of \bar{M} . Consider the following forms:

$$\begin{aligned} A_{rs} &= (x, v, \underbrace{dv, \dots, dv}_{n-1-r-s}, \underbrace{dx, \dots, dx}_r, \underbrace{d\bar{x}, \dots, d\bar{x}}_s), \\ B_{rs} &= (x, \bar{x}, \underbrace{dv, \dots, dv}_{n-1-r-s}, \underbrace{dx, \dots, dx}_r, \underbrace{d\bar{x}, \dots, d\bar{x}}_s), \\ D_{rs} &= (v, \underbrace{dv, \dots, dv}_{n-r-s}, \underbrace{dx, \dots, dx}_r, \underbrace{d\bar{x}, \dots, d\bar{x}}_s). \end{aligned} \quad (2.21)$$

Taking the exterior differential of (2.21), from (1.4) and (1.14) we have

$$\begin{aligned} dA_{rs} &= pD_{rs} - D_{r+1,s}, \\ dB_{rs} &= pD_{r,s+1} - \bar{p}D_{r+1,s}. \end{aligned}$$

Integrating the above, we get

$$\int_M (pD_{rs} - D_{r+1,s}) = 0, \int_M (\bar{p}D_{rs} - D_{r,s+1}) = 0, \int_M (pD_{r,s+1} - \bar{p}D_{r+1,s}) = 0. \quad (2.22)$$

Using (1.4), (1.14), (1.14)', (1.25)', we can derive

$$D_{rs} = Q_{rs} dW, \quad dW = H^{(n-1-r-s)/(n+1)} \omega_{n+1}^1 \wedge \cdots \wedge \omega_{n+1}^n, \quad (2.23)$$

$$Q_{sr} = \frac{(n-r-s)!}{n!} \delta_{j_1 \dots j_{r+s}}^{i_1 \dots i_{r+s}} L_{i_1}^{j_1} \cdots L_{i_r}^{j_r} \bar{L}_{i_{r+1}}^{j_{r+1}} \cdots \bar{L}_{i_{r+s}}^{j_{r+s}} \quad (2.24)$$

$$Q_{0s} = \bar{Q}_s, \quad Q_{r0} = Q_r,$$

where Q_r , \bar{Q}_r are the r th elementary symmetric functions of the eigenvalues λ_i of (L_j^i) , (\bar{L}_j^i) , and dW is the volume element of M with respect to its third fundamental form III. It should be remarked that Q_r is taken with respect to the affine normal vector v of M , while \bar{Q}_r for \bar{M} is taken with respect also to the affine normal vector v of M , but not to the affine normal vector \bar{v} of \bar{M} itself. Since $(L_j^i) = (l_j^i)^{-1}$ (cf. (1.15)), its eigenvalue λ_i is the affine curvature diameter of M . Comparing (2.6) with (2.24), one sees that S_{sr} and Q_{rs} have similar forms.

Substituting (2.23) in (2.22), we have another kind of integral formulas

$$\begin{aligned} \int_M Q_{rs} dW &= \int_M p Q_{r-1,s} dW, \\ \int_M Q_{rs} dW &= \int_M \bar{p} Q_{r,s-1} dW, \\ \int_M p Q_{r,s+1} dW &= \int_M \bar{p} Q_{r+1,s} dW. \end{aligned} \quad (2.25)$$

When $M = \bar{M}$, (2.25) becomes^[24]

$$\int_M Q_r dW = \int_M p Q_{r+1} dW. \quad (2.26)$$

§ 3. Some Theorems

Using the integral formulas in the last section, we can obtain some properties of hypersurfaces in A^{n+1} , e. g., conditions for a hypersurface to be an affine sphere, and for two affine hypersurfaces to be different only by an affine transformation in A^{n+1} .

Let M be a closed convex hypersurface; if it is necessary, we can take $e_{n+1}^* = -e_{n+1}$ instead of e_{n+1} such that (h_{ij}) is negative definite. In fact, by taking $A = -I$ in (1.9), it follows from the last formula of (1.10) that $(h_{ij}^*) = -(h_{ij})$.

Now we let (h_{ij}) be negative definite. Denoting $A = (I_j^i)$, $B = (I^{ij})$, $C = (h_{ij})$, by $I_j^i = I^{ik} h_{kj}$ we get $A = BC$. Since C is negative definite, there is a nonsingular matrix T such that $C = -T'T$. Therefore $\det(I - \lambda A) = \det(I + \lambda B T' T) = \det(I + \lambda T B T')$. It is clear that the eigenvalues of (I_j^i) are real, since the matrices A , B are symmetric, and $T B T'$ is also.

Lemma 1. *Let M be a closed convex hypersurface in A^{n+1} . Then the following statements are equivalent:*

- (1) *Either $A = (I_j^i)$ is positive definite everywhere, or III is negative definite everywhere.*
- (2) *The n th affine mean curvature $L_n > 0$ holds everywhere.*

Proof It is clear that (1) implies (2) by (1.17). To show the converse we consider the affine distance function from the origin O to a point $x \in M$:

$$f(x) = H^{-1/(n+2)}(x, e_1, \dots, e_n). \quad (3.1)$$

$f(x)$ is a continuous function on a compact manifold so that it reaches the maximum value at some points, e. g., at some x_0 . Taking the differential of (3.1), and using (1.5), (1.13), we have

$$df = f_{.i} \omega^i = H^{-1/(n+2)} [x, \omega_n^{n+1} e_{n+1}, e_2, \dots, e_n] + \dots + [x, e_1, \dots, e_{n-1}, \omega_n^{n+1} e_{n+1}], \quad (3.2)$$

$$H^{1/(n+2)} f_{.i} = (x, h_{1i} e_{n+1}, e_2, \dots, e_n) + \dots + (x, e_1, \dots, e_{n-1}, h_{ni} e_{n+1}). \quad (3.3)$$

1. We choose an affine frame $x e_1 \dots e_{n+1}$ such that the matrix (h_{ij}) is a diagonal

matrix $(\lambda_i \delta_{ij})$ at x_0 . Then

$$H^{1/(n+2)} df = \lambda_1 \omega^1(x, e_{n+1}, e_2, \dots, e_n) + \dots + \lambda_n \omega^n(x, e_1, \dots, e_{n-1}, e_{n+1}).$$

Since $df=0$ at x_0 , we have

$$\begin{aligned} \lambda_1(x, e_{n+1}, e_2, \dots, e_n) &= 0, \\ \lambda_2(x, e_1, e_{n+1}, \dots, e_n) &= 0, \\ \dots \quad \dots \quad \dots \quad \dots & \\ \lambda_n(x, e_1, e_2, \dots, e_{n+1}) &= 0. \end{aligned} \tag{3.4}$$

The solutions of the above system of equations are $x = \alpha e_{n+1}$ with $\alpha > 0$. Since $\lambda_i \neq 0$ and the origin O is inside M , e_{n+1} points outward and is the same as it at point x_0 .

2. Choose an affine frame $x e_1 \dots e_{n+1}$ such that the matrix (l_j^i) is a diagonal matrix $(\mu_i \delta_{ij})$ at x_0 . Now we show that at the point x_0 , $\mu_i > 0$, $i=1, \dots, n$. e. g., $\mu_1 > 0$, by (3.3) we have

$$\begin{aligned} f_{,1} &= H^{-1/(n+2)} [h_{11}(x, e_{n+1}, e_2, \dots, e_n) + \dots + h_{n1}(x, e_1, \dots, e_{n-1}, e_{n+1})], \\ df_{,1} &= -H^{-1/(n+2)} \left(\frac{1}{n+2} d \log H + \omega_{n+1}^{n+1} \right) [h_{11}(x, e_{n+1}, e_2, \dots, e_n) + \dots \\ &\quad + h_{n1}(x, e_1, \dots, e_{n+1}, e_{n-1})] + H^{-1/(n+2)} [h_{11}(\omega^1 e_1, e_{n+1}, e_2, \dots, e_n) \\ &\quad + h_{11}(x, \omega_{n+1}^1 e_1, e_2, \dots, e_n) + \dots + h_{n1}(\omega^n e_n, e_1, \dots, e_{n-1}, e_{n+1}) \\ &\quad + h_{n1}(x, e_1, \dots, e_{n-1}, \omega_{n+1}^n e_n)]. \end{aligned} \tag{3.5}$$

Noticing (3.4) and $x = \alpha e_{n+1}$, we can get

$$\begin{aligned} f_{,11} &= H^{-1/(n+2)} [h_{11}(e_1, e_{n+1}, e_2, \dots, e_n) + h_{11} \mu_1(x, e_1, \dots, e_n)] \\ &= H^{-1/(n+2)} (-h_{11} + \alpha \mu_1 h_{11}). \end{aligned}$$

Since f reaches the maximum value at x_0 , $f_{,11} < 0$. By the above equation we thus have

$$-h_{11}(1 - \alpha \mu_1) < 0.$$

The condition $h_{11} < 0$ gives

$$\alpha \mu_1 > 1, \mu_1 > 1/\alpha > 0.$$

Hence the eigenvalues of (I_j^i) at x_0 are all positive.

3. The eigenvalues of (I_j^i) at any point $x \in M$ are positive; otherwise there exists some point such that $\mu_i = 0$, so that it will lead to $L = 0$ which contradicts $L_n > 0$.

Theorem 1. *Let M be a closed convex hypersurface of A^3 with affine Gauss curvature $S_2 > 0$ (or $L_2 > 0$). Then the volume V of M with respect to III is bounded above by 4π , i. e., $V \leq 4\pi$, where the equality holds if and only if M is an ellipsoid.*

Proof Choose a frame $x e_1 \dots e_{n+1}$ such that $h_{ij} = \delta_{ij}$, $I^* = \lambda_1 + \lambda_2$. Then it follows from (1.31) that

$$R = \sigma^2 + \lambda_1 + \lambda_2 \geq \lambda_1 + \lambda_2 \geq 2\sqrt{\lambda_1 \lambda_2}.$$

Integrating the above inequality we have

$$\int_M R dV \geq 2 \int_M \sqrt{\lambda_1 \lambda_2} dV, \tag{3.6}$$

where $dv = \omega^1 \wedge \omega^2$ is the volume element of II, and, $\int_M \sqrt{\lambda_1 \lambda_2} dV$ is the volume V of M with respect to III. By Gauss-Bonnet formula,

$$\int_M R dV = 2 \int_M K dV = 4\pi\chi(M),$$

where K is the Gauss curvature of II, and χ is Euler-characteristic of M . Since M is closed and convex, it is homeomorphic to the sphere, so that $\chi(M) = 2$, and therefore $\int_M R dV = 8\pi$. Thus it follows from (3.6) that

$$V \leq 4\pi, \tag{3.7}$$

where the equality holds if and only if $\lambda_2 = \lambda_1$. In the case where M is an affine sphere, M is an ellipsoid, since a two-dimensional affine sphere is an ellipsoid.

Theorem 2. *Let M be a closed convex hypersurface in A^{n+1} . Then the scalar curvature R of M with respect to II satisfies*

$$R \geq (n-1)S_1, \tag{3.8}$$

where the equality holds if and only if M is an affine sphere.

Proof It follows immediately from (1.31) that when the equality holds in (3.8) we have $\sigma^2 = 0$, i. e., $K_{jn}^i = 0$, so that

$$\omega_i^j + \omega_j^i = 0.$$

Differentiating the above equation we get

$$\omega_i^k \wedge \omega_k^j + \omega_i^{n+1} \wedge \omega_{n+1}^j + \omega_j^k \wedge \omega_k^i + \omega_j^{n+1} \wedge \omega_{n+1}^i = 0,$$

i. e.,

$$\omega_i^{n+1} \wedge \omega_{n+1}^j + \omega_j^{n+1} \wedge \omega_{n+1}^i = 0,$$

or

$$\delta_{ik} l^kj - \delta_{ij} l^{kj} = \delta_{il} l^{kj} - \delta_{ik} l^{lj}.$$

By contraction for j, k , we have

$$l^i = \lambda \delta_{ii}, \quad \lambda = \sum_j l^{jj}.$$

Thus $\lambda_1 = \dots = \lambda_n$, and M is an affine sphere.

Theorem 3. *Let a hypersurface $M \subset A^{n+1}$ be closed convex with constant first affine mean curvature L_1 . Then the hypersurface M is an affine sphere.*

Proof Suppose the the origin O of A^{n+1} to be inside M , such that the affine supporting function preserves the sign. Since L_1 is constant, from (2.20) for $r = 0, 1$ we have

$$\int_M p(L_1^2 - L_2) dV = 0.$$

But

$$\begin{aligned} H^{2/(n+2)}(L_1^2 - L_2) &= \left(\frac{\lambda_1 + \dots + \lambda_n}{n}\right)^2 - \frac{2(\lambda_1 \lambda_2 + \dots + \lambda_{n+1} \lambda_n)}{n(n-1)} \\ &= \frac{1}{n^2(n-1)} \sum_{i < j} (\lambda_i - \lambda_j)^2 \geq 0, \end{aligned}$$

where the equality holds only if $\lambda_1 = \dots = \lambda_n = \lambda$, so that

$$\omega_{n+1}^t = \lambda H^{-1/(n+2)} \omega^t, \quad \lambda = \text{const.},$$

It follows from (1.14)' that

$$dV = \lambda \omega^t e_t = \lambda dx, \quad V - \lambda x = a = \text{const.},$$

It is easy to see that M is an affine sphere.

Let $M \subset A^{n+1}$ be a closed convex hypersurface, the origin O of A^{n+1} be chosen inside M , and e_{n+1} be along the affine normal direction and point outward. Then the affine supporting function $p > 0$, and (h_{ij}) is negative definite. By the same method we can obtain the following Theorems 4 and 5.

Theorem 4. *Let M be a closed convex hypersurface in A^{n+1} , and L_n be always positive. If there is an r such that the r th affine mean curvature L_r is constant, then M is an affine sphere.*

Theorem 5. *Let M be a closed convex hypersurface in A^{n+1} , and L_n be positive everywhere. If there are two indices τ, r with $1 \leq \tau < r \leq n$ such that the ratio of the two affine mean curvatures L_r and L_τ is constant, i. e., $L_r/L_\tau = a$ where a is constant, then M is an affine sphere.*

Theorem 6. *Let M be a closed convex hypersurface in A^{n+1} , and L_n be positive everywhere. If there is an $r, 1 \leq r \leq n$, such that*

$$L_{r-1}^\alpha L_r^\beta = \text{const.}, \quad \alpha + \beta > 0, \quad \alpha \geq 0, \quad \beta \geq 0, \quad (3.9)$$

then M is an affine sphere.

Proof Let $L_{r-1}^\alpha = L_r^\beta = C^{\alpha(r-1) + \beta r}$. Then we have

$$L_r = C^{\alpha(\beta-1)/\beta + r} L_{r-1}^{-\alpha/\beta}. \quad (3.10)$$

By $L_{r-1}^{1/(r-1)} \geq L_r^{1/r}$, we get

$$L_{r-1} \geq L_r^{(r-1)/r} = C^{(r-1)[\alpha(1-1/r)/\beta + 1]} L_{r-1}^{-\alpha(1-1/r)/\beta},$$

or $L_{r-1} \leq C^{r-1}$. It follows from (3.10) that $L_r \leq C^r$. Moreover, $L_1 \geq C$ holds (see Lemma 8.3 in [4]). As a consequence of the last two inequalities and integral formulas, we have the following inequality

$$\begin{aligned} \int_M C^r p dV &= \int_M C^{r-1} p L_1 dV = \int_M C^{r-1} L_0 dV \\ &\leq \int_M L_{r-1} dV = \int_M p L_r dV \leq \int_M C^r p dV. \end{aligned}$$

So

$$\int_M p(L_1 - C) dV = 0$$

gives $L_1 = C$. Then by Theorem 1 we see that M is an affine sphere.

Similarly we can also get the following theorem.

Theorem 7. *Let M be closed convex hypersurface in A^{n+1} , and L_n be positive everywhere. If there is an r such that*

$$\begin{aligned} L_{r-2}/L_{r-1} &\leq C \leq L_{r-1}/L_r, \\ C &= \text{const.}, 1 < r < n, \end{aligned} \tag{3.11}$$

then N is an affine sphere,

Using integral formulas, we can also discuss when two hypersurfaces are the same under an affine transformation. This is a problem similar to Cohn-Vossen's theorem, which determines when two hypersurfaces in a Euclidean space E^{n+1} are the same under a rigid motion.

Let A, B be two matrices whose eigenvalues are all positive. If there exists a nonsingular matrix T such that $T^{-1}AT$ and $T^{-1}BT$ are symmetric, then we call A, B an S -pair.

Lemma 2. *Let the eigenvalues of A and B be positive. Then the following conditions are equivalent:*

(1) A, B form an S -pair.

(2) There exists a positive definite matrix C such that AC and BC are symmetric matrices.

Proof To show that (1) implies (2) suppose there is a nonsingular matrix T such that

$$A_1 = T^{-1}AT, \quad B_1 = T^{-1}BT$$

are symmetric matrices. Then

$$A = TA_1T^{-1}, \quad B = TB_1T^{-1},$$

and therefore we have two symmetric matrices

$$AC = TA_1T', \quad BC = TB_1T',$$

where $C = TT'$.

To show that (2) implies (1), we assume that there is a positive definite matrix C such that

$$AC = A_1, \quad BC = B_1$$

are symmetric matrices. Putting $C = TT'$, we then get two symmetric matrices

$$T^{-1}AT = T^{-1}A_1(TT')^{-1} = T^{-1}A(TT')T^{-1} = T^{-1}A_1T^{-1},$$

$$T^{-1}BT = T^{-1}B_1(TT')^{-1} = T^{-1}B(TT')T^{-1} = T^{-1}B_1T^{-1}.$$

For matrices A and B , we write

$$\det(\lambda A + \mu B + I) = \sum_{s+r=0}^n \frac{n!}{r!s!(n-r-s)!} \lambda^r \mu^s P_{rs}. \tag{3.12}$$

Lemma 3. *If the matrices A and B form an S -pair, then the P_{rs} determined by (3.12) satisfy*

$$P_{i-1,1} > P_{i0}^{1-1/i} P_{0i}^{1/i}. \tag{3.13}$$

Proof By assumption, there is a nonsingular matrix T such that $T^{-1}AT$ and $T^{-1}BT$ are positive symmetric matrices. Since

$$\det(\lambda A + \mu B + I) = \det(\lambda T^{-1}AT + \mu T^{-1}BT + I), \tag{3.14}$$

the P_{rs} for the symmetric matrices $T^{-1}AT, T^{-1}BT$ and those for A, B are the same.

Hence the Gårding's inequality gives (3.13) immediately.

Theorem 8. *Suppose that M and M' are closed convex hypersurfaces in A^{n+1} and that $f: M \rightarrow M'$ is a diffeomorphism if under the mapping f the two hypersurfaces have the same affine normal vector at each pair of the corresponding points, i. e.,*

$$V'_{f(x)} = V_x, \text{ for } x \in M.$$

Then M and M' are different only by a translation.

Proof By the assumption and (1.14)', we have

$$H^{1/(n+2)} \omega'_{n+1} e'_i = H^{1/(n+2)} \omega_{n+1} e_i,$$

so that M and M' have parallel tangent space at each pair of the corresponding points. Take a Euclidean metric on A^{n+1} such that e_1, \dots, e_n is a set of orthogonal unit vectors in the tangent space of M , and e_{n+1} is the affine normal direction of M . Then e_1, \dots, e_n are also tangent to M' , and e_{n+1} is the affine normal direction of M' . Since $V' = V$, from

$$V'_i = H'^{1/(n+2)} e_{n+1}, \quad V_i = H^{1/(n+2)} e_{n+1},$$

we have $H' = H$. It is easy to see that with respect to this frame, H and H' are the Gauss curvature of M and M' respectively. Hence by Minkowski's theorem, M' and M are different only by a translation.

Theorem 9. *Suppose that M and M' are two closed convex hypersurfaces in A^{n+1} , and their respective n th affine mean curvatures L_n, L'_n are positive everywhere. If $f: M \rightarrow M'$ is an affine map, $A = (L_j^i)$ and $A' = (L'_j{}^i)$ form an S -pair, and there is an r such that $L_r = L'_r$, then M and M' are different only by an affine transformation on A^{n+1} .*

Proof Choose the affine frames of M and M' such that

$$\omega'_j{}^i = \omega_j{}^i \tag{3.15}$$

holds. By integral formulas (2.18) we have

$$\int_M L_{0,r-1} dV = \int_M p L_{0,r} dV, \quad \int_M L_{r-1,0} dV = \int_M p L_{r-1,1} dV,$$

and therefore

$$\int_M (L_{0,r-1} - L_{r-1,0}) dV = \int_M p (L_{0,r} - L_{r-1,1}) dV. \tag{3.16}$$

It follows from (3.13) and $L_r = L'_r$, i. e., $L_{0r} = L_{0r}'$, that

$$L_{r-1,1} \geq L_{r-1,0}^{(r-1)/r} L_{0r}^{1/r} = L_{0r},$$

where the equality holds if and only if A and A' are in proportion. Choose the direction of vector e_{n+1} such that $p < 0$. By (3.16) we have

$$\int_M L_{0,r-1} dV \geq \int_M L_{r-1,0} dV.$$

Interchanging the roles of M and M' in the above process, we have

$$\int_M L_{0,r-1} dV \leq \int_M L_{r-1,0} dV.$$

Thus

$$\int_M L_{0,r-1} dV = \int_M L_{r-1,0} dV, \tag{3.17}$$

and A and A' are in proportion. By $L_r = L'_r$ we have $A = A'$, i. e.,

$$\omega_{n+1}^i = \omega_{n+1}'^i, \tag{3.18}$$

which together with (3.15) implies

$$\omega_i^{n+1} \wedge \omega_{n+1}^j = \omega_i'^{n+1} \wedge \omega_{n+1}'^j,$$

i. e.,

$$h_{ik}l_k^j - h_{kj}l_k^i = h'_{ik}l_k^j - h'_{kj}l_k^i.$$

It is easy to get $h_{ij} = h'_{ij}$, by choosing the frames of M and M' such that $l_k^i = \mu_k \delta_k^i$. Thus with respect to these frames,

$$\omega_j^{n+1} = \omega_j'^{n+1},$$

and therefore $\omega^\alpha = \omega'^\alpha$, $\omega_\alpha^\beta = \omega'^\beta_\alpha$. Hence f is an affine transformation of A^{n+1} , restricted on M .

If we substitute a Euclidean space E^{n+1} for the affine space A^{n+1} and use the same method, we can get a theorem on convex hypersurfaces, which is stronger than the Cohn-Vossen's theorem.

Theorem 10. *Let M and M' be two closed convex hypersurfaces in E^{n+1} . If $f: M \rightarrow M'$ is an affine map, $A = (I_j^i)$ and $B = (I_i^j)$ form an S -pair, and there exists an r such that the r th mean curvatures S_r, S'_r of M, M' are equal, then M and M' are the same except a rigid motion E^{n+1} .*

Theorem 11. *Suppose that M and M' are two closed convex hypersurfaces in A^{n+1} , $L_n > 0$, and $F: M \rightarrow M'$ is a diffeomorphism such that M and M' have parallel tangent spaces at each pair of the corresponding points. Let III and III' be the third fundamental forms of M and M' with respect to the affine normal vector v of M , and Q_i and Q'_i be the i th elementary symmetric functions of III and III' respectively (see (2.24)). If III' is positive definite and there exists an r , $2 \leq r \leq n$, such that*

$$Q_{r-1} \leq Q'_{r-1}, \quad Q_r \geq Q'_r, \tag{3.19}$$

then M and M' are different only by a translation.

This theorem is a generalization to an affine space A^{n+1} of the theorem on a Euclidean space E^n given in [3], and the method of proof here is essentially the same as that in [3].

Proof From the integral formula (2.25), we have

$$\begin{aligned} \int_M Q_{r0} dV &= \int_M p Q_{r-1,0} dV, \\ \int_M Q_{1,r-1} dV &= \int_M p Q_{0,r-1} dV. \end{aligned} \tag{3.20}$$

By the Gårding's inequality

$$Q_{1,r-1} \geq Q_{r0}^{1/r} Q_{r0}^{1-1/r} \geq Q_{r0} \quad (Q_{0r} \geq Q_{r0}),$$

we have $\int Q_{1,r-1} dV \geq \int Q_{r,0} dV$. On the other hand, $Q_{r-1,0} \geq Q_{0,r-1}$, $p > 0$. Thus

$$Q_{1,r-1} - Q_{r,0} = Q_{r-1,0} - Q_{0,r-1},$$

and M and M' are different by a translation.

Example. In A^3 ellipsoids have constant affine principle curvature or principle curvature diameter, If we take two different ellipsoids, for example, one is a sphere M , and the other is an ellipsoid M' different from the sphere, such that M and M' have equal affine principle curvature diameters, then the elementary symmetric functions of these diameters are equal, i. e., $Q_r = Q'_r$, and M' cannot be obtained from M by a translation, However, these facts do not contradict Theorem II, since the Q'_r in the theorem is taken with respect to the affine normal vector V of M , but not to that V' of M' .

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