

GRADED MODULES OF GRADED LIE ALGEBRAS OF CARTAN TYPE (III) —IRREDUCIBLE MODULES**

SHEN GUANGYU (沈光宇)*

Abstract

Over a field of characteristic $\neq 2, 3$, all irreducible positive and negative graded modules of simple Lie algebras $L(n)$ and $L(n, m)$ of Cartan type W, S and H are determined. Further, all irreducible positive and negative filtered modules of $L(n, m)$ are determined. For $L(n)$, every irreducible negative filtered module is a negative graded module, but there exist irreducible positive filtered modules which are not graded.

§ 0. Introduction

Let F be an algebraically closed field, $\text{Char } F \neq 2, 3$, L a (finite-dimensional or infinite-dimensional) simple graded Lie algebra of Cartan type W, S or H . We first determine all irreducible positive and negative graded modules of L . Let $\tilde{M}(V_0)$ be the unique irreducible positive graded L -module with the irreducible L_0 -module V_0 as its base space. Then $\tilde{M}(V_0) = \tilde{V}_0$ unless $V_0 = V_0(\lambda_i)$, the highest weight module with a fundamental weight λ_i as its highest weight, for some i . When $V_0 = V_0(\lambda_i)$, write $\tilde{V}_0 = \tilde{V}_0(\lambda_i)$. Then $\tilde{V}_0(\lambda_i)$ is identified with the L -module consisting of all differential forms of i -th degree. Using exterior differential operation we can determine $\tilde{M}(V_0(\lambda_i))$ which is the minimal submodule of $\tilde{V}_0(\lambda_i)$. When L is finite dimensional, we calculate the dimensions of $\tilde{M}(V_0(\lambda_i))$. By duality, all irreducible negative graded modules of L are also determined.

We further define and discuss the positive and negative filtered modules of L . For finite-dimensional $L = L(n, m)$, all irreducible positive and negative filtered modules are determined. For infinite-dimensional $L = L(n)$, the irreducible negative filtered modules are also determined: they are just the irreducible negative graded modules. On the contrary, there exist irreducible positive filtered modules which are not graded. To determine all irreducible positive filtered modules of $L(n)$ remains an open question.

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* Department of Mathematics, East China Normal University, Shanghai, China.

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§ 1. Irreducibility of $\tilde{V}_0$ and V_0

We adopt all the notations used in [4] and [5]. If ρ_0 is a representation of L_0 in V_0 , let $\tilde{\rho}_0$ and ρ_0 denote the representations of L in $\tilde{V}_0$ and V_0 respectively. Let Z denote the one-dimensional trivial module, $T|_V$ (or simply T) denote the trace representation of $gl(n)$ (and its subalgebras) in the space $V: T(A) = (\text{Tr } A) \cdot \mathbf{1}_V$, $A \in gl(n)$. As in [4], if $D = \sum_i a_i D_i \in L$, let $\tilde{D} = \sum_{i,j} D_i(a_j) \otimes E_{ij} \in \mathfrak{X} \otimes L_0$. Let

$$\tilde{M}(V_0) = (\tilde{V}_0)_{\min}, \quad (1.1)$$

if V_0 is L_0 -irreducible. (To distinguish finite-dimensional and infinite-dimensional cases if necessary, $\tilde{M}(V_0)$ will be denoted by $\tilde{M}(V_0, n, m)$ and $\tilde{M}(V_0, n)$ respectively). $\tilde{M}(V_0)$ is the unique irreducible positive graded module with V_0 as its base space.

We first assume $L = L(n, m)$.

Lemma 1.1 *Let ρ_0 be a representation of L_0 in the irreducible module V_0 . If V_0 is not a highest weight module, then there exists a root vector X of L_0 such that $\rho_0(X)$ and $\tilde{\rho}_0(X)$ have unique characteristic value $a \neq 0$ in V_0 and $\tilde{V}_0$ respectively.*

Proof V_0 is not graded. By [5, Lemma 1.4], we may assume $\rho_0(n^+)$ is not nilpotent, where n^+ is the subspace of L_0 spanned by the positive root vectors. Let P be the set of positive root vectors of L_0 . Then $\rho_0(P)$ is a weakly closed set. If all $\rho_0(Y)$, $Y \in P$, are nilpotent, then $\rho_0(n^+)$ is nilpotent^[2], a contradiction. Hence we have $X \in P$ such that $\rho_0(X)$ is not nilpotent. Now, $\rho_0(X)^2 - \rho_0(X^{[2]})$ commutes with $\rho_0(L_0)$ and $X^{[2]} = 0$ in L_0 . We have $\rho_0(X) = a' \cdot \mathbf{1}_{V_0}$, $a' \neq 0$, and $a = a'^{1/2}$ is the unique characteristic value of $\rho_0(X)$. Similarly, $\tilde{\rho}_0(X)^2 = \delta(X) \otimes \mathbf{1}_{V_0} + \mathbf{1}_{\mathfrak{X}} \otimes \rho_0(X)$ and $\delta(X)^2 = 0$ since δ is a restricted representation. Hence $\rho_0(X)^2 = \mathbf{1}_{\mathfrak{X}} \otimes a' \cdot \mathbf{1}_{V_0} = a' \cdot \mathbf{1}_{\tilde{V}_0}$.

Proposition 1.1. *Let V_0 be an irreducible L_0 -module. If V_0 is not a highest weight module, then $\tilde{V}_0(n, m)$ is irreducible.*

Proof Let X be as in Lemma 1.1. We have³ $l(\tilde{M}(V_0)) \geq N(m) - 2$ (for $L = H(n, m)$, cf. [5, Lemma 2.6]). Hence there is a non-zero $v = \sum_{|\alpha| = N(m) - 2} x^{(\alpha)} \otimes v_\alpha \in \tilde{M}(V_0)$ such that $\tilde{\rho}_0(X)v = av$. Denote

$$x_i = x^{(e_i)}. \quad (1.2)$$

(I) $L = S(n, m)$. Then $X = x_i D_j$ (identified with E_{ij}), $i \neq j$. Let $s = \min \{\alpha_i | v_\alpha \neq 0\}$.

1) $s = \pi_i$, that is, we have $v_\alpha \neq 0$, $\alpha = \pi - \varepsilon_k - \varepsilon_l$, $k, l \neq i$, for some α . If $k \neq j$, $l \neq j$, let $Y = x_i x_k x_l D_j$; if $k = j$, $l \neq j$, let $Y = x_i x_l x_j D_j - x_i^{(2)} x_l D_j$; if $k = l = j$, let $Y = x_i x_j^{(2)} D_j - x_j x_i^{(2)} D_j$. Then $Y \in S(n, m)$. Direct computation shows $\tilde{\rho}_0(Y)v = ax^{(\pi)} \otimes v_\alpha \neq 0$.

2) $s = \pi_i - 1$, i. e., there is $v_\alpha \neq 0$, $\alpha = \pi - \varepsilon_i - \varepsilon_k$, $k \neq i$. If $k = j$, let $Y = x_i x_j^{(2)} D_j$,

$-x_i^{(2)}x_jD_i$, then $\tilde{\rho}_0(Y)v = ax^{(\pi)} \otimes v_\alpha \neq 0$. If $k \neq j$, let $Y = 2x_i^{(2)}x_kD_j$, then $\tilde{\rho}_0(Y)v = ax^{(\pi)} \otimes v_\alpha + x^{(\pi)} \otimes \rho_0(E_{ij})v_\alpha$ which is not equal to zero since otherwise we would have $\rho_0(E_{ij})v_\alpha = -av_\alpha$ contrary to Lemma 1.1.

3) $s = \pi_i - 2$, i. e., there is $v_\alpha \neq 0$, $\alpha = \pi - 2\varepsilon_i$. Let $Y = 3x_i^{(3)}D_j$. Then $\tilde{\rho}_0(Y)v = ax^{(\pi)} \otimes v_\alpha + 2x^{(\pi)} \otimes \rho_0(E_{ij})v_\alpha \neq 0$ since $-a/2$ is not a characteristic value of $\rho_0(E_{ij})$.

Now in all cases we have $\tilde{M}(V_0) \cap (\tilde{V}_0)_{N(m)} \neq 0$. Since $(\tilde{V}_0)_{N(m)}$ is L_0 -irreducible ([5, Lemma 2.4]), $\tilde{M}(V_0) \supset (\tilde{V}_0)_{N(m)}$. Hence $\tilde{M}(V_0) = \tilde{V}_0$ by [5, Lemma 2.1].

(II) $L = W(n, m)$. The proof is similar to (I).

(III) $L = H(n, m)$, $n = 2r$. Let

$$\sigma(\tilde{i}) = \begin{cases} 1, & 1 \leq \tilde{i} \leq r, \\ -1, & r < \tilde{i} \leq n, \end{cases} \quad (1.3)$$

$$\tilde{i} = \tilde{i} + \sigma(\tilde{i})r, \quad \tilde{i} = 1, \dots, n. \quad (1.4)$$

Then $H(n, m)$ is spanned by

$$B(f) := \sum_{i=1}^n \sigma(\tilde{i}) (D_i f) D_i, \quad (1.5)$$

where $f \in \mathfrak{A}(n, m)$, $\deg f < |\pi|$. The root vectors of $L_0 \cong sp(n)$ are multiples of $B(x_i x_j)$, $\tilde{i} \neq \tilde{j}$, $j, i, j = 1, \dots, 2r$, and $B(x_i^{(2)})$, $\tilde{i} = 1, \dots, 2r$ (identified with $\sigma(\tilde{i})E_{\tilde{i}\tilde{i}} + \sigma(j)E_{\tilde{i}\tilde{i}}$ and $\sigma(\tilde{i})E_{\tilde{i}\tilde{i}}$ respectively, cf. [6, p. 12]).

(1) $X = B(x_i x_j) = \sigma(\tilde{i})x_i D_i + \sigma(j)x_j D_j$, $\tilde{i} \neq \tilde{j}$. Let $s = \min\{\alpha_i, \alpha_j | v_\alpha \neq 0\}$. Since the situations of $\tilde{i}$ and j are symmetrical, we may assume $s = \alpha_i$ for some α .

1) $s = \pi_i$, i. e., $v_\alpha \neq 0$, $\alpha = \pi - \varepsilon_k - \varepsilon_l$, $k, l \neq \tilde{i}, j$. Let $Y = B(x_k x_l x_i x_j)$. Direct computation shows $\tilde{\rho}_0(Y)v = ax^{(\pi)} \otimes v_\alpha$.

2) $s = \pi_i - 1$, i. e., $v_\alpha \neq 0$, $\alpha = \pi - \varepsilon_i - \varepsilon_k$, $k \neq \tilde{i}$. If $k = j$, let $Y = 2B(x_i^{(2)}x_j^{(2)})$; if $k \neq j$, let $Y = 2B(x_i^{(2)}x_j x_k)$. Then $\tilde{\rho}_0(Y)v = ax^{(\pi)} \otimes v_\alpha + x^{(\pi)} \otimes \rho_0(X)v_\alpha \neq 0$.

3) $s = \pi_i - 2$, i. e., $v_\alpha \neq 0$, $\alpha = \pi - 2\varepsilon_i$. Let $Y = 3B(x_i^{(3)}x_j)$. Then $\tilde{\rho}_0(Y)v = ax^{(\pi)} \otimes v_\alpha + 2x^{(\pi)} \otimes \rho_0(X)v_\alpha \neq 0$.

(2) $X = B(x_i^{(2)}) = \sigma(\tilde{i})x_i D_i$. Let $s = \min\{\alpha_i | v_\alpha \neq 0\}$.

1) $s = \pi_i$, i. e., there is $v_\alpha \neq 0$, $\alpha = \pi - \varepsilon_k - \varepsilon_l$, $k, l \neq \tilde{i}$. Let $Y = B(x_k x_l x_i^{(2)})$. Then $\tilde{\rho}_0(Y)v = ax^{(\pi)} \otimes v_\alpha \neq 0$.

2) $s = \pi_i - 1$, i. e., there is $v_\alpha \neq 0$, $\alpha = \pi - \varepsilon_i - \varepsilon_k$, $k \neq \tilde{i}$. Let $Y = 2B(x_i^{(3)}x_k)$. Then $\tilde{\rho}_0(Y)v = ax^{(\pi)} \otimes v_\alpha + x^{(\pi)} \otimes \rho_0(X)v_\alpha \neq 0$.

3) $s = \pi_i - 2$, i. e., there is $v_\alpha \neq 0$, $\alpha = \pi - 2\varepsilon_i$. Let $Y = 3B(x_i^{(4)})$. Then $\tilde{\rho}_0(Y)v = ax^{(\pi)} \otimes v_\alpha + 2x^{(\pi)} \otimes \rho_0(X)v_\alpha \neq 0$.

In all cases we have $\tilde{M}(V_0) \cap (\tilde{V}_0)_{N(m)} \neq 0$ and $\tilde{M}(V_0) = \tilde{V}_0$.

Let $\mathfrak{h}(L_0)$ be the standard Cartan subalgebra of $L_0 = gl(n)$, $sl(n)$ or $sp(n)$ and A_i , $i = 1, \dots, n$, the linear functions on $\mathfrak{h}(gl(n)) = \langle E_{11}, \dots, E_{nn} \rangle$ such that

$$A_i(E_{jj}) = \delta_{ij}. \quad (1.6)$$

The restriction of A_i on every $\mathfrak{h}(L_0)$ will also be denoted by A_i . Let

$$\lambda_0=0, \lambda_i=\sum_{j=1}^i A_j, i=1, \dots, n. \quad (1.7)$$

Then $\lambda_i (i=1, \dots, n \text{ for } L_0=gl(n); i=1, \dots, n-1 \text{ for } L_0=sl(n); i=1, \dots, r \text{ for } L_0=sp(n))$ are the fundamental weights of L_0 . Every weight of $sl(n)$ or $sp(n)$ is a linear combination of fundamental weights^[6]. We have

$$A_i=\lambda_i-\lambda_{i-1}, i=1, \dots, n. \quad (1.7)'$$

Proposition 1.2. *Let V_0 be a highest weight module of L_0 with highest weight $\lambda \neq 0$. If λ is not a fundamental weight, then $\tilde{V}_0(n, m)$ is irreducible.*

Proof Let v_λ be a highest weight vector of V_0 .

(I) $L=S(n, m)$. Let

$$h_i=E_{ii}-E_{i+1, i+1}, i=1, \dots, n-1. \quad (1.8)$$

We have

$$\lambda_i(h_j)=\delta_{ij}, i, j=1, \dots, n-1. \quad (1.9)$$

The positive roots of $sl(n)$ are $\lambda_i-\lambda_j, i < j$; the corresponding positive root vectors are $E_{ij}, i < j$. Let

$$A_{ij}(f)=(D_i f)D_j-(D_j f)D_i, f \in \mathfrak{N}(n, m), i, j=1, \dots, n. \quad (1.10)$$

Then $A_{ij}(f) \in L^{[3]}$.

Let the highest weight $\lambda=\sum_{k=1}^{n-1} c_k \lambda_k, c_k \in F$.

(1) If $c_i \neq 0, 1$ for some i , let $A=A_{i+1, i}(x^{(\pi)})$. Then

$$\begin{aligned} u: \tilde{\rho}_0(A)(1 \otimes v_\lambda) &= c_i x^{(\pi-\varepsilon_i-\varepsilon_{i+1})} \otimes v_\lambda + \sum_{k>i} x^{(\pi-\varepsilon_{i+1}-\varepsilon_k)} \otimes \rho_0(E_{k, i}) v_\lambda \\ &\quad - \sum_{k>i+1} x^{(\pi-\varepsilon_i-\varepsilon_k)} \otimes \rho_0(E_{k, i+1}) v_\lambda \neq 0. \end{aligned}$$

Let $B=A_{i+1, i}(x_i^{(2)} x_{i+1}^{(2)})$. Then $\tilde{\rho}_0(B)u=x^{(\pi)} \otimes (c_i^2 - c_i) v_\lambda \neq 0$.

(2) Suppose all $\lambda_k=0$ or 1. If there are more than one $c_k=1$, let the foremost two be c_i and $c_j, i < j$. Let $A=A_{i, j+1}(x^{(\pi)})$, $B=A_{i, j+1}(x_i^{(2)} x_{j+1}^{(2)})$ and $h=h_i+\dots+h_j$. Direct computation shows $\tilde{\rho}_0(B)\tilde{\rho}_0(A)(1 \otimes v_\lambda)=(\lambda(h)^2-\lambda(h))x^{(\pi)} \otimes v_\lambda \neq 0$ since $\lambda(h)=2$.

Thus $\tilde{M}(T_0) \cap (\tilde{V}_0)_{N(m)} \neq 0$ and $\tilde{M}(V_0)=\tilde{V}_0$.

(II) $L=W(n, m)$. Suppose $\lambda=\sum_{k=1}^n c_k \lambda_k$. If $\tilde{V}_0$ is reducible, by the discussion of (I), we may assume the restriction of λ on $\mathfrak{h}(sl(n))$ is a fundamental weight $\lambda_i, 1 \leq i \leq n-1$, that is $\lambda=a \sum_{j=1}^i A_j + (a-1) \sum_{j=i+1}^n A_j, a \in F$. We proceed to show $a=1$. Suppose $a \neq 1$. When $a \neq 0$, let $A=x^{(\pi)} D_1, B=X_1^{(2)} D_1$ and $\tilde{\rho}_0(B)\tilde{\rho}_0(A)(1 \otimes v_\lambda)=(a-a^2)v^{(\pi)} \otimes v_\lambda \neq 0$; when $a=0$, let $A=x^{(\pi)} D_n, B=x_n^{(2)} D_n$ and $\tilde{\rho}_0(B)\tilde{\rho}_0(A)(1 \otimes v_\lambda)=((a-1)-(a-1)^2)x^{(\pi)} \otimes v_\lambda \neq 0$. It follows that $\tilde{M}(V_0)=\tilde{V}_0$, i. e., $\tilde{V}_0$ is irreducible, a contradiction.

(III) $L=H(n, m)$. Let $\lambda=\sum_{k=1}^r c_k \lambda_k=\sum_{k=1}^r s_k A_k$, where $s_k=\sum_{j=1}^k c_j$.

The positive roots of $sp(2r)$ are $\Delta_i - \Delta_j$, $1 \leq i < j \leq r$, $\Delta_i + \Delta_j$, $1 \leq i, j \leq r$, and $2\Delta_i$, $1 \leq i \leq r$. If μ is a root, let h_μ denote the corresponding coroot and e_μ the corresponding standard root vector. For example, $h_{2\Delta_i} = E_{ii} - E_{\bar{i}\bar{i}}$, $e_{2\Delta_i} = E_{\bar{i}\bar{i}}$, etc. (for details cf. [6]). Set $A = B(x^{(\pi)})$. Then $\tilde{\rho}_0(A)(1 \otimes v_\lambda) = \sum_{j=1}^r x^{(\pi - \varepsilon_j - \varepsilon_j)} \otimes \lambda(h_{2\Delta_j}) v_\lambda + \sum_{\substack{i,j=1 \\ i \neq j}}^r x^{(\pi - \varepsilon_i - \varepsilon_j)} \otimes \rho_0(e_{-(\Delta_i + \Delta_j)}) v_\lambda + \sum_{1 \leq j < i \leq r} x^{(\pi - \varepsilon_i - \varepsilon_j)} \otimes \rho_0(e_{\Delta_i - \Delta_j}) v_\lambda$.

1) If $s_i \neq 0, 1$ for some i , let $B = B(x_i^{(2)} x_i^{(2)})$. We have $\tilde{B} = x^{(\varepsilon_i + \varepsilon_i)} \otimes h_{2\Delta_i} + x^{(2\varepsilon_i)} \otimes e_{-2\Delta_i} - x^{(2\varepsilon_i)} \otimes e_{2\Delta_i}$, and $\tilde{\rho}_0(B) \tilde{\rho}_0(A)(1 \otimes V_\lambda) = (\lambda(h_{2\Delta_i})^2 - \lambda(h_{2\Delta_i})) x^{(\pi)} \otimes v_\lambda \neq 0$ since $\lambda(h_{2\Delta_i}) = s_i$.

2) Suppose all $s_k = 0$ or 1 , but $s_i = 0, s_j = 1$, for some $1 \leq i < j \leq r$. Let $B = B(x_i x_i x_j x_j)$. We have $\tilde{\rho}_0(B) \tilde{\rho}_0(A)(1 \otimes V_\lambda) = x^{(\pi)} \otimes (\lambda(h_{\Delta_i - \Delta_j}) - \lambda(h_{\Delta_i + \Delta_j})) v_\lambda \neq 0$ since $\lambda(h_{\Delta_i - \Delta_j}) - \lambda(h_{\Delta_i + \Delta_j}) = -2 \neq 0$.

Thus, unless $\lambda = \sum_{k=1}^i \Delta_k = \lambda_i$, we have $\tilde{M}(V_0) = \tilde{V}_0$.

We now consider the infinite-dimensional cases.

Proposition 1.3. Let $\text{Char } F = p > 3$, $L(n) = W(n)$, $S(n)$ or $H(n)$ and V_0 be an irreducible L_0 -module. If V_0 is not a highest weight module with a fundamental weight λ_i as its highest weight, then $\tilde{V}_0(n)$ is irreducible.

Proof For any m ,

$$(\tilde{V}_0(n))_2 = (\tilde{V}_0(n, m))_2 \subset \mathcal{U}(L(n, m))(1 \otimes V_0) \subset \mathcal{U}(L(n))(1 \otimes V_0),$$

and $\tilde{V}_0(n)$ is irreducible by [5, Theorem 2.5].

Proposition 1.4. Suppose $\text{Char } F = 0$, $L(n)$, V_0 are as in Proposition 1.3 and $\dim V_0 < \infty$. Then $\tilde{V}_0(n)$ is irreducible.

Proof If $L = S(n)$ or $H(n)$, then V_0 is an integral module ([5, Note 2.2]). Choose a sufficiently large prime p such that V_{0K} is L_0 -irreducible, where K is an algebraically closed field of characteristic p . If $\tilde{V}_0(n)$ is reducible, then by a mod p process we should conclude that $\tilde{V}_0(n)_K$ is $L(n)_K$ -reducible, which is contrary to Proposition 1.3. When $L = W(n)$, as in Proposition 1.2 (II), we may assume V_0 is a highest weight module with highest weight $\lambda = a \sum_{j=1}^i \Delta_j + (a-1) \sum_{j=i+1}^n \Delta_j$. As an $S(n)$ -module, $\tilde{V}_0$ has a unique proper submodule M (see Theorem 2.4 of the next section). If $a \neq 1$, an easy computation shows $\mathcal{U}(W(n))M \otimes M$.

Let $V_0(\lambda_i)$ denote the highest weight module with highest weight λ_i , ρ_{0i} the corresponding representation and $V_0(\lambda_i)^*$ (ρ_{0i}^*) the dual module (representation) of $V_0(\lambda_i)$ (ρ_{0i}). We have

$$\rho_{0i}^* \cong \rho_{0, n-i} - T. \quad (1.11)$$

Especially if $L_0 = sl(n)$ or $sp(n)$, $\rho_{0i}^* \cong \rho_{0, n-i}$. Moreover, when $L_0 = sp(n)$, $\rho_{0i}^* \cong \rho_{0i}$. By duality (cf. [5, Corollary 3.4]), we have the following proposition.

Proposition 1.5. Let $\text{Char } F \neq 2, 3$, $L = L(n, \mathbf{m})$ or $L(n)$ is of Cartan type W , S or H and ρ_0 an irreducible finite-dimensional representation of L_0 . Then ρ_0 is irreducible unless $\rho_0 = \rho_{0i}^*$, i. e., $\rho_0 = \rho_{0i}$ if L is of type S or H , $\rho_0 = \rho_{0i} - T$ if L is of type W , for some i .

§ 2. Decomposition of $\tilde{V}_0(\lambda_i)$

If $V_0 = V_0(\lambda_i)$, $\tilde{V}_0(n, \mathbf{m})$ and $\tilde{V}_0(n)$ will be denoted by $\tilde{V}_0(\lambda_i, n, \mathbf{m})$ and $\tilde{V}_0(\lambda_i, n)$ respectively (sometimes simply $\tilde{V}_0(\lambda_i)$ for both). Similarly, $\tilde{M}(V_0, n, \mathbf{m})$ and $\tilde{M}(V_0, n)$ will be denoted by $\tilde{M}(\lambda_i, n, \mathbf{m})$ and $\tilde{M}(\lambda_i, n)$ respectively (or simply $\tilde{M}(\lambda_i)$ for both). It is well-known that $V_0(\lambda_i)$ is isomorphic to the module consisting of all i -vectors, i. e., $V_0(\lambda_i) \cong \wedge^i V_0(\lambda_1)$ where $V_0(\lambda_1)$ is the module of the natural representation of L_0 and $\wedge^i$ denotes the i -th exterior product^[6]. Let $\{e_1, \dots, e_n\}$ be the natural basis of $V_0(\lambda_1)$, i. e., it satisfies $E_{ij}e_k = \delta_{ik}e_j$. Let $\Omega^i(n)$ and $\Omega^i(n, \mathbf{m})$ be the $L(n)$ and $L(n, \mathbf{m})$ -modules of differential forms of i -th degree with coefficients in $\mathfrak{U}(n)$ and $\mathfrak{U}(n, \mathbf{m})$ respectively^[3, 7]. The linear map $x^{(\alpha)} \otimes (e_{j_1} \wedge \dots \wedge e_{j_i}) \mapsto x^{(\alpha)} dx_{j_1} \wedge \dots \wedge dx_{j_i}$ is a module isomorphism of $\tilde{V}_0(\lambda_i, n)$ or $\tilde{V}_0(\lambda_i, n, \mathbf{m})$ onto $\Omega^i(n)$ or $\Omega^i(n, \mathbf{m})$. In the following we shall identify $\tilde{V}_0(\lambda_i, n)$ ($\tilde{V}_0(\lambda_i, n, \mathbf{m})$) with $\Omega^i(n)$ ($\Omega^i(n, \mathbf{m})$).

Let $I = \{1, \dots, n\}$, $S \subset I$, and the elements of S be $i_1 < i_2 < \dots < i_k$. Write

$$\omega_S = dx_{i_1} \wedge \dots \wedge dx_{i_k}, \quad (2.1)$$

$$x^{(\sigma_S)} = x_{i_1}^{(\sigma_{i_1})} \dots x_{i_k}^{(\sigma_{i_k})}. \quad (2.2)$$

Define as usual the exterior differential operation $d_i: \tilde{V}_0(\lambda_i, n) \rightarrow \tilde{V}_0(\lambda_{i+1}, n)$ by

$$d_i(f\omega_S) = (df) \wedge \omega_S, \quad f \in \mathfrak{U}(n), \quad \text{Card } S = i, \quad (2.3)$$

where

$$df = \sum_{i=1}^n (D_i f) dx_i. \quad (2.3)'$$

It is well-known that

$$\ker d_i = d_{i-1} \tilde{V}_0(\lambda_{i-1}, n). \quad (2.4)$$

Let $d_i(\mathbf{m})$ denote the restriction of d_i on $\tilde{V}_0(\lambda_i, n, \mathbf{m})$.

Proposition 2.1. Let $L = W(n, \mathbf{m})$. Then (1) $d_{i-1} \tilde{V}_0(\lambda_{i-1}, n, \mathbf{m})$ is a proper submodule, with length $N(\mathbf{m}) - 1$, of $\tilde{V}_0(\lambda_i, n, \mathbf{m})$; (2) $\tilde{M}(\lambda_i, n, \mathbf{m}) = d_{i-1} \tilde{V}_0(\lambda_{i-1}, n, \mathbf{m})$, $i = 1, \dots, n$; (3) $\ker d_i(\mathbf{m}) / d_{i-1} \tilde{V}_0(\lambda_{i-1}, n, \mathbf{m})$ is a trivial module.

Proof (1) Write $U = d_{i-1} \tilde{V}_0(\lambda_{i-1}, n, \mathbf{m})$. Since $d_{i-1}(\tilde{V}(\lambda_{i-1}))_0 = 0$, we have $\mathfrak{l}(U) < N(\mathbf{m})$. On the other hand, $U_{N(\mathbf{m})-1} = \langle d_{i-1}(x^{(\sigma)} \omega_S) \mid \text{Card } S = i-1 \rangle \neq 0$. Hence $\mathfrak{l}(U) = N(\mathbf{m}) - 1$. (2) $U \cong \tilde{V}_0(\lambda_{i-1}, n, \mathbf{m}) / \ker d_{i-1}(\mathbf{m})$ whose top space is isomorphic to $x^{(\sigma)} \otimes V_0(\lambda_{i-1})$ and hence is L_0 -irreducible. The length of $\tilde{M}(\lambda_i)$ is at least $N(\mathbf{m}) - 1$ ([5, Lemma 2.2]) and so $\tilde{M}(\lambda_i) \supset U_{N(\mathbf{m})-1} = \langle d_{i-1}(x^{(\sigma)} \omega_S) \rangle$. Applying $\tilde{\rho}_0(D_i)$, $i = 1$,

$\dots, n$, repeatedly, we obtain all $d_{i-1}(x^{(\alpha)}\omega_S) \in \tilde{M}(\lambda_i)$, i. e., $\tilde{M}(\lambda_i) = U$. (3) We have $\tilde{V}_0(\lambda_i, n, \mathbf{m}) \supset \ker d_i(\mathbf{m}) \supset U$ and $l(\ker d_i(\mathbf{m})) = N(\mathbf{m}) - 1 = l(U)$. It is easily seen that $U_0 = d_{i-1}\tilde{V}(\lambda_{i-1})_1 = \tilde{V}_0(\lambda_i)_0 = (\ker d_i(\mathbf{m}))_0$. Hence $l(\ker d_i(\mathbf{m})/U) < N(\mathbf{m}) - 1$, and $\ker d_i(\mathbf{m})/U$ is trivial by [5, Lemma 2.2].

Lemma 2.1. $\ker d_i(\mathbf{m}) = d_{i-1}\tilde{V}_0(\lambda_{i-1}, n, \mathbf{m}) \otimes \langle x^{(\alpha_S)}\omega_S \mid \text{Card } S = i \rangle$.

Proof “ $\supset$ ” is obvious. Now we prove “ $\subset$ ”. Let $u \in \ker d_i(\mathbf{m})$. By (2.4), we may assume (i) $u = d_{i-1}x^{(\alpha)}\omega_T$, $\text{Card } T = i - 1$. Moreover, we may assume (ii) $x^{(\alpha)} \in \mathfrak{A}(n, \mathbf{m})$ and u cannot be expressed as $d_{i-1}w$, $w \in \tilde{V}_0(\lambda_{i-1}, n, \mathbf{m})$. By Proposition 2.1 (3), $\tilde{\rho}_0(D_j)u = d_{i-1}(x^{(\alpha-\delta_j)}\omega_T) \in d_{i-1}\tilde{V}_0(\lambda_{i-1}, n, \mathbf{m})$, i. e., $x^{(\alpha-\delta_j)} \in \mathfrak{A}(n, \mathbf{m})$. Hence we may also assume (iii) $\alpha_k \leq \pi_k$, $k \neq j$, $\alpha_j = \pi_j + 1$, for some j . A closer observation shows $u = x^{(\alpha_S)}\omega_S (= \pm d_{i-1}(x^{(\alpha_S+\delta_j)}\omega_{S \setminus \{j\}}), j \in S)$.

Let

$$\dim d_i\tilde{V}_0(\lambda_i, n, \mathbf{m}) = a_i. \quad (2.5)$$

By Lemma 2.1, $\dim \ker d_i(\mathbf{m}) = a_{i-1} + C_i^n$. We have the exact sequence

$$0 \rightarrow \ker d_i(\mathbf{m}) \rightarrow \tilde{V}_0(\lambda_i, n, \mathbf{m}) \rightarrow d_i\tilde{V}_0(\lambda_i, n, \mathbf{m}) \rightarrow 0, \quad (2.6)$$

and hence $a_i + a_{i-1} + C_i^n = p^{|\mathbf{m}|}C_i^n$, $i = 0, 1, \dots, n$ (here we set $a_{-1} = 0$, see [5, Lemma 3.2]). It follows that $a_i = (p^{|\mathbf{m}|} - 1) \left(\sum_{j=0}^i (-1)^j C_j^n \right)$, i. e.,

$$a_i = (p^{|\mathbf{m}|} - 1) C_i^{n-1}, \quad i = 0, 1, \dots, n. \quad (2.7)$$

To summarize, we have the following theorem.

Theorem 2.1. Let $\text{Char } F = p > 3$, $L = W(n, \mathbf{m})$. Then (1) $\tilde{V}_0(n, \mathbf{m})$ is reducible if and only if $V_0 = V_0(\lambda_i)$, $i = 0, 1, \dots, n$; (2) $\tilde{M}(\lambda_0, n, \mathbf{m}) = Z$, $\tilde{M}(\lambda_i, n, \mathbf{m}) = d_{i-1}\tilde{V}_0(\lambda_{i-1}, n, \mathbf{m})$, $i = 1, \dots, n$; (3) $\dim \tilde{M}(\lambda_0, n, \mathbf{m}) = 1$, $\dim \tilde{M}(\lambda_i, n, \mathbf{m}) = a_{i-1}$, $i = 1, \dots, n$; (4) The composition factors of $\tilde{V}_0(\lambda_i, n, \mathbf{m})$ are $\tilde{M}(\lambda_i, n, \mathbf{m})$, $\tilde{M}(\lambda_{i+1}, n, \mathbf{m})$ and $Z(C_i^n - \delta_{i0}$ times), $i = 0, 1, \dots, n$.

Next, we shall consider the case $L = S(n, \mathbf{m})$. Since L is a subalgebra of $W(n, \mathbf{m})$, the minimal submodule $\tilde{M} := \tilde{M}(\lambda_i, n, \mathbf{m}) \subseteq U := d_{i-1}\tilde{V}_0(\lambda_{i-1}, n, \mathbf{m})$. Direct computation shows $(\tilde{M})_1 = (\mathcal{U}(L)(1 \otimes V_0(\lambda_i)))_1 = U_1$. Hence $l(U/\tilde{M}) < N(\mathbf{m}) - 2$ since $l(U) = N(\mathbf{m}) - 1$. It follows that $U/\tilde{M}$ is trivial. The top space $U_{N(\mathbf{m})-1}$ is isomorphic to the top space of $\tilde{V}_0(\lambda_{i-1}, n, \mathbf{m})$ which is L_0 -irreducible. If $\tilde{M} \neq U$, $l(\tilde{M}) < l(U)$ and the top space of $U/\tilde{M}$ is isomorphic to $U_{N(\mathbf{m})-1}$. When $i > 1$, $U_{N(\mathbf{m})-1}$ is not trivial and we have a contradiction. If $i = 1$, $\tilde{M} = \sum_{j < N(\mathbf{m})-2} U_j$ (cf. [5, Proposition 2.2]). We have the following theorem.

Theorem 2.2. Let $\text{Char } F = p > 3$, $L = S(n, \mathbf{m})$ and $U(\lambda_i) = d_{i-1}\tilde{V}_0(\lambda_{i-1}, n, \mathbf{m})$. Then

- (1) $\tilde{V}_0(n, \mathbf{m})$ is reducible if and only if $V_0 = V_0(\lambda_i)$, $i = 0, 1, \dots, n-1$;
- (2) $\tilde{M}(\lambda_0, n, \mathbf{m}) = Z$, $\tilde{M}(\lambda_1, n, \mathbf{m}) = \sum_{j=0}^{N(\mathbf{m})-2} U(\lambda_1)_j$, $\tilde{M}(\lambda_i, n, \mathbf{m}) = U(\lambda_i)$, $i = 2, \dots, n$.

..., $n-1$;

(3) $\dim \tilde{M}(\lambda_0, n, \mathbf{m}) = 1$, $\dim \tilde{M}(\lambda_i, n, \mathbf{m}) = a_i - \delta_{i1}$, $i = 1, \dots, n-1$, where a_i is defined by (2.7);

(4) the composition factors of $\tilde{V}_0(\lambda_i, n, \mathbf{m})$ are $\tilde{M}(\lambda_i, n, \mathbf{m})$, $\tilde{M}(\lambda_{i+1}, n, \mathbf{m})$ and $Z(C_i^n - \delta_{i0} + \delta_{i1} \text{ times})$, $i = 0, 1, \dots, n-1$.

Now we consider the case $L = H(n, \mathbf{m})$ where $n = 2r$ and $L_0 = sp(n)$. Define linear transformation θ_1 of $V_0(\lambda_1)$:

$$\theta_1(dx_j) = \sigma(\tilde{j}) dx_{\tilde{j}}, \quad j = 1, \dots, n, \quad (2.8)$$

and extend it to a linear transformation θ_i of $V_0(\lambda_i)$:

$$\theta_i(dx_{j_1} \wedge \dots \wedge dx_{j_i}) = \theta_1(dx_{j_1}) \wedge \dots \wedge \theta_1(dx_{j_i}). \quad (2.9)$$

Then θ_i is an L_0 -module isomorphism of $V_0(\lambda_i)$ onto $V_0(\lambda_i)^*$. (We identify $V_0(\lambda_i)$ with $V_0(\lambda_i)^*$ and $\{\omega_s\}$ with its dual basis.) If $S = \{j_1, \dots, j_i\}$, set $\tilde{S} = \{\tilde{j}_1, \dots, \tilde{j}_i\}$. By (2.9), we have

$$\theta \omega_s = \pm \omega_{\tilde{s}}. \quad (2.10)$$

Let $S^\perp = I \setminus S$. Then $\omega_s \wedge \omega_{S^\perp} = \eta(S) \omega_I$ where $\eta(S) = \pm 1$. We obtain a non-degenerate pairing of $V_0(\lambda_i)$ and $V_0(\lambda_{n-i})$ by letting

$$(\omega_s, \omega_T) = \delta_{S^\perp, T} \eta(S), \quad \text{Card } S = i, \quad \text{Card } T = n - i. \quad (6.11)$$

And we obtain an L_0 -module isomorphism ψ_i of $V_0(\lambda_i)^*$ onto $V_0(\lambda_{n-i})$, where

$$\psi_i(\omega_s) = \eta(S) \omega_{S^\perp}. \quad (2.12)$$

Set $\zeta_i = \psi_i \theta_i$. Then ζ_i is an L_0 -module isomorphism of $V_0(\lambda_i)$ onto $V_0(\lambda_{n-i})$. We have

$$\zeta_i(\omega_s) = \pm \omega_{\tilde{s}}, \quad (2.13)$$

where the sign is uniquely determined by (2.10) and (2.12). Extend ζ_i to a linear map of $\tilde{V}_0(\lambda_i)$ onto $\tilde{V}_0(\lambda_{n-i})$:

$$\zeta_i(f \omega_s) = f(\zeta_i(\omega_s)), \quad f \in \mathcal{U}(n). \quad (2.14)$$

Then ζ_i is an L -module isomorphism. Let

$$\bar{d}_i = \zeta_{n-i+1} d_{n-i} \zeta_i. \quad (2.15)$$

Then $\bar{d}_i: V_0(\lambda_i, n) \rightarrow \tilde{V}_0(\lambda_{i-1}, n)$ is an L -module isomorphism. We have

$$\bar{d}_i(f \omega_s) = \sum_{j \in S} \pm (D_i f) \omega_{S \setminus \{j\}}, \quad (2.16)$$

where the signs are also uniquely determined. Obviously

$$\ker \bar{d}_i = \bar{d}_{i+1} \tilde{V}_0(\lambda_{i+1}, n). \quad (2.17)$$

We have a series of L -modules:

$$d_{i-1} \bar{d}_i \tilde{V}_0(\lambda_i, n, \mathbf{m}) \subset d_{i-1} \tilde{V}_0(\lambda_{i-1}, n, \mathbf{m}) \subset \tilde{V}_0(\lambda_i, n, \mathbf{m}). \quad (2.18)$$

Proposition 2.2. $\bar{d}_{i+1} d_i \tilde{V}_0(\lambda_i, n, \mathbf{m})$ is irreducible, $i = 1, \dots, r$.

Proof Denote $U = \bar{d}_{i+1} d_i \tilde{V}_0(\lambda_i, n, \mathbf{m})$. We have $l(U) = N(\mathbf{m}) - 2$ and

$$U \cong d_i \tilde{V}_0(\lambda_i, n, \mathbf{m}) / \ker \bar{d}_{i+1} \cap d_i \tilde{V}_0(\lambda_i, n, \mathbf{m}). \quad (2.19)$$

The top space of U is isomorphic to the top space of $d_i \tilde{V}_0(\lambda_i, n, \mathbf{m})$ which is L_0 -irreducible. If U contains a proper submodule U' , we may assume $U' = \mathcal{U}(L)$

$(1 \otimes V_0(\lambda_i))$. Since $U'_0 = U_0$, $l(U) < N(\mathbf{m}) - 2$ and U' is trivial (note that U is an $\bar{H}(n, \mathbf{m})$ -module and apply [5, Lemma 2.6]), which is impossible.

Similar, we can prove $d_{i-1}\bar{d}_i\bar{V}_0(\lambda_i, n, \mathbf{m})$ to be irreducible. Hence $\bar{d}_{i+1}\bar{d}_i\bar{V}_0(\lambda_i, n, \mathbf{m}) = d_{i-1}\bar{d}_i\bar{V}_0(\lambda_i, n, \mathbf{m})$. In fact, we have, *a fortiori*, the following lemma.

Lemma 2.2. $\bar{d}_{i+1}d_iv = d_{i-1}\bar{d}_iv$, $v \in \bar{V}_0(\lambda_i, n)$.

Proof Suppose $\text{Char } F = p > 0$. Choose $\mathbf{m}$ such that $v \in \bar{V}_0(\lambda_i, n, \mathbf{m})$. Let $S = \{1, 2, \dots, i\}$. Direct computation shows $\bar{d}_{i+1}d_i(x^{(\pi)}\omega_S) = d_{i-1}\bar{d}_i(x^{(\pi)}\omega_S)$ (to check the signs of both sides is a quite tedious work!). The module $\bar{V}_0(\lambda_i, n, \mathbf{m})$ is generated by $x^{(\pi)}\omega_S$, and the conclusion follows. If $\text{Char } F = 0$, suppose we have $\bar{d}_{i+1}d_i(x^{(\alpha)}\omega_T) \neq d_{i-1}\bar{d}_i(x^{(\alpha)}\omega_T)$ for some α and T . The coefficients occurring in both sides are integers. Take a sufficiently large prime p . By a modulo p process we would obtain an inequality of characteristic p , which is contrary to what we have already proved.

Lemma 2.3. (1) $\bar{d}_{i+1}\bar{V}_0(\lambda_{i+1}, n) \cap d_{i-1}\bar{V}_0(\lambda_{i-1}, n) = \bar{d}_{i+1}d_i\bar{V}_0(\lambda_i, n)$, $i = 0, 1, \dots, r$ (we set $\bar{V}_0(\lambda_{-1}, n) = 0$).

(2) $(\ker \bar{d}_{i+1}) \cap (d_i\bar{V}_0(\lambda_i, n, \mathbf{m})) = \bar{d}_{i+2}d_{i+1}\bar{V}_0(\lambda_{i+1}, n, \mathbf{m}) \otimes \langle d_ix^{(\pi)}\omega_S \mid \text{Card } S = i \rangle$, $i = 0, 1, \dots, r-1$.

Proof (1) By induction on i . (2) Analogous to Lemma 2.1.

Let $b_i = \dim \bar{d}_{i+1}d_i\bar{V}_0(\lambda_i, n, \mathbf{m})$, especially $b_0 = 0$. By (2.19), we have

$$b_i + b_{i+1} = a_i - C_i^n, \quad i = 0, 1, \dots, r-1. \quad (2.20)$$

It follows that

$$b_i = (p^{|\mathbf{m}|} - 1)C_{i-1}^{n-2} - C_{i-1}^{n-1}, \quad i = 1, \dots, r. \quad (2.21)$$

Theorem 2.3. Let $\text{Char } F = p > 3$, $L = H(n, \mathbf{m})$, $n = 2r$, and V_0 be an irreducible L_0 -module. Then

- (1) $V_0(n, \mathbf{m})$ is reducible if and only if $V_0 = V_0(\lambda_i)$, $i = 0, 1, \dots, r$;
- (2) $\bar{M}(\lambda_0, n, \mathbf{m}) = Z$, $\bar{M}(\lambda_i, n, \mathbf{m}) = d_{i-1}\bar{d}_i\bar{V}_0(\lambda_i, n, \mathbf{m})$, $i = 1, \dots, r$;
- (3) $\dim \bar{M}(\lambda_0, n, \mathbf{m}) = 1$, $\dim \bar{M}(\lambda_i, n, \mathbf{m}) = b_i$, $i = 1, \dots, r$;
- (4) The composition factors of $\bar{V}_0(\lambda_i, n, \mathbf{m})$ are $\bar{M}(\lambda_{i-1}, n, \mathbf{m})$ ($1 - \delta_{i1}$ times), $\bar{M}(\lambda_i, n, \mathbf{m})$ (2 times), $\bar{M}(\lambda_{i+1}, n, \mathbf{m})$ and $Z(C_i^n + C_i^{n+1} - 2\delta_{i0})$ times, $i = 0, 1, \dots, r$ ($\bar{M}(\lambda_{-1}, n, \mathbf{m}) = 0$).

Theorem 2.4. Let $\text{Char } F \neq 2, 3$, $L = W(n)$ or $S(n)$ and V_0 be an irreducible L_0 -module, $\dim V_0 < \infty$. Set $n' = n$ if $L = W(n)$, $n' = n - 1$ if $L = S(n)$. Then

- (1) $\bar{V}_0(n)$ is reducible if and only if $V_0 = V_0(\lambda_i)$, $i = 0, 1, \dots, n-1$;
- (2) $\bar{M}(\lambda_0, n) = Z$, $\bar{M}(\lambda_i, n) = d_{i-1}\bar{V}_0(\lambda_{i-1}, n)$, $i = 1, \dots, n'$;
- (3) The composition factors of $\bar{V}_0(\lambda_i, n)$ are $\bar{M}(\lambda_i, n)$ and $\bar{M}(\lambda_{i+1}, n)$, $i = 0, 1, \dots, n'$ ($\bar{M}(\lambda_{n+1}, n) = 0$).

Proof First let $\text{Char } F > 3$.

(1) is obvious.

(2) If $i = 0$, there is nothing to prove. Let $i > 0$. By Theorems 2.1 and 2.2, for

every $v \in \tilde{V}(\lambda_{i-1}, n)$, there is $\mathbf{m}$, m_i sufficiently large, such that $d_{i-1}v \in \tilde{M}(\lambda_i, n, \mathbf{m}) = \mathfrak{M}(L(n, \mathbf{m}))(1 \otimes V_0(\lambda_i)) \subset \mathfrak{M}(L(n))(1 \otimes V_0(\lambda_i))$. Hence $\tilde{M}(\lambda_i, n) = d_{i-1}\tilde{V}(\lambda_{i-1}, n)$.

(3) follows directly from (2.4). Now let $\text{Char } F = 0$. Then $U_{\mathbb{Z}} = \langle d_{i-1}(x^{(\alpha)}\omega_T) \mid \alpha \in A(n), \text{Card } T = i-1 \rangle_{\mathbb{Z}}$ is a lattice of $d_{i-1}V_0(\lambda_{i-1}, n)$. If $\tilde{M}(\lambda_i, n) = u(L(n))(1 \otimes V_0(\lambda_i)) \neq d_{i-1}\tilde{V}_0(\lambda_{i-1}, n)$, then $u(L(n))_{\mathbb{Z}}(1 \otimes V_0(\lambda_i)_{\mathbb{Z}})$ is a proper sublattice of $U_{\mathbb{Z}}$. Take a sufficiently large prime p such that V_{0K} is L_{0K} -irreducible, where K is an algebraically closed field of characteristic p . By a modulo p process we would have $U_K = (d_{i-1}\tilde{V}_0(\lambda_{i-1}, n))_K$ reducible, a contradiction.

Similarly, we have the following theorem.

Theorem 2.5. Let $\text{Char } F \neq 2, 3$, $L = H(n)$, $n = 2r$, and V_0 be an irreducible L_0 -module, $\dim V_0 < \infty$. Then

- (1) $\tilde{V}_0(n)$ is reducible if and only if $V_0 = V_0(\lambda_i)$, $i = 0, 1, \dots, r$;
- (2) $\tilde{M}(\lambda_i, n) = d_{i+1}\tilde{d}_i\tilde{V}_0(\lambda_i, n)$, $i = 1, \dots, r$, $\tilde{M}(\lambda_0, n) = Z$;
- (3) The composition factors of $\tilde{V}_0(\lambda_i, n)$ are $\tilde{M}(\lambda_{i-1}, n)$, $\tilde{M}(\lambda_i, n)$ (2 times) and $\tilde{M}(\lambda_{i+1}, n)$, $i = 0, 1, \dots, r$ ($\tilde{M}(\lambda_{-1}, n) = 0$).

§ 3. Decomposition of $\tilde{V}_0^*(\lambda_i)$

When $V_0 = V_0(\lambda_i)^*$, write $\tilde{V}_0 = \tilde{V}_0^*(\lambda_i)$ and write $\tilde{M}(\lambda_i)$ to denote the irreducible negative graded module with top space $V_0(\lambda_i)^*$. (If it is necessary to distinguish infinite-dimensional and finite-dimensional cases, we shall write $\tilde{V}_0^*(\lambda_i, n)$, $\tilde{M}(\lambda_i, n)$ and $\tilde{V}_0^*(\lambda_i, n, \mathbf{m})$, $\tilde{M}(\lambda_i, n, \mathbf{m})$ respectively.)

Let $(\ , \)$ be the non-degenerate pairing of $\tilde{V}_0(\lambda_i)$ and $\tilde{V}_0(\lambda_i)$ ([5, (3.2)]). Define L -module homomorphism $d_i^*: (\lambda_i) \rightarrow \tilde{V}_0^*(\lambda_{i-1})$ by

$$(d_{i-1}v, v^*) = (v, d_i^*v^*), \quad v \in \tilde{V}_0(\lambda_{i-1}), \quad v^* \in \tilde{V}_0^*(\lambda_i). \quad (3.1)$$

Let $\{ty_{j_1} \wedge \dots \wedge ty_{j_i}\}$ be the basis of $\tilde{V}_0(\lambda_i)^*$ dual to the basis $\{dx_{j_1} \wedge \dots \wedge dx_{j_i}\}$ of $V_0(\lambda_i)$. Then

$$d_i^*(y^{\beta}ty_{j_1} \wedge \dots \wedge ty_{j_i}) = \sum_{k=1}^i (-1)^k y^{\beta + \varepsilon_k} ty_{j_1} \wedge \dots \wedge ty_{j_{k-1}} \wedge ty_{j_{k+1}} \wedge \dots \wedge ty_{j_i}. \quad (3.2)$$

When $L = H(n)$, we can define $\bar{d}_i^*: \tilde{V}_0^*(\lambda_i) \rightarrow \tilde{V}_0^*(\lambda_{i+1})$ analogous to $\bar{d}_i$. Using d_i^* (and $\bar{d}_i^*$ when L is of type H), we obtain the decomposition of $\tilde{V}_0^*(\lambda_i, n, \mathbf{m})$. Since $\tilde{V}_0^*(\lambda_i, n, \mathbf{m}) \cong \tilde{V}_0(\lambda_{n-i}, n, \mathbf{m})$ by (1.12) and [5, Lemma 2.4], we need not go through the details.

Remark 3.1. Ermolaev^[2] stated some results about $\tilde{V}_0(n, \mathbf{m})$ for $L = W(n, \mathbf{m})$ and $S(n, \mathbf{m})$ (mostly without proofs). The ideas, methods and notations used in [2] are quite different from ours.

For infinite-dimensional cases $L = L(n)$, we have the following theorem.

Theorem 3.1. Let $\text{Char } F \neq 2, 3$, $L = W(n)$ or $S(n)$ and V_0 be an irreducible

L_0 -module, $\dim V_0 < \infty$. Then

- (1) $V_0(n)$ is reducible if and only if $V_0 = V_0(\lambda_i)^*$, $i=0, 1, \dots, n-1$;
- (2) $\underline{M}(\lambda_0, n) = Z$, $\underline{M}(\lambda_i, n) = d_i^* V_0^*(\lambda_i, n)$, $i=1, \dots, n-1$;
- (3) the composition factors of $V_0^*(\lambda_i, n)$ are $\underline{M}(\lambda_{i+1}, n)$ and $\underline{M}(\lambda_i, n)$, $i=0, 1, \dots, n-1$.

Theorem 3.2. Let $\text{Char } F \neq 2, 3$, $L = H(n)$, $n=2r$ and V_0 be an irreducible L_0 -module, $\dim V_0 < \infty$. Then

- (1) $V_0(n)$ is reducible if and only if $V_0 = V_0(\lambda_i)^*$, $i=0, 1, \dots, r$;
- (2) $\underline{M}(\lambda_0, n) = Z$, $\underline{M}(\lambda_i, n) = d_{i-1}^* d_i^* V_0^*(\lambda_i, n)$, $i=1, \dots, r$;
- (3) the composition factors of $V_0^*(\lambda_i, n)$ are $\underline{M}(\lambda_{i-1}, n)$, $\underline{M}(\lambda_i, n)$ (2 times) and $\underline{M}(\lambda_{i+1}, n)$, $i=0, 1, \dots, r$ ($\underline{M}(\lambda_{-1}, n) = 0$).

§ 4. Filtered Modules

Let $\mathcal{L} = \bigotimes_{i \in \mathbb{Z}} \mathcal{L}_i$ be a graded Lie algebra.

Definition 4.1. (1) An $\mathcal{L}$ -module V is a negative filtered module if there is a filtration $0 = V_{(-1)} \subset V_{(0)} \subset V_{(1)} \subset \dots$, $\bigcup_i V_{(i)} = V$, such that $\mathcal{L}_j V_{(i)} \subset V_{(i-j)}$. The $\mathcal{L}_0$ -module V_0 is called the top space of V .

(2) An $\mathcal{L}$ -module V is a positive filtered module if there is a filtration $V = V_{[-1]} \supset V_{[0]} \supset V_{[1]} \supset \dots$, $\bigcap_i V_{[i]} = \{0\}$, such that $\mathcal{L}_j V_{[i]} \subset V_{[i+j]}$. The $\mathcal{L}_0$ -module $V_{(-1)}/V_{[0]}$ is called the base space of V .

Note 4.1. If V is finite-dimensional, the concepts of negative and positive filtered modules are equivalent.

Note 4.2. The graded module associated with a negative (positive) filtered module is a negative (positive) graded module.

Let the $\mathcal{L}$ -modules U and V be dual with respect to the non-degenerate pairing $(\ , \)$. If V is a negative (positive) filtered module, then by letting $U_{[i]} = V_{(i)}^\perp$ ($U_{(i)} = V_{[i]}^\perp$), U is a positive (negative) filtered module.

Lemma 4.1. If V is an irreducible $\mathcal{L}$ -module, then V has a negative filtered module structure if and only if the highest space V^0 of V is non-zero. Moreover, if V^0 contains a minimal non-zero $\mathcal{L}_0$ -submodule, then we may suppose the top space to be $\mathcal{L}_0$ -irreducible.

Proof. Necessity is clear. For sufficiency, take a non-zero $\mathcal{L}_0$ -submodule V_0 ($\mathcal{L}_0$ -irreducible if possible) of V^0 . Then $V = \mathcal{U}(\mathcal{L})V_0 = \mathcal{U}(\mathcal{L}^-)V_0$. Let

$$V_{(i)} = \left(\sum_{j \leq i} (\mathcal{U}(\mathcal{L}^-)_{-j}) \right) V_0.$$

We obtain a negative filtered module structure with V_0 as top space.

Corollary 4.1. If V has a negative filtered module structure, $\dim V_{(0)} < \infty$

(especially if $\dim V < \infty$), then it is always possible to suppose $V_{(0)}$ to be $\mathcal{L}_0$ -irreducible.

Lemma 4.2. Let V be a negative or positive filtered module of $\mathcal{L}$, G the graded module associated with V . If G is irreducible, then V is irreducible.

Proof Let V' be a proper submodule of V . Then V' inherits a filtered module structure from V . It is easily seen that the graded module associated with V' is isomorphic to a proper submodule of G .

Lemma 4.3. Let $L = L(n, \mathbf{m})$, ρ be an irreducible representation in the module V . Then $\rho(D_i)^{p^{m_i}} = c_i \mathbf{1}_V$, $c_i \in F$, and $\mathbf{c} = (c_1, \dots, c_n)$ is called the index of ρ or V .

Proof We have $(\text{ad } D_i)^{p^{m_i}} = 0$. For any $x \in L$, $[\rho(D_i)^{p^{m_i}}, \rho(x)] = \rho((\text{ad } D_i)^{p^{m_i}} \cdot x) = 0$. The conclusion follows from Schur's Lemma.

Consider $\underline{V}_0(n) \cong \mathcal{U}(L) \otimes_{n(B)} V_0$ ([5, Theorem 3.1]). Let $\mathbf{c} = (c_1, \dots, c_n)$, $c_i \in F$, and I_c be the ideal of $\mathfrak{B}(n)$ generated by $\{y_i^{p^{m_i}} - c_i, i = 1, \dots, n\}$. It can be easily verified that I_c is an admissible $L(n, \mathbf{m})$ -submodule of $\mathfrak{B}(n)$. Hence $I_c \rtimes V_0$ is an $L(n, \mathbf{m})$ -submodule of $\underline{V}_0(n)$. Denote $\underline{V}_0(n, \mathbf{m}, \mathbf{c}) = \underline{V}_0(n) / I_c \rtimes V_0$ and $\mathfrak{B}_c = \mathfrak{B}(n) / I_c$. Then $\mathfrak{B}_c = F[\bar{y}_1, \dots, \bar{y}_n]$, $\bar{y}_i^{p^{m_i}} = c_i$, where $\bar{y}_i = y_i + I_c$. The Tricomi operator representation of $L(n, \mathbf{m})$ in $\mathfrak{B}(n)$ induces a representation in $\mathfrak{B}_c$ and $\underline{V}_0(n, \mathbf{m}, \mathbf{c}) \cong \mathfrak{B}_c \rtimes \underline{V}_0$. $\underline{V}_0(n, \mathbf{m}, \mathbf{c})$ inherits from $\underline{V}_0(n)$ a negative filtered module structure. It is easy to see that its top space is isomorphic to V_0 and its associated graded module is isomorphic to $V_0(n, \mathbf{m})$. Obviously, the action of $D_i^{p^{m_i}}$ on $\underline{V}_0(n, \mathbf{m}, \mathbf{c})$ is equal to $c_i \mathbf{1}$.

Denote $\underline{V}_0(n, \mathbf{m}, \mathbf{c})$ by $\underline{V}_0^*(\lambda_i, n, \mathbf{m}, \mathbf{c})$ when $V_0 = V_0(\lambda_i)^*$. We have $d_i^*(I_c \rtimes V_0(\lambda_i)^*) \subset I_c \rtimes V_0(\lambda_{i-1})^*$ by (3.2). Hence d_i^* induces a module homomorphism $d_{i,c}^* : \underline{V}_0^*(\lambda_i, n, \mathbf{m}, \mathbf{c}) \rightarrow \underline{V}_0^*(\lambda_{i-1}, n, \mathbf{m}, \mathbf{c})$. When $L = H(n, \mathbf{m})$, define $\bar{d}_{i,c}^*$ analogously.

Theorem 4.1. Suppose $\text{Char } F = p > 3$, $L = W(n, \mathbf{m})$, $S(n, \mathbf{m})$ or $H(n, \mathbf{m})$. Then

- (1) for any given irreducible L_0 -module V_0 and $\mathbf{c} = (c_1, \dots, c_n)$, there exists, up to isomorphism, an unique irreducible negative filtered L -module $\underline{M}(V_0, \mathbf{c})$ with top space V_0 and index $\mathbf{c}$; the map $(V_0, \mathbf{c}) \mapsto \underline{M}(V_0, \mathbf{c})$ is bijective;
- (2) $\underline{M}(V_0, \mathbf{c}) \cong \underline{V}_0(n, \mathbf{m}, \mathbf{c})$ if $V_0 \neq V_0(\lambda_i)^*$ for any i ;
- (3) when $V_0 = V_0^*(\lambda_i)$, using $d_{i,c}^*$ (and $\bar{d}_{i,c}^*$ if $L = H(n, \mathbf{m})$), $\underline{M}(V_0, \mathbf{c})$ can be determined analogous to the negative graded modules.

Proof (2) The irreducibility of $\underline{V}_0(n, \mathbf{m}, \mathbf{c})$ follows from Lemma 4.2.

(3) The irreducible negative filtered module $\underline{M}(V_0(\lambda_i)^*, \mathbf{c})$ can be obtained by a discussion similar to that of $\underline{M}(\lambda_i, n, \mathbf{m})$.

(1) Existence is clear. Let V be an irreducible negative filtered module with top space V_0 and index $\mathbf{c}$. By [5, Lemma 3.1], there is a surjective homomorphism $\varphi : \underline{V}_0(n) \rightarrow V$ and $\ker \varphi \supset I_c \rtimes V_0$. Hence V is a uniquely determined homomorphic image of $\underline{V}_0(n, \mathbf{m}, \mathbf{c})$. Conversely, for every $\underline{M}(V_0, \mathbf{c})$ we can show that its highest

space is isomorphic to V_0 . Thus, $\widetilde{M}(V_0, c)$ is not isomorphic to $\widetilde{M}(V'_0, c')$ if $(V_0, c) \neq (V'_0, c')$ and the bijectivity is proved.

Theorem 4.2. *Let $\text{Char } F \neq 2, 3$, $L = W(n)$, $S(n)$ or $H(n)$ and V_0 be a finite-dimensional irreducible L_0 -module. Then there exists, up to isomorphism, a unique irreducible negative filtered L -module V with top space V_0 and V is isomorphic to the negative graded module $\widetilde{M}(V_0)$.*

Proof V is a homomorphic image of $\widetilde{V}_0(n)$. Investigating the composition factors of $\widetilde{V}_0(n)$, we come to our conclusion.

Now we consider the positive filtered modules of $L = L(n, m)$ and proceed to construct all irreducible positive filtered L -modules explicitly.

Let V_0 be an irreducible L_0 -module, $c = (c_1, \dots, c_n)$ and

$$e(c) = \exp\left(\sum_{i=1}^n c_i x_i\right) = \sum_{j=0}^{\infty} \left(\sum_{i=1}^n c_i x_i\right)^{(j)}$$

which is an element of the dual space $\mathfrak{B}^*$ of $\mathfrak{B}$. Set $\mathfrak{U}_c(n, m) = \langle x^{(\alpha)} e(c) \mid \alpha \in A(n, m) \rangle$. We can define the derivation representation of L in $\mathfrak{U}_c(n, m)$ to make it an admissible L -module. It is not difficult to verify that $(\mathfrak{B}_c(n, m), I_c) = 0$ in the natural pairing $(\cdot, \cdot)$ of $\mathfrak{B}^*$ and $\mathfrak{B}$. Hence the elements of $\mathfrak{U}_c(n, m)$ can be identified with the linear functions on $\mathfrak{B}_c$. Comparing dimensions, we have $\mathfrak{U}_c(n, m) \cong \overline{\mathfrak{B}}_c^*$. Let $\widetilde{V}_0(n, m, c) = \mathfrak{U}_c(n, m) \rtimes V_0$. Then $\widetilde{V}_0(n, m, c) \cong \widetilde{V}_0^*(n, m, c)^*$. From the negative filtered module construction of $V_0^*(n, m, c)$ we obtain the positive filtered module construction of $\widetilde{V}_0(n, m, c)$ which has V_0 as base space and c as index. If $V_0 \neq V_0(\lambda_i)$, $\widetilde{V}_0(n, m, c)$ is irreducible. If $V_0 = V_0(\lambda_i)$, an "exterior differential operator" $d_{i,c}$ (as well as $\bar{d}_{i,c}$ when $L = H(n, m)$) can be defined, by which all irreducible positive filtered modules $\widetilde{M}(V_0, c)$ are obtained.

Let $\mathfrak{U}_c(n) = \langle x^{(\alpha)} e(c) \mid \alpha \in A(n) \rangle$ and $\widetilde{V}_0(n, c) = \mathfrak{U}_c(n) \rtimes V_0$. Then $\widetilde{V}_0(n, c)$ is a positive filtered $L(n)$ -module. If V_0 is L_0 -irreducible, an irreducible positive filtered module $\widetilde{M}(V_0, c)$ with base space V_0 can be derived from $\widetilde{V}_0(n, c)$. If $c \neq (0, \dots, 0)$, $\widetilde{M}(V_0, c)$ is not graded. Thus, in the infinite-dimensional case $L = L(n)$, the situations of positive and negative filtered modules are quite different.

The problem of determining all irreducible positive filtered $L(n)$ -modules remains open.

Remark 4.1. All the results of the present article are valid without the assumption that F is algebraically closed. In fact, algebraic closedness is used only in Lemma 1.1 and Propositions 1.1 and 1.2, of which the essential point is to prove $(\mathfrak{U}(L)(1 \otimes V_0)) \cap (\widetilde{V}_0)_{N(m)} \neq 0$. But this is a fact unaltered if F is extended to its algebraic closure K . Note that, for an irreducible L_0 -module V_0 , $(V_0)_K$ contains a submodule isomorphic to $V_0(\lambda_i)_K$ if and only if $V_0 \cong V_0(\lambda_i)$ over F . In zero characteristic case also apply [5, Corollary 2.5].

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